Filed: June 7, 1995

Page 60 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

#### **REMARKS**

Claims 454-567 were previously pending in this application. Those claims have been canceled in favor of new claims 576-825. Accordingly, claims 576-825 are presented for further examination on the merits.

Applicants appreciate the indication that prosecution has been reopened for their application and that the amendments submitted in their August 20, 2001 Appeal Brief have been entered. Applicants also appreciate that previous objections and rejections not reiterated in the November 26, 2001 Office Action have been withdrawn. Finally, Applicants acknowledge with thanks the courtesy that was extended to Dr. Dean L. Engelhardt and their attorney during the December 27, 2001 interview attended by Examiner Spiegler and Dr. Kenneth Horlick.

#### New Claims

Claims 576-825 are based upon Applicants' former and now canceled claims 454-567 except that the scope of the new claims differs in three respects as follows. In claims 576-657, Applicants are claiming polynucleotidyl compositions in which a *non-polypeptide*, non-radioactive label moiety Sig is covalently attached directly or through a chemical linkage to the phosphate moiety of at least one modified nucleotide. Of claims 576-657, claims 576, 596, 617 and 637 are independent, corresponding to former claims 454, 482, 511 and 539, respectively (except for the insertion of "polypeptide" in the new claims). In claims 658-735, the non-radioactive label moiety Sig in Applicants' polynucleotidyl compositions comprises biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a

Filed: June 7, 1995

Page 61 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

chemiluminescent component, a chromogenic component or a combination of any of the foregoing. Of claims 658-735, claims 658, 677, 697 and 716 are independent, corresponding to former claims 454, 482, 511 and 539, respectively. Finally, in claims 736-813, Applicants are claiming polynucleotidyl compositions in which the non-radioactive label moiety Sig is covalently attached to the phosphate moiety of at least one modified nucleotide through a chemical linkage comprising a polypeptide or a protein. Claims 736, 755, 775 and 794 are independent, again corresponding to former claims 454, 482, 511 and 539, respectively.

To help track the other new dependent claims against the former, canceled claims 454-567, a claim list has been compiled and is attached as Exhibit 1 to this Reply Amendment.

Entry of new claims 576-825 is respectfully requested.

# The Rejection Under 35 U.S.C. §112, First Paragraph

Claims 461, 489, 518 and 546 stand rejected under 35 U.S.C. §112, first paragraph, for containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. In the November 26, 2001 Office Action (page 2), the Examiner stated:

These claims include the recitation "wherein chemical linkage comprises or includes an olefinic bond at the delta-position relative to the point of attachment" which does not appear in the specification. This recitation is considered new matter.

The rejection for new matter is respectfully traversed.

It is believed that the basis for the new matter rejection has been obviated by the presentation of the new claims that recite the " $\alpha$ -position relative to the

Filed: June 7, 1995

Page 62 [Reply Under 37 C.F.R. §1.111 (In Response To The

November 26, 2001 Office Action) - May 28, 2002]

point of attachment" of the olefinic bond. The previously recited " $\delta$ -position" has been omitted from the new claims altogether. The new recitation is properly supported by Applicants' original disclosure. See, for example, the specification, page 3, lines 2 & 3 from the bottom of the page; page 11, line 7 in the second paragraph; and originally filed claim 78.

In view of the new claims, Applicants respectfully request reconsideration and withdrawal of the new matter rejection.

# The Rejection Under 35 U.S.C. §112, Second Paragraph

Claims 455, 483, 512 and 540 stand rejected under 35 U.S.C. §112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. In the Office Action (page 3), the Examiner stated:

Claims 455, 483, 512 and 540 are indefinite over the recitation of "self-signaling or self-indicating or self-detecting" because it is not clear what is meant by this recitation. (i.e. it is not clear as to how a Sig can be considered [to] be self-signaling or self-indicating or self-detecting). For example, a fluorescent compound needs a specific wavelength of light to excite the compound to fluoresce and optical detection system to detect emitted fluorescence, therefore, it is not clear as to how a Sig (for example, a fluorescent compound) could be self-signaling or self-indicating or self-detecting. In other words, it is not clear as to how a Sig can be considered self-signaling or self-indicating or self-detecting without the use of an additional element to aid in the signaling, indicating or detecting of the Sig.

The indefiniteness rejection is respectfully traversed.

With respect to the phrase "self-signaling or self-indicating or self-detecting" in various new claims (577, 597, 618, 638, 659, 678, 698, 717, 737, 756, 776 and 795), Applicants respectfully maintain that this language is altogether proper

Filed: June 7, 1995

Page 63 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

and passes the statutory strictures for definiteness. In light of Applicants' specification and the knowledge in the art, it is believed that a reader skilled in the art would readily comprehend the meaning of a non-radioactive label moiety Sig that is or renders a nucleotide or oligo- or polynucleotide self-signaling or self-indicating or self-detecting. From the specification, it would have been understood that "self-signaling or self-indicating or self-detecting" label moieties in the context of non-radioactive labeled nucleotides and oligo- or polynucleotides containing them provide a means for direct detection, including fluorescence and chemiluminesence.

In further support of the claim language at hand, Applicants would like to draw attention to eight documents listed below, of which four are U.S. patents (Exhibits 1-4) and four are scientific publications (Exhibits 5-8). The four U.S. patents include

- U.S. Patent No. 4,649,121 ("self-indicating" in claims 6 & 7) [Exhibit 2];
- U.S. Patent No. 5,233,044 ("self-indicating" in claim 1) [Exhibit 3];
- U.S. Patent No. 4,981,653 ("self-indicating" assay device in claims 1-8)

[Exhibit 4]; and

U.S. Patent No. 4,408,202 ("self-indicating" reagents in claims 1, 20 & 50)

[Exhibit 5].

The terminology "self-indicating" is also used and recognized in the literature, particularly with respect to substrates. In this regard, Applicants can to the following four scientific articles.

Atherton et al., "*Self-indicating* Activated Esters for Use in Solid Phase Peptide Synthesis. Fluorenylmethoxycarbonylamino Acid Derivatives of 3-Hydroxy-4-oxodihydrobenzotriazine," <u>Journal Chemical Society, Chemical Communications</u> <u>0(24)</u>:1763-1765 (1986) [Exhibit 6];

Filed: June 7, 1995

Page 64 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

Valcour et al., "Evaluation of a Kinetic Method for Prostatic Acid Phosphatase with Use of *Self-Indicating* Substrate, 2,6-Dichloro-4-Nitrophenyl Phosphate," <u>Clinical Chemistry</u> 35(6):939-945 (1989) [Exhibit 7];

Rocco, R. M., "Fluorometric Determination of Alkaline Phosphatase in Fluid Dairy Products: Collaborative Study," <u>J. Assoc. Off. Anal. Chem.</u> 73(6):542-549 (1990) [Exhibit 8]:

The purpose of the present study was to collaboratively examine a new fluorometric assay for ALP in dairy products (3). The method is based on a fluorometric substrate called *Fluorophos*®, which, when acted upon by ALP, is converted to a highly fluorescent product. This fluorometric quantitative assay is the first dairy product ALP test that permits continuous and direct measurement of the released reaction product from a *self-indicating* substrate. . . .

[Rocco, page 542, right column, first full ¶, emphasis added]

Osawa et al., "Prostatic Acid Phosphatase Assay with *Self-Indicating* Substrate 2,6-Dichloro-4-acetylphenyl Phosphate," <u>Clinical Chemistry</u> 41(2):200-203 (1995) [Exhibit 9]:

We characterize six *self-indicating* substrates . . .

[Osawa et al., page 200, abstract; emphasis added]

#### Discussion

To overcome several disadvantages involved in conventional methods for PAP activity (1-8), we have developed a new assay and described its performance. DCAPP, a *self-indicating* synthetic substrate, has played a key role.

[page 202, right column, first ¶; emphasis added]

In summary, for the measurement of PAP activity, our kinetic method involving the *self-indicating* substrate DCAPP showed satisfactory performance on automated analyzers. . .

[page 203, left column, last ¶; emphasis added]

Dean L. Engelhardt, et al. Serial No.: 08/479,997 Filed: June 7, 1995

Page 65 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

In light of Applicants' specification and the usage in the art as evidenced by attached Exhibits 1-8, reconsideration and withdrawal of the indefiniteness rejection is respectfully requested.

# The First Rejection Under 35 U.S.C. §102

Claims 454-461, 463-474, 476-489, 491-502, 504-518, 520-531, 533-546, 548-559 and 561-567 stand rejected under 35 U.S.C. §102(e) as being anticipated by Ward et al., U.S. Patent No. 4,711,955. In the Office Action (pages 4-5), the Examiner stated:

Ward teaches modified nucleotides and methods of using and preparing the same. Specifically, Ward teaches the production and use of nucleic acid probes comprising a general structure (see abstract),

"wherein B represents a purine, 7-deazapurine, or pyrimidine moiety covalently bonded to the C1'-position of the sugar moiety, provided that when B is purine or 7-deazapurine, it is attached at the N9-position of the purine or 7-deazapurine and when B is pyrimidine, it is attached at the N1-position, wherein A represents a moiety (i.e. Sig) consisting of at least three carbon atoms which is capable of forming a detectable complex with a polypeptide when the compound is incorporated into a double-stranded ribonucleic acid, deoxyribonucleic acid duplex, or DNA-RNA hybrid; wherein the dotted line represents a chemical linkage joining B and A, provided that if B is purine, the linkage is attached to the 8-position of the purine, if B is 7deazapurine, the linkage is attached to the 7-position of the deazapurine, and if B is pyrimidine, the linkage is attached to the 5position of the pyrimidine and wherein each of x, y and z represents (H-, HO-, etc. see abstract) either directly or when incorporated into oligo- and polynucleotides, provide probes which are widely useful." (see abstract).

It is noted that the claims of the instant invention are broadly drawn to oligo- or polydeoxynucleotides or polyribonucleotides, wherein the Sig is covalently attached to the PM (or x, y or Z) directly or through a chemical linkage. Ward teaches the covalent attachment of the Sig to the PM through a chemical linkage (i.e. through the

Filed: June 7, 1995

Page 66 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

linkage of the sugar (SM) and the base (BASE) moieties – see abstract), therefore, Ward teaches the instant claims 454, 482, 510, 511, 539 and 567, and claims 457, 485, 514, and 542.

With respect to claims 455-56, 458, 463-474, 476-481, 483-484, 486, 491-502, 504-509, 512-513, 520-531, 533-538, 540-541, 543, 548-559, 561-566 the reference teaches that the probe (for general formula, see abstract) comprising the Sig can be a self-signalling moiety such as biotin, fluorescent dyes, electron-dense reagents (such as ferritin, colloidal gold, ferric oxide) or enzymes (such as peroxidase, alkaline phosphatase) (col. 18, 9-68).

With respect to claims 459-461, 487-489, 516-518, and 544-546, Ward teaches:

"the chemical linkages may include any of the well known bonds including carbon-carbon single bonds, carbon-carbon double bonds, carbon-nitrogen single bonds, or carbon-oxygen single bonds. However, it is generally preferred that the chemical linkage include an olefinic bond at the alpha-position relative to B. The presence of such an alpha-olefinic bond serves to hold the moiety A away from the base when the base is paired with another in the well known double helix configuration. This permits interaction with polypeptide to occur more readily, thereby facilitating complex formation. Moreover, single bonds with greater rotational freedom may not always hold the moiety sufficiently apart from the helix to permit recognition by and complex formation with polypeptide. It is even more preferred that the chemical linkage group be derived from a primary amine, and have the structure -CH.sub2-NH--, since such linkages are easily formed utilizing any of the well known amine modification reactions. Examples of preferred linkages derived from allylamine and allyl-(3amino-2-hydroxyl-1-propyl) either groups have the formulae – CH.dbd.CH—CH.sub2—NH—and STR12## respectively.

Although these linkages are preferred, others can be used, including particularly olefin linkage arms with other modifiable functionalities, such as thiol, carboxylic acid, and epoxide functionalities.

This rejection could be overcome by amending the claims by deleting the recitation "or through a chemical linkage".

[emphasis in the November 26, 2001 Office Action]

The anticipation rejection is respectfully traversed.

Filed: June 7, 1995

Page 67 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

In response, Applicants respectfully point out that the Ward '955 Patent limits the attachment of the non-radioactive labels to the non-disruptive base positions of the pyrimidine, purine or deazapurine, namely, the 5-, 8- and 7-positions, respectively. In contrast, the claims in the Engelhardt application are directed to compositions in which the non-polypeptide, non-radioactive label moiety Sig is attached to the phosphate moiety -- not even to the base, let alone to the aforementioned Ward positions (the 5-, 8- or 7-positions of a pyrimidine, purine or deazapurine, respectively). There are at least two significant reasons why it is incorrect and improper to characterize the specific base labeling positions in the Ward '955 Patent for attaching non-radioactive labels as being indirectly attached to the phosphate moiety through the linkage of the sugar.

First, the sugar is a distinct element of a nucleotide and it is not recognized in the art to my knowledge as being an indirect linkage of the phosphate moiety to the base moiety. A person of ordinary skill in the art would simply not consider the attachment to the base moiety in a nucleotide to be an indirect linkage to the phosphate moiety in a nucleotide.

Second, it should not be overlooked that the three elements making up a nucleotide (sugar, phosphate and base) are not only different structurally, but they are different chemically, such that these elements are subject to *different* chemical reactions. Again, a person of ordinary skill in the art would simply not treat the sugar, phosphate and base moieties in a nucleotide as interchangeable elements.

In light of the foregoing remarks, Applicants respectfully request reconsideration and withdrawal of the first rejection for anticipation.

Filed: June 7, 1995

Page 68 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

# The Second Rejection Under 35 U.S.C. §102

Claims 454-459, 463-464, 472-475, 478-487, 491-492, 500-503, and 506-510 stand rejected under 35 U.S.C. §102(b) as being anticipated by or, in the alternative, under 35 U.S.C. §103(a) as obvious over Halloran et al. (J. of Immun. (1966), 96(3):373-378). In the Office Action (pages 5-7), the Examiner stated:

Halloran teaches a Sig label (i.e. a protein) attached to nucleic acids (pg. 373, 374 - Fig. 1 and col. 2). Specifically, Halloran teaches the preparation of nucleotide protein conjugates through the covalent linkage of a protein to a nucleotide (on the phosphate moiety) with a carbodiimide coupling agent (Fig. 1). Halloran also teaches that this conjugation can be applied to mononucleotides, oligonucleotides, and DNA (pg. 373, col. 1). Therefore, Halloran teaches an oligonucleotide, comprising at least one modified nucleotide having the formula Sig-PM-SM-BASE, wherein the Sig is covalently attached to the PM directly, said Sig being a moiety capable of non-radioactive detection (i.e. instant claims 454, 481, 482, 509, and 510).

With respect to claims 455 and 483, Halloran teaches that the Sig is a protein, which is or renders the nucleotide self-signaling or self-indicating or self-detecting. Halloran teaches the detection of the protein and DNA through Amidoschwartz and Feulgan staining, respectively (pg. 374, col. 2).

With respect to claims 456, 458, 464, 472-475, 478, 479, 484, 486, 492, 500-503, and 506-508 Halloran teaches the addition of proteins (such as HSA and poly-lysine) (pg. 375, Table 1), which comprise at least three carbon atoms.

With respect to claims 457, 459, 463, 480, 485, 487, 491, and 508 Halloran teaches the covalent attachment of -P-O-, said chemical linkage of -CH2NH-, and where the Sig is covalently attached to the PM through a phosphorus atom or phosphate oxygen (pg. 374, Fig. 1).

Therefore, even though Halloran does not teach the hybridization of an oligodeoxynucleotide to a nucleic acid of interest, or a portion thereof, but it is an inherent property of an oligonucleotide to hybridize to a nucleic acid of interest (or portion thereof), which is complementary to the oligonucleotide.

It is noted that in In re Best (195 USPQ 430) and In re Fitzgerald (205 USPQ 594) discuss the support of rejections wherein

Filed: June 7, 1995

Page 69 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

the prior art discloses subject matter which there is reason to believe inherently includes functions that are newly cited or is identical to a product instantly claimed. In such a situation the burden is shifted to the applicants to "prove that subject matter shown to be in the prior art does not possess characteristics relied on" (205 USPQ 594, second column, first full paragraph).

In the alternative, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used the oligonucleotide preparation method of Halloran of conjugating a protein to an oligonucleotide (through the PM), in order to have produced a compound that was complementary to a nucleic acid of interest for detection and identification purposes. If the hybridization property of oligonucleotides is not inherent, the disclosure of oligonucleotides, per se, suggests hybridizability, which is a well known characteristic. Therefore, the burden is shifted to the applicant to prove this otherwise.

The second anticipation rejection is respectfully traversed.

In response, Applicants are pleased to present the Declaration of Dr. Charles W. Parker, who is Professor *Emeritus* of Medicine, Department of Microbiology and Immunology at Washington University School of Medicine in St. Louis, Missouri. Dr. Parker is well recognized as an investigator and author in immunology. Two of Dr. Parker's 1966 papers are cited in the November 26, 2001 Office Action and they serve as the basis for eight of the prior art rejections. As indicated in his Declaration which is attached as Exhibit A, Dr. Parker has spent over five decades in the field. His work has involved conjugate chemistry and the use of conjugated products, including radiolabeled proteins and nucleotide-protein conjugates, for immunization and radioimmunoassays. Dr. Parker's distinguished career is described on the first five or six pages in his Declaration (Exhibit A). As indicated in his Declaration (Section 12, page 13), Dr. Parker is at least a person of ordinary skill in the art to which the present invention pertains.

Filed: June 7, 1995

Page 70 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

In response to the second rejection, Applicants direct attention to and incorporate Dr. Parker's statements in his Declaration beginning on page 19, last paragraph, and continuing through most of page 22. A reading of the background to Dr. Parker's cited papers can also be found on page 14, last paragraph, continuing through the first half of page 19.

In view of the new claims and the statements in Dr. Parker's Declaration (Exhibit A), it is believed that the second rejection has been overcome. Reconsideration and withdrawal of the rejection is respectfully requested.

## The Third Rejection Under 35 U.S.C. §102

Claims 511-516, 520-521, 529-532, 535, 536-544, 548, 549, 557-560, and 563-567 stand rejected under 35 U.S.C. §102(b) as being anticipated by or, in the alternative, under 35 U.S.C. §103(a) as obvious over Halloran et al. (J. of Immun. (1966), 96(3):379-385). In the Office Action (pages 7-9), the Examiner stated:

Halloran teaches the conjugation of proteins to mono, oligo, and polynucleotides (pg. 379, i.e. reference to preceding article – Halloran et al. (J. of Immun. (1966), 96(3):373-378), see teachings above. The teachings of Halloran (pgs. 373-378) are cited herein only to demonstrate content of Halloran (379-385)).

Halloran (pg. 381, column 2) teaches:

"The results of the immunologic studies indicate that nucleotides, oligonucleotides and DNA-protein conjugates can induce the formation of antibodies with nucleotide specificity. The antibodies react both with denatured DNA and with nucleotide protein conjugates. While immunologic response to analogous RNA protein preparations has not been studied, it may be presumed that antibodies to the different types of RNA could be obtained by the same procedure".

Therefore, Halloran teaches an oligoribonucleotide, comprising at least one modified nucleotide having the formula Sig-PM-SM-BASE, wherein the Sig is covalently attached to the PM

Filed: June 7, 1995

Page 71 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

directly, said Sig being a moiety capable of non-radioactive detection (i.e. instant claims 511, 538, 539, 566, and 567).

With respect to claims 512 and 540, Halloran teaches that the Sig is a protein, which is or renders the nucleotide self-signaling or self-indicating or self-detecting. Halloran teaches the detection of the proteins and DNA through Amidoschwartz and Feulgan staining, respectively (pg. 374, col. 2).

With respect to claims 513, 515, 521, 529-532, 535-536, 541, 543, 549, 557-560, 563, and 564 Halloran teaches the addition of proteins (such as HSA and poly-lysine) (pg. 375, Table 1), which comprise at least three carbon atoms.

With respect to claims 514, 516, 520, 537, 542, 544, 548, and 565 Halloran teaches the covalent attachment of -P-O-, said chemical linkage of -CH2NH-, and where the Sig is covalently attached to the PM through a phosphorus atom or phosphate oxygen (pg. 374, Fig. 1).

Therefore, even though Halloran does not teach the hybridization of an oligoribonucleotide to a nucleic acid of interest, or a portion thereof, but it is an inherent property of an oligoribonucleotide to hybridize to a nucleic acid of interest (or portion thereof), which is complementary to the oligonucleotide.

It is noted that in In re Best (195 USPQ 430) and In re Fitzgerald (205 USPQ 594) discuss the support of rejections wherein the prior art discloses subject matter which there is reason to believe inherently includes functions that are newly cited or is identical to a product instantly claimed. In such a situation the burden is shifted to the applicants to "prove that subject matter shown to be in the prior art does not possess characteristics relied on" (205 USPQ 594, second column, first full paragraph).

In the alternative, in view of the teachings of Halloran (pgs. 379-385)it would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the method of Halloran (pgs. 373-378) so as to have conjugated a protein to an RNA molecule in order to have achieved an equally effective compound for use in hybridization or antibody production. If the hybridization property of oligoribonucleotides is not inherent, the disclosure of oligoribonucleotides, per se, suggests hybridizability, which is a well known characteristic. Therefore, the burden is shifted to the applicant to prove this otherwise.

Filed: June 7, 1995

Page 72 [Reply Under 37 C.F.R. §1.111 (In Response To The

November 26, 2001 Office Action) - May 28, 2002]

The third anticipation rejection is respectfully traversed.

Applicants respectfully direct attention to the Declaration of Dr. Charles W. Parker (Exhibit A). His statements found on page 23 and continuing through the first half of page 25 are incorporated here for Applicants' response to the third anticipation rejection.

In light of the new claims and the statements of Dr. Parker, Applicants respectfully request reconsideration and withdrawal of the third rejection.

## The First Rejection Under 35 U.S.C. §103

Claims 462, 464, 469-471, 476, 477, 490, 492, 497-499, 504, and 505 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Halloran et al. (J. of Immun. (1966), 96(3):373-378), as applied to claims 454-459, 463-464, 472-475, 478-487, 491-492, 500-503, and 506-510 above, and further in view of Falkow et al., U.S. Patent No. 4,358,535. In the Office Action (pages 9-11), the Examiner stated:

The teachings of Halloran are presented above. Specifically, Halloran teaches an oligonucleotide, comprising at least one modified nucleotide having the formula Sig-PM-SM-BASE, wherein the Sig is covalently attached to the PM directly, said Sig being a moiety capable of non-radioactive detection (i.e. protein). Halloran also describes specific linkages and attachments of the Sig and the PM. Halloran does not teach specific Sig's, such as fluorescent compounds, ligands, etc. of nucleic acid probes.

Falkow teaches methods and compositions for infectious disease diagnosis and epidemiology involving labeled nucleotide probes complementary to nucleic acid coding for a characteristic pathogen product (see abstract). Specifically, Falkow teaches:

"The probe may be RNA or DNA. The probe will normally have at least about 25 bases, more usually at least about 30 bases, and may have up to about 10,000 bases or more, usually having not more

Filed: June 7, 1995

Page 73 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

than about 5,000 bases. The probe sequence will be at least substantially complementary to a gene coding for a product characteristic of the pathogen, usually a cytoplasmic product or released product, particularly an excreted product. The probe need not have perfect complementarity to the sequence to which it hybridizes; there may be 30% or more of mismatched pairs." (col. 2, ln. 42-54).

With respect to claims 462, 469, 490, and 497 Falkow teaches that enzymes of interest as labels (i.e. Sigs) include hydrolases, particularly esterases and glycosidases (i.e. which would be attached to the PM via a glycosidic linkage), or oxidoreductases, particularly peroxidases (col. 4, ln. 12-14).

With respect to claims 471 and 499, the reference teaches that fluorescent compounds include fluorescein and its derivatives, rhodamine and its derivatives, dansyl, umbelliferone, etc. (col. 4, ln. 12-16).

With respect to claims 470 and 498, Falkow teaches that the probe can be labeled with heavy metals (i.e. which are catalytic) (col. 3, In. 25-28).

With respect to claims 476-477 and 504-505, Falkow teaches:

"In some situations it may be feasible to employ an antibody (i.e. a polypeptide) which will bind specifically to the probe hybridized to the single stranded DNA of the pathogen. In this instance, the antibody would be labeled to allow for detection. The same types of labels which are used for the probe may also be bound to the antibody in accordance with known techniques." (col. 3, ln. 28-34).

"Other labels include <u>ligands</u>, which will serve as a specific binding member to a labeled antibody, fluorescers, chemiluminescers, enzymes, antibodies which can serve as a specific binding pair member for a labeled ligand, and the like. A wide variety of labels have been employed in immunoassays which can readily be employed in the present assay." (col. 3, ln. 38-45).

"Ligands and antiligands may be varied widely. Where a ligand has a natural receptor, namely ligands such as biotin, thyroxine, and cortisol, these ligands can be used in conjunction with the labeled naturally occurring receptors. Alternatively, any compound can be used, either haptenic or antigenic, in combination with an antibody." (col. 4, ln. 5-11).

Filed: June 7, 1995

Page 74 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

In view of the teachings of Falkow, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the oligonucleotides of Halloran so as to have used alternative Sig labels, instead of using a protein, in order to have provided an equally effective compound for nucleic acid detection.

The first obviousness rejection is respectfully traversed.

Reference is made to the statements of Dr. Parker in his Declaration (Exhibit A), beginning on page 25, second paragraph, and continuing through the first half of page 27.

In view of the new claims and Dr. Parker's Declaration, Applicants respectfully request reconsideration and withdrawal of the first obviousness rejection.

# The Second Rejection Under 35 U.S.C. §103

Claims 519, 521, 526-528, 533, 534, 547, 549, 554-556, 561, and 562 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Halloran et al. (J. of Immun. (1966), 96(3):379-385), as applied to claims 511-516, 520-521, 529-532, 535, 536-544, 548, 549, 557-560, and 563-567 above, and further in view of Falkow et al., U.S. Patent No. 4,358,535. In the Office Action (pages 11-13), the Examiner stated:

The teachings of Halloran are presented above. Specifically, Halloran teaches an oligonucleotide, comprising at least one modified nucleotide having the formula Sig-PM-SM-BASE, wherein the Sig is covalently attached to the PM directly, said Sig being a moiety capable of non-radioactive detection (i.e. protein). Halloran also describes specific linkages and attachments of the Sig and the PM. Halloran does not teach specific Sig's, such as fluorescent compounds, ligands, etc. of nucleic acid probes.

Falkow teaches methods and compositions for infectious disease diagnosis and epidemiology involving labeled nucleotide probes

Filed: June 7, 1995

Page 75 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

complementary to nucleic acid coding for a characteristic pathogen product (see abstract). Specifically, Falkow teaches:

"The probe may be RNA or DNA. The probe will normally have at least about 25 bases, more usually at least about 30 bases, and may have up to about 10,000 bases or more, usually having not more than about 5,000 bases. The probe sequence will be at least substantially complementary to a gene coding for a product characteristic of the pathogen, usually a cytoplasmic product or released product, particularly an excreted product. The probe need not have perfect complementarity to the sequence to which it hybridizes; there may be 30% or more of mismatched pairs." (col. 2, ln. 42-54).

With respect to claims 519, 526, 547, and 554 Falkow teaches that enzymes of interest as labels (i.e. Sigs) include hydrolases, particularly esterases and glycosidases (i.e. which would be attached to the PM via a glycosidic linkage), or oxidoreductases, particularly peroxidases (col. 4, ln. 12-14).

With respect to claims 528 and 556, the reference teaches that fluorescent compounds include fluorescein and its derivatives, rhodamine and its derivatives, dansyl, umbelliferone, etc. (col. 4, ln. 12-16).

With respect to claims 527 and 555, Falkow teaches that the probe can be labeled with heavy metals (i.e. which are catalytic) (col. 3, In. 25-28).

With respect to claims 533-534 and 561-562, Falkow teaches:

"In some situations it may be feasible to employ an antibody (i.e. a polypeptide) which will bind specifically to the probe hybridized to the single stranded DNA of the pathogen. In this instance, the antibody would be labeled to allow for detection. The same types of labels which are used for the probe may also be bound to the antibody in accordance with known techniques." (col. 3, In. 28-34).

"Other labels include <u>ligands</u>, which will serve as a specific binding member to a labeled antibody, fluorescers, chemiluminescers, enzymes, antibodies which can serve as a specific binding pair member for a labeled ligand, and the like. A wide variety of labels have been employed in immunoassays which can readily be employed in the present assay." (col. 3, ln. 38-45).

"Ligands and antiligands may be varied widely. Where a ligand has a natural receptor, namely ligands such as biotin, thyroxine, and

Filed: June 7, 1995

Page 76 [Reply Under 37 C.F.R. §1.111 (In Response To The

November 26, 2001 Office Action) - May 28, 2002]

cortisol, these ligands can be used in conjunction with the labeled naturally occurring receptors. Alternatively, any compound can be used, either haptenic or antigenic, in combination with an antibody." (col. 4, In. 5-11).

In view of the teachings of Falkow, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the oligonucleotides of Halloran so as to have used alternative Sig labels, instead of using a protein, in order to have provided an equally effective compound for nucleic acid detection.

The second obviousness rejection is respectfully traversed.

Applicants incorporate the statements of Dr. Parker from his Declaration (Exhibit A), beginning on page 27, last paragraph, and continuing through most of page 29.

In view of the new claims and Dr. Parker's statements, reconsideration and withdrawal of the second obviousness rejection is respectfully requested.

## The Third Rejection Under 35 U.S.C. §103

Claims 460-461, 465-468, 488-489, and 493-496 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Halloran et al. (J. of Immun. (1966), 96(3):373-378), as applied to claims 454-459, 463-464, 472-475, 478-487, 491-492, 500-503, and 506-510 above, and further in view of Ward et al., U.S. Patent No. 4,711,955. In the Office Action (pages 13-14), the Examiner stated:

The teachings of Halloran are presented above. Specifically, Halloran teaches an oligonucleotide, comprising at least one modified nucleotide having the formula Sig-PM-SM-BASE, wherein the Sig is covalently attached to the PM directly, said Sig being a moiety capable of non-radioactive detection (i.e. protein). Halloran also describes specific linkages and attachments of the Sig and the PM. Halloran does not teach the chemical linkages comprising an allylamine group or an ether group and Halloran does not teach a Sig comprising ferritin.

Filed: June 7, 1995

Page 77 [Reply Under 37 C.F.R. §1.111 (In Response To The

November 26, 2001 Office Action) - May 28, 2002]

Ward teaches that the probe (for general formula, see abstract) comprising the Sig can be a self-signalling moiety such as biotin, fluorescent dyes, electron-dense reagents (such as ferritin, colloidal gold, ferric oxide), or enzymes (such as peroxidase, alkaline phosphatase) (col. 18, 9-68).

With respect to specific linkages, Ward teaches:

"the chemical linkages may include any of the well known bonds including carbon-carbon single bonds, carbon-carbon double bonds, carbon-nitrogen single bonds, or carbon-oxygen single bonds. However, it is generally preferred that the chemical linkage include an olefinic bond at the alpha-position relative to B. The presence of such an alpha-olefinic bond serves to hold the moiety A away from the base when the base is paired with another in the well known double helix configuration. This permits interaction with polypeptide to occur more readily, thereby facilitating complex formation. Moreover, single bonds with greater rotational freedom may not always hold the moiety sufficiently apart from the helix to permit recognition by and complex formation with polypeptide. It is even more preferred that the chemical linkage group be derived from a primary amine, and have the structure -CH.sub2-NH--, since such linkages are easily formed utilizing any of the well known amine modification reactions. Examples of samino-2-hydroxyl-1-propyl) either groups have the formulae -CH.dbd.CH-CH.sub2-NH-and STR12## respectively.

Although these linkages are preferred, others can be used, including particularly olefin linkage arms with other modifiable functionalities, such as thiol, carboxylic acid, and epoxide functionalities.

It is also noted that the instant specification teachesthat the "Sig component of the nucleotides in accordance with this invention and the nucleotides and polynucleotides incorporating the nucleotides of this invention containing the Sig component are equivalent to and useful for the same purposes as the nucleotides described in U.S. patent application Serial No. 255,223, now U.S. Patent NO. 4,711,955". (pg. 97).

In view of the teachings of Ward, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the method of linkages of Halloran to include allylamine, ether, or any other well known chemical linkage, instead of carbodiimide linkage as taught by Halloran, so as to have achieved an

Filed: June 7, 1995

Page 78 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

equally effective linkage between the Sig and the phosphate moiety. Furthermore, one would have been motivated to use the Sig labels of Ward (i.e. ferritin), instead of using a protein, in order to have provided an equally effective compound for nucleic acid detection.

The third obviousness rejection is respectfully traversed.

In response, Applicants incorporate the statements of Dr. Parker from his Declaration (Exhibit A), beginning at the bottom of page 29, and continuing through the first two lines on page 32.

In light of the new claims and Dr. Parker's statements, Applicants respectfully request reconsideration and withdrawal of the third obviousness rejection.

## The Fourth Rejection Under 35 U.S.C. §103

Claims 517-518, 522-525, 545-546, and 550-553, stand rejected under 35 U.S.C. §103(a) as being unpatentable over Halloran et al. (J. of Immun. (1966), 96(3):379-385), as applied to claims 511-516, 520-521, 529-532, 535, 536-544, 548, 549, 557-650, and 563-567 above, and further in view of Ward et al., U.S. Patent No. 4,711,955. In the Office Action (pages 15-16), the Examiner stated:

The teachings of Halloran are presented above. Specifically, Halloran teaches an oligonucleotide, comprising at least one modified nucleotide having the formula Sig-PM-SM-BASE, wherein the Sig is covalently attached to the PM directly, said Sig being a moiety capable of non-radioactive detection (i.e. protein). Halloran also describes specific linkages and attachments of the Sig and the PM. Halloran does not teach the chemical linkages comprising an allylamine group or an ether group and Halloran does not teach a Sig comprising ferritin.

Ward teaches that the probe (for general formula, see abstract) comprising the Sig can be a self-signalling moiety such as biotin, fluorescent dyes, electron-dense reagents (such as ferritin, colloidal gold, ferric oxide), or enzymes (such as peroxidase, alkaline phosphatase) (col. 18, 9-68).

Filed: June 7, 1995

Page 79 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) - May 28, 2002]

With respect to specific linkages, Ward teaches:

"the chemical linkages may include any of the well known bonds including carbon-carbon single bonds, carbon-carbon double bonds, carbon-nitrogen single bonds, or carbon-oxygen single bonds. However, it is generally preferred that the chemical linkage include an olefinic bond at the alpha-position relative to B. The presence of such an alpha-olefinic bond serves to hold the moiety A away from the base when the base is paired with another in the well known double helix configuration. This permits interaction with polypeptide to occur more readily, thereby facilitating complex formation. bonds with greater rotational freedom may not always hold the moiety Moreover, single sufficiently apart from the helix to permit recognition by and complex formation with polypeptide. It is even more preferred that the chemical linkage group be derived from a primary amine, and have the structure -CH.sub2-NH--, since such linkages are easily formed utilizing any of the well known amine modification reactions. Examples of samino-2-hydroxyl-1-propyl) either groups have the formulae -CH.dbd.CH-CH.sub2-NH-and STR12## respectively.

Although these linkages are preferred, others can be used, including particularly olefin linkage arms with other modifiable such thiol, carboxylic acid, as functionalities. and

It is also noted that the instant specification teaches that the "Sig component of the nucleotides in accordance with this invention and the nucleotides and polynucleotides incorporating the nucleotides of this invention containing the Sig component are equivalent to and useful for the same purposes as the nucleotides described in U.S. patent application Serial No. 255,223, now U.S. Patent NO. 4,711,955". (pg. 97).

In view of the teachings of Ward, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the method of linkages of Halloran to include allylamine, ether, or any other well known chemical linkage, instead of carbodiimide linkage as taught by Halloran, so as to have achieved an equally effective linkage between the Sig and the phosphate moiety. Furthermore, one would have been motivated to use the Sig labels of Ward (i.e. ferritin), instead of using a protein, in order to have provided an equally effective compound for nucleic acid detection.

Filed: June 7, 1995

Page 80 [Reply Under 37 C.F.R. §1.111 (In Response To The

November 26, 2001 Office Action) - May 28, 2002]

The fourth obviousness rejection is respectfully traversed.

In response, Applicants incorporate the statements of Dr. Parker from his Declaration (Exhibit A). Those statements begin near the top of page 32, and they continue through substantially most of page 33.

Applicants respectfully request reconsideration and withdrawal of the fourth obviousness rejection in light of the new claims and Dr. Parker's statements.

## The Fifth Rejection Under 35 U.S.C. §103

Claims 475 and 503 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Ward et al., U.S. Patent No. 4,711,955, as applied to claims 454-461, 463-474, 476-489, 491-502, 504-518, 520-531, 533-546, 548-559 and 561-567, above, and further in view of Halloran et al. (J. of Immun. (1966), 96(3):373-378). In the Office Action (pages 16-17), the Examiner stated:

The teachings of Ward are presented above. Specifically, Ward teaches the probe comprising Sig-PM-SM-BASE, wherein the covalent attachment of the Sig to the PM through a chemical linkage (i.e. through the linkage of the sugar (SM) and the base (BASE) moieties – see abstract). Ward also teaches that a variety of Sig labels can be used in detection (col. 18, ln. 21-28), but does not teach the Sig label comprising polylysine.

The teachings of Halloran are presented above. Specifically, Halloran teaches the addition of proteins (such as HSA and poly-lysine) to the PM, which can be used in detection with the Amidoschwartz staining procedure, for example (referenced to the pg. 375, Table 1 - referenced).

In view of the teachings of Halloran, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the compound of Ward to include to a Sig comprising polylysine, instead of a Sig (such as rhodamine), so as to have achieved an equally effective compound for nucleic acid detection.

The fifth obviousness rejection is respectfully traversed.

Filed: June 7, 1995

Page 81 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

In response, Applicants incorporate the statements of Dr. Parker from his Declaration (Exhibit A). His statements begin with the last paragraph on page 33, and they continue through the first line on page 36.

Reconsideration and withdrawal of the fifth obviousness rejection is respectfully requested, particularly in view of the new claims and Dr. Parker's statements.

## The Sixth Rejection Under 35 U.S.C. §103

Claims 532 and 560 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Ward et al., U.S. Patent No. 4,711,955, as applied to claims 454-461, 463-474, 476-489, 491-502, 504-518, 520-531, 533-546, 548-559 and 561-567 above, and further in view of (J. of Immun. (1966), 96(3):379-385). In the Office Action (pages 17-18), the Examiner stated:

The teachings of Ward are presented above. Specifically, Ward teaches the probe comprising Sig-PM-SM-BASE, wherein the covalent attachment of the Sig to the PM through a chemical linkage (i.e. through the linkage of the sugar (SM) and the base (BASE) moieties – see abstract). Ward also teaches that a variety of Sig labels can be used in detection (col. 18, In. 21-28), but does not teach the Sig label comprising polylysine.

The teachings of Halloran are presented above. Specifically, Halloran teaches the addition of proteins (such as HSA and poly-lysine) to the PM of a oligoribonucleotide, which can be used in detection with the Amidoschwartz staining procedure, for example (pg. 379, i.e. reference to preceding article - Halloran et al. (J. of Immun. (1966), 96(3):373-378).

In view of the teachings of Halloran, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the compound of Ward to include to a Sig comprising polylysine, instead of a Sig (such as rhodamine), so as to have achieved an equally effective compound for nucleic acid detection.

Dean L. Engelhardt, et al.

Serial No.: 08/479,997 Filed: June 7, 1995

Page 82 [Reply Under 37 C.F.R. §1.111 (In Response To The

November 26, 2001 Office Action) - May 28, 2002]

The sixth obviousness rejection is respectfully traversed.

In response, Applicants incorporate the statements of Dr. Parker in his Declaration (Exhibit A). His statements begin at the top of page 36 and they continue through the first three lines on page 37.

Reconsideration and withdrawal of the sixth obviousness rejection is respectfully requested, particularly in light of the new claims and Dr. Parker's statements on the matter.

#### The Seventh Rejection Under 35 U.S.C. §103

Claims 462, 490, 519, and 547 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Ward et al., U.S. Patent No. 4,711,955, as applied to claims 454-461, 463-474, 476-489, 491-502, 504-518, 520-531, 533-546, 548-559 and 561-567 above, and further in view of Falkow et al., U.S. Patent No. 4,358,535. In the Office Action (pages 18-19), the Examiner stated:

The teachings of Ward are presented above. Specifically, Ward teaches the probe comprising Sig-PM-SM-BASE, wherein the covalent attachment of the Sig to the PM through a chemical linkage (i.e. through the linkage of the sugar (SM) and the base (BASE) moieties – see abstract). Ward also teaches that a variety of Sig labels (such as peroxidase and alkaline phosphatase) can be used in detection (col. 18, ln. 24-28), but does not teach the Sig label comprising a glycosidic linkage (i.e. using a Sig comprising a glycosidase).

The teachings of Falkow are presented above. Specifically, Falkow teaches enzymes of interest as labels (i.e. Sigs) include hydrolases, particularly esterases and glycosidases (i.e. which would be attached to PM via a glycosidic linkage), or oxidoreductases, particularly peroxidases (col. 4, ln. 12-14).

In view of the teachings of Falkow, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the compound of Ward to include to a Sig comprising a glycosidic linkage (i.e. a Sig comprising a glycosidase), instead of a Sig

Filed: June 7, 1995

Page 83 [Reply Under 37 C.F.R. §1.111 (In Response To The November 26, 2001 Office Action) – May 28, 2002]

(such as peroxidase, utilizing a different linkage), so as to have achieved an equally effective compound for nucleic acid detection.

The seventh obviousness rejection is respectfully traversed.

It is respectfully submitted that it would not have been obvious to one of ordinary skill in the art to have modified Ward's compound to include a glycosidic linkage instead of peroxidase, in view of Falkow's '535 Patent and its disclosure of enzymes as labels. One would simply not have arrived at the Engelhardt invention from a combined reading of the Ward and Falkow patents. Ward's Patent does not disclose among its compounds a non-polypeptide, non-radioactive label moiety Sig attached to the nucleotidyl phosphate moiety in an oligo- or polynucleotide, as generally set forth in the Engelhardt claims. Furthermore, other Engelhardt claims recite a non-polypeptide, non-radioactive label moiety Sig, or the members of Sig (biotin, iminobiotin, an electron dense component, a magnetic component, a metalcontaining component, a fluorescent component, a chemiluminescent component, a chromogenic component, a hapten or a combination of any of the foregoing), or that Sig is covalently attached to the phosphate moiety through a chemical linkage comprising a polypeptide or a protein. The element Sig in the Engelhardt claims is not an enzyme as disclosed in the Falkow '535 Patent. Thus, the addition of the Falkow '535 Patent does not provide the necessary disclosure which would have motivated or allowed a person of ordinary skill in the art to arrive at the claims in the Engelhardt application from a combined reading of both the Ward and Falkow patents.

In view of the new claims and foregoing remarks, Applicants respectfully request reconsideration and withdrawal of the seventh obviousness rejection.

Filed: June 7, 1995

Page 84 [Reply Under 37 C.F.R. §1.111 (In Response To The

November 26, 2001 Office Action) - May 28, 2002]

#### Information Disclosure Statement

Recently, some 29 documents came to the attention of Applicants' undersigned attorney. These documents came to light earlier this month from a third party. As part of their duty of disclosure and candor, Applicants are submitting these documents in their Third Supplementary IDS attached as Exhibit B.

Favorable action is respectfully urged.

Enz-5(D6)(C2)

Filed: June 7, 1995

Page 85 [Reply Under 37 C.F.R. §1.111 (In Response To The

November 26, 2001 Office Action) - May 28, 2002]

#### **SUMMARY AND CONCLUSIONS**

Claims 576-825 have been added in place of the former claims which have been canceled.

The fee for adding new claims 576-825 is \$1652, based upon the presentation of 74 additional claims [74 claims X \$18 = \$1332], and four new independent claims [4 claims X \$80 = \$320]. Authorization for these claim fees is set forth in the accompanying Transmittal. This Reply is also accompanied by a Request For Extension Of Time (3 months) and authorization for the fee therefor.

No fee or fees are believed due for filing this Reply. In the event that any other fee or fees are due, however, authorization is hereby given to charge the amount of any such fee(s) to Deposit Account No. 05-1135, or to credit any overpayment thereto.

If a telephone conversation would further the prosecution of the present application, Applicants' undersigned attorney request that he be contacted at the number provided below.

Respectfully submitted,

Rohald C. Fedus

Registration No. 32,567 Attorney for Applicants

ENZO LIFE SCIENCES, INC. (formerly Enzo Diagnostics, Inc.) c/o ENZO BIOCHEM, INC. 527 Madison Avenue, 9th Floor New York, New York 10022 Telephone: (212) 583-0100 Facsimile: (212) 583-0150

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#### Dean L. Engelhardt et al. U.S. Patent Application Serial No. 08/479,997 Exhibit 1 to May 28, 2002 Reply Under 37 C.F.R. §1.111

|              |                                       | Corresponding Former  |
|--------------|---------------------------------------|-----------------------|
| New Claim(s) | Subject Matter (abbrev.)              | Claims (Now Canceled) |
| 576          | independent claim                     | 454                   |
| 577          | self-signaling or self-indicating     | 455                   |
|              | or self-detecting                     | +33                   |
| 578          | Sig comprises 3 carbons               | 456                   |
| 579          | covalent attachment                   | 457                   |
| 580          | chemical linkage does not             | 458                   |
|              | interfere with ability of Sig         | 3.                    |
| 581          | chemical linkage members              | 459                   |
| 582          | chemical linkage allylamine           | 460                   |
| 583          | olefinic bond at α-position           | 461                   |
| 584          | chemical linkage glycosidic           | 462                   |
| 585          | PM = mono-, di- or tri-phosphate      | 463                   |
| 586          | Markush members of Sig                | 464                   |
| 587          | electron dense component              | 465                   |
|              | ferritin                              | 403                   |
| 588          | magnetic component magnetic           | 467                   |
|              | oxide                                 | 407                   |
| 589          | magnetic oxide ferric oxide           | 468                   |
| 590          | metal containing component            | 470                   |
|              | catalytic                             | 470                   |
| 591          | Markush members fluorescent           | 471                   |
| 592          | Sig moiety attached terminal          | 478                   |
| 593          | sugar moiety has H atom at 2'         | 479                   |
| 594          | sugar moiety has O atom at 2' & 3'    | 480                   |
| 595          | comprises at least one ribonucleotide | 481                   |
| 596          | independent                           | 482                   |
| 597          | same as 577                           | 402                   |
| 598          | same as 578                           |                       |
| 599          | same as 579                           |                       |
| 600          | same as 580                           |                       |
| 601          | same as 581                           |                       |
| 602          | same as 582                           |                       |
| 603          | same as 583                           |                       |
| 604          | same as 584                           |                       |
| 605          | x and y mono-, di & tri-phosphate     | 491                   |
| 606          | same as 586                           | 101                   |
|              |                                       |                       |

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995

Page 2 [Exhibit 1 to May 28, 2002 Reply Under 37 C.F.R. §1.111]

|              |                                       | Corresponding Former   |
|--------------|---------------------------------------|------------------------|
| New Claim(s) | Subject Matter (abbrev.)              | Claims (Now Canceled)  |
| 607          | same as 587                           | giamio (riow Cancelea) |
| 608          | same as 588                           |                        |
| 609          | same as 589                           |                        |
| 610          | same as 590                           |                        |
| 611          | same as 591                           |                        |
| 612          | same as 592                           | •                      |
| 613          | same as 593                           |                        |
| 614          | both y and z of terminal nucleotide   | 508                    |
|              | comprises 0 atom at 2' & 3'           |                        |
| 615          | same as 595                           |                        |
| 616          | structural formula for oligo- or poly | 510                    |
| 617          | independent                           | 511                    |
| 618          | same as 577                           |                        |
| 619          | same as 578                           |                        |
| 620          | same as 579                           |                        |
| 621          | same as 580                           |                        |
| 622          | same as 581                           |                        |
| 623          | same as 582                           |                        |
| 624          | same as 583                           |                        |
| 625          | same as 584                           |                        |
| 626          | same as 585                           |                        |
| 627          | same as 586                           |                        |
| 628          | same as 587                           |                        |
| 629          | same as 588                           |                        |
| 630          | same as 589                           |                        |
| 631          | same as 590                           |                        |
| 632          | same as 591                           |                        |
| 633          | same as 592                           |                        |
| 634          | same as 593                           |                        |
| 635          | same as 594                           |                        |
| 636          | same as 595                           |                        |
| 637          | independent                           | 539                    |
| 638          | same as 577                           | 333                    |
| 639          | same as 578                           |                        |
| 640          | same as 579                           |                        |
| 641          | same as 580                           |                        |

Filed: June 7, 1995

Page 3 [Exhibit 1 to May 28, 2002 Reply Under 37 C.F.R. §1.111]

| New Claim(s) | Subject Matter (abbrev.) | Corresponding Former                  |
|--------------|--------------------------|---------------------------------------|
| 642          | same as 581              | Claims (Now Canceled)                 |
| 643          | same as 582              |                                       |
| 644          | same as 583              |                                       |
| 645          | same as 584              |                                       |
| 646          | same as 605              |                                       |
| 647          | same as 586              |                                       |
| 648          | same as 587              |                                       |
| 649          | same as 588              | <i>:</i>                              |
| 650          | same as 589              |                                       |
| 651          | same as 590              |                                       |
| 652          | same as 591              |                                       |
| 653          | same as 592              |                                       |
| 654          | same as 593              |                                       |
| 655          | same as 614              |                                       |
| 656          | same as 595              |                                       |
| 657          | same as 616              |                                       |
| 658          | independent              | 454                                   |
| 659          | same as 577              | 454                                   |
| 660          | same as 578              |                                       |
| 661          | same as 579              |                                       |
| 662          | same as 580              |                                       |
| 663          | same as 581              |                                       |
| 664          | same as 582              |                                       |
| 665          | same as 583              |                                       |
| 666          | same as 584              |                                       |
| 667          | same as 585              | •                                     |
| 668          | same as 587              |                                       |
| 669          | same as 588              |                                       |
| 670          | same as 589              |                                       |
| 671          | same as 590              |                                       |
| 672          | same as 591              |                                       |
| 673.         | same as 592              |                                       |
| 674          | same as 593              |                                       |
| 675          | same as 594              |                                       |
| 676          | same as 595              |                                       |
| 677          | independent              | 482                                   |
|              |                          | · · · · · · · · · · · · · · · · · · · |

Filed: June 7, 1995

Page 4 [Exhibit 1 to May 28, 2002 Reply Under 37 C.F.R. §1.111]

| New Claim(s)<br>678 | Subject Matter (abbrev.)      | Corresponding Former Claims (Now Canceled) |
|---------------------|-------------------------------|--|
| 679                 | same as 577                   |  |
| 680                 | same as 578                   |  |
| 681                 | same as 579                   |  |
| 682                 | same as 580                   |  |
| 683                 | same as 581                   | •  |
| 684                 | same as 582<br>same as 583    | ·  |
| 685                 | same as 584                   |  |
| 686                 | same as 605                   |  |
| 687                 | same as 587                   | •  |
| 688                 | same as 588                   |  |
| 689                 | same as 589                   |  |
| 690                 | same as 590                   |  |
| 691                 | same as 591                   |  |
| 692                 | same as 592                   |  |
| 693                 | z of said terminal nucleotide | <b></b>                                    |
|                     | comprises H atom at 2'        | 507  |
| 694                 | same as 614                   |  |
| 695                 | same as 615                   |  |
| 696                 | same as 616                   |  |
| 697                 | independent                   | F14  |
| 698                 | same as 577                   | 511  |
| 699                 | same as 578                   | •  |
| 700                 | same as 579                   | · · · · · · · · · · · · · · · · · · ·      |
| 701                 | same as 580                   |  |
| 702                 | same as 581                   |  |
| 703                 | same as 582                   | •  |
| 704                 | same as 583                   | •  |
| 705                 | same as 584                   |  |
| 706                 | same as 585                   |  |
| 707                 | same as 587                   |  |
| 708                 | same as 588                   |  |
| 709                 | same as 589                   |  |
| 710                 | same as 590                   |  |
| 711                 | same as 591                   |  |
| 712                 | same as 592                   |  |

Filed: June 7, 1995

Page 5 [Exhibit 1 to May 28, 2002 Reply Under 37 C.F.R. §1.111]

|                  |                          | <u></u>                                       |
|------------------|--------------------------|---|
| New Claim(s)     | Subject Matter (abbrev.) | Corresponding Former<br>Claims (Now Canceled) |
| 713              | same as 593              | grams (NOW Canceled)                          |
| 714              | same as 594              | •   |
| 715              | same as 595              |   |
| 716              | independent              | 539   |
| 717              | same as 577              | 539   |
| 718              | same as 578              |   |
| 719              | same as 579              | . 00  |
| 720 <sup>-</sup> | same as 580              |   |
| 721              | same as 581              | •   |
| 722              | same as 582              |   |
| 723              | same as 583              |   |
| 724              | same as 584              | , X   |
| 725              | same as 605              |   |
| 726              | same as 587              |   |
| 727              | same as 588              |   |
| 728              | same as 589              |   |
| 729              | same as 590              |   |
| 730              | same as 591              |   |
| 731              | same as 592              |   |
| 732              | same as 693              |   |
| 733              | same as 614              |   |
| 734              | comprising at least one  | 500   |
|                  | deoxyribonucleotide      | 538   |
| 735              | same as 616              |   |
| 736              | independent              |   |
| 737              | same as 577              | 454   |
| 738              | same as 578              |   |
| 739              | same as 579              | •   |
| 740              | same as 580              |   |
| 741              | same as 585              |   |
| 742              | same as 586              |   |
| 743              | same as 587              |   |
| 744              | same as 588              |   |
| 745              | same as 589              |   |
| 746              | same as 590              |   |
| 747              | same as 591              |   |
|                  | ·                        |   |

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995

Page 6 [Exhibit 1 to May 28, 2002 Reply Under 37 C.F.R. §1.111]

| Nov. Object      |   | Corresponding Former  |
|------------------|---|-----------------------|
| New Claim(s)     | Subject Matter (abbrev.)                | Claims (Now Canceled) |
| 748              | terminally ligated                      | 558                   |
| 749              | comprises polylysine                    | 560                   |
| 750              | avidin, streptavidin and anti-hapten Ig | 561                   |
| 751              | almost same as 592                      |                       |
| 752              | same as 593                             |                       |
| 753              | same as 594                             |                       |
| 754              | same as 595                             | ·                     |
| 755              | independent                             | 482                   |
| 756              | same as 577                             |                       |
| 757              | same as 578                             |                       |
| 758              | same as 579                             |                       |
| 759              | same as 580                             |                       |
| 760              | same as 605                             |                       |
| 761              | same as 586                             | •                     |
| 762              | same as 587                             |                       |
| 763              | same as 588                             |                       |
| 764              | same as 589                             |                       |
| 765              | same as 590                             |                       |
| 766              | same as 591                             |                       |
| 767              | same as 748                             | 558                   |
| 768              | same as 749                             | 560                   |
| 769              | same as 750                             | 561                   |
| 770              | Sig attached via polypeptide or         |                       |
| ,                | protein chemical linkage to             | 478                   |
|                  | terminal nucleotide                     |                       |
| 771              | same as 693                             |                       |
| 772              | same as 614                             |                       |
| 773              | comprising at least one ribonucleotide  | F00                   |
| 774              | structural formula                      | 509                   |
| 775 <sup>*</sup> | independent                             | 510                   |
| 776              | same as 577                             | 511                   |
| 777              | same as 578                             | •                     |
| 778              | same as 579                             |                       |
| 779              | same as 580                             |                       |
| 780              | PM = mono-, di- or tri-phosphate and    | F.0.0                 |
|                  | Sig is covalently attacked              | 520                   |
| 781              | Sig is covalently attached same as 586  |                       |
| 701              | Same 45 000                             |                       |

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995

Page 7 [Exhibit 1 to May 28, 2002 Reply Under 37 C.F.R. §1.111]

| New Claim(s)<br>782 | Subject Matter (abbrev.) | Corresponding Former Claims (Now Canceled) |   |
|---------------------|--------------------------|--|---|
| 783                 | same as 587              |  |   |
| 784                 | same as 588              |  |   |
| 785                 | same as 589              |  |   |
| 786                 | same as 590              | •  | • |
| 787                 | same as 591              |  |   |
| 788                 | same as 748              |  |   |
|                     | same as 749              |  |   |
| 789<br>700          | same as 750              | •  |   |
| 790<br>701          | almost same as 592       | •  |   |
| 791<br>702          | same as 593              |  |   |
| 792                 | same as 594              | ·  |   |
| 793                 | same as 595              |  |   |
| 794                 | independent              | 539  |   |
| 795-                | same as 577              |  |   |
| 796                 | same as 578              |  |   |
| 797                 | same as 579              |  |   |
| 798                 | almost same as 580       |  |   |
| 799                 | same as 605              |  |   |
| 800                 | same as 586              |  |   |
| 801                 | same as 587              |  |   |
| 802                 | same as 588              |  |   |
| 803                 | same as 589              |  |   |
| 804                 | same as 590              |  |   |
| 805                 | same as 591              |  |   |
| 806                 | same as 748              |  |   |
| 807                 | same as 749              |  |   |
| 808                 | same as 750              |  |   |
| 809                 | almost same as 592       |  |   |
| 810                 | same as 693              | •  |   |
| 811                 | same as 614              | •  |   |
| 812                 | comprising at least one  | 566  |   |
|                     | deoxyribonucleotide      | 300  |   |
| 813                 | structural formula       | 567  |   |
| 814                 | similar to 770           | 307  |   |
| 815                 | same as 749              | •  |   |
| 816                 | same as 750              | •  |   |
| 817                 | see claim 814            |  |   |

presented here is made up by two nearly trigonal planar co-ordinated gold atoms. The two planes in each molecule are connected by P-C-P bridges with Au-P distances lying between 2.344(7) and 2.384(7) Å. The P-Au-P angles are slightly distorted from the ideal 120°.

The environment of the gold atoms is displayed in Figure 1. The geometry is close to ideal trigonal planar with P-Au-P angles ranging from 114.7 to 124.9° for Au(1), from 117.7 to 121.5° for Au(2), from 117.6 to 122.4° for Au(1') and from 115.7 to 127.3° for Au(2'). The Au(1) atom is 0.034 Å out of the least-squares plane through the P atoms, the Au(2') atom is 0.021 Å out of the plane, whereas the other two gold atoms are situated nearly within the least-squares plane of the P atoms. Such deviations from the ideal 120° angle and small deviations from planarity are also observed in other trigonal planar co-ordinated gold complexes. 9 In each molecule two of these trigonal planar co-ordinated gold atoms are connected via P-C-P bridges. The planes in molecule 1 [gold atoms Au(1) and Au(2)] are tilted by a dihedral angle of 15.9°, whereas the corresponding planes of the other molecule are nearly parallel with a dihedral angle of 1.1°. As a consequence of this connection, short Au . . . Au contacts are observed [3.040(1) Å for Au(1)-Au(2) and 3.050(1) Å for Au(1')-Au(2')]. Such short contacts are frequently observed in Au derivatives, even when the Au atoms are in separate molecules, 10,13

The Au-P distances are situated in the range from 2.344(7) to 2.384(7) Å (average: 2.358 Å) and are in the same region as in many other Au-P bond-containing gold complexes. 9-12.14 It should be noted that for the more usual two-co-ordination the Au-P bond length is markedly shorter (typically ca. 2.25 A). The solvent molecules (toluene) are situated in interstices between the gold-clusters.

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#### Self-indicating Activated Esters for Use in Solid Phase Peptide Synthesis. Fluorenylmethoxycarbonylamino Acid Derivatives of 3-Hydroxy-4-oxodihydrobenzotriazine

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The title esters are effective acylating agents in solid phase peptide synthesis; completion of acylation is indicated by fading of the transient yellow colour produced by ionisation of the liberated hydroxy component.

We have reported1 that pentafluorophenyl esters2 of fluorenylmethoxycarbonyl (Fmoc) amino acids (1) are efficient acylating agents in solid phase peptide synthesis under polar polyamide conditions.3 Their use notably simplifies the conduct of solid phase synthesis by avoiding individual preactivation procedures, and provides a particularly simple solution to the problem of automatic peptide synthesiser design. However, the additional u.v. absorption introduced by the aryl ester and the liberated phenol makes quantitative spectroscopic monitoring4 of the acylation step more difficult. This especially the case when catalyst 1-hydroxybenzotriazole is added to the reaction mixture1 to enhance further the reactivity of the pentafluorophenyl ester derivatives. We report now a new series of Fmoc-amino acid activated esters which are efficient in peptide synthesis and which offer an entirely new opportunity for non-destructive

qualitative and quantitative monitoring of acylation reactions under continuous flow4.5 conditions.

The favourable acylating properties of esters of 3,4dihydro-3-hydroxy-4-oxo-1,2,3-benzotriazine, (HODhbt) (2) were recognized by König and Geiger in 1970,6 but no substantial application in solid phase synthesis has apparently been reported. We find that these esters of Fmoc-amino acids are very easily prepared and are generally stable crystalline solids,† most of which may be stored at low temperature for long periods without significant decomposition. Fmoc-Ile-ODhbt reacted with glycyl-polydimethylacrylamide resin4 in dimethylformamide (DMF) at a rate closely similar to that of

<sup>†</sup> Details of melting points etc. may be obtained from us prior to full publication.

H.Val.Gin.Ala.Ala.Ile.Asp.Tyr.Ile.Asn.Gly.OH

H.Val.Leu.Arg.Asn.Pro.Asp.Gly.Glu.Ite.Glu.Lys.Gly.OH (6)

H.Ile Ala . Glu . Ile . Gly . Ala . Ser . Leu . Ile . Lys . His . Trp . OH (7)

H.Gly.Lys.Lys.Lys.Cys(Acm). Ser.Glu. Ser. Ser.Asp. Ser.Gly.Ser.Tyr. Gly. OH

(8)

### Acm = S-acetamidomethyl

the corresponding symmetrical anhydride and about five times more rapidly than the uncatalysed pentafluorophenyl ester. During these and other early reactions, we observed that a transient bright yellow colour appeared on the resin during the acylation reaction, although the solution remained colourless in the absence of dissolved base. When acylation was complete the resin returned close to its initial off-white shade. We attribute this yellow colour to ionisation of liberated hydroxy component (2) by resin bound amino groups. Thus the Fmoc-amino acid activated ester provides both an effective acylating agent and a sensitive indicator of the presence of residual unreacted amino groups.

As before, the efficiency of these new derivatives in solid phase peptide synthesis was tested by preparation of the difficult acyl carrier protein decapeptide sequence (3). The continuous flow variant4.5 of the Fmoc-polyamide procedure was used. The polydimethylacrylamide resin was supported in rigid, macroporous kieselguhr particles<sup>4,7</sup> and was functionalised with a norleucine internal reference amino acid and the acid labile linkage agent as in (4). Esterification of the C-terminal Fmoc-glycine residue utilised the pentafluorophenyl ester derivative in the presence of 4-N,N-

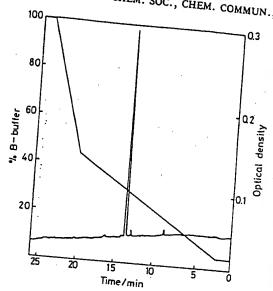


Figure 1. Analytical h.p.l.c. of total crude decapeptide on Aquapori RP-300. Reservoir A contained 0.1% aq. trifluoroacetic acid; I contained 90% acetonitrile, 10% A. After 2 min elution with 5% B, 2 linear gradient of 5-45% B was developed over 18 min and ther 45-100% over 5 min; flow rate 1.5 ml/min. The effluent was monitored at 230 nm.

dimethylaminopyridine (DMAP) catalyst.‡ All peptide bond-forming reactions utilised the appropriate Fmoc-amino acid Dhbt ester (4 equiv.) in DMF. Urea was added to the reaction mixture8 for incorporation of the final valine residue (see below). Fmoc groups were cleaved by 20% piperidine-DMF.

In this first experiment, the progress of the synthesis was followed by observing persistence of the initial yellow colouration of the column, although for safety acylation times were tentatively set considerably longer. The following very approximate times (in min, unless otherwise indicated) were noted§ for fading of the resin to its original off-white state with actual total reaction times in parentheses: Asn-Gly, 15 (35); Ile-Asn, 30 (65); Tyr-Ile, 18 (60); Asp-Tyr, 10 (40); Ile-Asp, 15 (40); Ala-Ile, 10 (40); Ala-Ala, 10 (40); Gin-Ala, 30 (130); and Val-Gln, 20 h (24 h). The exceptionally long reaction time noted for the final valine residue is in agreement with previous experience. After the addition of glutamine, photometric evidence for strong association of the peptide chains within the resin matrix was provided by slower release of dibenzofulvene-piperidine adduct during deprotection

The completed decapeptide was cleaved from the resin with 95% aqueous trifluoroacetic acid; detachment was 92%

<sup>‡</sup> Remarkably, the oxodihydrobenzotriazine ester appears to be relatively ineffective in ester-forming reactions, even in the presence of DMAP catalyst. The pentafluorophenyl ester provides a convenient alternative to symmetrical anhydrides previously used for this step. With Fmoc-glycine pentafluorophenyl ester (5 equiv.) in the presence of DMAP (1 equiv.), esterification is complete in 1-2 h.

<sup>§</sup> More recently, we have constructed a sensitive and accurate photometric system for monitoring resin-colour. The results obtained are generally consistent with visual estimation, except that both isoleucine residues now give approximately equal (36, 40 min) times for complete decolouration. With the more precise measurements now possible, we routinely allow a much shorter (10 min) safety factor after acylation is indicated as complete.

J. CHEM. SOC., CHEM. COMMUN., 1986

complete as judged by the glycine: norleucine analysis of residual resin. Unpurified decapeptide had amino acid analysis; Gly, 1.00; Asp, 1.91; Ile, 1.80; Tyr, 0.91; Ala, 1.87; Glu, 0.96; Val, 0.94. After h.p.l.c. purification (Figure 1; for conditions see ref. 1), the amino acid analysis was Gly, 1.00; Asp, 1.96; Ile, 1.92; Tyr, 0.95; Ala, 2.04; Glu, 0.98; Val, 0.98. A latter synthesis of the same sequence gave satisfactory results using only 2 equiv. of Dhbt ester except for the final valine (4 equiv., no urea). Satisfactory syntheses have also been achieved of the peptide sequences (6)—(8).

During the preparative work, we confirmed the observations of König and Geiger6 that esters of (2) prepared with the aid of dicyclohexylcarbodiimide (DCCI) may be accompanied by the by-product (5). Traces of (5) may easily be detected by h.p.l.c. [e.g. Fmoc·Gly·ODhbt and (5) emerge at 26.8 and 24.3 min respectively on Aquapore 300 using a gradient of 0-100% B (see caption Figure 1) in 40 min]. All Fmoc-amino acid derivatives should therefore be rigorously purified before use in solid phase synthesis since the azidobenzoate (5) is an effective chain terminating agent. In some early experiments, traces of contaminating azidobenzoyl peptides were detected. Formation of (5) is minimised by preparation of the active esters in a non-polar solvent (tetrahydrofuran) rather than in polar DMF, although the latter is to be preferred for the less soluble, side chain reactive amino acids, asparagine and glutamine. Almost complete suppression of (5) is obtained by preformation of the Fmoc-amino acid-DCCI adduct 4 min before addition of (2).

We conclude that Fmoc-amino acid Dhbt esters are valuable alternatives to other acylating species previously employed in solid phase synthesis. They are easily prepared, generally crystalline and apparently stable to storage, I yet are exceptionally reactive towards nitrogen nucleophiles. Their

The long-term stability of these esters is not yet known. We recommend storage at low temperature (-20 °C), especially for the derivatives of asparagine, glutamine, and arginine.

1765 very favourable racemisation-resistant properties were established by König and Geiger.6 They provide a unique opportunity for continuous, non-invasive monitoring of solid phase synthesis with potential for automation. We shall report shortly on the design and operation of a fully automated peptide synthesiser utilising Dhbt esters in which acylation times are established individually for each coupling reaction as the synthesis proceeds.

We are grateful to Mr R. Cotton (ICI Pharmaceuticals Division) who first suggested to us that these esters might be useful alternatives to pentafluorophenyl derivatives in solid

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## Dienone-Phenol Rearrangement of Sulphur-containing Derivatives of Steroids

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Dienone-phenol rearrangement occurs in the reaction of an oxathiolane and dithiolane of 3-oxo-steroids with copper(II) bromide to give 4-methyl-19-norcholesta-1,3,5,(10)-trieno[1,2-b]-dihydroxathiine and -dihydrodithiine,

Reports on dienone-phenol type rearrangements in steroids have been limited almost entirely to highly unsaturated compounds, such as cross-conjugated dienone and trienone derivatives, 1 or labile compounds, 2a such as epoxy-derivatives.2b-d No research of this type has been reported for sulphur-containing derivatives of steroids. We now report that rearrangement occurs in the reaction of oxathiolane derivatives of 3-oxo-steroids, which are stable saturated compounds, with copper(II) bromide to give 4-methyl-19-norcholesta-1,3,5(10)-trieno[1,2-b]dihydroxathiine, (2a).

The reaction was carried out as follows. A solution of the  $3\alpha$ -O-oxathiolane (1a) (1 g) of  $5\alpha$ -cholestan-3-one and cop-

per(II) bromide (2.5 g, 5 mol equiv.) in dioxane (40 ml) was refluxed for 3 h. The mixture was poured into ice-cold water and the resultant precipitate was filtered off. The filtrate was extracted with diethyl ether, and then the extract was chromatographed on silica-gel with light petroleum-benzene. Crystallization of the first fraction from methanol-ethanol 4-methyl-19-norcholesta-1,3,5(10)-trieno[1,2-b]dihydroxathiine (2a), 525 mg, 52%; m.p. 106-107.5 °C; i.r. (KBr-disk, cm-1) 863; 1H n.m.r. (CDCl<sub>3</sub>, δ) 2.15 (s, 3H, Àr-CH<sub>3</sub>), 2.95-3.15 (br. t, 2H, S-CH<sub>2</sub>), 4.15-4.45 (br. t, 2H, O-CH<sub>2</sub>), 6.50 (s, 1 H, Ar-H); m/z 440. In order to determine the configuration of the product of aromatization,

Patent applied for.

Evaluation of a Kinetic Method for Prostatic Acid Phosphatase with Use of Self-Indicating Substrate, 2,6-Dichloro-4-Nitrophenyl Phosphate

Andre A. Valcour, George N. Bowers, Jr., and Robert B. McComb<sup>1</sup>

The purity, spectral characteristics, and rate of nonenzymatic hydrolysis of 2,6-dichloro-4-nitrophenyl phosphate (DCNPP) were determined. Rates of DCNPP hydrolysis by prostatic acid phosphatase (PAP) and erythrocytic acid phosphatase (EAP) (both EC 3.1.3.2) were measured in the absence and in the presence of various alcohols. 1,5-Pentanediol was the most effective transphosphorylation agent for specifically enhancing the activity of PAP. 1,4-Butanediol also enhanced PAP activity but markedly inhibited EAP activity. Bovine and human serum albumin preparations also accelerated the hydrolysis of DCNPP. DCNPP can be used for the continuous or multipoint-rate assay of PAP.

Additional Keyphrases: alcohols and · albumin diols enzyme activity · prostatic tissue and erythrocyte analysis

2,6-Dichloro-4-nitrophenyl phosphate (DCNPP) has recently been introduced as a substrate for determination of acid phosphatase [orthophosphoric monoester phosphohydrolase (acid optimum); EC 3.1.3.2] in serum (1, 2). The phenolic reaction product, 2,6-dichloro-4-nitrophenol (DCNP), formed during the assay at the pH optimum of acid phosphatases in human serum has a high molar absorptivity at 401 nm, a wavelength at which spectrophotometric measurements are both convenient and specific. Some substrates (2-4) that have been used to assay acid phosphatase are hydrolyzed to products that exhibit little absorption at pH 4-6 and thus require the addition of a second reagent before measurement. However, the hydrolysis of DCNPP can be monitored directly by a continuous or multipoint-rate method instead of requiring the indirect endpoint assays necessary with most other substrates. In addition, the DCNPP-based phosphatase assay exhibits simpler kinetics and yields a more stable product than does the kinetic method of Hillmann and its modifications (5– based on use of alpha-naphthyl phosphate-Fast Red

Although nitrophenyl phosphatase assays are not specific for human prostatic acid phosphatase (PAP), this isoenzyme can be assayed in the presence of other acid phosphatases by taking advantage of the inhibitory effect of L-tartrate (10). After determinations of total acid phosphatase (TAP) and tartrate-insensitive acid phosphatase

(TIAP), PAP is calculated by difference (PAP = TAP -

Here we report our studies on the analytical performance of assays of TAP and PAP with DCNPP as substrate. We assessed the correlation between PAP measurements made with 4-nitrophenyl phosphate (4NPP) and DCNPP as substrate, both with and without 1,5-pentanediol present as accelerator. As we report, the PAP measurements correlated well, but TAP determined with the two substrates did not correlate as well, owing to the heterogeneity of the patients' sera with regard to isoenzymes of acid phosphatase. The assay of TAP activity is further complicated by the fact that serum exhibits a residual DCNPP-phosphatase activity after heat treatment, which completely inactivates PAP and erythrocyte acid phosphatase (EAP). We show here that this tartrate-insensitive activity is associated with the albumin in serum, and that several albumin preparations quantitatively accelerate the rate of hydrolysis of DCNPP to DCNP and inorganic phosphate.

### Materials and Methods

The substrate DCNPP was obtained from Toyobo Co. Ltd., Osaka, Japan (lots no. 8121A and 82220). DCNPP stock solutions were made up in 10 mmol/L acetic acid, final pH 3.2, and stored at 4 °C. The product DCNP was obtained from Toyobo Co. Ltd. (lot no. 8176) and from Aldrich Chemical Co., Milwaukee, WI 53233 (lot no. 43890-1). 4NPP was purchased from J. T. Baker Chemical Co., Phillipsburg, NJ 08865, and 4-nitrophenol (4NP), Standard Reference Material, was obtained from the National Institutes of Standards and Technology, Washington, DC 20234, as NIST/SRM 938. Human serum albumin (250 g/L) was purchased (lot no. 28015) from the American Red Cross, Washington, DC 20006. Bovine serum albumin was purchased from Sigma Chemical Co., St Louis, MO 63178 (lot no. 106F-0063).

Pretreatment of serum samples: Acid phosphatase in human serum was stabilized by acidification with 20  $\mu L$  of 3.3 mol/L acetic acid per 2.0 mL of serum immediately after the serum was separated from the clot (10). To heatdenature the samples, we incubated them at 60 °C for 30 min.

Substrate characterization: Batches of DCNPP were dried for 12 h at 60 °C under reduced pressure and subjected to water content analysis with a Metrohm Karl Fischer-Automat E547 titrator (Brinkmann Instruments Inc., Westbury, NY 11590). Lithium, sodium, and potassium were measured with a Model 343 flame photometer (Instrumentation Laboratory, Inc., Lexington, MA 12173). Calcium and magnesium were measured on an AA-1475 Series atomic absorption spectrophotometer (Varian Associates, Palo Alto, CA 94303). Chloride was measured with a CMT10 Chloride Titrator (Radiometer, Copenhagen, Denmark). Total protein, albumin, and ammonia were measured by multilayer film analysis (Ektachem 700 Analyzer; Eastman Kodak Co., Rochester, NY 14650). The melting point of the dried materials was determined with a

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Clinical Chemistry Laboratory, Department of Pathology, Hartford Hospital, Hartford, CT 06115.

Address correspondence to this author.

Nonstandard abbreviations: DCNP, 2,6-dichloro-4-nitrophenol; DCNPP, 2,6-dichloro-4-nitrophenyl phosphate; EAP, erythrocyte acid phosphatase; 4NP, 4-nitrophenol; 4NPP, 4-nitrophenyl phosphate; NMR, nuclear magnetic resonance; PAP, prostatic acid phosphatase; ppm, parts per million; TAP, total acid phosphatase; TCA, trichloroacetic acid; TIAP, tartrate-insensitive acid phosphatase; NIST, National Institute of Standards and Technology; and SRM, Standard Reference Material.

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Thomas Hoover capillary \_\_\_\_ting-point apparatus (Thomas Scientific, Swedesboro, NJ 08085).

For thin-layer chromatography we used glass plates precoated with Silica Gel G containing ammonium sulfate, 50 g/kg (Analtech, Newark, DE 19711). Chromatograms were developed with a mixture of *n*-butanol, acetic acid, and water (60/15/25 by vol), and sample components were made visible by charring on a hot plate. H NMR (nuclear magnetic resonance) spectra were obtained at 500 MHz with a General Electric NMR spectrometer operating in the Fourier transform mode, at the University of Santa Barbara, Santa Barbara, CA 93107 (courtesy of Lauren Brown of J.B.L. Scientific, San Luis Obispo, CA 93401).

The molar absorptivity of DCNP in 60 mmol/L sodium citrate, pH 5.5, was determined with a Cary Model 219 dual-beam spectrophotometer (Varian Associates). We verified its wavelength accuracy with a holmium oxide wavelength standard (12) and checked the photometric accuracy with NIST/SRM 938 (13). Absorption was measured against air in a single quartz cell, NIST/SRM 932, whose pathlength was certified to 5 parts per 100 000 (14). The absorption values of various lots of DCNP were determined and subtracted from the absorption of solvent in the same cuvette.

The purity of the various lots of DCNPP was estimated by measuring the number of moles of DCNP and inorganic phosphate produced by the complete nonenzymatic hydrolysis of a carefully measured dry mass of DCNPP dissolved in 10 mmol/L NaOH. To calculate the expected yield, we used relative molecular masses of 208.0 for DCNP and 288.3 for DCNPP (free phosphoric acid). DCNP concentration was measured by absorbance at 401 nm after 50-fold dilution in 60 mmol/L citrate, pH 5.5, according to the molar absorptivity value determined for DCNP.

The inorganic phosphate produced from the hydrolysis of DCNPP was quantified at 37 °C in a Cobas-Bio centrifugal analyzer (Roche Diagnostics, Nutley, NJ 07110) with an inorganic phosphorus kit (Roche Diagnostics, cat. no. 44266). The absorbance of the unreduced phosphomolybdate complex produced in this assay is measured at 340 nm and is subject to interference by DCNP. To avoid this spectral interference, we modified the assay to include a reduction step before measurement of the phosphomolybdate blue complex at 620 nm (15). First, protein from the samples was removed by precipitation in 1.25 mol/L TCA, then 10  $\mu L$  of supernate, 10  $\mu L$  of diluent water, and 200  $\mu L$  of Roche "Inorganic Phosphate Reagent" were mixed by the Cobas-Bio. After a 1-min incubation, 40  $\mu L$  of a mixture containing, per liter, 1.46 mol of sodium bisulfite, 40 mmol of sodium sulfite, and 20 mmol of 1-amino-2-naphthol-4-sulfonic acid was added, followed by 20  $\mu L$  of diluent water. Absorbance at 620 nm was measured after a 12-min incubation. DCNPP, DCNP, 1,5-pentanediol, and TCA did not interfere with assay of inorganic phosphorus by this

We used "high-performance" liquid chromatography, with detection at 205 nm, to detect impurities in both DCNPP and DCNP. A mixture of 50 parts of CH<sub>3</sub>CN and 50 parts of 10 mmol/L H<sub>3</sub>PO<sub>4</sub> (pH 2.0) was pumped through an HS-5 C<sub>18</sub> column (Perkin-Elmer Corp., Oak Brook, IL 60521) at 1.0 mL/min. Two lots of DCNPP were analyzed directly and after complete nonenzymatic hydrolysis in 10 mmol/L NaOH. Two lots of DCNP were analyzed in an analogous manner.

Nonenzymatic hydrolysis: We determined the rate of

hydrolysis of DCNPP at 30 °C as a function of pH. We prepared a set of sodium citrate buffers (60 mmol/L), with pH values ranging from 3.0 to 9.0. Fifty microliters of a DCNPP stock solution (6 mmol/L) and 10  $\mu$ L of diluent water were mixed with 250  $\mu$ L of sodium citrate buffer by the Cobas-Bio. The increase in absorbance at 401 nm was measured after a 10-min incubation. The molar absorptivity of DCNP in the buffers was also determined with the Cobas-Bio. Fifty microliters of 0.25 mmol/L DCNP and 10  $\mu$ L of diluent water were mixed with 250  $\mu$ L of sodium citrate buffer. The rate of nonenzymatic hydrolysis of DCNPP at a given pH was calculated, using the molar absorptivity of DCNP determined at the same pH.

Acid phosphatase assays: The enzymatic hydrolysis of DCNPP at 30 °C was measured with a procedure modified from Teshima et al. (1). We measured TAP activity in 60 mmol/L citrate, pH 5.5, and TIAP activity in 60 mmol/L citrate, 26.7 mmol/L tartrate, pH 5.5 (final reaction-mixture concentrations). The production of DCNP was monitored at 401 nm with the Cobas-Bio. The procedure involved an initial 3-min incubation of a mixture of 20  $\mu$ L of serum, 10  $\mu$ L of diluent water, and 200  $\mu$ L of buffer. The reaction was started by adding 50  $\mu$ L of 6 mmol/L DCNPP and 20  $\mu$ L of diluent water, and the absorption was measured at 60-s intervals for 10 min. The final volume fraction of serum was 0.067 and the substrate concentration in the final reaction mixture was 1 mmol/L.3

We determined the effect of a variety of alcohols and diols on the hydrolysis of DCNPP by acid phosphatase isoenzymes. Water-soluble alcohols were mixed with the citrate and citrate/tartrate buffers at concentrations ranging from 200 to 1000 mmol/L (final reaction-mixture concentrations, 134 to 667 mmol/L). Alcohols that were not as water soluble as 200 mmol/L were tested at the limit of their solubility.

The enzymatic hydrolysis of 4NPP was measured with the Cobas-Bio by a modification of the method of Jacobsson (10). Twenty microliters of serum and 20  $\mu$ L of diluent water were mixed with 250  $\mu$ L of buffer containing substrate. The final reaction concentrations of buffer and substrate were identical to those used in the DCNPP assay. After a fixed period of incubation at 30 °C, the reaction mixture was made alkaline with 75  $\mu$ L of 2 mol/L NaOH and the absorption at 401 nm was determined. A blank was measured to correct for absorbing compounds in the sample by adding the NaOH before adding the sample.

Tissue extracts: We prepared an extract of prostatic tissue, as previously described (16), and a hemoglobin-free erythrocyte extract from outdated whole blood (17). Plasma and leukocytes were decanted from heparinized blood after centrifugation (10 000  $\times$  g, 4 °C, 10 min), and the precipitated erythrocytes were lysed at 4 °C in a 2.5-g/L solution of Brij-35 surfactant (Pierce Chemical, Rockford, IL 61105) in de-ionized water. The lysate was centrifuged (15 000  $\times$ g, 4°C, 10 min), and the supernate was dialyzed against several volumes of potassium phosphate buffer (5 mmol/L, pH 6.0). The dialysate was applied to a column of diethylaminoethyl Sephadex (Pharmacia Fine Chemicals, Piscataway, NJ 08854) and washed with the potassium phosphate buffer until all of the color was eluted from the column. The acid phosphatase activity was then eluted from the column with 600 mL of 5 mmol/L potassium

<sup>&</sup>lt;sup>3</sup> Based on a formula weight of 288.3, a value that appears to be 30% low (see *Discussion*).

phosphate, 300 mmol/L NaCl, pH .0; insignificant amounts of acid phosphatase activity were removed with a further 600 mmol/L NaCl wash. The extract was concentrated with an ultrafiltration apparatus equipped with a pM-10 membrane (Amicon Division, WR Grace & Co., Danvers, MA 01923).

#### Results

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Characterization of Substrate and Product

Both lots of DCNPP eluted from the HS-5 C<sub>18</sub> column as a symmetrical major peak followed by a second, smaller peak (Figure 1). The smaller peak had a retention time identical to that of DCNP. The completely hydrolyzed substrate was eluted as a single peak with a retention time identical to DCNP. There was no residual material with the retention time of the major DCNPP peak. Meticulous spectrophotometry at 401 nm and the assay of inorganic phosphate indicated that equal molar amounts of DCNP and phosphate were produced by the complete hydrolysis of DCNPP in 10 mmol/L NaOH. The yield of these products was 73.3% and 72.4%, respectively, of that calculated assuming that DCNPP was in the free-acid form.

Because the pH of a 6 mmol/L DCNPP solution in de-ionized water is 2.73, it was reasonable to suspect that this material is in the free-acid form. We found negligible amounts of the common cations in either substrate or the reference standard DCNP preparations (Table 1). Contamination of these materials with water and free chloride was negligible. The fairly sharp melting points listed in Table 1 suggest that both product and substrate are reasonably pure. However, preliminary analysis of the substrate by

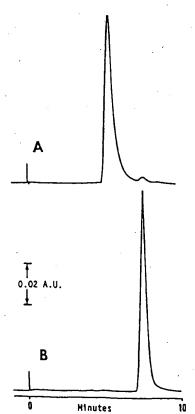


Fig. 1. HPLC of DCNPP and DCNP with detection at 205 nm A DCNPP as received (Toyobo, lot no. 8176); B, hydrolyzed DCNPP (Toyobo, lot no. 8121A). A.U., absorbance unit

| Table 1                                  | terials Analys | is           |
|--|----------------|--------------|
| Composition, mg/g                        | DCNPP          | DCNP         |
| Water                                    | <5             | <5           |
| Sodium                                   | <2             | <0.1         |
| Potassium                                | <0.01          | <0.01        |
| Lithium                                  | <2             | <0.01        |
| Magnesium                                | <2             | <2           |
| Calcium                                  | <2             | <2           |
| Ammonia                                  | <0.2           | <0.05        |
| Free chloride                            | <2             | <1           |
| Other characteristics                    |                |              |
| Melting point, °C                        | 152-154        | 121–123      |
| λ <sub>max</sub> , nm                    | 292            | 401          |
| ε, L·mol <sup>-1</sup> ·cm <sup>-1</sup> | 45" (6100)"    | 15 370 ± 80° |
| Purity by HPLC, <sup>d</sup> %           | 98             | 100          |

4<sub>401 nm</sub> for DCNPP; all lots were contaminated with small amounts of DCNP (see Fig. 2).

 $^b\epsilon_{292~nm}$  for DCNPP based on a relative molecular mass of 288.8 and corrected for above impunities. Other evidence (see *Results*) indicates that this material is not the pure free acid, in which case the  $\epsilon_{292~nm}$  will be approximately 30% higher than reported here.

c €401 nm ± 1 SD for DCNP lots from Aldrich and Toyobo.

Based on relative peak heights in Fig. 1 and estimates of DCNP concentrations from external standards.

thin-layer chromatography on silica gel indicated that it contained at least one contaminating organic component, which may account for the discrepancy in product yield.

The  $^1$ H NMR spectrum of DCNP in  $d_6$ -dimethyl sulfoxide (not shown) indicates that it is free of protonated organic contaminants. The spectrum has a single low-field resonance at 8.25 ppm, corresponding to the two symmetric protons on the aromatic ring of DCNP. On the other hand, the spectrum of DCNPP has a sharp low-field resonance at 8.25 ppm and a broader resonance at 7.96 ppm. The spectrum also contains a complex set of resonances at high field (1 to 3 ppm), which correspond to approximately 18 aliphatic protons and have a total integrated area that is double that of the aromatic protons. The aliphatic component(s) were not identified but probably correspond to an organic counter-ion to the DCNPP.

Figure 2 depicts the ultraviolet—visible spectra of DCNP and DCNPP in 60 mmol/L sodium citrate, pH 5.5. The molar absorptivities under these conditions are listed in Table 1. There were no significant spectral differences between the lots of DCNPP or the lots of DCNP. The spectrum of DCNP under these conditions is similar to that at pH 5.0 in acetate buffer (I). DCNPP has an absorbance maximum at 292 nm and little absorbance at 401 nm.

Figure 3 shows the rate constant for the nonenzymatic hydrolysis of DCNPP at 30 °C as a function of pH. The hydrolysis of DCNPP is maximal when its phosphate group is fully ionized (pH >7) and the rate of hydrolysis at pH 5.5 is 10-fold that at pH 3.5. DCNPP stock solutions held at pH 3.2 and 4 °C underwent 0.05% nonenzymatic hydrolysis per day. The powdered substrate stored undesiccated at room temperature was slowly hydrolyzed to product (approximately 0.4% per year).

#### Method Development

PAP hydrolyzed DCNPP 1.28 times as rapidly as 4NPP, and EAP hydrolyzed DCNPP 0.58 times as rapidly. Tartrate at a concentration of 60 mmol/L inhibited the DCNPP-phosphatase activity in the prostate extract by

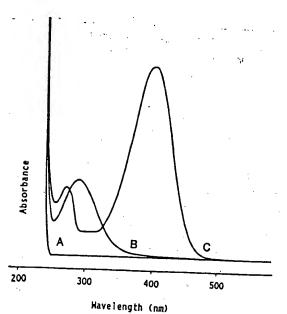


Fig. 2. Spectra of substrate and product A, buffer alone: 60 mmol/L citrate, pH 5.5; B, buffer plus DCNPP, 35 μmol/L; C, buffer plus DCNP, 35 μmol/L

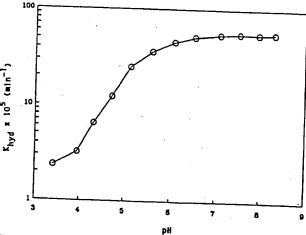


Fig. 3. pH-rate-constant profile for nonenzymatic hydrolysis of DCNPP

K<sub>hyd</sub> = first-order rate constant at 30 °C

>98%, in agreement with previous work (1), but did not affect DCNPP hydrolysis by the erythrocyte extract. Citrate and acetate support PAP activity to a greater extent than several other buffers (Figure 4). We chose to use citrate buffer because it is widely used in other procedures for acid phosphatase, particularly the procedure of Jacobsson (10), in which 4NPP is the substrate. The pH optimum for the PAP-catalyzed hydrolysis of DCNPP in citrate buffer is between 5.0 and 5.5, similar to the pH optimum in acetate buffer (1). At pH 5.5, the rate of nonenzymatic hydrolysis of DCNPP is about four times the rate of enzymatic hydrolysis at the upper reference limit of PAP (2.0 U/L). Prostatic enzyme activity is unaffected by increasing NaCl and KCl concentration, but erythrocyte enzyme activity is markedly inhibited (data not shown).

We determined the degree to which a number of alcohols accelerated the production of DCNP (Table 2). 1,5-Pentanediol activated PAP to the greatest extent. The ratio of DCNP to inorganic phosphate produced in the absence of alcohols was 1.00, but the addition of 1,5-pentanediol

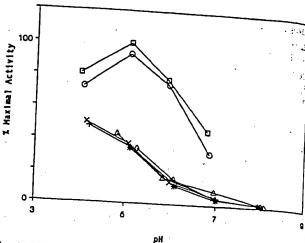


Fig. 4. Effect of buffers (1 mol/L) on PAP-catalyzed hydrolysis of

DCNPP-based phosphatase activity as a function of pH in: acetate (□), citrate (□), M,N-bis[2-hydroxyethyl]glycine (△), bis(2-hydroxyethyl)imino-tris(hydroxyethyl)methane (+), M-2-hydroxyethylpiperazine-N-2-ethane sulfonic acid (⋄), hydroxylamine (x), and N-tris(hydroxymethyl)methyl-2-aminoethane sulfonic acid (★). Previous work (1) and our confirmatory experiments (not shown) indicate that the pH optimum for DCNPP hydrolysis of prostate extract lies between pH 5.0 and 5.5

increased this ratio to 2.00. While the production of DCNP increased by 1.94-fold, the yield of phosphate decreased by 0.81-fold. These data indicate that 1,5-pentanediol accelerates the production of DCNP by action as a substrate for transphosphorylation. This mechanism has been previously described in acid phosphatase (18) and alkaline phosphatase, where the best accelerators are amino alcohols (19).

## DCNPP-Phosphatases in Human Serum

We performed precision studies with Hartford Hospital's human serum control material (16), which is supplemented with prostate extract. The TAP assay had a within-run (n = 12) CV of 1.78% (mean = 23.7 U/L) and a between-day CV (n = 12) of 3.64% (mean = 23.6 U/L). The PAP assay had a within-run (n = 12) CV of 1.97% (mean = 15.9 U/L) and a between-day (n = 12) CV of 3.71% (mean = 15.8 U/L). DCNPP- and 4NPP-based assays of TAP and PAP in patients' serum samples correlate well, both with and without 1,5-pentanediol in the DCNPP-based assay (Figure 5), with the DCNPP-based TAP assay showing a consistent positive bias. In our investigation of the source of this bias, we found that serum samples that are incubated at 60 °C for >4 h have DCNPP-phosphatase activity. No 4NPP-phosphatase activity remains in these samples. Furthermore, the DCNPP- and 4NPP-phosphatase activities of both the prostatic and erythrocyte extracts are completely inactivated in less than 10 min at 60 °C. The heat-stable, DCNPP-phosphatase activity of serum correlates weakly with albumin concentration (Figure 6; r = 0.6816) and less so with total protein concentration (r = 0.4331). Commercial preparations of bovine and human serum albumin increase the rate of DCNPP hydrolysis both before and after incubation at 60 °C. This albumin-associated activity is proportional to albumin concentration at the relatively low final reaction concentrations that are produced when patients' samples are assayed. At higher reaction mixture concentrations the DCNPP-phosphatase activity of albumin levels off at approximately 25 U/L (Figure 7). Equimolar concentrations of DCNP and phosphate are produced,

|                   | Prostate e             | xtract                | Erythrocyte extract |               |  |
|-------------------|------------------------|-----------------------|---------------------|---------------|--|
| Alcohol           | Alcohol concn,* mmol/L | Relative activity, 5% | Alcohol conen,*     | Relative      |  |
| None              | · —                    | 100.0                 | <u>·</u>            | activity, b % |  |
| Methanol          | 667⁴                   | 123.1                 | 667 <sup>d</sup>    | 100.0         |  |
| Ethanol           | 267                    | 120.7                 | 667 <sup>d</sup>    | 143.1         |  |
| Ethylene glycol   | 667 <sup>d</sup>       | 121.2                 |                     | 146.7         |  |
| 1-Propanol        | 400                    | 139.3                 | 667 <sup>d</sup>    | 145.1         |  |
| 2-Propanol        | 267                    | 104.5                 | 667 <sup>d</sup>    | 186.9         |  |
| 1,2-Propanediol   | 667°                   | 147.8                 | 267                 | 105.1         |  |
| 1,3-Propanediol   | 400                    |                       | 667°                | 161.3         |  |
| Glycerol          | 267                    | 120.3                 | 667 <sup>d</sup>    | 121.9         |  |
| 1-Butanol         | 400                    | 100.9                 | 667 <sup>d</sup>    | 133.7         |  |
| 1,3-Butanediol    | 400                    | 170.2                 | 400                 | 151.2         |  |
| 1.4-Butanediol    | 400<br>667₫            | 147.8                 | 667 <sup>d</sup>    | 135.6         |  |
| 1-Pentanol        | -607 -<br>             | 175.7                 | 267°                | 5.5           |  |
| 1,5-Pentanediol   |                        | 163.9                 | c                   | 160.8         |  |
| 1.4-Pentanediol   | 267                    | 198.7                 | 133                 | 123.3         |  |
| 1,2-Pentanediol   | 267                    | 120.6                 | 267°                | 65.6          |  |
| 2R,4R-Pentanediol | 267                    | 156.4                 | 667 <sup>d</sup>    | 288.6         |  |
| Cyclopentanol     | 267                    | 104.9                 | 267                 | 105.1         |  |
| 1-Hexanol         | 267°                   | 90.9                  | 267°                |               |  |
| 1.2 Movement of   | °                      | 133.7                 | c                   | 42.7          |  |

168.0

151.8

163.9

108.5

123.7

155.0

181.0

214.0

267 2671 \* Final reaction concentration of alcohol that produced the maximum acceleration of DCNPP-based phosphatase activity.

133

400

267

133

400

133

<sup>b</sup> Activity in presence of maximally effective concentration of alcohol relative to activity in absence of alcohol.

Assay performed at limit of solubility of these alcohols (<133 mmol/L).

d Highest concentration of alcohol tested in cases where activity continued to increase with increasing concentration.

Alcohol exhibiting inhibitory effect where activity continues to decrease with increasing concentration.

Both buffers at a final reaction concentration of 267 mmol/L.

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1,2-Hexanediol

1,5-Hexanediol

1,6-Hexanediol

2,5-Hexanediol

1,4-Cyclohexanediol

cis-1,2-Cyclohexane dimethanol

1,5-Pentanediol and 1,4-butanediol

3-Methyi-1-butanol

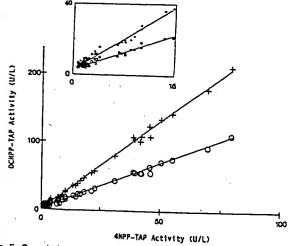


Fig. 5. Correlation of TAP activity with two substrates TAP correlation without 1,5-pentanediol in either assay (O): y = 1.263x +4.443 U/L (n = 67, r = 0.9944); with 1,5-pentanediol (267 mmol/L final concentration in DCNPP assay (+): y = 2.502x + 3.225 U/L (n = 67, r =

The correlation for PAP (not shown) was similar except for the absence of a significant positive bias in the intercept. PAP correlation without 1,5-pentanediol in either assay: y = 1.298x + 0.342 U/L (n = 67, r = 0.9961); with 1,5-pentanedioi (267 mmol/L) in DCNPP assay: y = 2.562x + 0.094 U/L (n = 67, r = 0.9970)

indicating that albumin is acting as a catalyst and not as a transphosphorylation acceptor. The rate of albumin-catalyzed DCNPP hydrolysis is independent of pH up to at least

267

667°

267

267°

2679

267°

119.4

174.2

145.1

160.4

85.0

92.4

97.7

91.6

24.4

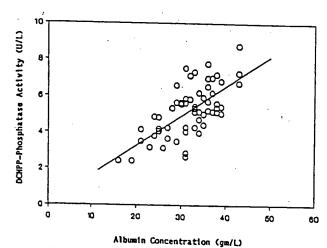


Fig. 6. DCNPP-based phosphatase activity of heat-denatured serum The residual DCNPP-based phosphatase activity of serum samples incubated at 60 °C for 30 min vs serum albumin concentration of the heat-treated sample: y = 1.636x + 0.003 U/L (n = 59, r = 0.6816)

#### Discussion

Many laboratory instruments are designed to measure analytes in a multipoint-rate mode, so the use of DCNPP offers a distinct technical advantage over many other substrates currently used for acid phosphatase (2-4). The hydrolysis product DCNP is nearly fully ionized at the pH optimum of acid phosphatase (1), yielding high absorbance at a convenient wavelength. The absence of lag phase and the stability of the colored product are unique qualities of the new assay that are not shared with the kinetic method of Hillmann (6) and its modifications. While the substrate can be obtained in what appears to be a consistent quality, as judged by the analysis of two lots from Toyobo, it is not a simple, pure material. Hydrolytic conversion studies indicate that the exact atomic formula of the material needs to be established. Although complete hydrolysis of DCNPP yields equal amounts of DCNP and phosphate, the product yield is about 28% less than that calculated, assuming the DCNPP is an anhydrous free acid. The thin-layer chromatographic and proton magnetic resonance data suggest that this discrepancy can be accounted for by one or more unidentified organic components. However, we found no evidence that the presence of these unidentified materials adversely affects the utility of the substrate for the assay.

Extra care must be taken in both the preparation and storage of DCNPP solutions, because its phosphate ester is unstable at pHs >3.5. The dry substrate should be desiccated and stored at 4 °C, and substrate stock solutions should be made up in 10 mmol/L acetic acid to ensure a low pH. Kirby and Varvoglis (20) found that the rate of nonenzymatic hydrolysis of the phosphate esters of many phenols increases with decreasing  $pK_a$  of the phenol. They identified two distinct types of pH-rate profile for nonenzymatic hydrolysis of phosphate esters. If the  $pK_a$  of the leaving-group alcohol exceeds 5.5 (as is the case for, e.g., 4NPP), the rate of hydrolysis is maximal when the phosphate is in the monoanion

form (pH 4.0). Alternatively, the phosphate esters of phenols with p $K_{\rm a}'<5.5$  (e.g., DCNPP) exhibit maximal hydrolysis when the phosphate is in the dianion

form (pH >5.0). Thus, aqueous solutions of DCNPP must be stored at a low pH and added to the reaction mixture at the start of the assay. This presents little problem in a two-reagent assay system as currently supplied by Toyobo (1), but it may complicate the use of DCNPP in single-reagent systems.

The ability of alcohols, particularly ethanol, to accelerate

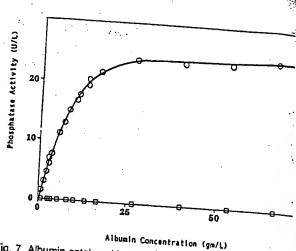


Fig. 7. Albumin-catalyzed hydrolysis of DCNPP and 4NPP The 4NPP- (□) and DCNPP-based (O) phosphatase activity of human se albumin plotted as a function of albumin concentration in the final reac mixture. The range of sample albumin concentrations plotted on the absorption of (0–60 g/L) corresponds to the range of final reaction concentration of 0–4 g/L in the above figure

the activity of PAP was first noted by Appleyard in 194 (21). 1-Pentanol was later shown to markedly increase th 4NPP-phosphatase activity of prostate extracts (11, 22 Poindexter et al. (8) showed that the more soluble 1, pentanediol was an even more effective accelerator of PA activity. We have undertaken a thorough analysis of th effects of a series of alcohols on the hydrolysis of DCNPP b PAP and EAP. All aliphatic alcohols with one or mor terminal hydroxy groups accelerate the PAP activity t some extent. 1,5-Pentanediol at a final reaction concentra tion of 267 mmol/L is the most effective accelerator of PAl activity and nearly doubles the sensitivity of the assay Most of the aliphatic terminal alcohols also increase the rate of hydrolysis of DCNPP by EAP. However, the two 1,4-diols we tested inhibited the EAP activity. It should be noted that an equimolar mixture of 1,5-pentanediol and 1,4-butanediol in citrate buffer enhances PAP activity to the same or greater extent than 1,5-pentanediol alone, but markedly inhibits EAP activity. If 1,4-butanediol has an inhibitory effect on other acid phosphatase isoenzymes and the albumin-associated activity can be inhibited, a specific assay to measure PAP in a single reaction might be

The heat-stable DCNPP-phosphatase activity in patients' samples, which seems to have been overlooked in a previous report (1), will limit the utility of this new substrate for the measurement of TAP. This activity varies with serum albumin concentration, and it adds a variable positive bias to the TAP values. To the best of our knowledge, similar albumin-associated phosphatase activity has not been reported for any of the other substrates used for the assay of acid or alkaline phosphatase. However, albumin reportedly is associated with the enzymatic hydrolysis of aromatic esters of aliphatic acids (23-26). This arylesterase activity is resistant to physostigmine, a cholinesterase inhibitor, and has been demonstrated in albumin preparations produced by various methods (25, 26). The activity is also heat resistant (26) but may differ from the DCNPPphosphatase activity in that it exhibits a pH optimum between 7.9 and 10 (25, 26).

The positive bias produced by albumin is relatively large as compared with the normal reference interval for TAP (27), and we found no simple means of blank correction. Blank correction by heat denaturation at 60 °C is impractical, and acidified serum samples tend to gel at this temperature.

Despite the shortcomings of the TAP assay, DCNPP is an excellent substrate for the measurement of PAP as the tartrate-sensitive component of DCNPP-phosphatase activity (PAP = TAP - TIAP). This procedure provides the same insensitivity to nonprostatic acid phosphatase isoenzymes, including the EAP isoenzymes and albumin, as the 4NPP-based assay does. The inclusion of the transphosphorylation agent, 1,5-pentanediol, in the DCNPP assay specifically activates the PAP relative to EAP and doubles the sensitivity of the PAP assay. The simplicity, high sensitivity, and precision of this new assay suggest that it may well become the PAP assay of choice in many laboratories. We believe that it may be possible to develop a candidate Reference Method for PAP, with DCNPP as substrate. A Reference Method might include 1,5-pentanediol to increase sensitivity and 1,4-butanediol to inhibit EAP. In addition, the substrate used in a Reference Method should be fully characterized and readily available from more than one source. Fortunately, an alternative supplier (JBL Scientific, San Luis Obispo, CA 93401) has synthesized DCNPP and is currently testing various lots. However, extensive studies of the DCNPP-phosphatase activity of albumin and the other isoenzymes of acid phosphatase found in patients' sera will be required before a candidate Reference Method can be rationally proposed.

#### References

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## DAIRY PRODUCTS

# Fluorometric Determination of Alkaline Phosphatase in Fluid Dairy Products: Collaborative

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Official methods for the measurement of alkaline phosphatase (ALP) in dairy products use either phenyl phosphate or phenoiphthalein monophosphate as substrate. Quantitation of results requires butanol extraction of the indophenol (Scharer) or 3-h dialysis of the liberated phenoiphthalein (Rutgers). The Advanced Fluorophos® assay is based on a self-indicating substrate which, when acted upon by ALP, loses a phosphate radical and becomes a highly fluorescent compound. The rate of fluorophore formation is monitored for 3 min in a fluorometer and the enzyme activity in mU/L is calculated. Eight laboratories participated in a collaborative study to evaluate the Fluorophos assay for determining ALP activity in whole milk, skim milk, chocolate milk, and cream (half and half). The comparative method was the AOAC quantitative phenyl phosphate method, 16.121-16.122 (14th Ed.). Mixed herd raw milk was added to pasteurized samples at 0.05, 0.1, and 0.2% (v/v). Method performance at 0.1% (v/v) added raw milk as measured by repeatability and reproducibility standard deviations (s, and  $s_{\rm R}$ ) and relative standard deviations (RSD<sub>r</sub> and RSD<sub>R</sub>), respectively, were: whole milk,  $s_r = 21.7 \,\%$ ,  $s_R = 34.6 \,\%$ , RSD,  $= 4.4 \,\%$ , RSD,  $= 7.0 \,\%$ ; skim milk,  $s_r = 19.2\%$ ,  $s_R = 31.4\%$ , RSD, = 3.8%, RSD, 6.2%; chocolate milk,  $s_r = 27.6\%$ ,  $s_R = 45.8\%$ , RSD, = 5.3 %,  $\ensuremath{\mathsf{RSD_R}} = 8.8\,\%$ . The method has been adopted official first action by AOAC for determination of alkaline phosphatase in whole milk, skim milk, and chocolate milk.

The measurement of alkaline phosphatase (ALP, EC 3.1.3.1) has been used to assess the completeness of pasteurization in dairy products for over 50 years (1). Current AOAC methods for ALP use either phenyl phosphate (16.121-16.122, 14th Ed.; 979.13, 15th Ed.) or phenolphthalein monophosphate (16.116-16.120, 14th Ed.; 972.17, 15th Ed.) as the colorimetric substrate (2). Quantitative results with these substrates require isolation of the enzymatically formed product from interfering turbidity prior to spectrophotometric readings. Modifications of the phenyl phosphate method use either butanol extraction of the blue indophenol (16.121) or precipitation of proteins and lipids with zinc and barium salts (16.112-16.114, 14th Ed.; 946.01, 15th Ed.). Quantitation in the phenolphthalein monophosphate method

requires a 3-h dialysis of the liberated red phenolphthalein into water (16.116, 14th Ed.; 972.17, 15th Ed.). Despite their complexity and long incubation times, current ALP methods have served the dairy industry well and have aided in monitoring milk quality throughout the world for many years.

The purpose of the present study was to collaboratively examine a new fluorometric assay for ALP in dairy products (3). The method is based on a fluorometric substrate called Fluorophos®, which, when acted upon by ALP, is converted to a highly fluorescent product. This fluorometric quantitative assay is the first dairy product ALP test that permits continuous and direct measurement of the released reaction product from a self-indicating substrate. The assay requires only 1 working reagent and is complete in 3 min. Use of this fluorometric substrate eliminates the interferences and nonspecificity encountered in colorimetric assays and avoids the need for dialysis, butanol extraction, or protein precipitation. Fluorometric assays in general are not affected by turbidity and, therefore, permit direct analysis of product formation during an enzyme reaction.

#### Collaborative Study

Eight collaborators, all familiar with the examination of dairy products for ALP activity, agreed to collaborate on this project. Among the collaborators were university, state regulatory, and private industry laboratories. The study of fluid milk ALP was conducted in 3 phases. In phase 1, each laboratory received reagents and a dedicated bench-top fluorometer along with on-site instructions in their use. In phase 2, the collaborators assayed 6 practice pool samples containing known and unknown (to the collaborator) amounts of mixed herd raw milk. No laboratory was allowed to proceed until ability to perform the new method was demonstrated in phase 2.

Phase 3 was the main study. Each laboratory received 4 levels of 4 dairy products containing added mixed herd raw milk as blind duplicates and was asked to assay each vial in duplicate for a total of 4 assays per level. Collaborators assayed all samples by both the fluorometric method and the AOAC method, 16.121-16.122.

### Phase 1—Reagents and Supplies

All necessary reagents and supplies for the AOAC method were supplied to each collaborator. Phenol-free phenyl phosphate, neutralized butanol, carbonate buffer, color developer reagent, and phenol standards were prepared from single lots of stock in the Associate Referee's laboratory.

## Phase 2—Samples and Data

Six pool samples were prepared as practice samples at 0 and approximately 0.05, 0.1, and 0.5% (v/v)

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The report has been evaluated by the General Referee and the Committee Statistician and reviewed by the Committee on Foods I. The method was approved interim official first action by the Chairman of the Official Methods Board and was adopted official first action at the 104th AOAC Annual International Meeting, September 9-13, 1990, at New Orleans, LA. Association actions will be published in "Changes in Official Methods of Analysis"

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raw milk in commercial, homogenized, pasteurized, whole milk. Two mL aliquots of the prepared pools were transferred to plastic screw-cap tubes, frozen at -10°C, and shipped to the collaborators on dry ice by next day air courier. Samples 1 and 2 were blind duplicates of whole, pasteurized whole milk to which no raw milk was added. Samples 4 and 5 were also blind duplicates, and each contained approximately 0.1% (v/v) fresh mixed herd raw milk. Samples 3 and 6 contained 0.05 and 0.5% raw milk, respectively. Collaborators were requested to run the samples in duplicate and to report their results. All practice samples were assayed in the Associate Referee's laboratory by the proposed fluorometric method prior to shipment.

Overall means (mU/L) and standard deviations for the collaborating laboratories for phase 2 samples were as follows (data for the Associate Referee's assays in parentheses): samples 1 and 2, 25.4  $\pm$  5.3 and 28.8  $\pm$  6.5, respectively (23.4  $\pm$  7.7); samples 4 and 5, 585.3  $\pm$  28.5 and 567.0  $\pm$  74.6, respectively (563.7  $\pm$  11.9); sample 3, 306.1  $\pm$  20.3 (290.3  $\pm$  5.9); and sample 6, 2758.5  $\pm$  128.6 (2793.7  $\pm$  11.8). Recoveries by the collaborators for the 6 samples ranged from 98.7 to 123.0%.

#### Phase 3 Samples

Samples for the main phase of the study were prepared in a similar manner to those for phase 2. Fresh mixed herd raw milk was added to commercial, pasteurized, homogenized, whole milk; skim milk; chocolate milk (0.4% fat); and half and half cream (11% fat) at 0 and approximately 0.05, 0.1, and 0.2% (v/v) added raw milk. Each of the 8 laboratories received each level of product as blind duplicates and was asked to assay each vial in duplicate for a total of 4 assays per level by each method. Test portions were shipped frozen to the collaborators on dry ice by next day air courier. Collaborators were instructed to maintain the products at  $-10^{\circ}\text{C}$  in a freezer until analyzed. Samples were allowed to thaw at room temperature and then were mixed thoroughly before sampling.

Prior to shipment, each pool sample was assayed in the Associate Referee's laboratory by the fluorometric method and by the AOAC phenyl phosphate method, 16.121–16.122. Means (mU/L) and standard deviations of triplicate assays by the fluorometric method at the 4 prepared levels (0, 0.05, 0.1, and 0.2% added raw milk) were: whole milk,  $10.8 \pm 3.1$ ,  $226.2 \pm 1.5$ ,  $468.0 \pm 30.6$ ,  $909.4 \pm 38.5$ ; skim milk,  $12.2 \pm 2.5$ ,  $241.0 \pm 19.3$ ,  $475.0 \pm 33.1$ ,  $932.2 \pm 57.5$ ; chocolate milk,  $7.6 \pm 6.8$ ,  $264.5 \pm 31.6$ ,  $480.9 \pm 57.6$ ,  $983.4 \pm 77.5$ ; cream (half and half), 0.0,  $188.9 \pm 19.1$ ,  $377.0 \pm 32.8$ ,  $694.6 \pm 116.4$  mU/L.

## Alkaline Phosphatase Activity in Fiuld Dairy Products

#### Fluorometric Method

#### First Action

(Applicable to whole milk, skim milk, and chocolate milk)

### Method Performance:

Whole milk

 $s_r = 21.7$ ;  $s_R = 34.6$ ;  $RSD_r = 4.4\%$ ;  $RSD_R = 7.0\%$  Skim milk

 $s_r = 19.2$ ;  $s_R = 31.4$ ; RSD<sub>r</sub> = 3.8%; RSD<sub>R</sub> = 6.2% Chocolate milk

#### A. Principle

Alkaline phosphatase (ALP) activity in fluid dairy products is measured by continuous fluorometric direct kinetic assay. A nonfluorescent aromatic monophosphoric ester substrate undergoes hydrolysis of its phosphate radical and is converted to a highly fluorescent product. ALP activity is measured in mU/L at 38° during 3-min read time. One Unit of ALP is amount of enzyme that catalyzes transformation of 1 micromole of substrate/min/L of sample. Because of the low levels of ALP in finished dairy products, results are reported in milliUnits/L (mU/L).

#### B. Apparatus

Items (a)-(d) are available as Fluorophos® Test System (Advanced Instruments, Inc. 1000 Highland Ave, Needham Heights, MA 02194).

- (a) Fluorometer.—Filter fluorometer with thermostatted cuvet holder held at  $38 \pm 0.1^{\circ}$  and right angle optics. Excitation 439 nm and emission 560 nm. Fluorescence output is monitored through analog-to-digital converter into programmable calculator with built-in thermal printer for automatic calculation and printing of results. Alternatively, results may be calculated manually as in H.
- (b) Cuvets.—Disposable, nonfluorescent glass. 12 × 75 mm, round.
- (c) Pipettors.—Fixed volume, 2.0 mL reagent dispenser and positive displacement pipettor at 0.075 mL.
- (d) Incubator block.—20-well dry bath set at 38° for pre-incubation of substrate.

#### C. Reagents

Items (a)-(d) are available as Fluorophos ALP Test Kit (Advanced Instruments Inc.).

- (a) Substrate.—Fluorophos, 36 mg, freeze-dried in 60 mL glass vials. Stable 1 year at 4°. Fluorophos substrate is water-soluble, nonfluorescent aromatic monophosphoric ester, which is stable 1 year when freeze-dried and stored in glass vials. Exercise normal precautions for handling laboratory reagents.
- (b) Substrate diluent.—Diethanolamine (DEA) buffer, pH 10.0, 2.4M. Stable 1 year at 4°.
- (c) Working substrate.—Add 1 vial substrate diluent, (b), to 1 vial (36 mg) substrate, (a). Mix well by inversion. Stable 4 weeks at 4° and 8 h at 38°. Sufficient for 30 tests.
- (d) Working calibrators.—Fluoroyellow® (FY) in DEA buffer. Calibrator A, 0  $\mu$ M/L of FY; calibrator B, 17.24 ×  $10^{-3}$   $\mu$ M/L of FY; calibrator C, 34.48 ×  $10^{-3}$   $\mu$ M/L of dephosphorylated aromatic fluorescent product of the enzymatic reaction. Stable 1 year at 4°.

#### D. Sample Preparation

Use positive displacement pipet to take 0.075 mL aliquot from well-mixed portion of fluid dairy product.

#### E. Calibration

Each type of dairy product being tested requires its own calibration curve. Calibration curves are stable and need only be run when lot of reagents changes. Calibrators and substrate reagent lots are matched and should not be interchanged.

Dispense 2.0 mL of calibrators A, B, and C, each in duplicate, into labeled 12 × 75 mm cuvets. Place countries in the calibrators A, B, and C, each in duplicate, into labeled 12 × 75 mm cuvets.

Table 1. Collaborative results for fluorometric determination of ALP activity (mU/L) in whole milk

Table 2. Collaborative results for fluorometric determination of ALP activity (mU/L) in skim

|       |        |       |        |                    |       | determination of ALP activity (mU/L) in skim |       |                |        |  |  |  |
|-------|--------|-------|--------|--------------------|-------|--|-------|----------------|--------|--|--|--|
|       | -      |       | .eveiª |                    |       |  |       | evela          |        |  |  |  |
| Coll. | 0      | 0.05% | 0.1%   | 0.2%               | Coll. | 0  | 0.05% | 0.1%           | 0.2%   |  |  |  |
| 10    | 6.1    | 270.9 | 449.5  | 726.7              | 10    | 12.6   | 070.0 |                |        |  |  |  |
| •     | 6.1    | 246.3 | 400.3  | 628.2              |       | 12.6   | 272.9 | 545.9          | 1079.2 |  |  |  |
|       | 18.4   | 221.7 | 461.9  | <sup>,</sup> 843.7 |       | 6.3  | 279.3 | 501.5          | 1066.5 |  |  |  |
|       | 12.3   | 190.9 | 418.8  | 852.2              |       | 6.3  | 304.7 | 526.9          | 1022.1 |  |  |  |
| 20    | 15.4   | 077.0 |        |                    | •     | 0.5  | 260.2 | 520.5          | 1053.8 |  |  |  |
| 20    | 15.4   | 277.9 | 509.5  | 1019.1             | 20    | 6.8  | 268.5 | 537.0          | 1052.4 |  |  |  |
|       |        | 277.9 | 501.8  | 1011.4             |       | 13.7   | 282.2 | 523.2          | 1053.4 |  |  |  |
|       | 15.4   | 254.7 | 486.4  | 980.5              |       | 20.6   | 254.7 | 502.6          | 1067.1 |  |  |  |
|       | 15.4   | 270.2 | 501.8  | 1065.5             |       | 6.8  | 261.6 | 550.8          | 984.5  |  |  |  |
| 30    | 13.6   | 246.4 | 499.7  | 972.5              | 30    |  | •     |                | 984.5  |  |  |  |
|       | 6.8    | 253.3 | 499.7  | 958.4              | 30    | 5.9  | 257.9 | 497.9          | 947.9  |  |  |  |
|       | 13.6   | 253.3 | 472.3  | 944.7              |       | 5.9  | 251.9 | 491.9          | 947.9  |  |  |  |
|       | 6.8    | 253.3 | 458.7  | 1040.6             |       | 11.9   | 287.9 | 497.9          | 1043.8 |  |  |  |
|       | •      |       |        | 1040.0             |       | 5.9  | 275.9 | 503.9          | 1055.8 |  |  |  |
| 40    | 13.5   | 270.8 | 528.1  | 995.4              | 40    | 17.9   | 250.7 | 519.4          | 44     |  |  |  |
|       | 13.5   | 291.1 | 541.7  | 1036.0             |       | 17.9   | 268.6 | 519.4          | 1050.8 |  |  |  |
|       | 13.5   | 277.6 | 534.9  | 1029.2             |       | 11.9   | 274.6 | 537.3          | 1026.9 |  |  |  |
|       | 13.5   | 284.4 | 507.8  | 1049.5             |       | 11.9   | 280.6 | 537.3<br>549.2 | 1074.7 |  |  |  |
| 50    | 15.9   | 263.2 | 486.6  | 064.0              |       |  | 200.0 | 343.2          | 1086.6 |  |  |  |
|       | 11.9   | 275.2 | 510.5  | 961.3              | 50    | 10.7   | 268.2 | 518.6          | 933.6  |  |  |  |
|       | 7.9    | 243.3 | 494.6  | 985.2              | L.    | 10.7   | 253.9 | 490.0          | 962.2  |  |  |  |
|       | 3.9    | 267.2 |        | 981.2              |       | 14.3   | 253.9 | 486.4          | 919.2  |  |  |  |
|       |        | 207.2 | 526.5  | 1005.1             |       | 14.3   | 250.3 | 490.0          | 958.6  |  |  |  |
| 60    | 6.0    | 213.1 | 523.6  | 956.0              | 60    | 15.7   | 257.0 |                |        |  |  |  |
|       | . 18.2 | 207.0 | 554.1  | 901.2              |       | 15.0   |       | 487.8          | 949.4  |  |  |  |
|       | 11.9   | 280.5 | 501.4  | 973.0              |       | 20.5   | 251.7 | 445.8          | 933.7  |  |  |  |
|       | 11.9   | 262.6 | 501.4  | 883.4              |       | 15.4   | 210.5 | 446.8          | 883.4  |  |  |  |
| 80    | 10.5   | 252.9 | 100.0  |                    |       | 19.4   | 195.1 | 421.1          | 816.6  |  |  |  |
|       | 5.2    |       | 490.0  | 980.0              | - 80  | 14.4   | 260.7 | 531.1          | 1013.9 |  |  |  |
|       | 15.8   | 252.9 | 511.0  | 964.2              |       | 14.4   | 270.3 | 492.4          | 970.5  |  |  |  |
|       |        | 252.9 | 490.0  | 1001.0             |       | 9.6  | 255.9 | 521.4          | 960.8  |  |  |  |
|       | 15.8   | 247.6 | 479.4  | 964.2              |       | 14.4   | 280.0 | 492.4          | 956.6  |  |  |  |
| 90    | 6.2    | 243.8 | 487.6  | 912.8              | 90    | 11.4   |       |                |        |  |  |  |
| •     | 6.2    | 250.0 | 475.1  | 881.5              |       | 11.4   | 268.6 | 543.0          | 1017.4 |  |  |  |
|       | 14.4   | 267.0 | 476.3  | 1104.1             |       | 17.1   | 274.3 | 548.7          | 1040.2 |  |  |  |
|       | 14.4   | 281.4 | 548.4  | 1118.6             |       | 5.0<br>5.6                                   | 264.0 | 505.5          | 971.8  |  |  |  |
| Mean  |        |       |        |                    |       | 5.6  | 247.1 | 505.5          | 994.3  |  |  |  |
| wean  | 11.7   | 256.2 | 494.6  | 960.2              | Mean  | 11.9   | 262.3 | 507.6          | 994.6  |  |  |  |

<sup>\*</sup> Amount of added mixed herd raw milk.

0.075 mL (75  $\mu$ L) sample of well mixed dairy product. Dairy product need not be prewarmed.

Gently invert all cuvets to mix contents and return cuvets to incubator block. Starting with calibrator A, perform following calibration routine. Set fluorometer to zero fluorescence with calibrator A and then read and record amount of fluorescence obtained with calibrators B and C against calibrator A (0  $\mu$ M/L). Wipe outside of cuvet with tissue paper before placing in fluorometer.

When calibration is completed, proceed with analysis of samples.

#### F. Determination

Bring 2.0 mL working substrate in labeled  $12 \times 75$  mm cuvet to 38° by placing cuvet in dry bath incubator block.

Add 0.075 mL (75  $\mu$ L) well mixed sample to substrate. Immediately mix by gentle inversion, wipe outside of cuvet with tissue paper, and place cuvet in fluorometer. Wait 1 min for temperature equilibration, then record rate of increase in fluorescence (F/min) over next 2 min. Record F/min for each sample and use this value to calcul

#### G. Controls

- (a) Negative control.—Include a negative control with each batch of samples. Heat 5 mL dairy product to 95° for 1 min, followed by rapid cooling.
- (b) Positive control.—Include a positive control at or close to decision level with each batch of samples. Add 0.2 mL fresh, mixed herd, raw milk to 100 mL sample that has been heated to 95° for 1 min.
- (c) Interfering substance control.—Perform interfering substance control test on all dairy products being tested, including flavored milk. When 0.075 mL dairy product is added to 2.0 mL zero calibrator (instead of working substrate) and this sample is run as a test, no ALP activity should be observed during the 2-min measurement period.
- (d) Microbial ALP control.—If test for ALP is positive, heat sample for 30 min at 62.8°, and retest for ALP. Any residual activity is caused by microbial ALP.

#### H. Calculations

Amount of added mixed herd raw milk.

Table 3. Collaborative results for fluorometric determination of ALP activity (mU/L) in chocolate milk

determination of ALP activity (mU/L) in cream (half and half) Level\* Coll. 0 0.05% 0.1% 0.2% Coll. 0.05% 0.1% 0.2% 10 21.8 276.2 566.9 1112.1 21.8 276.2 530.6 10 6.4 1053.9 134.9 314.8 571.9 21.8 276.2 1090.3 545.1 1.2 128.5 327.7 539.7 29.0 254.4 596.8 1024.9 6.4 160.6 340.5 610.4 6.4 147.7 340.5 616.8 267.0 20 10.0 523.8 1027.2 10.2 267.0 513.6 1027.2 20 9.0 101.8 305.4 444.2 10.2 308.1 554.6 1119.6 9.0 120.3 323.9 509.0 10.2 277.3 554.6 1098.2 18.5 157.3 397.9 536.7 27.7 138.8 444.2 573.8 30 8.4 254.3 508.6 1000.3 30 8.4 254.3 483.2 991.8 7.0 179.6 337.8 558.1 8.4 271.2 525.6 991.8 7.3 154.2 337.8 521.4 8.0 254.3 534.0 1068.1 14.6 198.2 403.9 712.3 14.6 212.9 352.5 903.3 40 8.8 284.2 639.5 1137.0 8.8 293.1 639.5 1145.9 40 7.2 137.1 310.3 505.1 26.6 284.2 550.7 1092.6 7.2 137.1 281.4 541.2 17.7 293.1 532.9 1092.6 14.4 129.9 281.4 497.9 7.2 115.4 360.8 519.6 50 271.5 4.8 460.7 969.9 4.0 247.3 460.7 960.2 50 4.9 132.5 319.0 520.2 4.8 252.1 480.1 940.8 4.0 152.1 289.5 500.6 4.0 242.4 9.8 494.6 955.4 171.7 279.7 677.2 4.9 157.0 274.8 652.7 60 0.0 249.7 499.4 1013.5 0.0 235.0 514.0 998.8 60 6.3 195.6 359.7 845.7 7.0 6.3 276.3 538.5 1006.2 201.9 429.1 858.3 7.0 262.2 510.2 6.5 942.5 209.6 412.7 917.2 6.5 235.8 465.1 818.9 80 19.5 247.5 495.1 977.3 6.0 273.6 521.2 970.3 80 0.0 145.2 262.5 497.0 6.5 247.5 469.1 983.8 0.0 167.5 268.0 474.7 6.5 241.0 495.1 944.7 11.1 150.8 279.2 541.7 5.5 145.2 284.8 558.5 90 7.4 251.8 488.8 970.2 90 7.0 237.0 466.6 985.0 7.0 118.9 267.6 639.3 0.0 247.1 486.8 973.7 7.4 170.9 267.6 602.1 0.0 239.6 501.8 6.0 981.2 129.6 265.8 635.4 6.4 155.6 278.8 629.0 Mean 1020.2 262.8 521.3

brators B and C read against calibrator A set to zero fluorescence on fluorometer.

Record increase in fluorescence of sample as  $\Delta F/\min$ .

To calculate ALP enzyme activity, mU/L, calculate µmoles FY formed per minute by 0.075 mL sample by using fluorescence reading of calibrator B, which contains 3.448 X  $10^{-5} \, \mu M \, FY.$ 

μM FY/min/0.075 mL

=  $[(\Delta F/min/0.075 \text{ mL sample})/F \text{ of calibrator B}]$ 

 $\times (3.448 \times 10^{-5})$ 

To calculate μmoles FY formed by 1 L sample, multiply result obtained above by 13333.3, and then multiply that value by 1000 to convert to mU/L. In summary,

ALP activity, mU/L

=  $[(\Delta F/min/0.075 \text{ mL sample})/F \text{ of calibrator B}] \times 459.7$ Ref.: JAOAC 73, November/December issue (1990).

8.0 Amount of added mixed herd raw milk.

study for the pool milk samples by the fluorometric procedure; Table 6 gives the collaborative results for the AOAC method.

156.0

327,0

610.3

Table 4. Collaborative results for fluorometric

Table 7 lists the means and statistical summary of the results obtained in the collaborating laboratories on the 16 different pools of milk. The major focus of the study was to examine the reproducibility of the fluorometric method, especially at the 0.1% (v/v) or 1.0  $\mu$ g phenol/mL ALP activity level. Reproducibility among laboratories (relative standard deviation, RSD<sub>R</sub>) for the whole milk, skim milk, and chocolate milk samples at this level ranged between 7.0 and 8.8%, which is acceptable. The greater variation (18.1%) for cream samples at this level may be attributable to the difficulties encountered with the cream pool, which contained 11% fat content. The thawed samples did not become adequately resuspended into a homogenous sample. ALP is known to adhere to fat globules, and this may account for the lower recovery in the cream samples.

A total of 8 pairs of test results for the AOAC ALP method

Amount of added mixed herd raw milk.



Summary of collaborative results for fluorometric determination of ALP activity (mU/L) in fluid dairy products Table 5.

| Louis O/h                                 | Material                        | . —                              |                                  | ·                               | Laborat                          | ory mean                        |                                 | L) in fluid da                  |                                |
|---|---------------------------------|----------------------------------|----------------------------------|---------------------------------|----------------------------------|---------------------------------|---------------------------------|---------------------------------|--------------------------------|
| Level, %                                  | mean                            | 10                               | 20                               | 30                              | 40                               | 50                              | 60                              |                                 |                                |
|   |                                 |                                  |                                  | Whole                           | milk                             |                                 |                                 | 80                              | 90                             |
| 0<br>0.05<br>0.1<br>0.2                   | 11.7<br>256.2<br>494.6<br>960.2 | 10.7<br>232.4<br>432.6<br>762.7  | 15.4<br>251.3<br>499.8<br>1019.1 | 10.2<br>251.5<br>482.6<br>979.0 | 13.5<br>266.2<br>528.1<br>1027.5 | 9.9<br>262.2<br>504.5<br>983.2  | 12.0<br>240.8<br>520.1<br>928.4 | 11.8<br>251.5<br>492.6<br>977.3 | 10.<br>260.<br>496.<br>1004.   |
|   |                                 |                                  |                                  | Skim n                          | niik                             |                                 |                                 |                                 | 1004.                          |
| 0<br>0.05<br>0.1<br>0.2                   | 11.9<br>262.3<br>507.6<br>994.6 | 9.4<br>279.2<br>523.7<br>1055.4  | 11.9<br>266.7<br>528.4<br>1022.3 | 7.4<br>268.4<br>497.9<br>998.8  | 14.9<br>268.6<br>529.8<br>1059.7 | 12.5<br>256.5<br>496.2<br>943.4 | 16.6<br>228.5<br>450.3<br>895.7 | 13.2<br>266.7<br>509.3          | 9.7<br>263.5<br>525.6          |
|   |                                 |                                  |                                  | Chocolate                       | milk                             |                                 | - 500.1                         | 975.4                           | 1005.9                         |
| 0<br>0.05<br>0.1<br>0.2                   | 9.8<br>262.8<br>521.3<br>1020.2 | 23.6<br>270.7<br>411.6<br>1070.3 | 10.1<br>279.8<br>536.6<br>1068.0 | 8.3<br>258.5<br>512.8<br>1013.0 | 15.4<br>288.6<br>590.6<br>1117.0 | 4.4<br>253.3<br>474.0<br>956.5  | 3.5<br>255.8<br>515.5<br>990.2  | 9.6<br>252.4<br>495.1<br>969.1  | 3.6<br>243.8<br>486.0          |
| <del></del>                               |                                 |                                  |                                  | Cream (half ar                  | nd half)                         |                                 |                                 | 309.1                           | 977.5                          |
| 0<br>0.05<br>0.1<br>0.2<br>aborator assay | 8.0<br>156.0<br>327.0<br>610.3  | 5.1<br>142.9<br>330.8<br>584.7   | 16.0<br>129.5<br>367.8           | 10.8<br>186.2<br>358.0          | 9.0<br>129.8<br>308.4<br>515.9   | 5.9<br>153.3<br>290.7<br>587.6  | 6.4<br>210.7<br>416.6<br>860.0  | 4.1<br>152.1<br>273.6<br>517.9  | 6.7<br>143.7<br>269.9<br>626.4 |

Table 6. Collaborative results for determination of alkaline phosphatase as  $\mu$ g phenol/mL in fluid dairy products by AOAC

|             |        |      |             |      | 6.121-16.1 |       |      | Tiuld dairy p | ,        |
|-------------|--------|------|-------------|------|------------|-------|------|---------------|----------|
| Level, % ª  | 10     |      |             |      | oratory    |       |      | *             |          |
| , 70        | 10     | 20   | 30          | 40   | 50         | 60    | 80   | 90            | Material |
| <del></del> |        |      |             | Who  | ole milk   |       |      |               | mean     |
| 0           | 0.00   | 0.00 | 0.03        | 0.00 | 0.30       |       |      |               |          |
| - 4         | 0.15   | 0.00 | 0.00        | 0.00 |            | 0.00  | 0.20 | 0.10          |          |
|             | 1.60   | 0.00 | 0.00        | 0.00 | 0.30       | 0.00  | 0.25 | 0.06          |          |
|             | 1.086  | 0.36 | 0.03        | 0.00 | 0.50       | 0.00  | 0.20 | 0.00          |          |
| 0.05        | 0.00   |      |             | 0.00 | 0.50       | 0.00  | 0.25 | 0.00          | 6.44     |
|             |        | 1.60 | 0.74        | 0.55 | 0.70       | 0.56  |      | 0.00          | 0.11     |
| -2 -        | 2.10   | 1.46 | 0.72        | 0.70 | 0.60       |       | 1.20 | 0.76          | •        |
|             | 2.005  | 1.20 | 0.65        | 0.75 | 0.80       | 0.00  | 1.00 | 0.86          |          |
|             | 3.006  | 1.00 | 0.71        | 0.90 | 0.80       | 0.80  | 1.00 | 0.52          |          |
| 0.1         | 1.72   | 2.10 |             |      | 0.80       | 0.26  | 0.90 | 0.35          | 0.83     |
|             | 1.00   |      | 1.19        | 1.25 | NDª        | 0.86  | 1.00 |               | 0.00     |
|             | 0.35*  | 2.00 | 1.15        | 1.40 | 1.30       | 0.00* | 1.90 | 1.20          |          |
|             | 0.50   | 2.46 | 1.45        | 1.60 | 1.30       | 1.36  | 1.90 | 1.24          |          |
| 2 .         | 0.50   | 1.90 | 1.46        | 1.72 | ND         | 0.52  | 1.50 | 1.28          |          |
| 0.2         | . 3.00 | 5.00 | 2.38        |      |            | 0.52  | 1.55 | 1.28          | 1.46     |
|             | 3.30   | 5.20 |             | 2.50 | 1.30       | 0.006 | 3.50 | 2.50          |          |
|             | 2.406  | 2.90 | 2.38        | 2.85 | 1.00       | ND    | 3.50 | 2.50          |          |
|             | 3.70   | 2.80 | 2.64        | 3.20 | 2.20       | 1.32  | 3.10 |               |          |
|             |        | 2.00 | 2.64        | 3.30 | 2.20       | 1.48  | 2.80 | 2.16<br>2.00  |          |
|             |        |      | <del></del> | Skim | milk       |       |      | 2.00          | 2.70     |
| 0           | 1.08   | 0.00 | 0.00        |      |            |       |      |               | _        |
|             | 0.00   | 0.00 | 0.00        | 0.14 | 0.80       | 0.00  | 0.05 | 0.00          |          |
|             | 2.00   | 0.00 |             | 0.00 | 0.00       | 0.00  | 0.05 | 0.00          |          |
|             | 1.00   | 0.00 | 0.00        | 0.00 | 0.00       | 0.00  | 0.02 | 0.00          |          |
| 0.05        |        | 0.00 | 0.00        | 0.00 | 0.00       | 0.00  | 0.05 |               |          |
| 0.05        | 0.67   | 1.30 | 0.47        | 0.35 | 0.00       |       |      | 0.00          | 0.07     |
|             | 0.92   | 1.34 | 0.36        |      | 0.80       | 0.00  | 0.70 | 0.52          |          |
|             | 1.016  | 0.60 | 0.53        | 0.44 | 0.70       | 0.60  | 0.90 | 0.52          |          |
|             | 1.015  | 0.56 | 0.51        | 0.50 | 0.60       | 0.01  | 0.80 | 0.46          |          |
|             |        |      | 3.3 (       | 0.65 | 0.60       | 0.30  | 0.70 | 0.40          |          |

Table 6. Continued

|                |                   |      |      | Table 6.     |             | 1      |      |             | -        |
|----------------|-------------------|------|------|--------------|-------------|--------|------|-------------|----------|
| t 6/ -         |                   |      |      | . Lai        | boratory    |        |      |             |          |
| Level, % * 0.1 | 10                | 20   | 30   | 40           | 50          | 60     | 80   | 90          | Materia  |
| 0. j           | 1.18              | 2.16 | 0.82 | 0.75         | 0.60        | 0.58   |      |             | mean     |
|                | 0.82<br>0.00°     | 1.88 | 0.84 | 1.00         | 0.40        | 0.00   | 1.40 | 1.28        |          |
|                |                   | 1.44 | 1.04 | 1.15         | 1.00        | 0.18   | 1.40 | 0.98        |          |
|                | 0.10              | 1.86 | 1.01 | 1.30         | 1.00        | 0.04   | 1.30 | 0.94        |          |
| 0.2            | 1.74              | 4.56 | 4.75 |              |             | 0.04   | 1.20 | 1.00        | 1.02     |
|                | 1.74              | 4.00 | 1.75 | 1.90         | 2.20        | 1.70   | 2.60 | 2.00        |          |
|                | 0.70              | 2.62 | 1.75 | 2.27         | 2.20        | 1.00   | 2.45 |             |          |
|                | 1.50              | 3.10 | 1.89 | 2.60         | 1.60        | 0.52   | 2.30 | 2.08        |          |
| <del></del>    |                   | 3.10 | 1.84 | 2.90         | 1.60        | 0.56   | 2.35 | ND<br>ND    |          |
|                |                   |      |      | Choco        | late milk   |        |      | 140         | 2.14     |
| 0 '            | 0.00              | 0.00 | 0.00 | 0.00         |             |        |      |             | <u> </u> |
|                | 0.00              | 0.00 | 0.00 | 0.10         | 0.00        | 0.00   | 0.20 | 0.02        |          |
|                | 0.00              | 0.36 | 0.10 | 0.00         | 0.00        | 0.00   | 0.25 | 0.10        |          |
|                | 0.00              | 0.00 | 0.20 |              | 0.00        | 0.00   | 0.30 | 0.10        |          |
| 0.05           |                   |      |      | 0.10         | 0.00        | 0.00   | 0.30 | 0.00        | 0.07     |
| 0.03           | 0.20              | 0.60 | 0.17 | 0.44         | 0.00        | 0.10   |      | •           | 0.07     |
|                | 0.86              | 0.40 | 0.21 | 0.54         | 0.00        | 0.10   | 0.60 | 0.40        |          |
|                | 0.005             | 0.50 | 0.30 | 0.72         | 0.40        | 0.28   | 0.70 | 0.40        |          |
|                | 0.90              | 0.60 | 0.37 | 0.72         | 0.40        |        | 0.55 | 0.14        |          |
| 0.1            | 0.80              | 1.30 |      |              |             | 0.04   | 0.70 | 0.12        | 0.38     |
|                | 0.00              |      | 0.47 | 0.75         | 0.00        | 0.44   | 0.85 | 0.45        |          |
|                | 0.05 <sup>b</sup> | 1.10 | 0.24 | 1.12         | 1.10        | 0.26   | 0.95 | 0.48        |          |
|                | ND                | 1.02 | 0.62 | 1.50         | 0.40        | ٥.00 ه | 0.90 | 0.70        |          |
|                | MD                | 0.90 | 0.67 | ND           | 0.40        | 0.000  | 1.30 | 0.66        |          |
| 0.2            | 2.30              | 2.84 | 0.84 | 1.00         |             |        | 1.50 | 0.50        | 0.78     |
|                | 0.005             | 2.84 | 0.97 | 1.20         | 400.0       | 0.66   | 2.05 | 1.40        |          |
|                | 0.005             | 1.60 | 0.85 | 1.44         | 0.006       | 0.86   | 2.05 | 1.90        | •        |
|                | 0.005             | 1.00 | 0.78 | 2.16         | 1.20        | 0.34   | 1.75 | 1.24        |          |
|                |                   |      | 0.76 | ND           | 0.80        | 0.34   | 1.90 | 0.98        | 1.40     |
|                |                   |      |      | Cream (hal   | f and half) |        |      |             | 1.40     |
| 0 .            | 0.00              | 0.00 | 0.07 | 0.12         | 0.00        | 0.36   | 0.00 | <del></del> |          |
|                | 0.00              | 0.00 | 0.09 | 0.12         | 0.00        | 0.48   | 0.30 | 0.10        |          |
|                | 0.00              | 0.20 | 0.18 | 0.84         | 0.30        | 0.30   | 0.30 | 0.00        |          |
|                | 0.00              | 0.00 | 0.18 | 0.55         | 0.70        | 0.14   | 0.40 | 0.00        |          |
| 0.05           | 0.15              | 0.34 | 0.04 |              |             | 0.14   | 0.70 | 0.00        | 0.20     |
|                | 0.10              | 0.40 | 0.94 | 0.80         | 0.70        | 0.40   | 0.90 | 0.40        |          |
|                | 0.00              | 1.28 | 0.87 | 0.70         | 0.80        | 0.70   | 0.90 | 0.02        |          |
| •              | 0.046             | 1.00 | 0.95 | 1.40         | 0.50        | 0.00   | 1.00 | 0.52        | -        |
| 0.1            |                   |      | 0.89 | 0.97         | 0.50        | 0.006  | 1.50 | 0.60        | 0.75     |
| •              | 1.25              | 1.88 | 1.36 | 1.35         | 1.20        | 1.36   |      |             | 0.73     |
|                | 0.90              | 1.06 | 1.33 | 1.42         | 1.20        | 1.50   | 0.06 | 1.20        |          |
|                | 0.00              | 1.80 | 1.57 | 2.10         | 0.90        | 0.86   | 1.50 | 1.28        |          |
|                | 1.405             | 1.74 | 1.45 | 1.90         | 0.90        | 0.86   | 1.65 | 1.20        |          |
| 0.2            | 2.30              | 4.80 | 2.84 | 2.55         |             |        | 2.05 | 1.24        | 1.33     |
| •              | 0.00              | 2.16 | 2.74 | 2.55<br>2.52 | 2.00        | 2.20   | 3.05 | 1.80        |          |
|                | 0.52              | 3.20 | 2.99 | 3.56         | 2.20        | 2.40   | 2.90 | 2.00        |          |
|                | 1.120             | 4.26 | 3.08 | 3.56<br>2.95 | 1.50        | 1.90   | 3.05 | 2.00        |          |
|                | lixed herd ray    |      |      | 4.50         | 1.50        | 0.09*  | 3.00 | 3.56        | 2.68     |

Amount of added mixed herd raw milk.

<sup>b</sup> Technical outliers by laboratory not included in statistical analysis by Grubbs test. <sup>c</sup> ND = no data submitted.

Grubbs tests. The statistical outliers for Laboratory 60 appear to have been caused by the laboratory incorrectly running and reporting the wrong samples.

The mean values for the blank samples (level 1) in the 4 dairy products tested ranged from 8.0 to 11.9 mU/L with the fluorometric assay. At levels of contamination equivalent to 0.4 to 0.8  $\mu$ g phenol/mL, the fluorometric method gave a range of 156.0 to 262.8 mU/L, which indicates good discrimination at approximately half the upper limit of acceptability for fluid dairy products. At the cutoff value of approxmean value of 494.6 mU/L for the whole milk, 507.6 for the skim milk, and 521.3 for the chocolate milk. The cream samples at this level gave a mean of 327.0 mU/L. In all 4 products, response was linear for the fluorometric assay with increasing concentration of raw milk from 0.05 to 0.2% (v/v)(Figure 1).

Repeatability (within-laboratory relative standard devi-

Table 7. Statistical summary<sup>a</sup> of collaborative results for determination of alkaline phosphatase in fluid dairy products by fluorometric method and AOAC method 16.121–16.122

|   | <del></del>             | Fluorometric method, ALP activity, mU/L |                             |                      |                      |                              |                              | AOAC mei<br>ALP value, μg p  |                              |                      |                                       |
|---|-------------------------|---|-----------------------------|----------------------|----------------------|------------------------------|------------------------------|------------------------------|------------------------------|----------------------|---------------------------------------|
| Product<br>White                          | Level<br>0              | Mean                                    | S <sub>7</sub>              | RSD <sub>r.</sub> %  | SR                   | RSD <sub>R</sub> , %         | Mean                         | Sr                           | RSD <sub>r</sub> , %         |                      | 202                                   |
| milk                                      | 0.05<br>0.1<br>0.2      | 11.7<br>256.2<br>494.6<br>960.2         | 4.3<br>19.9<br>21.7<br>64.3 | 36.9<br>7.8<br>4.4   | 4.3<br>23.3<br>34.6  | 36.9<br>9.1<br>7.0           | 0.11<br>0.83<br>1.46         | 0.08<br>0.37<br>0.24         | 76.3<br>45.4                 | 0.16<br>0.41         | RSD <sub>R</sub> , %<br>146.3<br>49.7 |
| Skim milk                                 | 0                       | 11.9                                    | 3.9                         | 6.7<br>33.2          | 101.7<br>4.5         | 10.6<br>38.3                 | 2.70                         | 0.60                         | 16.7<br>23.1                 | 0.42<br>0.97         | 29.6<br>37.6                          |
|   | 0.05<br>0.1<br>0.2      | 262.3<br>507.6<br>994.6                 | 16.5<br>19.2<br>38.7        | 6.3<br>3.8<br>3.9    | 20.7<br>31.4<br>64.6 | 7.9<br>6.2<br>6.5            | 0.07<br>0.60<br>1.02         | 0.21<br>0.20<br>0.21         | 219.0<br>33.9<br>21.4        | 0.24<br>0.29<br>0.51 | 240.0<br>47.7<br>50.6                 |
| Chocolate<br>milk                         | 0<br>0.05<br>0.1<br>0.2 | 9.8<br>262.8<br>521.3<br>1020.2         | 4.4<br>12.8<br>27.6         | 45.9<br>4.9<br>5.3   | 7.8<br>18.9<br>45.8  | 80.9<br>7.2<br>8.8           | 2.14<br>0.07<br>0.38<br>0.78 | 0.45<br>0.07<br>0.17<br>0.24 | 21.7<br>77.0<br>42.9         | 0.88<br>0.10<br>0.24 | 42.0<br>109.0<br>60.0                 |
| Cream                                     | 0                       | 8.0                                     | 30.6<br>4.3                 | 3.0<br>54.7          | 64.2<br>5.4          | 6.3                          | 1.40                         | 0.46                         | 31.8<br>31.7                 | 0.33<br>0.72         | 44.2<br>49.3                          |
| (half and half)  r = repeatabeviation RSD | 0.05<br>0.1<br>0.2      | 156.0<br>327.0<br>610.3                 | 18.5<br>34.0<br>76.2        | 11.9<br>10.4<br>12.5 | 32.6<br>59.1         | 67.8<br>20.9<br>18.1<br>21.9 | 0.20<br>0.75<br>1.33<br>2.68 | 0.19<br>0.26<br>0.40<br>0.60 | 84.3<br>37.6<br>30.9<br>23.0 | 0.24<br>0.35<br>0.42 | 104.3<br>51.7<br>32.1                 |

s<sub>r</sub> = repeatability standard deviation; s<sub>R</sub> = reproducibility standard deviation; RSD<sub>r</sub> = repeatability (within-laboratory) relative standard deviation.
 b Amount of added mixed herd raw milk

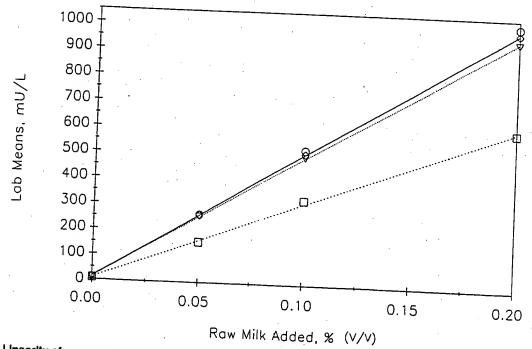


Figure 1. Linearity of response for Fluorophos alkaline phosphatase test in fluid milks:  $\nabla$  = whole milk,  $\Diamond$  = skim milk,  $\bigcirc$  = cream (half and half).

provement in repeatability compared to the AOAC method which gave a range of 16.7-31.8% for the same samples of whole milk, skim milk, and chocolate milk.

Reproducibility (among-laboratories relative standard deviation, RSD<sub>R</sub>) for the fluorometric method for the same 3 products was 6.2-8.8% compared to 29.6-50.6% for the AOAC method. The significant reduction in analytical steps from over 5 in the AOAC method to 1 in the fluorometric assay may help account for this improvement. Collaborators

commented on the difficulty of adequately resuspending the frozen cream (half and half) samples. Further work with cream will require using freshly prepared samples.

#### Recommendations

The Associate Referee recommends (1) that the fluorometric ALP method be adopted official first action as a new method for measurement of ALP in whole milk, skim milk, and chocolate milk; and (2) that further collaborative studies

be conducted to assess the suitability of this method for other dairy products including cheese, whey, and cream.

#### **Acknowledgments**

The cooperation of the following collaborators is gratefully acknowledged:

- R. L. Bradley, Jr, M. Lundberg, and J. Beyer, University of Wisconsin, Madison, WI
- D. Elliott and B. Thornhill, Florida Dept of Agriculture, Winter Haven, FL
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- R. K. Stuckey, T. Cronau, J. Siebodnik, and J. Scott, Indiana State Board of Health, Indianapolis, IN
- T. Way, C. Mayfield, and C. Strayer, Applied Microbiology Services, Inc., College Station, TX
- H. M. Wehr and B. Burwell, Oregon Dept of Agriculture, Salem, OR

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## Kjeldahl Method for Determination of Total Nitrogen Content of Milk: Collaborative Study

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Collaborating Laboratories: Cornell University and Northeast Dairy Herd Improvement Cooperative; and laboratories operated by or under contract to the following Federal Milk Markets: Chicago Regional; Eastern Ohio/Western Pennsylvania; Greater Kansas City; New England; Texas; Upper Midwest

A macro-Kjeldahl procedure using a copper catalyst for determination of milk total nitrogen was developed for both traditional and block digestor/steam distiller equipment, and the performance was evaluated by collaborative study. In the first trial of the collaborative study, 9 pairs of blind duplicate milk samples were analyzed for total nitrogen and total nitrogen was converted to "protein" by using a factor of 6.38. Protein content of milk samples ranged from 3.086 to 3.610%. In the first trial,  $s_R$  and R values for the block digestors were influenced significantly by protein concentration;  $\mathbf{s}_{\mathrm{R}}$  and R values were not influenced by protein concentration for traditional equipment. It was hypothesized that total digestion time for some block digestors in the first trial was not sufficient for high protein milk samples. Thus, a second trial was undertaken with boiling time after clearing increased by 0.5 h. In the second trial, none of the parameters for reproducibility with either type of equipment were influenced by protein concentration. It was concluded that laboratory-to-laboratory differences in line voltage may require different total digestion times in different laboratories, particularly those using block digestors. The Kjeldahl method using a copper catalyst and either traditional or block digestor equipment for determination of milk total nitrogen has been adopted official first action by AOAC to replace method 920.105.

Dairy farmers in some regions of the United States are paid on the basis of both the fat and protein contents of their milk or receive bonus payments for high milk protein content. Thus it is very impo

protein determination that accurately measures protein. Infrared milk analyzers, now in commercial use by the dairy industry, can be calibrated to predict the protein content of milk [972.16, 15th Ed. (1)] based on infrared light absorbance at 6.465  $\mu m$  wavelength by the N-H bonds within the protein. Data from an accurate reference method for milk protein determination is necessary for proper calibration of infrared milk analyzers.

The Kjeldahl method measures nitrogen and from the nitrogen content of a sample the protein content can be estimated. The Kjeldahl method has been widely studied (2-14). Many researchers have attempted to substitute reagents (3-7, 10, 11), vary reagent quantities (5, 8, 9, 12, 13), and optimize digestion parameters (8, 9, 12-14) to improve the test accuracy, decrease testing time, and eliminate hazardous chemicals (e.g., mercury) that have a detrimental impact on the environment.

The Kjeldahl method uses an acid digestion to release bound organic nitrogen and retain it as ammonium sulfate

Received for publication February 27, 1990.

This report was presented at the 103rd AOAC Annual International Meet-

ing, September 25-28, 1989, at St. Louis, MO.

The report has been evaluated by the General Referee and the Committee Statistician and reviewed by the Committee on Foods I. The method was approved interim official first action by the Chairman of the Official Methods Board and was adopted official first action at the 104th AOAC Annual International Meeting, September 9-13, 1990, at New Orleans, LA. Association actions will be published in "Changes in Official Methods of Analysis" (1991) J. Assoc. Off. Anal. Chem. 74, January/ February issue.

J. Richard Fleming is Chairman Test Pro

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(<u>\_\_\_\_\_</u>)

# Prostatic Acid Phosphatase Assay with Self-Indicating Substrate 2,6-Dichloro-4-acetylphenyl Phosphate

Susumu Osawa,<sup>1,3</sup> Shinji Iida,<sup>1</sup> Hiroshi Yonemitsu,<sup>1</sup> Katsumasa Kuroiwa,<sup>2</sup> Katsuhiro Katayama,<sup>2</sup> and Takeshi Nagasawa<sup>2</sup>

We characterized six self-indicating substrates, synthesized as the derivative compounds of acetylphenyl phosphate, for serum prostatic acid phosphatase (PAP) activity. One of the substrates, 2,6-dichloro-4-acetylphenyl phosphate (DCAPP), is superior to others in terms of stability, affinity, and low  $K_{\rm m}$  for PAP. The hydrolyzed product, 2,6-dichloro-4-acetylphenol (DCAP), has a maximum absorption at 334.2 nm, a p $K_a$  of 4.15, and a molar absorptivity at 340 nm of 21 490 L·mol<sup>-1</sup>·cm<sup>-1</sup> in citrate-HCI buffer, pH 5.4. PAP activity was assessed by subtracting tartaric acid-inhibited acid phosphatase activity from total acid phosphatase activity. Our assay system involving DCAPP is a unique kinetic method that shows good reproducibility, wide analytical dynamic range, and high specificity for PAP. Moreover, it is easily adaptable to automated analyzers because the product, DCAP, can be monitored at 340 nm.

Indexing Terms: enzyme kinetics/enzyme immunoassay

Many methods for the determination of serum acid phosphatase (ACP; EC 3.1.3.2) activity have been reported, but most of them were not adaptable to automated analyzers (1-3).4 Only the kinetic method of Hillmann and its modifications (4-6), which basically utilize 1-naphthyl phosphate (1-NA) and diazo dye Fast Red TR, have been adapted to automated analyzers. Although 1-NA was superior to other substrates in terms of specificity, its color reaction was subject to interference by bilirubin (7). Another kinetic method involving a self-indicating substrate, 2,6-dichloro-4nitrophenyl phosphate (DCNPP), has been reported (8). The hydrolyzed product of DCNPP, 2,6-dichloro-4nitrophenol (DCNP), shows stronger 400-nm absorption at pH <9 than does 4-nitrophenol and can be monitored by automated analyzers at pH 5.4. However, this method also has several disadvantages; e.g., serum albumin quantitatively accelerates the rate of hydrolysis of DCNPP to DCNP (9), and hemoglobin, denatured

in acid solution, influences spectrophotometric measurement at 405 or 415 nm.

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To develop an assay that does not have these drawbacks, we focused on six synthesized derivative compounds of acetylphenyl phosphate (10), and found that 2,6-dichloro-4-acetylphenyl phosphate (DCAPP) had advantages of stability, affinity, and  $K_{\rm m}$  for prostatic acid phosphatase (PAP).

In this study, we describe the characteristics of a new assay and its application to automated analyzers.

#### Materials and Methods

**Apparatus** 

Spectra of substrates and their products were analyzed with a Hitachi U-3200 spectrophotometer (Hitachi, Tokyo, Japan) and enzyme activity was measured with a Hitachi 7050 automated analyzer. The enzyme immunoassay (EIA) was done with an IB-500 analyzer (Toyobo, Tokyo, Japan).

#### Reagents

Substrates [DCAPP; 2,6-dichloro-4-propionylphenyl 2,6-dichloro-4-(2-butyryl)phenyl phate; 2,6-dichloro-4-(1-butyryl)phenyl phosphate; 2,6difluoro-4-acetylphenyl phosphate (DFAPP), 2,6-dibromo-4-acetylphenyl phosphate (DBAPP)] and their hydrolyzed products were obtained from Nitto Boseki (Fukushima, Japan). Bovine serum albumin and human PAP were obtained from Sigma Chemical Co. (St. Louis, MO). Assay kits for DCNPP, 1-NA, and PAP EIA were purchased from Ono (Osaka, Japan), Boehringer Mannheim (Tokyo, Japan), and Dainabot (Tokyo, Japan), respectively. All other reagents were analytical grade and purchased from Wako Pure Chemicals (Osaka, Japan). In measurement of total ACP (T-ACP) activity, reagent 1 consists of citrate buffer (0.1 mol/L sodium citrate-HCl, pH 5.4, and 5.0 g/L bovine serum albumin, which gives a final concentration of 3.8 g/L in assay mixture) and reagent 2 contains substrate (6.0 mmol/L DCAPP in 0.01 mol/L sodium citrate-HCl, pH 3.0). In measurement of tartaric acid-inhibited ACP (TIAP) activity, citrate-HCl buffer containing 26 mmol/L L(+)-tartaric acid was used instead of reagent 1. These reagents were stable for at least 1 year at 4°C.

#### Samples

PAP in serum was stabilized by adding 10  $\mu$ L of 3.3 mol/L acetic acid per 1.0 mL of serum immediately after serum was separated from clotted blood. Pretreated sera were stored at  $-20^{\circ}$ C until use.

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Nonstandard abbreviations: ACP, acid phosphatase; PAP, prostatic acid phosphatase; T-ACP, total acid phosphatase; TIAP, tartaric acid-inhibited acid phosphatase; DCAPP, 2,6-dichloro-4-acetylphenyl phosphate; DFAPP, 2,6-difluoro-4-acetylphenyl phosphate; DBAPP, 2,6-dibromo-4-acetylphenyl phosphate; DCAP, 2,6-dichloro-4-acetylphenol; 1-NA, 1-naphthyl phosphate; DCNPP, 2,6-dichloro-4-nitrophenyl phosphate; DCNP, 2,6-dichloro-4-nitrophenyl phosphate; DCNP, 2,6-dichloro-4-nitrophenyl phosphate; DCNP, 2,6-dichloro-4-nitrophenyl phosphate; DCNP, 2,6-dichloro-4-nitrophenyl and EIA, enzyme immunoassay.

Tissue Extracts

To assess  $K_{m}$  values of ACP from various organs, we prepared ACP from tissue extracts by homogenization and centrifugation (1400g, 10 min, 5°C) in 0.1 mol/L citrate-HCl buffer (pH 5.4) (11).

#### PAP Assay

In experiments comparing substrates, we pipetted 100  $\mu L$  of sample, mixed it with 2 mL of buffer solution, incubated the mixture for 3 min to reach 37°C, and started monitoring the reaction rate at 340 nm immediately after adding 500  $\mu L$  of substrate solution. The final pH of the mixture was 5.4. We used the Hitachi 7050 automated analyzer in other experiments, mixing  $20~\mu L$  of sample with  $400~\mu L$  of buffer solution and then adding 100  $\mu$ L of substrate solution to start the reaction. We monitored the reaction in rate mode from 140 to 240 s at 340 nm and calculated the enzyme activity from  $\Delta A/\text{min}$ . We estimated the PAP activity by subtracting TIAP activity from T-ACP activity; we investigated the inhibitory effects of L-tartaric acid on PAP activity in crude extract from various organs.

Characterization of Substrates and Their Products

To characterize the six synthesized substrates and their products, we determined their pH optimum, nonenzymatic hydrolysis,  $K_{\mathrm{m}}$ , and molar extinction coeffi-

Nonenzymatic hydrolysis was assessed by measuring the 340-nm absorbance of the substrate solution (final concentration 1.15 mmol/L) at 37°C against a simple buffer solution.

 $K_{\mathrm{m}}$  was calculated by a Lineweaver-Burk plot. To obtain the  $K_{\mathrm{m}}$  of ACP from various organs, we used eight concentrations of DCAPP between 0.01 and 0.5 mmol/L and measured each point three times.

The apparent  $\varepsilon$  was determined by using the Hitachi U-3200 spectrophotometer and a Hitachi automated analyzer.

#### Interferences

Besides examining interferences of various compounds with PAP activity, we also investigated the micals effects of various abnormal sera on the spectrophotometry itself, not on the enzyme activity. The sera tested were hemolytic (n = 10; maximum concentration 1000 mg/L as hemoglobin), icteric (n = 13; maximum concentration 20 mg/L as total bilirubin), and lipemic (n = 5; turbid appearance). In this experiment we omitted ll, pH substrate from reagent 2.

## Comparison of Methods

To compare the present method (applied to automated analyzers) with the DCNPP method, the 1-NA method, and the PAP EIA method, we used several stabilized human sera. The enzymatic methods were run on the Hitachi 7050 analyzer and the PAP EIA method on the IB-500 analyzer according to each manufacturer's instructions.

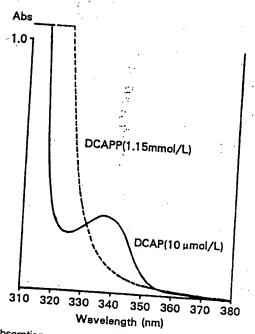


Fig. 1. Absorption curves of DCAPP (- - - -) and DCAP (----). Curves were obtained by scanning the solution of DCAPP or DCAP (1.15 mmol/L and 10 \(\mu\text{mol/L}\) in 0.1 mol/L citrate-HCl buffer, pH 5.4, respectively)

#### Results

Characterization of Substrates and Their Products

The optimum pH for DFAPP and DBAPP was 5.6 and 5.8, respectively; that for the other four substrates

Nonenzymatic hydrolysis accounted for between 0.5  $\times$  10<sup>-3</sup> and 3.8  $\times$  10<sup>-3</sup> A/min at pH 5.4.

The  $K_{\rm m}$  of the six substrates ranged from 0.147 to 0.233 mmol/L. DCAPP had the smallest  $K_{\rm m}$  value and the highest velocity in the PAP assay, and showed satisfactory stability as a substrate. Therefore, we chose DCAPP as the substrate for PAP activity measurement. The absorption curves of DCAPP and DCAP are shown in Fig. 1.

DCAP had a maximum absorption at 334.2 nm, a p $K_a$ of 4.15, and a molar absorptivity of 21 490 L·mol<sup>-1</sup>·cm<sup>-1</sup> at 340 nm in citrate-HCl buffer (pH 5.4) containing 3.8 g/L bovine serum albumin. The  $pK_a$ of DCAP was 4.6 in 0.1 mol/L citrate-HCl buffer, but the apparent  $pK_a$  shifted to 4.15 and the  $\varepsilon$  increased from 15 200 to 21 490  $L \cdot mol^{-1} \cdot cm^{-1}$  when bovine serum albumin was added to the buffer solution at a final concentration of 3.8 g/L.

## Optimization of Variables for PAP Assay

Buffer solution. After we had examined various buffer solutions for substrates, we selected citrate-HCl buffer because of its stabilizing effect on substrate, absorbance of DCAP, tartaric inhibition of DCAPP, and  $\mathbf{p} K_{\mathbf{a}}.$  The pH optimum for the PAP-catalyzed hydrolysis of DCAPP in citrate-HCl buffer was ~5.6, with activity ≥95% maximum over the range 4.8-6.2. We selected pH 5.4 because the ionized DCAP dissociates nearly 100% at that pH and autohydrolysis of the substrate was less marked at pH 5.4 than at 6.0. The buffer

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Table 1.  $K_{\rm m}$  for human ACP from various organs with DCAPP as substrate.

| - 4.11 (         | as substrate.                           |
|------------------|---|
| Origin of enzyme |   |
| Prostate         | K <sub>m</sub> (10 <sup>-3</sup> mol/L) |
| Kidney           | 0.147                                   |
| Liver            | 1.250                                   |
| Heart            | 1.429                                   |
| Lung             | . 0.800                                 |
| Bone             | 1.667                                   |
| Erythrocytes     | 2.500                                   |
| Leukocytes       | 2.857                                   |
| Platelets        | .2.000                                  |
| <del></del>      | 1.667                                   |
|                  |   |

concentration was set to 0.1 mol/L because PAP activity was maximum.

 $K_m$  for human ACP in various organs.  $K_m$  values for ACP from various human organs in 0.1 mol/L citrate-HCl buffer (pH 5.4) containing 3.8 g/L bovine serum albumin is summarized in Table 1. The  $K_m$  of PAP (1.4  $\times$  10<sup>-4</sup> mol/L) was 0.1 that of other organ ACPs, and DCAPP showed stronger affinity for PAP than for other organ ACPs. The final concentration of DCAPP in the PAP assay was  $1.15 \times 10^{-3}$  mol/L, based on maximum velocity ( $V_{max}$ ).

## Evaluation of the Present Method by Automated Analyzers

Molar absorptivity (ε). As described above, the apparent ε for DCAP was determined to be 21 490 L·mol<sup>-1</sup>·cm<sup>-1</sup> at 340 nm on the Hitachi U-3200 spectrophotometer. With the Hitachi 7050 automated analyzer, ε was 19 950 L·mol<sup>-1</sup>·cm<sup>-1</sup> at 340 nm, and the calculated K-factor was 1295.

Analytical range. The detection limit of the PAP assay was calculated according to Miller and Miller (12). The mean value obtained from 30 measurements of a blank (isotonic saline) was 0.83 nkat/L (SD 1.7 nkat/L), and the detection limit was 5.0 nkat/L. The upper limit of linearity with PAP was 2167 nkat/L at 37°C.

Precision. Within-run imprecision was evaluated with three different concentrations of serum samples assayed 20 times each. The means and CVs for low, medium, and high concentrations of PAP were 17.2, 150, and 415 nkat/L and 8.30%, 1.19%, and 0.48%, respectively. Between-run imprecision was evaluated with two stabilized pooled serum samples. The means and CVs were 173 and 723 nkat/L and 1.20% and 1.23% (n = 20), respectively.

Recovery. Analytical recovery was assessed with Precinorm-E control serum (151 nkat/L) with addition of three concentrated PAP solutions (92, 385, 992 nkat/L). The recovery was between 103% and 104%.

Interferences. Substances tested did not interfere with measured PAP activity. Spectrophotometrically, the interfering effects of abnormal sera tested were negligible at those concentrations (Table 2).

Table 2. Results of interference study.

|                                 | study.   |
|---------------------------------|--|
| Substances tested Ascorbic acid | Maximum conc with no interference mmol/L (except as indicated) |
| Bovine serum albumin            | 2.5  |
| Ditaurobilirubin                | 50.0 (g/L)   |
| Bilirubin                       | 0.23   |
| Glutathione (reduced)           | 0.3  |
| Glucose                         | 1.5  |
| Hemoglobin                      | 25.0   |
| Uric acid                       | 4.5 (g/L)  |
| CaCl <sub>2</sub>               | 1.2  |
| FeCl <sub>2</sub>               | 50.0   |
| NaCl                            | 0.25   |
| Sodium citrate                  | 600.0  |
| EDTA-2Na                        | 35.0   |
| Oxalic acid                     | 5.0  |
| Sodium heparin                  | 30.0   |
|                                 | 200.0 (mg/L)   |
|                                 |  |

## Methods Comparison

Correlation coefficients between the present meth (y) and the 1-NA method, the DCNPP method, and t. PAP EIA method were 0.999 (y = 0.96x + 0.2, n = 98) 0.995 (y = 0.80x - 7.7, n = 98), and 0.986 (y = 0.39x 1.1, n = 121), respectively.

#### Discussion

To overcome several disadvantages involved in coventional methods for PAP activity (1-8), we have developed a new assay and described its performance DCAPP, a self-indicating synthetic substrate, have played a key role.

The  $K_{\rm m}$  of DCAPP for PAP was 0.147 mmol/L in 0 mol/L citrate-HCl buffer (pH 5.4), close to that of DCNF (0.137 mmol/L) already reported (8). However, the  $\varepsilon$  the hydrolyzed product, DCAP, was 38% greater that that of DCNP (21 490 vs 15 600 L·mol<sup>-1</sup>·cm<sup>-1</sup>) (8 Therefore, the DCAPP method is expected to be morprecise than other methods even for low PAP activit Moreover, our method is less susceptible to hemoglobi interference than the DCNPP method, and is applicable to automated analyzers because DCAP has a maximum absorption at 334.2 nm.

The p $K_a$  of DCAP was shifted from pH 4.5 to 4.15 b adding albumin to the buffer solution. This increase the  $\varepsilon$  of DCAP up to 15% in 0.1 mol/L citrate-HCl buffe (pH 5.4). The shift may be related to the acetyl radica (C=O bond) forming resonance structures with amin groups of serum proteins in acidic conditions.

Because some serum albumin increases the rate of DCNPP hydrolysis (9), we added albumin to the assa buffer to give a final concentration of 3.8 g/L. We did not see any significant DCAPP hydrolysis, and of tained good correlations with the three methods excert for the DCNPP method, which showed the bias alread reported (9). The citrate buffer containing albumin was stable for 1 year at 4°C without any stabilizer.

The  $K_{\rm m}$  value of PAP was  $1.4 \times 10^{-4}$  mol/L in 0. mol/L citrate-HCl buffer, whereas those of ACP from

blood cells (erythrocytes, leukocytes, a platelets) were  $1.67-2.86 \times 10^{-3}$  mol/L when using DCAPP as the substrate. Since the  $K_{\rm m}$  of PAP was 0.1 that of ACP from blood cells, the affinity of DCAPP for PAP turned out to be stronger than for ACP from blood cells (Table 1).

We selected  $1.15 \times 10^{-3}$  mol/L as the final substrate concentration at which the  $V_{\rm max}$  was 89% with PAP. For PAP activity measurement, the substrate concentration should be  $>1.15 \times 10^{-3}$  mol/L, but this will result in high blank value (>0.1 A), as shown in Fig. 1.

In this study, we measured PAP activity by L-tartrate inhibition. The PAP activity was inhibited by 98% with  $\geq$ 20 mmol/L L-tartrate, whereas ACP from blood cells was inhibited by 0.6–20.1%. The inhibition rate of PAP in this study was in good agreement with that of the 1-NA method, whereas that of ACP from other organs was not (3). The inhibition of other organ enzymes ranged from 50.0% to 72.8%, but they were less than that of the enzyme from blood cells contaminated with serum. Consequently, the tartrate inhibitory method does not impair the specificity of the PAP activity measurement. The correlation between the present method and PAP EIA method (r = 0.986) validates the specificity.

In summary, for the measurement of PAP activity, our kinetic method involving the self-indicating substrate DCAPP showed satisfactory performance on automated analyzers. Moreover, the new method was free from albumin interference, unlike the DCNPP method.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Engelhardt et al.

Serial No.:

08/479,997

Group Art Unit: 1656

Filed:

June 7, 1995

Ex'r: Alexander H. Spiegler

For: OLIGO- OR POLYNUCLEOTIDES

COMPRISING PHOSPHATE MOIETY

LABELED NUCLEOTIDES (As Previously Amended)

St. Louis, Missouri 63124

Assistant Commissioner for Patents Washington, D.C. 20231

## DECLARATION OF DR. CHARLES W. PARKER

- I, Charles W. Parker, hereby declare as follows:
- 1. I am presently Professor *Emeritus* of Medicine, Department of Microbiology and Immunology at Washington University School of Medicine (WUSM), St. Louis, Missouri, having held that position since 1997. I am also Associate Physician at Barnes Hospital, also in St. Louis, Missouri. Prior to my present position at WUSM, I was Professor of Medicine there from 1971-1997. Overlapping with my position as Professor of Medicine, I was Professor of Microbiology, Immunology and Molecular Biology from 1975-1997. Within that same period of time (1977-1989), I was a full investigator of the Howard Hughes Medical Institute which funded me at Washington University for immunologic studies. From 1968 to 1971, I was Associate Professor of Medicine (WUSM). Before that, from 1963 to 1968, I was Assistant Professor of Medicine (WUSM). Earlier, from 1962 to 1988, I was the Head, Division of Allergy and Immunology (WUSM). From 1960 to 1963, I was

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995 Page 2 [Declaration of Dr. Charles W. Parker]

Instructor in Medicine (WUSM). My professional experience is listed on my curriculum vitae attached as Exhibit 1.

In terms of my education and research training, I entered Washington 2. University as an undergraduate student in 1947. I entered Washington University School of Medicine (WUSM) in 1949, without having received my baccalaureate from Washington University. I received my medical degree (M.D.) from WUSM in 1953, having graduated cum laude. I was also a member of the Medical School's Chapter of the Alpha-Omega-Alpha Society (), which is a national academic honorary organization. After receiving my M.D. degree, I was an intern at Barnes Hospital from 1953 to 1954. I served in the United States Navy for two years (1954-1956) as a naval physician. After my naval discharge, I was later appointed as Assistant Resident at Barnes Hospital from 1956 to 1958, which was followed by my one year appointment as Chief Resident (1958-1959). I was in research training in immunology at WUSM from 1959 to 1962 and I remained at WUSM for the remainder of my professional career. From 1961 to 1962, I was a Research Fellow at the United States Public Health Service (USPHS). For a decade (1962-1972), I was supported by a research development award (Research Career Award) from the National Institutes of Allergy and Infectious Diseases (NIAID). A good deal of my research over the past five decades has involved conjugate chemistry and the use of conjugated products, including radiolabeled proteins, for immunization and radioimmunoassays. This research work done in collaboration with research fellows and faculty members at WUSM and elsewhere included original descriptions of radioimmunoassays for morphine and related drugs, the cardiac form of creatine phosphokinase for diagnosing heart attacks, cyclic nucleotides, prostaglandins, difficult to measure drugs, such as digitalis, and hepatitis antigens. A number of these assays are still used with little modification from our original methods. For example, screening for morphine (opiate addiction)

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995

Page 3 [Declaration of Dr. Charles W. Parker]

is still carried out with our immunization and immunoassay procedures. The cyclic nucleotide assays we developed had a profound effect on the field and are still used. Since its original description our assay for creatine phosphokinase has been a crucial diagnostic test for acute myocardial infarction and is still used today. We developed the creatine phosphokinase test in collaboration with cardiac researchers at WUSM. Our laboratory at WUSM is also well known for its work on drug allergies and lipid mediators of inflammation. My education and research experience are listed on my CV (Exhibit 1).

- 3. I am the author of over three hundred scientific publications which are listed on the last several pages in my CV (Exhibit 1). Included among those publications are six review articles on radioimmunoassays and the use of radiolabeled proteins in immunological studies.
- 4. As a student or researcher, I have received several honors and awards, including a Mosby Award, Phi Eta Sigma, Alpha Omega Alpha, Sigma Xi, a Hixon Award and a Bausch & Lomb Award. As indicated in the preceding paragraph, I received a Research Career Award from the NIAID (1962-1972). I received a Honorary Fellowship Award in 1983 from The American Academy of Allergy and Immunology for original research in the field of allergy and immunology. I also received a Washington University Alumni Award. WUSM has also honored me by establishing a scholarship in my name, The Charles W. Parker Medical Student Scholarship. My various honors and awards over the years are listed on my CV (Exhibit 1).
- 5. In terms of editorial responsibilities, I have been extensively involved over my professional and research career in reviewing and editing scientific manuscripts submitted for publication to leading research journals. Among such research

Filed: June 7, 1995

Page 4 [Declaration of Dr. Charles W. Parker]

journals, I have been a member of the Editorial Board for the Journal of Allergy and Clinical Immunology, Immunochemistry, Clinical Immunology and Immunopathology, Journal of Immunology and the Journal of Clinical Investigation. From 1977-1982, I was Associate Editor for the Journal of Clinical Investigation and during that same period I was also Section Editor for the Journal of Immunology (1977-1982). I would consider the last two journals to be among the most prestigious and critically reviewed scientific journals in their fields. My extensive involvement in the review process affirms my conviction that responsible investigators must be willing to invest their own time in helping to maintain high research standards. My editorial responsibilities are listed on my CV (Exhibit 1).

- 6. Among the professional societies and organizations in which I have enjoyed membership and rank over the years are the following: the American Board of Internal Medicine, Central Society for Clinical Research, Fellow and Member of the American Academy of Allergy, American Association of Immunologists, Collegium Internationale Allergologicum, Association of American Physicians, American Heart Study Section (1972-1974), American Society for Clinical Investigation, Council of the American Society for Clinical Investigation (1973-1976) and the American Federation for Clinical Research. These various societies and organizations are listed on my CV (Exhibit 1).
- 7. Over the years I have had several consulting relationships and have served on several scientific boards. In particular, I spent approximately twenty years as a member of various review committees in the National Institutes of Health (NIH) which involved a minimum of three meetings per year. Since becoming Professor *emeritus* at WUSM, I have continued in this role as a reviewer for the National Center for Research Resources (NCRR). I have also been an adviser to a number of pharmaceutical companies, which included a position on the Board of Scientific

Filed: June 7, 1995

Page 5 [Declaration of Dr. Charles W. Parker]

Advisers of the Roche Institute for Molecular Biology from 1978-1981. I have also been an *ad hoc* consultant to several leading pharmaceutical companies, including Searle, Merck, Pfizer, Eli Lilly, Mead Johnson, Abbott, Glaxo Wellcome and Nippon Zoki. My consulting relationships and board memberships are listed on my CV (Exhibit 1).

I have been asked by Enzo Life Sciences, Inc. (previously named Enzo 8. Diagnostics, Inc.) to review as its scientific consultant significant portions of the prosecution history of United States Patent Application Serial No. 08/479,997, filed on June 7, 1995 in the name of Dean L. Engelhardt et al. as inventors. The title of the Engelhardt application is "Oligo- or Polynucleotides Comprising Phosphate Moiety Labeled Nucleotides." Included for my review were the following documents: the patent specification filed on June 7, 1995 (which I have been informed takes June 23, 1982 as its priority date); the former and previously pending claims (454-567) in this application; the November 26, 2001 Office Action; the December 27, 2001 Interview Summary, and various prior art documents cited in the aforementioned office action. The cited documents that I have reviewed include two scientific papers by Mark J. Halloran and Charles W. Parker ["The Preparation of Nucleotide-Protein Conjugates: Carbodiimides As Coupling Agents," Journal of Immunology 96:373-378 (1966); "The Production of Antibodies to Mononucleotides, Oligonucleotides and DNA," also Journal of Immunology 96:379-385)], and two U.S. patents [Ward et al., U.S. Patent No. 4,711,955; and Falkow et al., U.S. Patent No. 4,358,535]. I am also the same Charles W. Parker named on both aforementioned Halloran and Parker papers. 1 A

<sup>&</sup>lt;sup>1</sup> For convenience, my first 1966 <u>Journal of Immunology</u> paper ["The Preparation of Nucleotide-Protein Conjugates: Carbodiimides As Coupling Agents," volume 96, pages 373-378] will hereinafter be referred to as Halloran I. My second 1966 paper ["The Production of Antibodies To Mononucleotides, Oligonucleotides and DNA," volume 96, pages 379-385] will be referred to as Halloran II.

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995 Page 6 [Declaration of Dr. Charles W. Parker]

copy of each of Halloran I, Halloran II, the Ward patent and the Falkow patent are attached to my Declaration as Exhibits 2-5, respectively. I have also reviewed two declarations that were submitted in the Engelhardt application: Declaration of Dr. Cheryl H. Agris, Attorney At Law (In Support of the Written Description, Enablement & Non-Obviousness of the Invention Claimed in U.S. Patent Application Serial No. 08/479,997); and Declaration of Dr. Dean L. Engelhardt In Support of Adequate Description and Enablement. I believe that the Agris Declaration and the Engelhardt Declaration were originally submitted on January 18, 2001 and November 27, 1997, respectively. As part of my review, I have also read a set of claims 576-825² that will be submitted, together with my Declaration, in a paper to be filed in response to the November 26, 2001 Office Action. A copy of the aformentioned claims 576-825 to be submitted are attached to my Declaration as Exhibit 6.

9. Based upon my review of the claims (576-825) being submitted to the U.S. Patent Office (Exhbit 6), I believe that the invention in the Engelhardt application is directed to oligo- or polynucleotides comprising phosphate moiety labeled nucleotides. Such claimed oligo- or polynucleotides are useful as hybridization probes for detecting nucleic acids of interest. I believe that a third of the claimed embodiments in the Engelhardt application are directed to *non-polypeptide*, non-radioactive label moieties attached to the phosphate moiety of a modified nucleotide in an oligo- or polynucleotide (claims 576-657). The middle third of Engelhardt's claimed embodiments (claims 658-735) are directed to recited members for the non-radioactive label moiety Sig in such modified nucleotides in an

<sup>&</sup>lt;sup>2</sup> I believe that new claims 576-735 correspond in large part to many of the former and previously pending claims 454-567. To the extent that similar if not identical subject matter is recited, the opinions and conclusions in my Declaration apply to the previously pending claims as well as the new claims. In addressing the art rejections in the November 26, 2001 Office Action below, I will be referring to various new claims but I will also list the corresponding former claims in footnotes to the rejections.

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995

Page 7 [Declaration of Dr. Charles W. Parker]

oligo- or polynucleotide. Such members can take the form of biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component, a hapten and combinations of any of the foregoing. None of the following are included in the preceding list of Sig members: a polypeptide, a protein and an enzyme. The remaining third of Engelhardt's claimed embodiments (736-813) are directed to an oligo- or polynucleotide comprising at least one modified nucleotide in which a non-radioactive moiety label Sig is directly detected when indirectly attached to the phosphate moiety through a chemical linkage comprising a polypeptide or a protein. Finally, several dependent claims (814-825) define embodiments wherein Sig is covalently attached to PM or the phosphate through a chemical linkage comprising a polypeptide or a protein (claims 814, 817, 820 and 823). Other dependent claims define such polypeptide as comprising polylysine<sup>3</sup> (claims 815, 818, 821 and 824) or such polypeptide or protein as being selected from avidin, streptavidin and anti-hapten immunoglobulin (claims 816, 819, 822 and 825).

A. Based upon my review, I believe that claims 576-595 describe one of the major compositions in the Engelhardt application. As set forth in claim 576, the claimed oligo- or polydeoxyribonucleotide, which is complementary to a nucleic acid of interest or a portion thereof, comprises at least one modified nucleotide having the formula

Sig-PM-SM-BASE

wherein PM is a phosphate moiety attached to SM, a sugar moiety, and BASE is a base moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, the BASE being attached to SM. Sig is covalently attached to PM directly or through a chemical linkage, and Sig comprises a non-polypeptide, non-radioactive label moiety which can be directly or indirectly detected when attached to PM or when the modified nucleotide is incorporated into the oligo- or polydeoxyribonucleotide or when the oligo- or polydeoxynucleotide is hybridized to the complementary nucleic acid of interest or a portion thereof. I believe that claims 577-595 depend from claim 576 and are directed to various other specific embodiments, such as the nature of Sig

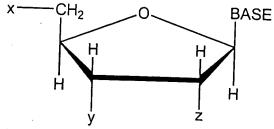
<sup>&</sup>lt;sup>3</sup> Polylysine belongs to the class of compounds called polyamino acids.

Filed: June 7, 1995

Page 8 [Declaration of Dr. Charles W. Parker]

(claims 577-578 and 586-591); the covalent attachment of Sig (claims 579 and 592); the chemical linkage (claims 580-584); the nature of PM (claim 585); the nature of SM (claim 593-594); and the inclusion of at least one ribonucleotide (claim 595).

- (i) I believe that claims 658-676 differ from claims 576-595 in two respects. First, independent claim 658 does not recite the term "non-polypeptide" for the non-radioactive label moiety Sig. Second, claim 658 recites specific members for Sig (biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component, a hapten and a combination). The claims that depend from claim 658 are also directed to specific embodiments, such as nature of Sig (claims 659-660 and 668-672); the covalent attachment of Sig (claims 661 and 673); the chemical linkage (claims 662-666); the nature of PM (claims 667); the nature of SM (claims 674-675); and the inclusion of at least one ribonucleotide (claim 676).
- (ii) I also believe that claims 736-754 differ from the above-described claims 576-595 and 658-676 as follows. Claim 736 is independent and it recites that Sig is covalently attached to PM through a chemical linkage comprising a polypeptide or a protein. Thus, for the non-radioactive label moiety Sig, claim 736 recites neither the term "non-polypeptide" nor the Sig members. Claims 737-754 are directed to further more specific embodiments of claim 736. These dependent claims define the nature of Sig (claims 737-738 and 742-750); the covalent attachment of Sig (claims 739 and 751); the chemical linkage (claims 740 and 748-750); the nature of PM (claim 741); the nature of SM (claims 752-753); and the inclusion of at least one ribonucleotide (claim 754).
- B. From my review I believe that claims 596-616 define another aspect of the Engelhardt invention. As set forth in claim 596, the invention claimed in the Engelhardt Declaration is also directed to an oligo- or polydeoxyribonucleotide which is complementary to a nucleic acid of interest or a portion thereof. Such oligo- or polydeoxyribonucleotide comprises at least one modified nucleotide having the structural formula:



wherein BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a

Filed: June 7, 1995

Page 9 [Declaration of Dr. Charles W. Parker]

deazapurine, or analog thereof, the BASE being attached to the 1' position of the pentose ring from the N1 position when BASE is a pyrimidine or from the N9 position when BASE is a purine or a deazapurine. The elements x, y and z are each selected from the group consisting of H—, HO—, a mono-phosphate, a di-phosphate and a tri-phosphate. Sig is defined in claim 596 as being covalently attached directly or through a chemical linkage to at least one phosphate selected from the group consisting of x, y, z, and a combination thereof. Furthermore, Sig comprises a non-polypeptide, non-radioactive label moiety which can be directly or indirectly detected when so attached to the phosphate or when the modified nucleotide is incorporated into the oligo- or polydeoxynucleotide or when the oligo- or polydeoxynucleotide is hybridized to the complementary nucleic acid of interest or a portion thereof. Claims 597-616 depend from claim 596 and they define various narrower embodiments, including the nature of Sig (claims 597-598 and 606-611); covalent attachment of Sig (claims 599 and 612); the chemical linkage (claims 599-604); the nature of x, y and/or z (claim 605 and 613-614); the inclusion of at least one ribonucleotide (claim 615); and a structural formula for the claimed oligo- or polydeoxyribonucleotide (claim 616).

- respects. First, independent claim 677 does not recite "non-polypeptide" for the non-radioactive label moiety Sig. Second, specific members for Sig are recited in claim 677 (biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component, a hapten and a combination). Dependent claims 678-696 are directed to various embodiments, such as the nature of Sig (claims 678-679 and 687-691); the covalent attachment of Sig (claims 680 and 692); the chemical linkage (claims 681-685); the elements x, y and/or z (claims 686 and 693-694); the inclusion of at least one ribonucleotide (claim 695); and the structural formula of the oligo- or polydeoxyribonucleotide (claim 696).
- described above. First, claim 755, an independent claim, recites that Sig is covalently attached to PM through a chemical linkage comprising a polypeptide or a protein. Second, for the non-radioactive label moiety Sig, claim 755 lacks the recitation of the term "non-polypeptide" and the various members of Sig. Dependent embodiments are provided in claims 756-774 and include the nature of Sig (claims 756-757 and 761-766); the covalent attachment of Sig (claims 758 and 770); the chemical linkage (claims 759 and 767-769); the elements x, y and/or z (claims 760 and 771-772); the inclusion of at least one ribonucleotide (claim 773); and a structural formula for the claimed oligo- or polydeoxyribonucleotide (claim 774).

Filed: June 7, 1995

Page 10 [Declaration of Dr. Charles W. Parker]

C. I believe that another aspect of the Engelhardt invention is defined in claims 617-636. In claim 617, the oligo- or polynucleotide is also complementary to a nucleic acid of interest or a portion thereof, and it comprises at least one modified nucleotide having the formula

wherein PM is a phosphate moiety, SM is a sugar moiety and BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof. PM is attached to SM, BASE is attached to SM, and Sig is covalently attached to PM directly or via a chemical linkage. Sig comprises a non-polypeptide, non-radioactive label moiety which can be directly or indirectly detected when attached to PM or when the modified nucleotide is incorporated into the oligo- or polynucleotide, or when the oligo- or polynucleotide is hybridized to the complementary nucleic acid of interest or a portion thereof, provided that when the oligo- or polynucleotide is an oligoribonucleotide or a polyribonucleotide, and when Sig is attached through a chemical linkage to a terminal PM at the 3' position of a terminal ribonucleotide, the chemical linkage is not obtained through a 2',3' vicinal oxidation of a 3' terminal ribonucleotide previously attached to the oligoribonucleotide or polyribonucleotide. Claims 618-636 are dependent embodiments and they include: the nature of Sig (claims 618-619 and 627-632); the covalent attachment of Sig (claims 620 and 633); the chemical linkage (claims 621-625); the nature of PM (claim 626); the nature of SM (claims 634-635); and the inclusion of at least one deoxyribonucleotide (claim 636).

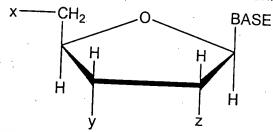
- (i) I believe that claims 697-715 are different from claims 617-636 in two respects. First, independent claim 697 does not recite the term "non-polypeptide" for the non-radioactive label moiety Sig. Second, claim 697 lists specific Sig members (biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component, a hapten and a combination). Other various aspects are given in claims 698-715 including the nature of Sig (claims 698-699 and 707-711); the covalent attachment of Sig (claims 700 and 712); the chemical linkage (claims 701-705); the nature of PM (claim 706); the nature of the sugar moiety (claims 713-714); and the inclusion of at least one deoxyribonucleotide (claim 715).
- (ii) My review also shows that claims 775-793 differ from the afore-described claims 617-636 and 697-715 as follows. Unlike its counterparts (claims 617 and 697), independent claim 775 recites that Sig is covalently attached to PM via a chemical linkage comprising a polypeptide or a protein. Moreover, claim 775 does not recite the term "non-polypeptide" or the members of the non-radioactivel label moiety Sig, unlike claims 617 and 697.

Filed: June 7, 1995

Page 11 [Declaration of Dr. Charles W. Parker]

Dependent embodiments of claim 775 are given in claims 776-793. These embodiments include the nature of Sig (claims 776-777 and 781-785); the covalent attachment of Sig (claims 778 and 790); the chemical linkage (claims 779 and 787-789); the nature of PM (claim 780); the nature of SM (claims 791-792); and the inclusion of at least one deoxyribonucleotide (claim 793).

D. My review also shows me that another composition claimed in the Engelhardt application is an oligo- or polynucleotide as set forth in claims 637-657. As given by claim 637, this claimed composition is complementary to a nucleic acid of interest or a portion thereof, such oligo- or polynucleotide comprising at least one modified nucleotide having the structural formula:



BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, and it is attached to the 1' position of the pentose ring from the N1 position when BASE is a pyrimidine or from the N9 position when BASE is a purine or a deazapurine. The elements x, y and z are each selected from the group consisting of H- , HO- , a mono-phosphate, a di-phosphate and a tri-phosphate. Sig is covalently attached directly or through a chemical linkage to at least one phosphate selected from the group consisting of x, y and z, and a combination thereof. Sig also comprises a non-polypeptide, non-radioactive label moiety which can be directly or indirectly detected when so attached to the phosphate or when the modified nucleotide is incorporated into the oligo- or polynucleotide, or when the oligo- or polynucleotide is hybridized to the complementary nucleic acid of interest or a portion thereof. It is provided in the language of claim 637 that when the oligo- or polynucleotide is an oligoribonucleotide or a polyribonucleotide and when Sig is attached through a chemical linkage to a terminal PM at the 3' position of a terminal ribonucleotide, the chemical linkage is not obtained through a 2',3' vicinal oxidation of a 3' terminal ribonucleotide previously attached to the oligoribonucleotide or polyribonucleotide. Claims 638-657 are dependent embodiments directed to the nature of Sig (claims 638-639 and 647-652); the covalent attachment of Sig (claims 640 and 653); the chemical linkage (claims 641-645); the nature of elements x, y and/or z (claims 646 and 654-655); the inclusion of at least one deoxyribonucleotide (claim 656); and the structural formula for the oligo- or polynucleotide (claim 657).

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995 Page 12 [Declaration of Dr. Charles W. Parker] Based on my review, I also believe that claims 716-735 differ from claims (i) 637-657 as follows. Claim 716, an independent claim, does not recite the term "non-polypeptide" for the non-radioactive label moiety Sig. Further, specific Sig members are listed in claim 716 (biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component, a hapten and a combination). Other embodiments are given in claims 717-735, including the nature of Sig (claims 717-718 and 726-730); the covalent attachment of Sig (claims 719 and 731); the chemical linkage (claims 720-724); the nature of x, y and/or z (claims 725 and 732-733); the inclusion of at least one deoxyribonucleotide (claim 734); and the structural formula of the oligo- or polynucleotide (claim 735). Claims 794-813 are different from claims 637-657 and 716-735 described (ii) above. Independent claim 794 recites that Sig is covalently attached to PM via a chemical linkage comprising a polypeptide or a protein. Unlike claims 637 and 716, claim 794 lacks the recitation for "non-polypeptide" and the various members for the non-radioactive label moiety Sig. Claims 795-813 depend from claim 794 and provide other embodiments including the nature of Sig (claims 794-795 and 800-805); the covalent attachment of Sig (claims 797 and 809); the chemical linkage (claims 798 and 806-808); the nature of PM (claim 799); the elements x, y and/or z (claims 799 and 810-811); the inclusion of at least one deoxyribonucleotide (claim 812); and a structural formula for the claimed oligo- or polynucleotide (claim 813). E. As I indicated in Paragraph 9 above, several dependent claims (814-825) define embodiments wherein the non-radioactive label moiety Sig is attached indirectly to the phosphate moiety through a polypeptide or protein chemical linkage. Thus, such dependent claims 814, 817, 820 and 823 recite that the non-radioactive label moiety Sig is covalently attached to PM (or to at least one phosphate) through a chemical linkage comprising a polypeptide or a protein. In turn, claims 815, 818, 821 and 824 define the polypeptide as comprising polylysine. Other dependent claims (816, 819, 822 and 825) define such polypeptide or protein as being selected from avidin, streptavidin and anti-hapten immunoglobulin. I believe that in the November 26, 2001 Office Action, ten so-called "art" 10. rejections (Paragraphs 7-9 and-11-17 in the Office Action) were raised against the former claims 454-567. Eight of the ten rejections (Rejections Nos. 2 through 9, Enz-5(D6)(C2)

Filed: June 7, 1995

Page 13 [Declaration of Dr. Charles W. Parker]

Paragraphs 8-9 and 11-16 in the Office Action) concerned either one or the other of my 1966 <u>Journal of Immunology</u> papers (Halloran I or Halloran II). My remarks in Paragraphs 15(A) through (H) below are directed to Rejections Nos. 2 through 9 (Paragraphs 8-9 and 11-16) in the November 26, 2001 Office Action.

- 11. As Enzo's scientific consultant, I am making this Declaration in support of the novelty and non-obviousness of the subject matter claimed in the Engelhardt application. I am also being compensated by Enzo for making this Declaration on its behalf.
- 12. Based upon my own training, background and experience, I would respectfully submit that at the time this application was first filed in June 1982, a person of ordinary skill in the art relevant to the subject matter being claimed, including nucleic acid chemistry and modification, which would include the attachment of labels and linker arms to nucleotides and nucleic acids, and nucleic acid detection and detection formats, would have possessed or could have been actively pursuing an advanced degree in organic chemistry and/or biochemistry. A person of ordinary skill in the art might also possess some knowledge about protein chemistry, including protein modification, labeling and detection, although such knowledge would not approach his or her knowledge about nucleic acid chemistry, nucleic acid modification and labeling, and nucleic acid detection and formatting. Such an ordinarily skilled person could also be at least approaching or ranging toward the level of a junior faculty member with 2-5 years of relevant experience, or would at least be a postdoctoral student with several years of experience. I consider myself to possess the level of skill and knowledge of at least a person of ordinary skill in the art to which the present application and invention pertains.

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995 Page 14 [Declaration of Dr. Charles W. Parker]

- 13. As a person of ordinary skill in the art, it is my opinion and conclusion that the invention in the Engelhardt application as set forth in claims 576-825 being submitted to the Patent Office is novel over my two 1966 papers (Halloran I or Halloran II). Further, it is my opinion and conclusion that the invention claimed in the Engelhardt application would not have been rendered obvious over either of my two 1966 papers (Halloran I or Halloran II), either by themselves, or in combination with each other or with either or both of the Ward and Falkow U.S. patents cited in the November 26, 2001 Office Action. My reasons are set forth in the following paragraphs.<sup>4</sup>
- 14. Before discussing my reasons, I would like to provide some background to my 1966 papers (Halloran I and Halloran II).
- A. Our two 1966 papers (Halloran I and Halloran II) were published in the Journal of Immunology, the leading publication of the American Association of Immunologists. Both papers concerned conjugated products for use in immunology. Halloran I and Halloran II were published in a journal devoted to immunology, which was not at that time, normally read by molecular biologists or investigators in the field of molecular biology. In noting the earlier works of H.G. Khorana who had used water-insoluble carbodiimides for his work in synthesizing oligonucleotides and Gertrude E. Perlmann at Rockefeller in the 1950s who had studied phosphorus linkages in phosphoproteins, casein and pepsin, we prepared conjugates of protein covalently linked to mononucleotides, oligonucleotides and DNA using water-soluble carbodiimides, such as ECDI and CMC. Water-soluble carbodiimides readily couple mono- and oligonucleotides to proteins in aqueous solution. Because we were initially not entirely sure which amino acid residues of proteins were involved in the coupling, we compared polylysine with other

<sup>&</sup>lt;sup>4</sup> Any opinions and conclusions given in this Declaration are done in light of my training, background

Filed: June 7, 1995

Page 15 [Declaration of Dr. Charles W. Parker]

polymers containing different functional groups. We found that N-P linkages were readily formed with polylysine, were sufficiently stable for our purposes and were likely to be the predominant linkage in proteins. I should point out that two publications cited as References 5 and 20 in Halloran I disclosed non-aqueous conjugations and virtually non-aqueous conjugations, respectively, and as such, probably would not be suitable for reactions involving unblocked oligonucleotides. Copies of each of H. G. Khorana's 1961 paper [Khorana, H. G. and Vizsolyi, J. P., "Studies on Polynucleotides. VIII. Experiments on the Polymerization of Mononucleotides. Improved Preparation and Separation of Linear Thymidine Polynucleotides. Synthesis of Corresponding Members Terminated in Deoxycytidine Residues," Journal of American Chemical Society 83:675-685], and Michael Sela's 1964 paper ["Sela et al., "Uridine-Specific Antibodies Obtained With Synthetic Antigens," Proceedings National Academy of Science 52:285-292] are attached to my Declaration as Exhibits 7 and 8, respectively. In Sela's 1964 paper, the phosphate group of a mononucleotide was converted to a COOH group for coupling, but oligonucleotide coupling was not studied. In addition to polylysine, we also conjugated oligonucleotides and DNA to other polypeptides, including human serum albumin (HSA) and bovine  $\gamma$ -globulin (B $\gamma$ G). We also modified polyamino acids chemically to put aliphatic hydroxy groups on them. In the case of polylysine, we modified it with ethylene oxide to prepare hydroxylated polylysine.<sup>5</sup> Our purpose in preparing nucleotide-protein conjugates was primarily to use such conjugates to induce antibody formation with nucleotide specificity,

and experience as a person of at least ordinary skill in the art.

<sup>&</sup>lt;sup>5</sup> It should also be noted that our conjugation method was adaptable to polyamino acids containing other amino acids together with lysine (lysine and other amino acids are copolymerized; for example, poly-D,L-alanyl-L-lysyl mixed copolymer). In addition to biotin, as described in Example V (page 57) in the Engelhardt specification, oligonucleotides conjugated polypeptides in which a portion of the lysyl ε-ammonium groups are still free so that they can be readily reacted with a variety of amino agents (for example, succinic anhydride, luminol imidoesters and 2,4-dinitrophenol sulfonic acids (if desired). Thus, a wide variety of systematic modifications are possible.

Filed: June 7, 1995

Page 16 [Declaration of Dr. Charles W. Parker]

and secondarily to study DNA and RNA structure. In the very first paragraph in Halloran I, we disclosed:

For some time it has been apparent that antibodies might be useful in the study of fine structure. A major stumbling block has been the unavailability of a method which would render polynucleotides antigenic yet largely preserve their structural integrity. In approaching the problem of covalently conjugating polynucleotides to proteins as a means of stimulating antibody formation, we sought a procedure which would employ terminal nucleotide PO4 or OH groups for coupling.

that nucleotides do couple to proteins and polylysine under very mild conditions, in the presence of carbodiimides. Investigation strongly implicates formation of N-P bonds as the principle type of linkage. In the accompanying article [Halloran II] it will be shown that conjugates of proteins with mononucleotides, oligonucleotides and DNA elicit the formation of antibodies with nucleotide specificity (2). A brief resume of this work has been reported earlier (3).

Halloran I, Page 373, Left Column

The formation of N-P-O bonds is depicted in Figure 1 of Halloran I (see page 374, Exhibit 2).

B. In preparing the nucleotide-protein conjugates described in Halloran I and used for antibody formation in Halloran II, we were quite concerned with minimizing the modifications or changes to the nucleic acid polymer or monomer being coupled to the protein. In Halloran I, page 378 (left column), we disclosed:

In the method of conjugation described in this article, mononucleotides, oligonucleotides, and DNA are coupled directly to protein. By contrast, the methods described in the preceding paragraph require some form of preliminary chemical modification of the mononucleotide, nucleoside or base. The applicability of these methods to coupling large units (oligo- and polynucleotides) to proteins or polyamino acids has not been established. We believe, therefore, that the general method described herein has certain advantages over previously described procedures.

Page 17 [Declaration of Dr. Charles W. Parker]

In essence, we were preparing nucleotide-protein conjugates in which the nucleotide or polynucleotide portion of the conjugate was directly coupled to the protein without an additional chemical linkage or linker arm and with minimal changes to the nucleotide or polynucleotide.

- C. Other investigations of the antigenicity of nucleic acids using conjugates followed our 1966 papers. Another paper by Michael Sela's group published in 1970 [Bonavida et al., "Antibodies To Transfer RNA Obtained With Covalently Linked tRNA Conjugates," Biochemical and Biophysical Research Communications 41:1335-1341] dealt with obtaining or precipitating anti-RNA antibodies to tRNA-BSA conjugates which were analyzed with tRNA radioactively labeled with <sup>32</sup>P. Transfer RNAs (tRNAs) are polymers of ribonucleotides with approximately 75 nucleotide residues. A copy of Sela's 1970 paper ["Antibodies to Transfer RNA Obtained with Covalently Linked tRNA Conjugates," Biochemical and Biophysical Research Communications 41:1335-1341 (1970)] is attached to my Declaration as Exhibit 9. Sela (1970) cited our first 1966 paper (Halloran I) for using ECDI "for the coupling of mononucleotides to BSA" (bovine serum albumin) [although we had also demonstrated coupling to oligonucleotides and polynucleotides].
- D. To my knowledge and belief, the fields of nucleic acid technology and molecular biology did not seize upon our two 1966 immunological investigations (Halloran I and Halloran II) involving protein-polynucleotide conjugates and their use in producing antibodies for studying nucleic acid structure. I note that a considerable period of time, sixteen years in fact, passed between our two 1966 publications and the filing of Enzo's original patent application in June 1982. It is my opinion and conclusion that the Engelhardt application appears to be the first

Page 18 [Declaration of Dr. Charles W. Parker]

real and practical application of our methodology for making protein-polynucleotide conjugates to the field of non-radioactive labeled nucleic acid probe technology. Because our own investigations were aimed at producing antibody formation with nucleotide specificity, we were not motivated or inclined to include any label, let alone a non-radioactive label in our nucleotide-protein conjugates (although we did employ crude non-specific protein and DNA stains, as discussed below). Thus, neither my Halloran I nor Halloran II papers disclosed or showed the use of a nonpolypeptide non-radioactive label moiety Sig, as required by a number of claims in the Engelhardt application. Although protein and DNA stains in the form of Amidoschwartz and Feulgen<sup>6</sup> were disclosed in Halloran I, these are non-specific stains that do not generate a sensitive, hybridization signal, as contrasted to Engelhardt's claimed oligo- or polynucleotides in which the non-polypeptide, nonradioactive label moiety Sig (such as the biotin moiety disclosed throughout the Engelhardt specification and used in Example V is covalently attached to a modified nucleotide. Feulgen and Amidoschwartz stains were used in our investigation for the sole purpose of showing that protein and oligonucleotide co-migrated during electrophoresis in a dissociating gel. Clearly, our nucleotide-protein conjugates did not include a modified nucleotide comprising a non-radioactive label moiety Sig, as set forth in various Engelhardt claims. Moreover, we never thought of attaching such a non-radioactive label moiety Sig to the phosphate moiety through a chemical linkage comprising a polypeptide or a protein, as set forth in other Engelhardt claims. As a person of at least ordinary skill in the art, I wish to make it clear that I did not intend nor was I motivated to use the conjugated poly-L-lysine (or other polypeptides, such as HSA and BγG) as a chemical linkage to which a non-radioactive label moiety (such as Engelhardt's claimed Sig) could be attached indirectly to the phosphate moiety of a modified nucleotide in an oligo- or polynucleotide. At the time that I published my two 1966 papers, I would not

<sup>&</sup>lt;sup>6</sup> Amidoschwartz and Feulgen compounds are used to stain protein and DNA, respectively.

Filed: June 7, 1995

Page 19 [Declaration of Dr. Charles W. Parker]

have been motivated or even inclined to use the non-radioactive labels now being claimed in the Engelhardt application. Even if I had been motivated to include a detectable label (and I was not motivated to do so), I would have likely chosen to use radioactive isotopes, such as  $^{32}P$  or radioactive iodine. Since the  $\gamma$   $^{32}P$  ATP label for  $^{32}P$  end labeling of oligonucleotides was readily prepared or available and has a high specific activity, I most likely would have chosen radioactive  $^{32}P$  for labeling in my investigations.

15. Having provided some background to my 1966 papers, I now wish to address each of the eight rejections (Nos. 2 through 9) set forth in the November 26, 2001 Office Action.

## (A) The Second Rejection (Anticipation/Obviousness)

opinion and conclusion as a person of ordinary skill in the art that the subject matter of these claims now being submitted to the Patent Office (attached as Exhibit 6) are novel over my cited publication (Halloran I). First, the Sig non-radioactive label moiety in any of the Engelhardt claims is not a polypeptide, which would include proteins or polyamino acids with lysyl residues such as disclosed in Halloran I. This applies to new claims 576-587, 588-589, 590-591, 592-607, 608-609, 610-611, 612-630, 631-632, 633-648, 649-650, 651-652 and 653-657.8 Second, none of the other new Engelhardt claims (658-735) recite a polypeptide, or protein, including poly-L-lysine, in the Markush members for Sig now listed in the new claims. If anything, the claims in the Engelhardt application

<sup>&</sup>lt;sup>7</sup> New claims 576, 595-596 and 615-616 correspond to former claims 454, 481-482 and 509-510. <sup>8</sup> New claims 576-587, 588-589, 590-591, 592-607, 608-609, 610-611, 612-628, 629-630, 631-632, 633-648, 649-650, 651-652 and 653-657 correspond to former claims 454-465, 467-468, 470-471, 478-493, 495-496, 498-499, 506-522, 524-525, 527-528, 535-550, 552-553, 555-556 and 563-567.

Page 20 [Declaration of Dr. Charles W. Parker]

eschew any connection to the poly-L-lysine disclosed in my 1966 papers. Finally, with respect to other new Engelhardt claims (736-813), a modified nucleotide is cited in which a non-radioactive label moiety Sig is attached indirectly to the phosphate moiety through a chemical linkage comprising a polypeptide or a protein. Neither of my 1966 papers, including Halloran I, disclosed or even suggested that the poly-L-lysine or any protein could or should be used as a chemical linkage to attach a non-radioactive label moiety to the phosphate moiety, as set forth in such Engelhardt claims.

With respect to new claims 577 and 597,9 it is my opinion and (ii) conclusion that by excluding and not reciting polypeptides (or proteins or polyamino acids including poly-L-lysine), as non-radioactive label moieties, both claims are novel over Halloran I. The very fact that Halloran I discloses Amidoschwartz and Feulgen compounds for staining protein and DNA, respectively, does not reach the subject matter of the Engelhardt claims in which the non-radioactive label moiety Sig is either a non-polypeptide, does not have a polypeptide or a protein among the members of Sig, or only uses a polypeptide or protein as a chemical linkage to attach Sig to a phosphate moiety. It is my opinion and conclusion that the use of a stain, such as Amidoschwartz or Feulgen, has no connection either in structure or function to the non-polypeptide, non-radioactive label moiety Sig in the Engelhardt claims. In the case of Amidoschwartz and Feulgen compounds, these are crude stains that are not quantitative at all. These stain non-specifically to any proteins or DNA, without regard to such proteins or DNA being modified. Furthermore, due to either relative insensitivity, conditions of use, and inability to be amplified, Amidoschwartz and Feulgen stains do not generate a useful non-radioactive hybridization signal from an oligo- or polynucleotide comprising at least one modified nucleotide that comprises the non-radioactive label moiety Sig, as set forth in the Engelhardt claims. In the case of my cited paper, Halloran I, the

Filed: June 7, 1995

Page 21 [Declaration of Dr. Charles W. Parker]

Amidoschwartz and Feulgen stains were simply used to show that the nucleotide or polynucleotide co-migrated with the protein in the dissociating gel in regions distinct from regions where the unmodified proteins and oligonucleotides migrated. To explain it in another way, there was a unique complex staining with both stains in contrast to other regions where neither of the precursors stained, implying, therefore, that the protein and nucleotide or polynucleotide were bound together in the gel. In short, such stains, such as the Amidoschwartz and Feulgen stains were not suitable as hybridization signals, and as far as I know have not been used for that purpose. 10 As a person of ordinary skill in the art, I would not have used nor would I have contemplated using Amidoschwartz or Feulgen stains to detect a hybridization signal because their crude and non-specific properties make such use unsuitable.

- With respect to new claims 578, 580, 586, 592, 593, 598, 600, 606 (iii) and 612-614,11 the fact that Halloran I discloses the addition of proteins or polypeptides (such as HSA [human serum albumin] and poly-lysine) which comprise at least three carbon atoms is irrelevant to the Engelhardt claims, which specifically eschew polypeptides, do not recite polypeptide among members of the nonradioactive label moiety Sig, or recites that Sig is attached to the phosphate moiety through a chemical linkage comprising a polypeptide or a protein.
- With respect to new claims 579, 581, 585, 594, 599, 601, 605 and (iv)614,12 again, the fact that Halloran I discloses the covalent attachment of -P-O-, the chemical linkage of CH2-NH-, and the covalent attachment of Sig to PM

<sup>9</sup> New claims 577 and 597 correspond to former claims 455 and 483.

<sup>11</sup> New claims 578, 580, 586, 592, 593, 598, 600, 606 and 612-614 correspond to former claims

456, 458, 464, 478, 479, 484, 486, 492 and 506-508.

<sup>&</sup>lt;sup>10</sup> Another reason discouraging the use of the Feulgen reaction for generating a signal from hybridized nucleic acid has to do with the harsh acid conditions under which the staining procedure is carried out. Under acidic conditions (for example, 1.0 N hydrochloric acid at 60°C for 5-20 minutes), the nucleic acid sought to be detected in a hybridized duplex may well be broken down or eluted altogether, with concomitant deterioration of signal.

Filed: June 7, 1995

Page 22 [Declaration of Dr. Charles W. Parker]

through a phosphorus atom or phosphate oxygen, is irrelevant to the Engelhardt claims which specifically eschew polypeptide, or that do not recite polypeptide among members of the non-radioactive label moiety Sig, or recite that Sig is attached to the phosphate moiety through a polypeptide or protein chemical linkage.

- (v) With respect to the Examiner's comments in the Office Action that even though Halloran does not teach the hybridization of an oligodeoxynucleotide to a nucleic acid of interest, or a portion thereof, it is an inherent property of an oligonucleotide to hybridize to a nucleic acid of interest (or portion thereof), which is complementary to the oligonucleotide, it is my opinion and conclusion that the Engelhardt claims are novel over Halloran I for reasons stated above. The Engelhardt claims specifically eschew the notion of a polypeptide as a non-radioactive detectable Sig moiety, or the claims do not recite a polypeptide among the members of Sig, or they include a polypeptide or a protein only as a chemical linkage for attaching Sig to the phosphate moiety.
- (vi) It is my opinion and conclusion that it would not have been obvious to one of ordinary skill in the art at the time the invention was made to have used the oligonucleotide preparation method of Halloran I for conjugating a protein to an oligonucleotide (through the PM), in order to have produced the oligo- or polynucleotide compositions claimed in the Engelhardt application. As stated earlier, the Engelhardt claims either specifically eschew any notion of polypeptide or they do not include polypeptide among members of the detectable non-radioactive label moiety Sig, or the claims only include polypeptide or protein as a chemical linkage for attaching Sig to the phosphate moiety.

## (B) The Third Rejection (Anticipation/Obviousness)

<sup>&</sup>lt;sup>12</sup> New claims 579, 581, 585, 594, 599, 601, 605 and 614 correspond to former claims 457, 459, 463, 480, 485, 487, 491 and 508.

With respect to new claims 617, 636, 637 and 65613, it is my opinion and conclusion that Halloran II does not disclose the subject matter of these claims. These and other claims in the Engelhardt application are directed to compositions in which Sig comprises a non-polypeptide, non-radioactive detectable label moiety, or to other compositions in which polypeptides or proteins are not listed among members of Sig, or in which a polypeptide or a chemical linkage is only used for attaching Sig to the phosphate moiety. With respect to new claims 618 and 638,14 it is my opinion and (ii) conclusion that by excluding and not reciting polypeptides (or proteins or poly-Llysine) as non-radioactive label moieties, both claims are novel over Halloran II. Thus, the fact that Halloran II discloses the use of Amidoschwartz and Feulgen staining to stain the protein and DNA, respectively, does not reach the subject matter of the Engelhardt claims in which the non-radioactive label moiety Sig is neither a polypeptide, nor is recited among Sig members as a polypeptide or protein. Moreover, the use of a stain, such as Amidoschwartz or Feulgen, has no connection to the non-polypeptide, non-radioactive label moiety Sig in the Engelhardt claims. In the case of the stains, Amidoschwartz and Feulgen are crude procedures which are not quantitative. Furthermore, it is my opinion and conclusion that these stains do not generate a useable non-radioactive signal from an oligo- or polynucleotide comprising a modified nucleotide that comprises the non-radioactive label moiety Sig, as set forth in the Engelhardt claims and invention. (iii) With respect to new claims 619, 621, 627, 633-634, 639, 641, 647, 653 and 654,15 it is my opinion and conclusion that the fact that Halloran II discloses the addition of proteins or polypeptides (such as HSA [human serum <sup>13</sup> New claims 617, 636, 637 and 656 correspond to former claims 511, 538, 539 and 567. <sup>14</sup> New claims 618 and 638 correspond to former claims 512 and 540. <sup>15</sup> New claims 619, 621, 627, 633-634, 639, 641, 647, 653 and 654 correspond to former claims 513, 515, 521, 535-536, 541, 543, 549, 563 and 564. Enz-5(D6)(C2)

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995

(i)

Page 23 [Declaration of Dr. Charles W. Parker]

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995 Page 24 [Declaration of Dr. Charles W. Parker]

albumin] and polylysine) which comprise at least three carbon atoms is irrelevant to the Engelhardt claims now being submitted. Such claims either specifically eschew any connection to polypeptide, or they do not recite polypeptide among members of the non-radioactive label moiety Sig, or they include a polypeptide or a protein only as a chemical linkage for attaching Sig to the phosphate moiety.

- (iv) With respect to new claims 620, 622, 626, 635, 640, 642, 646 and 655, <sup>16</sup> it is my opinion and conclusion that the fact that Halloran II discloses the covalent attachment of –P–O–, the chemical linkage of CH<sub>2</sub>–NH–, and the covalent attachment of Sig to PM through a phosphorus atom or phosphate oxygen, is also irrelevant to the Engelhardt claims. The Engelhardt claims specifically eschew any connection to polypeptide, or they do not recite polypeptide (or protein or enzyme) among members of the non-radioactive label moiety Sig, or they recite a polypeptide or a protein only as a chemical linkage for attaching Sig to the phosphate moiety.
- (v) With respect to the Examiner's comments in the Office Action that even though Halloran II does not teach the hybridization of an oligoribonucleotide to a nucleic acid of interest, or a portion thereof, it is an inherent property of an oligoribonucleotide to hybridize to a nucleic acid of interest (or portion thereof), which is complementary to the oligonucleotide, it is my opinion and conclusion that the Engelhardt claims are novel over Halloran I for reasons stated earlier. The Engelhardt claims either specifically eschew any connection to a polypeptide as a non-radioactive detectable Sig moiety, or the claims do not recite a polypeptide among the members of Sig, or they include a polypeptide or a protein only as a chemical linkage to attach Sig to the phosphate moiety.
- (vi) It is my opinion and conclusion that it would not have been obvious to one of ordinary skill in the art at the time the invention was made to have modified

<sup>&</sup>lt;sup>16</sup> New claims 620, 622, 626, 635, 640, 642, 646 and 655 correspond to former claims 514, 516,520, 537, 542, 544, 548 and 565.

Page 25 [Declaration of Dr. Charles W. Parker]

the method of Halloran II (pages 373-378) so as to have conjugated a protein to an RNA or DNA molecule in order to have achieved an equally effective compound for use in hybridization, and thereby reach the claimed Engelhardt compositions. It is also my opinion and conclusion that if it had been that obvious, someone would have done so in the sixteen years following the publication of Halloran II and the filing of the Engelhardt application. In fact, I believe that no one did this before Engelhardt et al. filed their application in June 1982. As indicated earlier, all of the compositions in the Engelhardt application are directed to a non-polypeptide, non-radioactive label moiety Sig, or to members of Sig that do not include a polypeptide or protein, or to a polypeptide or protein chemical linkage to attach Sig to the phosphate moiety. This stands in contrast to my cited paper, Halloran II.

## (C) The Fourth Rejection (Obviousness)

(i) With respect to new claims 584 and 604,<sup>17</sup> it is my opinion and conclusion that the disclosure of Halloran I, taken in further view of Falkow's disclosure (U.S. Patent No. 4, 358,535), would not have rendered the subject matter of these claims obvious to a person of ordinary skill in the art at the time the Engelhardt application was first filed in June 1982. As stated previously, none of Engelhardt's claimed compositions recite or include a polypeptide for the non-radioactive label moiety Sig. In half of the Engelhardt claims, polypeptide is specifically eschewed for the non-radioactive label moiety Sig. Moreover, the Engelhardt claims do not require or include enzymes as a label moiety Sig. Thus, in my opinion and conclusion, Falkow's disclosure with respect to enzymes as labels does not provide the requisite disclosure which is lacking in Halloran I, and which would have been necessary in order to reach the compositions in the Engelhardt application.

 $<sup>^{17}</sup>$  New claims 584 and 604 correspond to former claims 462 and 490.

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995 Page 26 [Declaration of Dr. Charles W. Parker] (ii)

- With respect to new claims 591 and 611,18 it is my opinion and conclusion that even Falkow's disclosure of fluorescent compounds, combined with Halloran I's disclosure, would not have rendered the Engelhardt compositions obvious to a person of ordinary skill in the art. Again, it is my opinion and conclusion that Halloran I taken in further view of Falkow's patent, would not reach Engelhardt's compositions which either require a non-polypeptide, non-radioactive label moiety Sig, or which do not recite or include a polypeptide for members of Sig, or which include a polypeptide or a protein chemical linkage to attach Sig to the phosphate moiety.
- With respect to new claims 590 and 610,19 it is my opinion and (iii) conclusion that even Falkow's disclosure regarding heavy metals, when combined with Halloran I, would not have rendered Engelhardt's claims obvious to a person of ordinary skill in the art at the time the application was filed in June 1982. As I have stated earlier in this Declaration, Engelhardt's claims either recite a nonpolypeptide, non-radioactive label moiety Sig, or they do not recite or include a polypeptide among the members of Sig, except as a chemical linkage for attaching Sig to the phosphate moiety.
- With respect to claims 476-477 and 504-505, 20 it is my opinion and (iv) conclusion that these claims would not have been obvious to one of ordinary skill in the art at the time the invention was made in view of Halloran I, taken in view of Falkow's disclosure regarding ligands and antiligands as labels. Further, it is my opinion and conclusion that it would not have been obvious to have modified Halloran's oligonucleotides by including Falkow's labels, instead of using a protein, in order to have provided an equally effective compound for nucleic acid detection. As indicated in the background above (Paragraph 10 A), the purpose of our

<sup>&</sup>lt;sup>18</sup> New claims 591 and 611 correspond to former claims 471 and 499.

<sup>&</sup>lt;sup>19</sup> New claims 590 and 610 correspond to former claims 470 and 498.

<sup>&</sup>lt;sup>20</sup> Former claims 476-477 and 504-505 do not have counterparts in the new claims. Nevertheless, I am addressing the rejection of these claims in the November 26, 2001 Office Action.

Filed: June 7, 1995

Page 27 [Declaration of Dr. Charles W. Parker]

investigation which led to the publication of Halloran I and Halloran II was to prepare nucleotide-protein conjugates for eliciting antibody formation with nucleotide specificity in order to study DNA and RNA structure and autoimmunity. As such, it would not have been obvious to discard the protein disclosed in Halloran II for any label disclosed in Falkow's patent. To substitute a protein in Halloran I would have been irrelevant to the purpose or object of our investigation and the conjugates we had prepared, which was to elicit nucleotide-specific antibody formation so that nucleic acid structures and autoimmunity could be studied. Moreover, as I indicated in the background above, we were not motivated to employ a detection label, let alone a non-radioactive detectable label, because we were only seeking to produce antibody formation with nucleotide specificity.

## (D) The Fifth Rejection (Obviousness)

(i) With respect to new claims 625 and 645, 21 it is my opinion and conclusion that the disclosure of Halloran II, taken in further view of Falkow's disclosure (U.S. Patent No. 4, 358,535), would not have rendered the subject matter of these claims obvious to a person of ordinary skill in the art at the time the Engelhardt application was first filed in June 1982. As I indicated in the preceding section (13(i)), none of Engelhardt's claimed compositions recite or include a polypeptide for the non-radioactive label moiety Sig. In a third of Engelhardt's claims, polypeptide is specifically excluded for the non-radioactive label moiety Sig. Moreover, the Engelhardt claims do not require or include enzymes as a label moiety Sig. Thus, in my opinion and conclusion, Falkow's disclosure with respect to enzymes as labels does not provide the requisite disclosure which is lacking in Halloran II, and which would have been necessary in order to reach the compositions now being claimed in the Engelhardt application.

<sup>&</sup>lt;sup>21</sup> New claims 625 and 645 correspond to former claims 519 and 547.

Filed: June 7, 1995

Page 28 [Declaration of Dr. Charles W. Parker]

- With respect to new claims 632 and 652,22 it is also my opinion and (ii) conclusion that even Falkow's disclosure of fluorescent compounds, combined with Halloran II's disclosure, would not have rendered the Engelhardt compositions obvious to a person of ordinary skill in the art. Again, it is my opinion and conclusion that Halloran II taken in further view of Falkow's patent, would not reach Engelhardt's compositions which either require a non-polypeptide, nonradioactive label moiety Sig, do not recite or include a polypeptide for members of Sig, or that include a polypeptide or a protein as a chemical linkage to attach Sig to the phosphate moiety. It is also my opinion and conclusion that the Falkow patent provides little or no information on the preparation of a fluorescent label, nor its attachment to a nucleotidyl phosphate, as set forth in the Engelhardt claims. Indeed, if Falkow's idea was to label an otherwise unmodified oligonucleotide with fluorescein isothiocyanate, I doubt whether Falkow's preparations would have been useful. Potential problems might very well have included insufficent stability, reduced or relatively non-specific hybridization signal, and especially, inefficient labeling.
- With respect to new claims 631 and 651,23 it is also my opinion and conclusion that even Falkow's disclosure regarding heavy metals, when combined with Halloran II, would not have rendered Engelhardt's claims obvious to a person of ordinary skill in the art at the time the application was filed in June 1982. As I have stated earlier in this Declaration, Engelhardt's claims either recite a nonpolypeptide, non-radioactive label moiety Sig, or they do not recite or include a polypeptide among the members of Sig. Furthermore, I find Falkow's disclosure to be lacking or insufficient on how he or they would have used heavy metals for labeling oligo- or polynucleotides.

<sup>22</sup> New claims 632 and 652 correspond to former claims 528 and 556.

 $<sup>^{23}</sup>$  New claims 631 and 651 correspond to former claims 527 and 555.

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995 Page 29 [Declaration of Dr. Charles W. Parker]

With respect to former claims 533-534 and 561-562,24 it is my (iv) opinion and conclusion that these claims would not have been obvious to one of ordinary skill in the art at the time the invention was made in view of Halloran II, taken in view of Falkow's disclosure regarding ligands and antiligands as labels. Further, it is my opinion and conclusion that it would not have been obvious to have modified Halloran's oligonucleotides by including Falkow's labels, instead of using a protein, in order to have provided an equally effective compound for nucleic acid detection. I indicated in the background above (Paragraph 10 A) that the purpose of our investigation which culminated in the publication of Halloran I and Halloran II was to prepare nucleotide-protein conjugates for antibody formation with nucleotide specificity to study DNA and RNA structure and the possible induction of autoimmune disease. As such, it would not have been obvious to discard the protein disclosed in Halloran II for any label disclosed in Falkow's patent. To substitute the protein in Halloran I would have been irrelevant to the purpose or object of our investigation and the conjugates we had prepared, which was to elicit nucleotide-specific antibody formation so that nucleic acid structures and possible consequences of anti-DNA antibody formation could be studied. Moreover, as I indicated in the background above (Paragraph 10 D), we were not motivated or even inclined to employ a detection label, let alone a non-radioactive detectable label, because we were only seeking to produce antibody formation with nucleotide specificity.

#### (E) The Sixth Rejection (Obviousness)

With respect to new claims 582-583, 587, 588-589, 602, 607 and 608-609,25 it is my opinion that these claims would not have been rendered

461, 465, 467-468, 488, 493 and 495-496.

<sup>&</sup>lt;sup>24</sup> Former claims 533-534 and 561-562 do not have counterparts in the new claims. The rejection of these claims is being addressed nevertheless. <sup>25</sup> New claims 582-583, 587, 588-589, 602, 607 and 608-609 correspond to former claims 460-

Filed: June 7, 1995

Page 30 [Declaration of Dr. Charles W. Parker]

obvious by Halloran I's disclosure taken in further view of Ward et al. (U.S. Patent No. 4,711,955). As described in the background above (Paragraph 10 B), in Halloran I, we were seeking to couple the nucleotide or polynucleotide directly to the protein, and at the same time, to minimize modifications or changes to the nucleic acid polymer or monomer being coupled. This is described on page 378 (left column) in Halloran I:

In the method of conjugation described in this article, mononucleotides, oligonucleotides, and DNA are coupled directly to protein. By contrast, the methods described in the preceding paragraph require some form of preliminary chemical modification of the mononucleotide, nucleoside or base. The applicability of these methods to coupling large units (oligo- and polynucleotides) to proteins or polyamino acids has not been established. We believe, therefore, that the general method described herein has certain advantages over previously described procedures.

To state it in another way, we were preparing nucleotide-protein conjugates in which the nucleotide or polynucleotide portion of the conjugate was directly coupled to the protein through an easily introduced terminal 5'-phosphate without an additional chemical linkage or linker arm and with minimal changes to the nucleotide or polynucleotide. It would have been irrelevant to Halloran I's disclosure to include any of the chemical linkages disclosed in Ward's patent, particularly since the former was aimed to direct coupling of the nucleotide or polynucleotide to the protein. It is my opinion and conclusion as a person of ordinary skill in the art that it would not have been obvious to modify the direct coupling linkage in Halloran I with any of the chemical linkages disclosed in Ward's patent.

(ii) Regarding any of the labels disclosed in Ward's patent, it is also my opinion and conclusion that it would not have been obvious to a person of ordinary skill in the art to use Ward's disclosed labels (biotin, fluorescent dyes, electrondense reagents, such as ferritin, colloidal gold and ferric oxide, or enzymes, such as

Page 31 [Declaration of Dr. Charles W. Parker]

peroxidase and alkaline phosphatase), instead of the protein in Halloran I. As stated earlier in this Declaration (Paragraph 10 A), the purpose of our investigation which culminated in the publication of Halloran I and Halloran II was to prepare nucleotide-protein conjugates for antibody formation with nucleotide specificity to study DNA and RNA structure. In my opinion as a person of ordinary skill in the art, it would not have been obvious to discard the protein disclosed in Halloran II for any label disclosed in Ward's patent. To substitute a protein in Halloran I would have been irrelevant to and would have negated the very purpose or object of our investigation and the conjugates we had prepared, which was to elicit nucleotidespecific antibody formation so that nucleic acid structures could be studied. Moreover, as indicated in the background above (Paragraph 10 D), we were not motivated or seeking to employ a detection label, let alone a non-radioactive detectable label, because we sought instead to elicit antibody formation with nucleotide specificity. Furthermore, as a person of ordinary skill in the art, I would not have been inclined to look to Ward's patent, which was directed to minimally disruptive base labeling and the incorporation (for example, enzymatically) of such base labeled nucleotides, in order to modify the conjugates in Halloran I, the latter having the protein conjugated to the phosphate of the nucleotide or polynucleotide -- and not to minimally disruptive base positions as in the case of Ward. Sterically, the effects of incorporating signals through the base and phosphate moieties are different and the resulting conjugates or oligonucleotide compositions might well have different sensitivities, selectivities or applications. As an ordinarily skilled person, I consider Ward's procedures to represent a wholly different methodological approach to oligonucleotide detection from Halloran I because Ward emphasizes labels attached through specific base positions. In contrast, the Engelhardt claims are directed to oligo- or polynucleotides in which a nonradioactive label moiety Sig is attached to the phosphate moiety of a modified nucleotide. This attachment runs counter to Ward's "essential criteria" (quoted in

Page 32 [Declaration of Dr. Charles W. Parker]

the Eighth Rejection below) and minimally disruptive positions described in their patent.

## (F) The Seventh Rejection (Obviousness)

- With respect to new claims 623-624, 628, 629-630, 643-644, 648 and 649-650,26 it is my opinion and conclusion that the subject matter of these claims would not have been obvious over Halloran II's disclosure, taken further in view of Ward's U.S. Patent No. 4,711,955. As I indicated in the preceding Paragraph 15(i), we sought to directly couple the nucleotide or polynucleotide to the protein, and to do so with little or no modifications or changes to the ribonucleic or deoxyribonucleic acid polymer or monomer being coupled. These conjugates were used for antibody formation in Halloran II. Thus, the nucleotideprotein conjugates used in Halloran II had the nucleotide or polynucleotide portion of the conjugate directly coupled to the protein without an additional chemical linkage or linker arm and with minimal changes to the nucleotide or polynucleotide. It would have run contrary to the disclosure in Halloran II to include any of the chemical linkages disclosed in Ward's patent, particularly since the former was aimed to produce antibody formation with nucleotide specificity using conjugates in which the nucleotide or polynucleotide was directly coupled to the protein. It is my opinion and conclusion as a person of ordinary skill in the art that it would not have been obvious to modify the direct coupling linkage in Halloran II with any of the chemical linkages disclosed in Ward's patent.
- (ii) Regarding any of the labels disclosed in Ward's patent, it is also my opinion and conclusion that it would not have been obvious to a person of ordinary skill in the art to use Ward's disclosed labels (biotin, fluorescent dyes, electrondense reagents, such as ferritin, colloidal gold and ferric oxide, or enzymes, such as

<sup>&</sup>lt;sup>26</sup> New claims 623-624, 628, 629-630, 643-644, 648 and 649-650 correspond to former claims 517-518, 522, 524-525, 545-546, 550 and 552-553.

Filed: June 7, 1995

Page 33 [Declaration of Dr. Charles W. Parker]

peroxidase and alkaline phosphatase), instead of the protein in Halloran II. As stated earlier in this Declaration (Paragraph 10 A), the purpose of our investigation which culminated in the publication of Halloran I and Halloran II was to prepare nucleotide-protein conjugates for antibody formation with nucleotide specificity to study DNA and RNA structure. In my opinion as a person of ordinary skill in the art, it would not have been obvious to discard the protein disclosed and used for antibody formation in Halloran II for any label disclosed in Ward's patent. To substitute a protein in Halloran II's conjugates, would have been irrelevant to and would have negated the purpose or object of our investigation and the conjugates we had prepared, which was to elicit nucleotide-specific antibody formation so that nucleic acid structures could be studied. Moreover, as indicated in the background above (Paragraph 10 D), we were not motivated or even seeking to employ a detection label, let alone a non-radioactive detectable label, because we sought to elicit antibody formation with nucleotide specificity. Furthermore, as a person of ordinary skill in the art, I would not have been inclined to look to Ward's patent, which was directed to minimally disruptive base labeling, in order to modify the conjugates in Halloran II, the latter having the protein conjugated to the phosphate of the nucleotide or polynucleotide -- and not to the base as in the case of Ward's labels.

### (G) The Eighth Rejection (Obviousness)

(i) With respect to former claims 475 and 503,<sup>27</sup> it is my opinion and conclusion that the subject matter of these claims would not have been obvious to a person of ordinary skill in the art from a reading of Ward's patent, taken in further view of Halloran I. More particularly, it would not have been obvious in view of

<sup>&</sup>lt;sup>27</sup> Former claims 475 and 503 do not have counterparts in the new claims. I am addressing the rejection insofar as it may be applicable to new claims 736-813 and the claimed embodiment wherein a non-radioactive label moiety Sig is covalently attached to the phosphate moiety through a chemical linkage comprising a polypeptide or a protein.

Filed: June 7, 1995

Page 34 [Declaration of Dr. Charles W. Parker]

Halloran I's disclosure of coupling proteins, such as HSA, BγG and polylysine, which can be stained with Amidoschwartz, to have modified Ward's compounds to include polylysine (instead of rhodamine) so as to have achieved an equally effective compound for nucleic acid detection. As stated above in several paragraphs, Amidoschwartz and Feulgen stains are crude, non-specific stains and could not have been used for effective and accurate signaling from a hybridized nucleic acid duplex. I respectfully point out that Ward's nucleic acid compounds include base-modified nucleotides in which the base has been modified in the socalled "minimally disruptive" positions. That this is the case is spelled out in clear language in Ward's patent, beginning in the section "Detailed Description of the Invention," column 6, line 36, through column 7, line 17:

Several essential criteria must be satisfied in order for a modified nucleotide to be generally suitable as a substitute for a radioactively-labeled form of a naturally occurring nucleotide. First, the modified compound must contain a substituent or probe that is unique, i.e., not normally found associated with nucleotides or polynucleotides. Second, the probe must react specifically with chemical or biological reagents to provide a sensitive detection system. Third, the analogs must be relatively efficient substrates for commonly studied nucleic acid enzymes, since numerous practical applications require that the analog be enzymatically metabolized, e.g., the analogs must function as substrates for nucleic acid polymerases. For this purpose, probe moieties should not be placed on ring positions that sterically, or otherwise, interfere with the normal Watson-Crick hydrogen bonding potential of the bases. Otherwise, the substituents will yield compounds that are inactive as polymerase substrates. Substitution at ring positions that alter the normal "anti" nucleoside conformation also must be avoided since such conformational changes usually render nucleotide derivatives unacceptable as polymerase substrates. Normally, such considerations limit substitution positions to the 5-position of a pyrimidine and the 7-position of a purine or a 7deazapurine.

Fourth, the detection system should be capable of interacting with probe substituents incorporated into both single-stranded and double-stranded polynucleotides in order to be compatible with nucleic acid hybridization methodologies. To satisfy this criterion, it is

Page 35 [Declaration of Dr. Charles W. Parker]

preferable that the probe moiety be attached to the purine or pyrimidine through a chemical linkage or "linker arm" so that it can readily interact with antibodies, other detector proteins, or chemical reagents.

Fifth, the physical and biochemical properties of polynucleotides containing small numbers of probe substituents should not be significantly altered so that current procedures using radioactive hybridization probes need not be extensively modified. This criterion must be satisfied whether the probe is introduced by enzymatic or direct chemical means.

Finally, the linkage that attaches the probe moiety should withstand all experimental conditions to which normal nucleotides and polynucleotides are routinely subjected, e.g., extended hybridization times at elevated temperatures, phenol and organic solvent extraction, electrophoresis, etc.

All of these criteria are satisfied by the modified nucleotides described herein.

It is my opinion and conclusion that a person of ordinary skill in the art (ii) would not have looked to Halloran I to modify Ward's compounds by including proteins or polypeptides (such as HSA and poly-lysine) and protein stains, notably Amidoschwartz. As indicated in several preceding paragraphs above, the use of Amidoschwartz as a crude, non-quantitative staining technique in no way points to its use for detecting signals from hybridized nucleic acid duplexes. As clearly indicated in the portion of Ward et al. just quoted above, it teaches away from Ward's patent to modify the Ward compounds by coupling Halloran I's proteins to the phosphate moiety. The phosphate moiety is not at a minimally disruptive base position as urged and required in Ward's above-quoted passage. Furthermore, it is my opinion and conclusion that even if a person of ordinary skill in the art were to include Halloran I's proteins in Ward's compounds, he or she would have been motivated to attach the protein only to the minimally disruptive base position, such as the 5-position of a pyrimidine, the 8-position of a purine, or the 7-position of a deazapurine. To do otherwise would require a repudiation of Ward's "several

Dean L. Engelhardt et al. Serial No. 08/479,997 Filed: June 7, 1995 Page 36 [Declaration of Dr. Charles W. Parker]

essential criteria" quoted above.

## (H) The Ninth Rejection (Obviousness)

With respect to claims 532 and 560,28 it is also my opinion and (i) conclusion that it would not have been obvious to one of ordinary skill in the art at the time the Engelhardt application was filed in June 1982 to have modified Ward's compound to include Halloran II's polylysine as a detectable label (instead of rhodamine) so as to have achieved an equally effective compound for nucleic acid detection. As I indicated in the preceding discussion for the seventh rejection (Paragraph 16(i), it would not have been obvious in view of Halloran II's disclosure which calls for coupling proteins directly to the phosphate moiety of a mononucleotide or polynucleotide, to have modified Ward's compounds to include polylysine (instead of rhodamine) so as to have achieved an equally effective compound for nucleic acid detection. As indicated in the preceding discussion (Paragraphs 17(i) and 17(ii), Ward's nucleic acid compounds include base-modified nucleotides in which the base has been modified in the so-called "minimally disruptive" positions. See Ward et al., U.S. Patent No. 4,711,955, under the "Detailed Description of the Invention," column 6, line 36, through column 7, line 17; quoted in the preceding section (seventh rejection). The phosphate moiety employed in Halloran II's conjugates is not at a minimally disruptive base position as urged and required in Ward's passage quoted in the preceding discussion for the seventh rejection. Furthermore, it is my opinion and conclusion that even if a person of ordinary skill in the art were to include Halloran I's polylysine polypeptide in Ward's compounds, at most he or she would have been motivated to attach the protein to the minimally disruptive base position in Ward's compound, which is limited to the 5-position of a pyrimidine, the 8-position of a purine, or the 7-position

<sup>&</sup>lt;sup>28</sup> Although they do not have counterparts in the new claims, the rejection of former claims 532 and 560 is being addressed nevertheless.

Filed: June 7, 1995

Page 37 [Declaration of Dr. Charles W. Parker]

of a deazapurine. To do otherwise and attach the polylysine to the phosphate moiety would require repudiation of Ward's "several essential criteria" and a clear teaching away from Ward's patent.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that any such willful false statements may jeopardize the validity of the application or any patent issued thereon.

5/21/62 Date

Charles W. Parker, M.D.

Enz-5(D6)(C2).FinalDecl.CWP.5.20.02

## CURRICULUM VITAE -Charles W. Parker, M.D.

SS#: 490-38-0488

Date: October 6, 1999

## 1. Personal Information:

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b. Date of Birth: March 23, 1930 c. Place of Birth: St. Louis, Missouri

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### 4. Present Position:

Professor of Medicine, Microbiology and Immunology (Emeritus)

#### 5. Education:

a. Washington University, St. Louis, MO 1947-1949

b. Washington University School of Medicine, St. Louis, MO, 1958

| . C. | 1953-1954 | Intern Paraga II. 2011, MO, 1958   |
|------|-----------|--|
|      |           | Intern, Barnes Hospital, Washington University School of Medicine, St. Louis, MO |
| ,    | 1956-1958 | Assistant Resident, Barnes Hospital Washington II-                               |
|      | 1058 1050 | Medicine, St. Louis, MO  |

1958-1959 Chief Resident, Barnes Hospital (Ward Medical Service), Washington

University School of Medicine, St. Louis, MO

1961-1962 USPHS Research Fellow

1962-1972 Research Career Award, NIAID

## 6. Academic Positions/Employment:

| 10.00     | Employment.   |
|-----------|---|
| 1960-1963 | Instructor in Medicine, Washington University School of Medicine  |
| 1962-1988 | Head Division of Allerman Ly  |
|           | Head, Division of Allergy and Immunology, Washington University School of Medicine  |
| 1963-1968 |   |
| 1968-1971 | Assistant Professor of Medicine, Washington University School of Medicine Associate Professor of Medicine, Washington, V. V.        |
| 1971-1998 |   |
| 1975-1998 |   |
| 17/3-1770 | of Microbiology and Immunology Molecular D. W.  |
| 1077 1000 |   |
| 1977-1989 | Investigator, Howard Hughes Laboratory for the St. 1  |
|           | Investigator, Howard Hughes Laboratory for the Study of Clinical Immunology and Allergy at Washington University School of Medicine |
| 1998-     | Professor of Medicine (Fine it )  |
|           | Professor of Medicine (Emeritus), Washington University School of Medicine  |
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# 7. University and Hospital Appointments and Committees:

1959-1998 Associate Physician, Barnes Hospital

### 8. Medical Licensure

MO 15764; Board Certified Internal Medicine, 1962

### 9. Military Service:

LCMR, USNR United States Navy; 1954-1956

### 10. Honors and Awards:

Washington University, cum laude

Mosby Award, Phi Eta Sigma; Alpha Omega Alpha; Sigma Xi; Hixon Award; Bausch and Lomb

Research Career Award, NIAID, 1962-1972

Honorary Fellowship Award, The American Academy of Allergy and Immunology, 1983 (for original research in the field of allergy and immunology) Washington University Alumni Award

Charles W. Parker Medical Student Scholarship

## 11. Editorial Responsibilities: (Editorial Boards)

Journal of Allergy and Clinical Immunology

Immunochemistry

Clinical Immunology and Immunopathology

Journal of Immunology

Journal of Clinical Investigation

Editorial Consultant to Clinical Immunology

Editorial Committee, American Association of Immunologists

Associate Editor, The Journal of Clinical Investigation, 1977-1982

Section Editor, The Journal of Immunology, 1977-1982

## 12. Professional Societies and Organizations:

American Board of Internal Medicine

Central Society for Clinical Research

Fellow, American Academy of Allergy

American Association of Immunologists

Collegium Internationale Allergologicum

Association of American Physicians

American Heart Study Section, 1972-1974

American Society for Clinical Investigation

Council of the American Society for Clinical Investigation, 1973-1976

American Federation for Clinical Research

# 13. Major Invited Professorships and Lectureships:

Various lectureships and symposia.

# 14. Consulting Relationships and Board Memberships

NIH Study Section - Immunology A, 1967-1971

FDA Anti-infective Agents Advisory Committee, 1969-1971

Consultant to NIH Intramural Training Programs in Clinical Immunology

NIH, NIAID, Allergy and Immunology Research and Research Training Committee, 1972-1974 Board of Advisors, Roche Institute of Molecular Biology, 1978-1981

Ad hoc Consultant to numerous pharmaceutical companies including Searle, Merck, Pfizer, Lilly, Mead Johnson, Nippon Zoki

NIH, GCRC Scientific Review Committee, 1993-1997, 1998 - 2002, a & hor resultable

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## 16. Clinical Title and Responsibilities:

1959-1998

Associate Physician, Barnes-Jewish Hospital

## 17. Teaching Title and Responsibilities:

1971-1998

Professor of Medicine, Washington University School of Medicine

1975-1998

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# THE PREPARATION OF NUCLEOTIDE-PROTEIN CONJUGATES: CARBODIMIDES AS COUPLING AGENTS

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For some time it has been apparent that antibodies might be useful in the study of the fine structure of RNA and DNA. A major stumbling block has been the unavailability of a method which would render polynucleotides antigenic yet largely preserve their structural integrity. In approaching the problem of covalently conjugating polynucleotides to proteins as a means of stimulating antibody formation, we sought a procedure which would employ terminal nucleotide PO, or OH groups for coupling. Two ways in which the terminal PO4 groups might be coupled covalently to protein would involve formation of a phosphodiester bond with protein seryl and threonyl residues or an N-P bond with protein e-amino groups (Fig. 1, reactions 1 and 2 respectively). On the other hand, the OH group of a terminal sugar residue could react with protein carboxyl groups forming an ester (Fig. 1, reaction 3). Among possible coupling agents, the water soluble carbodiimides seemed especially attractive because they are known to promote the formation of all three types of bonds (1). Evidence will be presented in this communication which indicates that nucleotides do couple to proteins and polylysine under very mild conditions, in the presence of carbodiimides. Investigation strongly implicates formation of N-P bonds as the principle type of linkage. In the accompanying article it will be shown that conjugates of proteins with mononucleotides, oligonucleotides and DNA elicit the formation of antibodies with nucleotide specificity (2). A brief resume of this work has been reported earlier (3).

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# MATERIAL AND METHODS

1-Ethyl-3-diisopropylaminocarbodiimide HCl (EDC)3 was obtained from the Ott Chemical Company, Muskegon, Michigan. 1-3-Dicyclohexylcarbodiimide (DCC) and 1-cyclohexyl-3-(2-morpholinyl-(4)-ethyl)-carbodiimide metho-ptoluenesulfonate (CMC) were obtained from the Aldrich Chemical Company, Milwaukee, Wisconsin. N-ethyl-5-phenylisoxazolium-3'-sulfonate, various mononucleotides, purified proteins, snake venom phosphodiesterase and calf intestine phosphomonoesterase, calf thymus and salmon sperm DNA were obtained from the Sigma Chemical Company, St. Louis, Missouri. Puromycin was a gift of Dr. Lillian Recant, Washington University School of Medicine. Polylysine HBr was a product of the Pilot Chemical Company, Watertown, Massachusetts (MW 70,000-80,000).

3'-O-Acetyl thymidylic acid was synthesized according to the procedure of Gilham and Khorana (4). Tetrathymidylic acid was prepared and purified by the method of Khorana and Vizsolyi (5). The N-butylamine phosphoroamidate of adenylic acid was prepared as described in reference (6).

Coupling of mono- and oligonucleotides to proteins. The following will serve as an example of the procedure used in the coupling of mono-

<sup>1</sup> The following abbreviations are used throughout this article: 1-ethyl-3-diisopropylaminocarbodiimide IICl, EDC; 1-3-dicyclohexylcarbodiimide, DCC; 1-cyclohexyl-3-(2-morpholinyl-(4)-ethyl)-carbodiimide metho-p-toluenesulfonate, CMC; bovine γ-globulin, BγG; N-ethyl-5-phenylisoxazolium-3'-sulfonate, rengent K; human serum albumin, HSA; thymidylic acid, T5'-PO<sub>4</sub>; tetrathymidylic acid, 3'-0-Ac-T5-PO<sub>4</sub>; tetrathymidylic acid, (T5)<sub>4</sub>; T5'-PO<sub>4</sub>-DCC-HSA and T5'-PO<sub>4</sub>-CMC-HSA are the protein conjugates of T5'-PO<sub>4</sub> with HSA in the presence of the respective coupling agent.

Figure 1. Possible reactions of nucleotides with proteins in the presence of carbodiimides. Very unstable products such as acyl phosphates are not shown.

nucleotides to protein: Human serum albumin (HSA), 25 mg, and 65 mg of thymidylic acid (T5'-PO4) were dissolved in 0.5 ml H<sub>2</sub>O. The pH was adjusted to 7.5 and 65 mg EDC were added. The reaction mixture was incubated for 24 hr at room temperature in the dark. The clear solution was dialyzed at 4°C against 0.01 M Tris chloride, pH 7.6, to a constant 267/280 m $\mu$  absorbency and phosphorus content (7). Protein concentration was measured by dry weight (8) and the Lowry technique (9).

The same general procedure was used in the coupling reactions involving adenylic acid, Puromycin, adenine, adenosine, thymidine and 3'-O-acetyl thymidylic acid to both bovine  $\gamma$ -globulin (B $\gamma$ G) and human serum albumin (HSA).

Coupling with another water soluble carbodiimide, CMC, was carried out as described for EDC.

Seventy-five milligrams of dicyclonexylcarbodiimide (DCC) was incubated for 10 min with 60 mg 3'-O-acetylthymidine 5'-PO<sub>4</sub> (3'-O-Ac-T5'-PO<sub>4</sub>) in 1 ml of dry pyridine. The pyridine solution was then added dropwise with rapid stirring to a solution of 25 mg HSA in H<sub>2</sub>O at pH 7.5. After 12 hr the reaction mixture was purified by dialysis as described above.

Attempts to couple T5 to proteins using Woodward's reagent K and tosyl chloride (10) were carried out under the conditions described above (e.g., in aqueous solution with and without preliminary incubation of the mononucleotide with the activating agent in dry pyridine).

Twenty-seven milligrams of tetrathymidylic

acid (T5), were reacted with 4.2 mg HSA and 13 mg EDC in 0.25 ml H<sub>2</sub>O at pH 7.5. The product was dialyzed for 1 week at room temperature and 1 week at 4°C. During the final week of dialysis the absorbency of the protein (T5), solution at 267 mµ remained constant.

Coupling of DNA to protein. Highly polymerized salmon sperm DNA was denatured by boiling a 5 mg/ml solution for 10 min and then plunging the solution into a water bath containing crushed ice. Two milliliters of the denatured DNA solution were added to 20 mg of  $B\gamma G$ dissolved in 1.5 ml of 0.1 N NaCl. The pH was adjusted to 7.5 and 20 mg EDC were added. The reaction mixture was allowed to incubate for 24 hr at room temperature in the dark and purified by dialysis against 0.01 M Tris-buffered saline. A small amount of precipitate which formed during the incubation was removed by centrifugation at 10,000 rpm for I hr. The material was analyzed by electrophoresis employing cellulose acetate and urea starch gel with varying pH conditions. Electrophoresis in the absence of urea was performed on cellulose acetate in 0.05 M barbital, pH 8.6, and in 0.05 M carbonate, pH 10, for 3 hr at room temperature, at 0.4 ma/cm strip. Electrophoresis also was carried out in starch gel containing 7 M urea, 0.05 M formate, pH 3.4, at 125 v for 8 hr. Protein and DNA were localized by Amidoschwartz and Feulgen stains respectively. Controls included the protein alone, EDC-treated protein alone, DNA alone, and mixtures of DNA with untreated and EDC treated protein. The amounts of DNA and protein loaded on the strips in the

TABLE I

Extent of coupling of nucleosides and nucleotides to proteins and polyaminoacids

| Macromolecule                | Nucleotide, Nucleoside<br>or Base | Coupling Agent | Degree of<br>Substitution per<br>Molecule of Carrier <sup>a</sup> | Reactive Groups<br>per Molecule<br>of Carrier |
|------------------------------|-----------------------------------|----------------|---|---|
| HSA                          | T5' PO.                           | EDC            | 23  |   |
| HSA"                         | T5' PO                            | DCC            | 16  | ***   |
| HSA                          | T5' PO.                           | CMC            | 13  |   |
| HSA                          | Adenine                           | EDC ·          | <1  |   |
| HSA                          | Thymidine .                       | EDC            | 3   |   |
| HSA                          | Adenosine                         | EDC            | 5   |   |
| HSA                          | T5' PO                            | Tosyl chloride | < 0.5   |   |
| HSA                          | T5' PO <sub>+</sub>               | Reagent K      | < 0.5   | Ψ,  |
| ΒγG                          | T5' PO                            | EDC            | 28  |   |
| ВуС                          | T5' PO                            | CMC            | . 17  |   |
| Poly-400-lysine              | T5' PO <sub>4</sub>               | EDC            | . 100   | 400 -   |
| Poly-400-lysine              | 3' O-Ac-T5' PO.                   | EDC            | 100   | 400   |
| Hydroxyethyl-poly-400-lysine | T5' PO.                           | EDC            | < 2   | >400  |
| Poly-600-glutamate           | T5' PO <sub>4</sub>               | EDC            | 2   | 600   |

" Conjugates were prepared, purified, and analyzed as described in the text.

\*A mixture of DCC and T5'-PO<sub>4</sub> in dry pyridine was added dropwise to stirred protein solution (see Material and Methods).

With minor changes in the reaction conditions, i.e., lowering total volume of the reaction mixture, conjugation in the ratio of 200 T5'-PO, groups per 400 lysyl residues could be obtained.

controls were comparable to the amounts present in the conjugates.

Coupling of nucleotides to polyamino acids. Ten milligrams of polylysine HBr were dissolved in 0.25 ml H<sub>2</sub>O and 35 mg of T5'-PO<sub>4</sub> were added. The pH was adjusted to 7.5 and 35 mg of EDC were added. The preparation was incubated for 24 hr at room temperature in the dark and then dialyzed as described above. In a similar fashion the reaction of T5'-PO<sub>4</sub> and EDC with polytyrosine and polyglutamic acids was evaluated.

To evaluate further the possible reaction of T5'-PO<sub>4</sub> with hydroxyl groups, hydroxylation of a high molecular weight polylysine with ethylene oxide was carried out. The polylysine was incubated at pH 8 to 9 with a 100-fold molar excess of ethylene oxide (with respect to polymer NH<sub>2</sub>) for 12 hr and purified by dialysis. The ninhydrin reaction of the product was negative, indicating quantitative hydroxyethylation of amino groups. The hydroxyethyl-polylysine was then reacted with T5'-PO<sub>4</sub> at pH 7.5 in the presence of EDC.

Enzymatic digestions of conjugates with snake venom phosphodiesterase and calf intestine phosphomonoesterase were carried out as described in references (12) and (13). Hydroxyl-

For a description of the reaction of ethylene oxide with proteins, see reference (11).

amine treatment of conjugates was carried out as described in reference (14).

### RESULTS

Preliminary experiments conducted thymidylic acid and human serum albumin in aqueous solution, in the presence of the water soluble carbodiimide 1-ethyl-3-diisopropylaminocarbodiimide (EDC), indicated that conjugation of the mononucleotide with protein had taken place. At neutral pH and room temperature a soluble product which contained 23 thymidylate residues/molecule was obtained (Table I). The absorption spectrum of the product as compared with those of the unsubstituted protein and of the protein reacted with EDC alone is shown in Figure 2. The difference spectrum corresponded very closely to that of free thymidylic acid in the 250 to 280 m $\mu$  region. The number of thymidylate residues on the protein as estimated by the ultraviolet spectrum corresponded closely to the value obtained by quantitative phosphorus analysis.

Subsequent studies indicated that the conjugation reaction could be extended to other mononucleotides and other proteins using several different carbodiimides (Table I). Even the water insoluble carbodiimide, DCC, could act

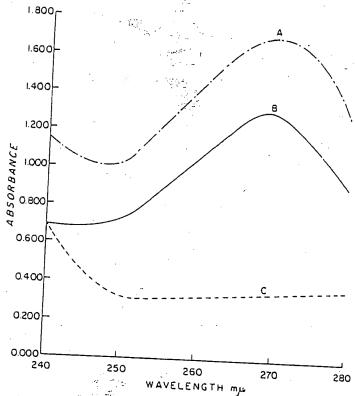


Figure 2. Absorption spectra of HSA and EDC-treated HSA (Curve C), and T5'-PO4-EDC-HSA (curve A), each at concentrations of 0.7 mg/ml. The third curve (B) is a difference spectrum in which the protein contribution to the absorbance of T5'-PO4-EDC-HSA has been subtracted.

as a coupling agent provided the nucleotide was incubated with DGC in dry pyridine before addition to the protein in aqueous solution (Table 1). By contrast, Woodward's reagent K and tosyl chloride, two agents known to promote phosphodiester bond formation under anhydrous conditions, did not result in significant coupling.

In investigating the mechanism of the carbodimide-induced conjugation of the nucleotide with protein, any substantial participation by the 3' OH group in an ester bond (Fig. 1, reaction 3) could be readily excluded: a) 3'-O-acetyl thymidylic acid, which lacks a reactive group at the 3' position, coupled as readily with protein and polylysine as thymidylic acid (Table I); b) when the conjugate of thymidylic acid with human serum albumin was subjected to alkaline hydrolysis at 37° in 0.1 M NaOH for various periods of time up to 1 hr and treated with hydroxylamine under conditions which cleave ester bonds (14), 85% or more of the phosphorous remained bound to protein; c)

thymidylic acid coupled very sluggishly with polyglutamic acid under the usual reaction conditions (Table 1); d) while calf intestine phosphomonoesterase cleaved a portion of the phosphorous from protein (see below), thymidine also was removed. This indicated that cleavage was not taking place at a free 5'-PO<sub>4</sub> group.

In a similar manner it could be estimated that relatively few if any of the thymidylate-protein bonds were of the phosphodiester type (Fig. 1, reaction 1): a) thymidylate residues were not cleaved to a significant degree from thymidylate-HSA conjugates by snake venom phosphodiesterase; b) under the coupling conditions used for proteins, thymidylate acid failed to react appreciably with the hydroxyethylated derivative of polylysine (see Materials and Methods).

The results described above suggested that neither ester nor phosphodiester bonds could account for the majority of the protein-bound thymidylate, and focused attention on the possibility of an N-P bond as the predominant means

of combination (Fig. 1, reaction 2). In accord with this possibility it could be demonstrated that thymidylate readily reacted with polylysine producing a product with as many as 50% of camino groups of polylysine substituted with thymidylate. Further evidence that N—P bonds can be formed in aqueous solution was obtained by paper chromatography of reaction mixtures containing butylamine, thymidylic acid and various carbodiimides.

On treatment of T5'-PO<sub>4</sub>-HSA conjugates with calf intestine phosphomonocsterase at pH 9.5, thymidine and PO<sub>4</sub> were liberated from the protein, as judged by changes in phosphorous content and the ultraviolet spectrum after dialysis (Table II). Since the enzyme had not been subjected to rigorous purification, the presence of phosphoamidase activity could well account for this result. In accord with this possibility it was found that phosphoamide bonds on T5'-PO<sub>4</sub>-polylysine and the N-butylamine phosphoamidate of adenylate were cleaved by the enzyme.

While it was evident that mononucleotides could be coupled to proteins by means of earbodiimides, the applicability of the method to high molecular weight polymers remained to be established. Studies with a synthetically prepared tetramer of thymidylate (T5), were encouraging; under the usual coupling conditions a conjugate of (T5), with HSA was obtained which contained at least 50 (T5)4 residues per molecule of protein. The method also appeared to be applicable to much larger units. Denatured salmon serum DNA (molecular weight 10 million) was reacted with BγG in the presence of EDC. The soluble product obtained was subjected to electrophoresis under a variety of conditions including 7 M urea in starch gel at pH 3.9. No condition was found which led to dissociation of the DNA and protein moieties. By contrast, with mock conjugates between native or EDC-treated protein and

'Thymidylic acid also is capable of reacting with carbodiimides which contain secondary and tertiary amino groups. This reaction may assume some importance in protein conjugations using EDC or CMC. Judging from the results of Khorana and his colleagues with various amines, EDC should be capable of reacting directly with protein forming a 1-cthyl-3-diisopropylamino-guanidine substituent on the alkyl side chain of lysyl residues (1). It is possible that a portion of the thymidylate groups become bound to protein by coupling to ethyldiisopropylamino-guanidine.

TABLE II

Digestion of HSA-T5' PO.-EDC and poly-L-400-T5' PO.-EDC with phosphomonocsterase (calf intestine)\*

| Enzyme | μg P/mg<br>Polylysine<br>or Protein | O.D. at 267<br>mu/mg<br>Polylysine<br>or Protein <sup>h</sup> |
|--------|-------------------------------------|---|
| 0      | 120                                 | 18.150  |
| +      | 40                                  | 6.400   |
| 0      | 10                                  | 2.400   |
| +      | 6.5                                 | .960  |
|        | 0                                   | Polylysine or Protein   |

\* Digestion was carried out on duplicate samples as described in Reference (13) followed by prolonged dialysis against 0.15 M saline, 0.001 N Tris chloride, pH 7.5. Control undigested samples were handled identically with the omission of enzyme.

\* Corrected for protein contribution at 267 ma.

DNA, the protein and DNA could be readily separated. We would infer from these results that a stable linkage was formed between protein and DNA molecules in the presence of EDC.

Investigation of the reaction of adenosine and adenine with protein indicated that the 6-amino group of the base reacts very sluggishly, if at all, with proteins under the usual coupling conditions. The relatively slight degree of conjugation observed with adenosine (Table I) may be due to bonds involving the primary hydroxyl group at the 5' position. Thymidine displayed a reactivity of similar magnitude.

# DISCUSSION

From the results described above it is evident that carbodiimides afford a means of conjugating nucleotides and oligonucleotides to proteins. Evidence has been presented which indicates that the bulk of the reaction takes place with protein amino groups. It would also appear that denatured DNA forms a stable bond with proteins under similar conditions. However, the nature of the bond here is not established. It is possible that a portion of the binding involves functional groups on purine and pyrimidine bases rather than terminal phosphate groups.

The natural occurrence of N—P—O bonds in  $\alpha$ -casein has been reported by Perlmann (15, 16).

On the basis of results of enzymatic digestion, she inferred that 40% of the total phosphorus was bound in an N-P-O linkage, 20% as pyrophosphate and 40% as a phosphomonoester. She found that complete removal of the phosphorus was accompanied by disintegration of the proteininto smaller units.

In the past several years, several methods have been described for combining purine and pyrimidine bases or nucleosides with protein in order to render these materials antigenic. Earlier studies by Butler et al. and by Tanenbaum and Beiser employed trichloromethyl purines and pyrimidines for conjugation (17, 18). While our own studies were in progress, Erlanger and Beiser described a reaction involving the vicinal hydroxyl groups on the sugar moiety of ribonucleotides (19). The ribonucleotide was oxidized with sodium periodate, coupled to protein, and the linkage stabilized by reduction. This reaction converted the ribose five-membered ring to a six-membered ring containing a nitrogen derived from the protein. Another recent approach has been that of Sela et al. which involves the conversion of mononucleotides or nucleosides to nucleoside-5'-earboxylie acids. The nucleoside-5' carboxylic acid is then coupled to polyamino gicids containing free é-amino groups (20).

In the method of conjugation described in this article, mononucleotides, oligonucleotides, and DNA are coupled directly to protein. By contrast, the methods described in the preceding paragraph require some form of preliminary chemical modification of the mononucleotide, nucleoside or base. The applicability of these methods to coupling larger units (oligo- and polynucleotides) to proteins or polyamino acids has not been established. We believe, therefore, that the general method described herein has certain advantages over previously described procedures.

There are a variety of other phosphorus-containing compounds of biologic interest which might be linked to proteins in the presence of carbodiimides. Efforts to date to promote the formation of covalent conjugates between flavin mononucleotide and protein with EDC have not been conclusive, however. Clearly, more work is needed before the applicability of the coupling procedure to non-nucleotide monophosphates is established.

In the subsequent article we will describe studies on the antibody specificity of antisera obtained after immunization with these conjugates.

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# THE PRODUCTION OF ANTIBODIES TO MONONUCLEOTIDES, OLIGONUCLEOTIDES AND DNA

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In the accompanying article we have described methods for preparing mono, oligo and polynucleotide protein conjugates (1). This article is concerned with the evaluation of the immunologic response to these preparations. We will present evidence for the formation of antibodies with specificity for nucleotides and DNA as demonstrated by precipitin and complement fixation reactions. The results of preliminary evaluation of the antibody response to tetrathymidylic acid in terms of the number of nucleotide residues involved in the antigenic site also will be reported.

#### MATERIALS AND METHODS

Methods for preparing protein conjugates and tetrathymidylic acid are described in the preceding article (1).

Random bred albino rabbits (2.5 kg) were immunized with either 2 or 3 mg of nucleotide or polynucleotide-protein conjugate in complete Freund's adjuvant, distributed among the footpads. Antisera were obtained by cardiac puncture at 21 to 26 days. Globulin fractions were obtained by precipitation at 50% ammonium sulfate followed by dialysis.

In quantitative precipitin analysis, antigen and antisera or globulin fractions were incubated at 37°C for 1 hr, and at 4°C for 16 to 36 hr. Precipitates were washed three times with ice-cold

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For abbreviations see footnote in the preceding article (1). Protein conjugates are designated by nucleotide, coupling agent and protein. For example T5'-P0<sub>4</sub>-EDC-B<sub>7</sub>G was obtained by conjugation of thymidylic acid to B<sub>7</sub>G in the presence of 1-ethyl-3-disopropylaminocarbodimide (EDC).

saline, dissolved in 0.5% sodium lauryl sulfate in water and read at  $280~\text{m}\mu$  on the spectrophotometer. In calculating the amount of antibody in the precipitate, a correction was made for antigen contribution (see legend, Fig. 1) and it was assumed that a 1-mg/ml solution of rabbit  $\gamma$ -globulin has an absorbance of 1.5 at  $280~\text{m}\mu$ .

Quantitative complement fixation using the 50% hemolytic unit was carried out as described in (2).

#### RESULTS

Eight antisera to T5'-PO<sub>4</sub>-EDC-HSA were evaluated using T5'-PO<sub>4</sub>-CMC-BγG as the precipitating antigen. The amount of antibody precipitated varied from 0.6 to 1.2 mg/ml. An example of a precipitin curve with a globulin fraction and varying amounts of antigen is shown in Figure 1. The complement fixation curve of this same globulin fraction at a dilution of 1:100 with denatured DNA as antigen is shown in Figure 2. Under the same conditions native DNA failed to fix complement with this antiserum. The amounts of T5'-PO<sub>4</sub> specific antibody formed when T5'-PO<sub>4</sub>-CMC-BγG was used as the immunizing antigen were comparable to the above.

The results of hapten inhibition of precipitation with antibody to T5'-PO4 are shown in Figure 3.4 The relatively poor inhibition by thymine and thymidine as compared to thymidylic acid indicates that antibody specificity is to the entire molecule. Mixtures of the component parts of the T5'-PO4 molecule (e.g., 2-deoxy-dribose, thymine and inorganic phosphate) inhibited only slightly better than thymine alone.

Using T5'-P0.-CMC-B $_{\gamma}$ G as precipitating antigen at 0.06 M T5'-P0., more than 90% inhibition of precipitation was observed. This curve was not shown because a small amount of spontaneous precipitation by the antigen resulted in higher blanks.

Adenylate and cytidylate inhibited much less effectively than T5'-PO4.

The specificity of the thymidylate inhibition of precipitation was evaluated with two unrelated antigen-antibody systems. No inhibition was seen at concentrations as high as 0.06 M with egg albumin and CMC-BγG and their respective antibodies.

Similarly, hapten inhibition of complement fixation between antibody to T5'-PO<sub>4</sub>-EDC-BγG and T5'-PO<sub>4</sub>-EDC-HSA could be demonstrated (Fig. 4). The relative inhibitory capacity of various haptens was similar to that in hapten inhibition of precipitation; as expected, lower concentrations of hapten were required for 50% inhibition in the complement fixation system. No complement fixation was observed between EDC-HSA and the anti T5'-PO<sub>4</sub>-EDC-BγG globulin fraction. This is in accord with nearly complete inhibition of complement fixation by T5'-PO<sub>4</sub> at a concentration of 3 × 10<sup>-5</sup> M. No complement fixation was observed between T5'-PO<sub>4</sub>-EDC-HSA and "normal" rabbit globulin

The specificity of inhibition of complement fixation by T5'-PO, was evaluated with polypenicoyl-B $\gamma$ G (3) and its specific rabbit antiserum (in the form of a globulin fraction). No inhibition of complement fixation was observed at a T5'-PO, concentration of 0.06 M.

Antisera to tetrathymidylic acid. Three antisera were obtained to (T5')4-EDC-HSA. On the basis of the amount of globulin precipitated with T5'-PO4-CMC-ByG the sera contained an average of 1.0 mg/ml antibody specific for the thymidylate polymer. The results of hapten inhibition of a pooled globulin fraction of the three sera are shown in Table I. The monomer and the tetramer of thymidylate were about equivalent as inhibitors (based on the number of thymidylate residues present). Higher concentrations of hapten were not used because of the limited supply of tetrathymidylate. Similar inhibition data were obtained with an antibody specific for T5'-PO4-CMC-HSA. The somewhat lower efficiency of the tetramer as an inhibitor using equivalent concentrations of thymidylate residues presumably is due to steric hindrance to

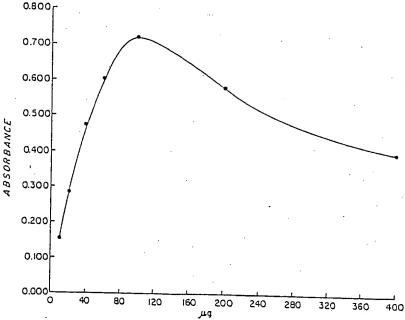


Figure 1. Analysis of rabbit antiserum to T5'-PO<sub>4</sub>-EDC-HSA using T5'-PO<sub>4</sub>-CMC-B $_{\gamma}$ C as precipitating antigen. The indicated amounts of antigen (abscissa) were incubated with 0.5-ml volumes of serum (duplicate tubes). Precipitates were washed and analyzed in a volume of 1.0 ml 0.5% sodium lauryl sulfate as described in the text. The absorbance at 280 m $\mu$  (ordinate) is corrected for antigen contribution assuming complete precipitation of antigen in antibody excess, 95% at equivalence, and 80% in antigen excess.

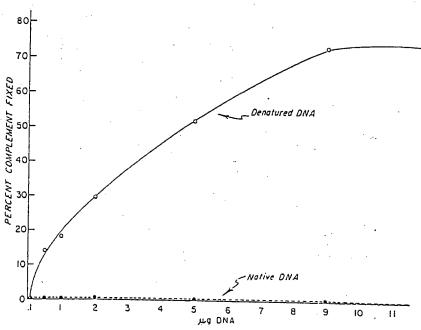


Figure 2. Complement fixation: Analysis of a rabbit antiserum to T5'-PO<sub>4</sub>-EDC-HSA using native and denatured DNA as antigens. The indicated amounts of DNA (abscissa) were incubated with a 1:100 dilution of a globulin fraction of the above antiserum. No complement was fixed in antigen and antibody control tubes. Denatured DNA did not fix complement with "normal" rabbit globulin (obtained from animals immunized with unrelated antigens in complete adjuvant).

the simultaneous binding of several antibody molecules to the same tetrathymidylate molecule.

Antisera to DNA. Antisera to DNA-protein conjugates failed to precipitate with homologous and heterologous DNA by ring test and double diffusion in agar gel. Antiscra both to native Figure 5B and denatured Figure 5A calf thymus DNA antiserum (immunization with DNA-CMC-ByG) fixed complement with denatured calf thymus DNA at dilutions of 1:100 or higher. No complement was fixed with native DNA. Antisera to salmon sperm and call thymus DNA fixed complement equally well with homologous and heterologous DNA. This result suggested that complement fixation was not due to protein antigens contaminating the DNA preparations. The presence of antibodies with nucleotide specificity was confirmed by the results of precipitin analysis. Antisera to the DNA-CMC-ByG conjugates formed precipitates with T5'-PO.-EDC-HSA (Fig. 6). The average serum concentration of nucleotide specific antibody was estimated to be 1.2 mg/ml, using an antibody to salmon sperm DNA (immunization with DNA-CMC-ByG) and the above precipitating antigen. Precipitation was inhibited to the extent of 20%, 35% and 80%

at T5'-PO4 concentrations of 0.006, 0.03 and 0.06 M respectively. Deoxyadenylic acid produced comparable inhibition, in contrast to what was observed with antibodies to T5'-PO4, suggesting that both deoxyribonucleotides (and presumably all four) participate in the antigenic groupings.

Antibody with nucleotide specificity at a concentration of 0.8 to 1.0 mg/ml also was demonstrated in the serum from two animals immunized with a mock DNA-EDC protein conjugate. The protein had been reacted with EDC in the absence of DNA, and then dialyzed thoroughly to remove unreacted coupling agent. On mixing the EDC-protein with denatured DNA at neutral pH a precipitate formed which then was used for immunization.

#### DISCUSSION

The results of the immunologic studies indicate that nucleotides, oligonucleotides, and DNA-protein conjugates induce the formation of antibodies with nucleotide specificity. The antibodies react both with denatured DNA and with nucleotide protein conjugates. While the immunologic response to analogous RNA protein preparations

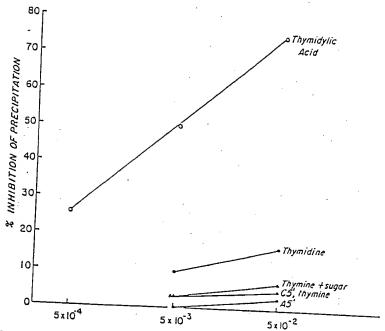


Figure 3. Hapten inhibition of precipitation of a globulin fraction of rabbit antiserum to T5'-P0<sub>4</sub>-EDC-ByG using T5'-P0<sub>4</sub>-EDC-HSA as the precipitating antigen (at equivalence, 0.1 mg antigen protein). Each point represents the result of duplicate determinations. The deviation in absorbance between duplicate tubes did not exceed 3%. Control precipitates (no hapten) contained 0.2 mg antibody protein. The figures on the abscissa indicate the final hapten concentration in mM/ml. Analysis of precipitates was carried out as described in the text and the legend to Figure 1. (O——O = thymidylic acid; •——• = thymidine;  $\Delta$ —— $\Delta$  = thymine + deoxyribose (each at the concentration indicated on the abscissa);  $\Delta$ —— $\Delta$  = cytidylic or thymine;  $\Box$  = deoxyndenylic acid.) Thymidylic acid did not inhibit precipitation at 0.06 M in two unrelated antigen antibody systems.

has not been studied, it may be presumed that antibodies to the different types of RNA could be obtained by the same procedure.

In employing water soluble carbodiimides to prepare nucleotide protein conjugates several side reactions can take place. Carbodiimides promote the formation of peptide bonds between protein molecules (4), altering the antigenic structure of the protein. Carbodiimides can be substituted on the protein (5) or on nucleotide bases (6) directly under certain conditions, introducing new antigenic groups. Any contribution of the protein and the coupling agent to the antibody response can be evaluated by nucleotide inhibition of precipitation and complement fixation. Moreover, contributions by non-nucleotide antibodies can be largely or completely eliminated by the use of unconjugated DNA as the antigen in complement fixation, by varying the protein and the coupling agent used in preparing the test antigen, or by absorption of the antiserum.

In the past several years several groups have reported antibody formation to purine and pyrimidine bases, nucleosides or mononucleotides (7-10). The production of antibodies to oligonucleotides and to purified DNA has remained a problem, however. Yachnin was unable to produce antibodies to homopolymers of several nucleotides or to purified DNA (11, 12). During the course of our own studies Plescia et al. reported that the precipitate formed by mixing the polycationic protein, methylated serum albumin and denatured DNA produced antibodies reactive with DNA (13). The basis for the immunogenicity of DNA and other acidic polymers, when given in the form of a reversible complex with methylated serum albumin is not entirely clear. In addition to the several explanations suggested by Plescia et al., we believe there is the possibility that the ester groups on the protein might react in vivo with formation of covalent bonds between DNA and protein. Fruton has called attention to the fact that

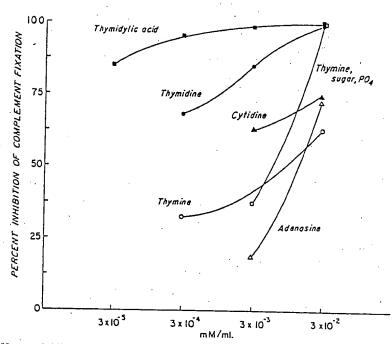


Figure 4. Hapten inhibition of complement fixation. A 1:100 dilution of a globulin fraction of rabbit anti-T5'-P0.-EDC-IISA was incubated with 1  $\mu$ g T5'-P0.-EDC-B $\gamma$ G. Hapten concentrations are expressed in mmoles/ml (abscissa). ( $\blacksquare \longrightarrow \blacksquare$  = thymidylic acid;  $\bullet \longrightarrow \bullet$  = thymidine;  $\triangle \longrightarrow \triangle$  = cytidine; O—O = thymine;  $\triangle \longrightarrow \triangle$  = adenosine;  $\square \longrightarrow \square$  = a mixture of thymine, 2-deoxy-d-ribose, and inorganic phosphate, each at the concentrations indicated on the abscissa.

TABLE I

Comparative hapten inhibition of precipitation of globulin fractions from animals immunized with mono- and tetra-thymidylate

| Immunizing Antigen                     | Concentration        | Hapten  |         |
|--|----------------------|---------|---------|
| —————————————————————————————————————— | of Hapten            | T5'-PO. | (T5')," |
|  |                      | %       | %       |
| HSA-(T5'),-EDC                         | $6 \times 10^{-4}$   | 13      | 10      |
|  | $6 \times 10^{-6}$   | 5       | 0       |
| HSA-T5'-POEDC                          | 6 × 10-4             | 32 ·    | 17      |
|  | 6 × 10 <sup>-6</sup> | 17      | 14      |

<sup>a</sup> The molarity of the (T5'), hapten is expressed per T5'-PO, residue.

The precipitating antigen used for both antibodies was the equivalent amount of  $T5'-PO_4-CMC-B_{\gamma}G$ .

Control precipitates (no hapten present) contained 0.16 mg antibody protein. Precipitates were analyzed in duplicate as described in the text and the legend for Figure 1.

aliphatic esters of amino acids are activated in a thermodynamic sense and might undergo reactions such as aminolysis in vivo (14). In this regard it would be of interest to study the immunogenicity of DNA complexed with other polycationic macromolecules. The formation of antibodies to the insoluble mock conjugate of EDC-treated protein and DNA which we observed in this study is presumably analogous to what occurs with the methylated serum albumin-DNA complexes.

The relative advantages of the carbodiimide and the methylated serum albumin methods for producing antibodies to DNA and oligonucleotides remain to be fully evaluated. The carbodiimide technique is applicable to units of any size; the minimal nucleotide size for antigenicity in oligonucleotide-methylated serum albumin complexes is probably of the order of four to eight nucleotide residues and may be larger in some instances (15). Moreover, the conjugates prepared by the carbodiimide procedure usually are entirely soluble; this is an advantage in that in the methylated serum albumin-DNA insoluble complex, large portions of the DNA may be buried and unable to act as antigenic sites. On the other hand, carbodilmides which contain secondary and tertiary amine groups can react with nucleotide bases altering DNA structure. For this reason it may be desirable to use the

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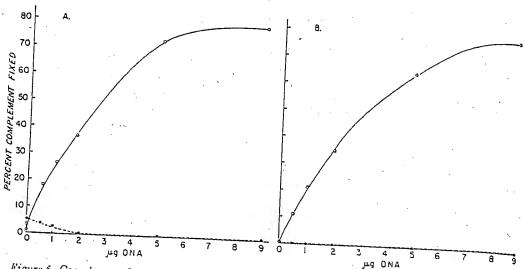


Figure 5. Complement fixation by a 1:100 dilution of a globulin fraction of rabbit antiserum to native (curve B) and denatured (curve A) calf thymus DNA (immunized with DNA-CMC-B<sub>7</sub>C). The indicated amounts of native (•----•) and denatured (O—O) calf thymus DNA were used as the complement-fixing antigen.

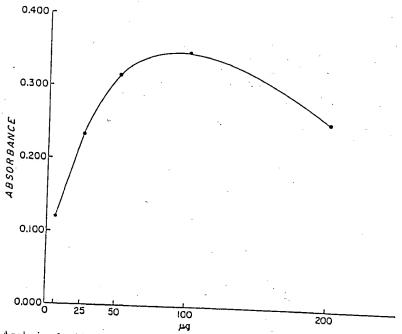


Figure 6. Analysis of rabbit antiserum to denatured call thymus DNA-CMC-ByC using T5'-P0. EDC-HSA as precipitating antigen. The indicated amounts of antigen protein (abscissa) were incubated with 0.2-ml volumes of antiserum in duplicate tubes. Absorbance (ordinate) is that of dissolved precipitate in 1.0 ml 0.5% sodium lauryl sulfate and is corrected for reading due to antigen (see text and legend to Figure 1).

nonpolar carbodiimide, DCC, as the coupling agent rather than EDC or CMC.

The question remains as to whether antibodies to various types of DNA will be able to distin-

guish the homologous antigen from heterologous DNA. The limited number of different nucleotide bases and the structural similarity between the two purines and the two pyrimidines make

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the degree of potential antigenic variation in single stranded DNA much less than that in a protein. Presumptive evidence that an antibody site may encompass at least 4 to 5 nucleotide residues has been obtained in the studies of Stollar, Levine and their colleagues (16) with sera from patients with lupus erythematosis. They found several sera in which a tetramer or pentamer of thymidylic acid inhibited complement fixation much more effectively than did the monomer. Our own preliminary studies using tetrathymidylate as antigen have not provided evidence for an antibody response to the entire oligonucleotide. Nor were there obvious differences between antibodies to two types of DNA (differing appreciably in base composition) in terms of their ability to fix complement fixation with the homologous and heterologous DNA. It seems likely, however, that the antibody responses do differ and that this might be demonstrated by absorption of the antisera with the heterologous DNA. Similarly, absorption of the antisera to tetrathymidylic acid might reveal that a portion of the antibody had specificity for the entire oligonucleotide.

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ENGELHARDT ET AL., U.S. PAT. APPL. SER. NO. 08/479,997 NEW CLAIMS 576-825 FOR SUBMISSION WITH AMENDMENT (In Response to November 26, 2001 Office Action)

576. An oligo- or polydeoxyribonucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polydeoxyribonucleotide comprising at least one modified nucleotide having the formula

wherein PM is a phosphate moiety, SM is a sugar moiety and BASE is a base moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, said PM being attached to SM, said BASE being attached to SM, and Sig being covalently attached to PM directly or through a chemical linkage, said Sig comprising a non-polypeptide, non-radioactive label moiety which can be directly or indirectly detected when attached to PM or when said modified nucleotide is incorporated into said oligo- or polydeoxyribonucleotide or when said oligo- or polydeoxyribonucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof.

- 577. The oligo- or polydeoxyribonucleotide of claim 576, wherein said Sig is or renders the nucleotide or the oligo- or polydeoxyribonucleotide self-signaling or self-indicating or self-detecting.
- 578. The oligo- or polydeoxyribonucleotide of claim 576, wherein said Sig moiety comprises at least three carbon atoms.

579. The oligo- or polydeoxyribonucleotide of claim 576, wherein said covalent attachment is selected from the group consisting of

580. The oligo- or polydeoxyribonucleotide of claim 576, wherein said chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

581. The oligo- or polydeoxyribonucleotide of claim 576, wherein said chemical linkage comprises a member selected from the group consisting of an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, a -CH<sub>2</sub>NH-moiety, or both.

582. The oligo- or polydeoxyribonucleotide of claim 576, wherein said chemical linkage comprises an allylamine group.

583. The oligo- or polydeoxyribonucleotide of claim 576, wherein said chemical linkage comprises or includes an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, or any of the moieties:

- 584. The oligo- or polydeoxyribonucleotide of claim 576, wherein said chemical linkage of Sig includes a glycosidic linkage moiety.
- 585. The oligo- or polydeoxyribonucleotide of claim 576, wherein said PM is monophosphate, a diphosphate or a triphosphate and said Sig moiety is covalently attached to said PM through a phosphorus atom or phosphate oxygen.
- 586. The oligo- or polydeoxyribonucleotide of claim 576, wherein Sig comprises a component selected from the group consisting of biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.
- 587. The oligo- or polydeoxyribonucleotide of claim 586, wherein said electron dense component comprises ferritin.

- 588. The oligo- or polydeoxyribonucleotide of claim 586, wherein said magnetic component comprises magnetic oxide.
- 589. The oligo- or polydeoxyribonucleotide of claim 588, wherein said magnetic oxide comprises ferric oxide.
- 590. The oligo- or polydeoxyribonucleotide of claim 586, wherein said metal-containing component is catalytic.
- 591. The oligo- or polydeoxyribonucleotide of claim 586, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 592. The oligo- or polydeoxyribonucleotide of claim 576, wherein said Sig moiety is attached to a terminal nucleotide in said oligo- or polydeoxyribonucleotide.
- 593. The oligo- or polydeoxyribonucleotide of claim 592, wherein the sugar moiety of said terminal nucleotide has a hydrogen atom at the 2' position thereof.
- 594. The oligo- or polydeoxyribonucleotide of claim 592, wherein the sugar moiety of said terminal nucleotide has oxygen atoms at each of the 2' and 3' positions thereof.
- 595. The oligo- or polydeoxyribonucleotide of claim 576, comprising at least one ribonucleotide.

596. An oligo- or polydeoxyribonucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polydeoxyribonucleotide comprising at least one modified nucleotide having the structural formula:

wherein BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, and wherein BASE is attached to the 1' position of the pentose ring from the N1 position when BASE is a pyrimidine or from the N9 position when BASE is a purine or a deazapurine;

wherein x is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein y is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein z is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate; and

wherein Sig is covalently attached directly or through a chemical linkage to at least one phosphate selected from the group consisting of x, y, z, and a combination thereof, said Sig comprising a non-polypeptide, non-radioactive label moiety which can be directly or indirectly detected when so attached to said phosphate or when said modified nucleotide is incorporated into said oligo- or polydeoxyribonucleotide or when said oligo- or polydeoxyribonucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof.

597. The oligo- or polydeoxyribonucleotide of claim 596, wherein said Sig is or renders the nucleotide or the oligo- or polydeoxyribonucleotde self-signaling or self-indicating or self-detecting.

598. The oligo- or polydeoxyribonucleotide of claim 596, wherein said Sig moiety comprises at least three carbon atoms.

599. The oligo- or polydeoxyribonucleotide of claim 596, wherein said covalent attachment is selected from the group consisting of

600. The oligo- or polydeoxyribonucleotide of claim 596, wherein said chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

601. The oligo- or polydeoxyribonucleotide of claim 596, wherein said chemical linkage comprises a member selected from the group consisting of an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, a -CH<sub>2</sub>NH-moiety, or both.

602. The oligo- or polydeoxyribonucleotide of claim 596, wherein said chemical linkage comprises an allylamine group.

603. The oligo- or polydeoxyribonucleotide of claim 596, wherein said chemical linkage comprises or includes an olefinic bond at the  $\alpha$ -position relative to the point of attachment to x, y or z, or any of the moieties:

$$- CH = CH_2 - NH -$$

$$- CH = CH - CH_2 - NH -$$

$$- CH = CH - CH_2 - O - CH_2 - CH - CH_2 - NH -,$$

$$\begin{vmatrix} O & & \\ OH, & \\ & &$$

604. The oligo- or polydeoxyribonucleotide of claim 596, wherein said chemical linkage of Sig includes a glycosidic linkage moiety.

605. The oligo- or polydeoxyribonucleotide of claim 596, wherein said x and y each comprise a member selected from the group consisting of a monophosphate, a diphosphate and a triphosphate and said Sig moiety is covalently attached to either or both of said x and y through a phosphorus atom or phosphate oyxgen.

- 606. The oligo- or polydeoxyribonucleotide of claim 596, wherein Sig comprises a component selected from the group consisting of biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.
- 607. The oligo- or polydeoxyribonucleotide of claim 606, wherein said electron dense component comprises ferritin.
- 608. The oligo- or polydeoxyribonucleotide of claim 606, wherein said magnetic component comprises magnetic oxide.
- 609. The oligo- or polydeoxyribonucleotide of claim 608, wherein said magnetic oxide comprises ferric oxide.
- 610. The oligo- or polydeoxyribonucleotide of claim 606, wherein said metal-containing component is catalytic.
- 611. The oligo- or polydeoxyribonucleotide of claim 606, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 612. The oligo- or polydeoxyribonucleotide of claim 596, wherein said Sig moiety is attached to a terminal nucleotide in said oligo- or polydeoxyribonucleotide.

- 613. The oligo- or polydeoxyribonucleotide of claim 612, wherein z of said terminal nucleotide comprises a hydrogen atom at the 2' position thereof.
- 614. The oligo- or polydeoxyribonucleotide of claim 612, wherein both y and z of said terminal nucleotide comprise an oxygen atom at each of the 3' and 2' positions thereof, respectively.
- 615. The oligo- or polydeoxyribonucleotide of claim 596, comprising at least one ribonucleotide.
- 616. The oligo- or polydexoyribonucleotide of claim 596, having the structural formula:

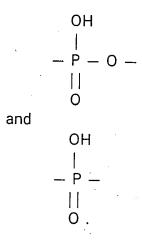
wherein m and n represent integers from 0 up to about 100,000, and wherein said Sig moiety is attached to at least one of the phosphate moieties in said structural formula.

617. An oligo- or polynucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polynucleotide comprising at least one modified nucleotide having the formula

wherein PM is a phosphate moiety, SM is a sugar moiety and BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, said PM being attached to SM, said BASE being attached to SM, and Sig being covalently attached to PM directly or via a chemical linkage, said Sig comprising a non-polypeptide, non-radioactive label moiety which can be directly or indirectly detected when attached to PM or when said modified nucleotide is incorporated into said oligo- or polynucleotide, or when said oligo- or polynucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof, provided that when said oligo- or polynucleotide is an oligoribonucleotide or a polyribonucleotide, and when Sig is attached through a chemical linkage to a terminal PM at the 3' position of a terminal ribonucleotide, said chemical linkage is not obtained through a 2',3' vicinal oxidation of a 3' terminal ribonucleotide previously attached to said oligoribonucleotide or polyribonucleotide.

- 618. The oligo- or polynucleotide of claim 617, wherein said Sig is or renders the nucleotide or the oligo- or polynucleotide self-signaling or self-indicating or self-detecting.
- 619. The oligo- or polynucleotide of claim 617, wherein said Sig moiety comprises at least three carbon atoms.

620. The oligo- or polynucleotide of claim 617, wherein said covalent attachment is selected from the group consisting of



- 621. The oligo- or polynucleotide of claim 617, wherein said chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.
- 622. The oligo- or polynucleotide of claim 617, wherein said chemical linkage comprises a member selected from the group consisting of an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, a -CH<sub>2</sub>NH- moiety, or both.
- 623. The oligo- or polynucleotide of claim 617, wherein said chemical linkage comprises an allylamine group.

624. The oligo- or polynucleotide of claim 617, wherein said chemical linkage comprises or includes an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, or any of the moieties:

$$-CH = CH_2 - NH -$$

$$-CH = CH - CH_2 - NH -$$

$$-CH = CH - CH_2 - O - CH_2 - CH - CH_2 - NH -,$$

$$\begin{vmatrix} O & & & \\$$

- 625. The oligo- or polynucleotide of claim 617, wherein said chemical linkage of Sig includes a glycosidic linkage moiety.
- 626. The oligo- or polynucleotide of claim 617, wherein said PM is a monophosphate, a diphosphate or a triphosphate and said Sig moiety is covalently attached to said PM through a phosphorus atom or a phosphate oxygen.
- 627. The oligo- or polynucleotide of claim 617, wherein Sig comprises a component selected from the group consisting of biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.
- 628. The oligo- or polynucleotide of claim 627, wherein said electron dense component comprises ferritin.

- 629. The oligo- or polynucleotide of claim 627, wherein said magnetic component comprises magnetic oxide.
- 630. The oligo- or polynucleotide of claim 629, wherein said magnetic oxide comprises ferric oxide.
- 631. The oligo- or polynucleotide of claim 627, wherein said metal-containing component is catalytic.
- 632. The oligo- or polynucleotide of claim 627, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 633. The oligo- or polynucleotide of claim 617, wherein said Sig moiety is attached to a terminal nucleotide in said oligo- or polynucleotide.
- 634. The oligo- or polynucleotide of claim 633, wherein the sugar moiety of said terminal nucleotide has a hydrogen atom at the 2' position thereof.
- 635. The oligo- or polynucleotide of claim 633, wherein the sugar moiety of said terminal nucleotide has an oxygen atom at each of the 2' and 3' positions thereof.
- 636. The oligo- or polynucleotide of claim 617, comprising at least one deoxyribonucleotide.

637. An oligo- or polynucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polynucleotide comprising at least one modified nucleotide having the structural formula:

wherein BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, and wherein BASE is attached to the 1' position of the pentose ring from the N1 position when BASE is a pyrimidine or from the N9 position when BASE is a purine or a deazapurine;

wherein x is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein y is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein z is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate; and

wherein Sig is covalently attached directly or through a chemical linkage to at least one phosphate selected from the group consisting of x, y and z, and a combination thereof, said Sig comprising a non-polypeptide, non-radioactive label moiety which can be directly or indirectly detected when so attached to said phosphate or when said modified nucleotide is incorporated into said oligo- or polynucleotide, or when said oligo- or polynucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof, provided that when said oligo- or polynucleotide is an oligoribonucleotide or a polyribonucleotide and

when Sig is attached through a chemical linkage to a terminal PM at the 3' position of a terminal ribonucleotide, said chemical linkage is not obtained through a 2',3' vicinal oxidation of a 3' terminal ribonucleotide previously attached to said oligoribonucleotide or polyribonucleotide.

638. The oligo- or polynucleotide of claim 637, wherein said Sig is or renders the nucleotide or the oligo- or polynucleotide self-signaling or self-indicating or self-detecting.

639. The oligo- or polynucleotide of claim 637, wherein said Sig moiety comprises at least three carbon atoms.

640. The oligo- or polynucleotide of claim 637, wherein said covalent attachment is selected from the group consisting of

641. The oligo- or polynucleotide of claim 637, wherein said chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

- 642. The oligo- or polynucleotide of claim 637, wherein said chemical linkage comprises a member selected from the group consisting of an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, a -CH<sub>2</sub>NH- moiety, or both.
- 643. The oligo- or polynucleotide of claim 637, wherein said chemical linkage comprises an allylamine group.
- 644. The oligo- or polynucleotide of claim 637, wherein said chemical linkage comprises or includes an olefinic bond at the  $\alpha$ -position relative to x, y or z, or any of the moieties:

$$- CH = CH_2 - NH -$$

$$- CH = CH - CH_2 - NH -$$

$$- CH = CH - CH_2 - O - CH_2 - CH - CH_2 - NH -,$$

$$\begin{vmatrix} O & & \\ & OH, \\ & & \\ & & OH, \\ & & & \\ & & \\ & &$$

- 645. The oligo- or polynucleotide of claim 637, wherein said chemical linkage of Sig includes a glycosidic linkage moiety.
- 646. The oligo- or polynucleotide of claim 637, wherein said x and y each comprise a member selected from the group consisting of a monophosphate, a diphosphate and a triphosphate and Sig moiety is covalently attached to either or both of said x and y through a phosphorus atom or a phosphate oxygen.

- 647. The oligo- or polynucleotide of claim 637, wherein Sig comprises a component selected from the group consisting of biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.
- 648. The oligo- or polynucleotide of claim 647, wherein said electron dense component comprises ferritin.
- 649. The oligo- or polynucleotide of claim 647, wherein said magnetic component comprises magnetic oxide.
- 650. The oligo- or polynucleotide of claim 649, wherein said magnetic oxide comprises ferric oxide.
- 651. The oligo- or polynucleotide of claim 647, wherein said metal-containing component is catalytic.
- 652. The oligo- or polynucleotide of claim 647, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 653. The oligo- or polynucleotide of claim 637, wherein said Sig moiety is attached to a terminal nucleotide in said oligo- or polynucleotide.

654. The oligo- or polynucleotide of claim 653, wherein z of said terminal nucleotide comprises a hydrogen atom at the 2' position thereof.

655. The oligo- or polynucleotide of claim 653, wherein both y and z of said terminal nucleotide comprise an oxygen atom at each of the 3' and 2' positions thereof, respectively.

656. The oligo- or polynucleotide of claim 637, comprising at least one deoxyribonucleotide.

657. The oligo- or polynucleotide of claim 637, having the structural formula:

wherein m and n represent integers from 0 up to about 100,000, and wherein said Sig moiety is attached to at least one of the phosphate moieties in said structural formula.

658. An oligo- or polydeoxyribonucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polydeoxyribonucleotide comprising at least one modified nucleotide having the formula

wherein PM is a phosphate moiety, SM is a sugar moiety and BASE is a base moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, said PM being attached to SM, said BASE being attached to SM, and Sig being covalently attached to PM directly or through a chemical linkage, said Sig comprising a non-radioactive label moiety which can be directly or indirectly detected when attached to PM or when said modified nucleotide is incorporated into said oligo- or polydeoxyribonucleotide or when said oligo- or polydeoxyribonucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof, and wherein Sig comprises biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.

- 659. The oligo- or polydeoxyribonucleotide of claim 658, wherein said Sig is or renders the nucleotide or the oligo- or polydeoxyribonucleotide self-signaling or self-indicating or self-detecting.
- 660. The oligo- or polydeoxyribonucleotide of claim 658, wherein said Sig moiety comprises at least three carbon atoms.

661. The oligo- or polydeoxyribonucleotide of claim 658, wherein said covalent attachment is selected from the group consisting of

662. The oligo- or polydeoxyribonucleotide of claim 658, wherein said chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

663. The oligo- or polydeoxyribonucleotide of claim 658, wherein said chemical linkage comprises a member selected from the group consisting of an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, a -CH<sub>2</sub>NH- moiety, or both.

664. The oligo- or polydeoxyribonucleotide of claim 658, wherein said chemical linkage comprises an allylamine group.

665. The oligo- or polydeoxyribonucleotide of claim 658, wherein said chemical linkage comprises or includes an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, or any of the moieties:

$$- CH = CH_2 - NH -$$

$$- CH = CH - CH_2 - NH -$$

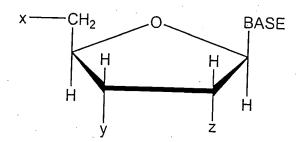
$$- CH = CH - CH_2 - O - CH_2 - CH - CH_2 - NH -,$$

$$\begin{vmatrix} O & & & \\ & &$$

- 666. The oligo- or polydeoxyribonucleotide of claim 658, wherein said chemical linkage of Sig includes a glycosidic linkage moiety.
- 667. The oligo- or polydeoxyribonucleotide of claim 658, wherein said PM is monophosphate, a diphosphate or a triphosphate and said Sig moiety is covalently attached to said PM through a phosphorus atom or phosphate oxygen.
- 668. The oligo- or polydeoxyribonucleotide of claim 658, wherein said electron dense component comprises ferritin.
- 669. The oligo- or polydeoxyribonucleotide of claim 658, wherein said magnetic component comprises magnetic oxide.
- 670. The oligo- or polydeoxyribonucleotide of claim 658, wherein said magnetic oxide comprises ferric oxide.

- 671. The oligo- or polydeoxyribonucleotide of claim 658, wherein said metal-containing component is catalytic.
- 672. The oligo- or polydeoxyribonucleotide of claim 658, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 673. The oligo- or polydeoxyribonucleotide of claim 658, wherein said Sig moiety is attached to a terminal nucleotide in said oligo- or polydeoxyribonucleotide.
- 674. The oligo- or polydeoxyribonucleotide of claim 673, wherein the sugar moiety of said terminal nucleotide has a hydrogen atom at the 2' position thereof.
- 675. The oligo- or polydeoxyribonucleotide of claim 673, wherein the sugar moiety of said terminal nucleotide has oxygen atoms at each of the 2' and 3' positions thereof.
- 676. The oligo- or polydeoxyribonucleotide of claim 658, comprising at least one ribonucleotide.

677. An oligo- or polydeoxyribonucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polydeoxyribonucleotide comprising at least one modified nucleotide having the structural formula:



wherein BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, and wherein BASE is attached to the 1' position of the pentose ring from the N1 position when BASE is a pyrimidine or from the N9 position when BASE is a purine or a deazapurine;

wherein x is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein y is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein z is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate; and

wherein Sig is covalently attached directly or through a chemical linkage to at least one phosphate selected from the group consisting of x, y, z, and a combination thereof, said Sig comprising a non-radioactive label moiety which can be directly or indirectly detected when so attached to said phosphate or when said modified nucleotide is incorporated into said oligo- or polydeoxyribonucleotide or when said oligo- or polydeoxyribonucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof, wherein Sig comprises biotin, iminobiotin, an electron dense component, a magnetic component, a metal-

containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.

678. The oligo- or polydeoxyribonucleotide of claim 677, wherein said Sig is or renders the nucleotide or the oligo- or polydeoxyribonucleotde self-signaling or self-indicating or self-detecting.

679. The oligo- or polydeoxyribonucleotide of claim 677, wherein said Sig moiety comprises at least three carbon atoms.

680. The oligo- or polydeoxyribonucleotide of claim 677, wherein said covalent attachment is selected from the group consisting of

681. The oligo- or polydeoxyribonucleotide of claim 677, wherein said chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

682. The oligo- or polydeoxyribonucleotide of claim 677, wherein said chemical linkage comprises a member selected from the group consisting of an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, a -CH2NH-moiety, or both.

683. The oligo- or polydeoxyribonucleotide of claim 677, wherein said chemical linkage comprises an allylamine group.

684. The oligo- or polydeoxyribonucleotide of claim 677, wherein said chemical linkage comprises or includes an olefinic bond at the  $\alpha$ -position relative to the point of attachment to x, y or z, or any of the moieties:

$$-CH = CH_2 - NH -$$

$$-CH = CH - CH_2 - NH -$$

$$-CH = CH - CH_2 - O - CH_2 - CH - CH_2 - NH -,$$

$$\begin{vmatrix} O & & & \\ & OH, & & \\ & & &$$

685. The oligo- or polydeoxyribonucleotide of claim 677, wherein said chemical linkage of Sig includes a glycosidic linkage moiety.

686. The oligo- or polydeoxyribonucleotide of claim 677, wherein said x and y each comprise a member selected from the group consisting of a monophosphate, a diphosphate and a triphosphate and said Sig moiety is covalently attached to either or both of said x and y through a phosphorus atom or phosphate oyxgen.

- 687. The oligo- or polydeoxyribonucleotide of claim 677, wherein said electron dense component comprises ferritin.
- 688. The oligo- or polydeoxyribonucleotide of claim 677, wherein said magnetic component comprises magnetic oxide.
- 689. The oligo- or polydeoxyribonucleotide of claim 688, wherein said magnetic oxide comprises ferric oxide.
- 690. The oligo- or polydeoxyribonucleotide of claim 677, wherein said metal-containing component is catalytic.
- 691. The oligo- or polydeoxyribonucleotide of claim 677, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 692. The oligo- or polydeoxyribonucleotide of claim 677, wherein said Sig moiety is attached to a terminal nucleotide in said oligo- or polydeoxyribonucleotide.
- 693. The oligo- or polydeoxyribonucleotide of claim 692, wherein z of said terminal nucleotide comprises a hydrogen atom at the 2' position thereof.
- 694. The oligo- or polydeoxyribonucleotide of claim 692, wherein both y and z of said terminal nucleotide comprise an oxygen atom at each of the 3' and 2' positions thereof, respectively.

695. The oligo- or polydeoxyribonucleotide of claim 677, comprising at least one ribonucleotide.

696. The oligo- or polydexoyribonucleotide of claim 677, having the structural formula:

wherein m and n represent integers from 0 up to about 100,000, and wherein said Sig moiety is attached to at least one of the phosphate moieties in said structural formula.

697. An oligo- or polynucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polynucleotide comprising at least one modified nucleotide having the formula

wherein PM is a phosphate moiety, SM is a sugar moiety and BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, said PM being attached to SM, said BASE being attached to SM, and Sig being covalently attached to PM directly or via a chemical linkage, said Sig comprising a non-radioactive label moiety which can be directly or indirectly detected when attached to PM or when said modified nucleotide is incorporated into said oligo- or polynucleotide, or when said oligo- or polynucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof, provided that when said oligo- or polynucleotide is an oligoribonucleotide or a polyribonucleotide, and when Sig is attached through a chemical linkage to a terminal PM at the 3' position of a terminal ribonucleotide, said chemical linkage is not obtained through a 2',3' vicinal oxidation of a 3' terminal ribonucleotide previously attached to said oligoribonucleotide or polyribonucleotide, wherein Sig comprises biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.

698. The oligo- or polynucleotide of claim 697, wherein said Sig is or renders the nucleotide or the oligo- or polynucleotide self-signaling or self-indicating or self-detecting.

699. The oligo- or polynucleotide of claim 697, wherein said Sig moiety comprises at least three carbon atoms.

700. The oligo- or polynucleotide of claim 697, wherein said covalent attachment is selected from the group consisting of

701. The oligo- or polynucleotide of claim 697, wherein said chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

702. The oligo- or polynucleotide of claim 697, wherein said chemical linkage comprises a member selected from the group consisting of an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, a -CH<sub>2</sub>NH- moiety, or both.

703. The oligo- or polynucleotide of claim 697, wherein said chemical linkage comprises an allylamine group.

704. The oligo- or polynucleotide of claim 697, wherein said chemical linkage comprises or includes an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, or any of the moieties:

$$- CH = CH_2 - NH -$$

$$- CH = CH - CH_2 - NH -$$

$$- CH = CH - CH_2 - O - CH_2 - CH - CH_2 - NH -,$$

$$\begin{vmatrix} O & & & \\ & &$$

705. The oligo- or polynucleotide of claim 697, wherein said chemical linkage of Sig includes a glycosidic linkage moiety.

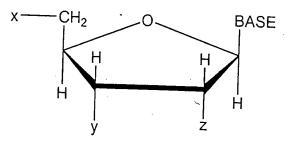
706. The oligo- or polynucleotide of claim 697, wherein said PM is a monophosphate, a diphosphate or a triphosphate and said Sig moiety is covalently attached to said PM through a phosphorus atom or a phosphate oxygen.

707. The oligo- or polynucleotide of claim 697, wherein said electron dense component comprises ferritin.

708. The oligo- or polynucleotide of claim 697, wherein said magnetic component comprises magnetic oxide.

- 709. The oligo- or polynucleotide of claim 708, wherein said magnetic oxide comprises ferric oxide.
- 710. The oligo- or polynucleotide of claim 697, wherein said metal-containing component is catalytic.
- 711. The oligo- or polynucleotide of claim 697, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 712. The oligo- or polynucleotide of claim 697, wherein said Sig moiety is attached to a terminal nucleotide in said oligo- or polynucleotide.
- 713. The oligo- or polynucleotide of claim 712, wherein the sugar moiety of said terminal nucleotide has a hydrogen atom at the 2' position thereof.
- 714. The oligo- or polynucleotide of claim 712, wherein the sugar moiety of said terminal nucleotide has an oxygen atom at each of the 2' and 3' positions thereof.
- 715. The oligo- or polynucleotide of claim 697, comprising at least one deoxyribonucleotide.

716. An oligo- or polynucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polynucleotide comprising at least one modified nucleotide having the structural formula:



wherein BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, and wherein BASE is attached to the 1' position of the pentose ring from the N1 position when BASE is a pyrimidine or from the N9 position when BASE is a purine or a deazapurine;

wherein x is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein y is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein z is selected from the group consisting of H—, HO—, a monophosphate, a di-phosphate and a tri-phosphate; and wherein Sig is covalently attached directly or through a chemical linkage to at least one phosphate selected from the group consisting of x, y and z, and a combination thereof, said Sig comprising a non-radioactive label moiety which can be directly or indirectly detected when so attached to said phosphate or when said modified nucleotide is incorporated into said oligo- or polynucleotide, or when said oligo- or polynucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof, provided that when said oligo- or polynucleotide is an oligoribonucleotide or a polyribonucleotide and when Sig is attached through a

chemical linkage to a terminal PM at the 3' position of a terminal ribonucleotide, said chemical linkage is not obtained through a 2',3' vicinal oxidation of a 3' terminal ribonucleotide previously attached to said oligoribonucleotide or polyribonucleotide, wherein Sig comprises biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.

- 717. The oligo- or polynucleotide of claim 716, wherein said Sig is or renders the nucleotide or the oligo- or polynucleotide self-signaling or self-indicating or self-detecting.
- 718. The oligo- or polynucleotide of claim 716, wherein said Sig moiety comprises at least three carbon atoms.
- 719. The oligo- or polynucleotide of claim 716, wherein said covalent attachment is selected from the group consisting of

- 720. The oligo- or polynucleotide of claim 716, wherein said chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.
- 721. The oligo- or polynucleotide of claim 716, wherein said chemical linkage comprises a member selected from the group consisting of an olefinic bond at the  $\alpha$ -position relative to the point of attachment to the nucleotide, a -CH<sub>2</sub>NH- moiety, or both.
- 722. The oligo- or polynucleotide of claim 716, wherein said chemical linkage comprises an allylamine group.
- 723. The oligo- or polynucleotide of claim 716, wherein said chemical linkage comprises or includes an olefinic bond at the  $\alpha$ -position relative to x, y or z, or any of the moieties:

$$- CH = CH_2 - NH -$$

$$- CH = CH - CH_2 - NH -$$

$$- CH = CH - CH_2 - O - CH_2 - CH - CH_2 - NH -,$$

$$\begin{vmatrix} O & & \\ & OH, \\ & & \\ & & - S -, & - C - O, and & - O - \\ & & \\ & & \\ & &$$

724. The oligo- or polynucleotide of claim 716, wherein said chemical linkage of Sig includes a glycosidic linkage moiety.

- 725. The oligo- or polynucleotide of claim 716, wherein said x and y each comprise a member selected from the group consisting of a monophosphate, a diphosphate and a triphosphate and Sig moiety is covalently attached to either or both of said x and y through a phosphorus atom or a phosphate oxygen.
- 726. The oligo- or polynucleotide of claim 716, wherein said electron dense component comprises ferritin.
- 727. The oligo- or polynucleotide of claim 716, wherein said magnetic component comprises magnetic oxide.
- 728. The oligo- or polynucleotide of claim 727, wherein said magnetic oxide comprises ferric oxide.
- 729. The oligo- or polynucleotide of claim 716, wherein said metal-containing component is catalytic.
- 730. The oligo- or polynucleotide of claim 716, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 731. The oligo- or polynucleotide of claim 716, wherein said Sig moiety is attached to a terminal nucleotide in said oligo- or polynucleotide.
- 732. The oligo- or polynucleotide of claim 731, wherein z of said terminal nucleotide comprises a hydrogen atom at the 2' position thereof.

733. The oligo- or polynucleotide of claim 731, wherein both y and z of said terminal nucleotide comprise an oxygen atom at each of the 3' and 2' positions thereof, respectively.

734. The oligo- or polynucleotide of claim 716, comprising at least one deoxyribonucleotide.

735. The oligo- or polynucleotide of claim 716, having the structural formula:

wherein m and n represent integers from 0 up to about 100,000, and wherein said Sig moiety is attached to at least one of the phosphate moieties in said structural formula.

736. An oligo- or polydeoxyribonucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polydeoxyribonucleotide comprising at least one modified nucleotide having the formula

wherein PM is a phosphate moiety, SM is a sugar moiety and BASE is a base moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, said PM being attached to SM, said BASE being attached to SM, and Sig being covalently attached to PM through a chemical linkage comprising a polypeptide or a protein, and said Sig comprising a non-radioactive label moiety which can be directly detected when indirectly attached to PM through said polypeptide or protein chemical linkage or when said modified nucleotide is incorporated into said oligo- or polydeoxyribonucleotide or when said oligo- or polydeoxyribonucleotide or when said oligo- or polydeoxyribonucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof.

- 737. The oligo- or polydeoxyribonucleotide of claim 736, wherein said Sig is or renders the nucleotide or the oligo- or polydeoxyribonucleotide self-signaling or self-indicating or self-detecting.
- 738. The oligo- or polydeoxyribonucleotide of claim 736, wherein said Sig moiety comprises at least three carbon atoms.

739. The oligo- or polydeoxyribonucleotide of claim 736, wherein said covalent attachment is selected from the group consisting of

740. The oligo- or polydeoxyribonucleotide of claim 736, wherein said polypeptide or protein chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

741. The oligo- or polydeoxyribonucleotide of claim 736, wherein said PM is monophosphate, a diphosphate or a triphosphate and said Sig moiety is covalently attached via said polypeptide or protein chemical linkage to said PM through a phosphorus atom or phosphate oyxgen.

742. The oligo- or polydeoxyribonucleotide of claim 736, wherein Sig comprises a component selected from the group consisting of biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.

- 743. The oligo- or polydeoxyribonucleotide of claim 742, wherein said electron dense component comprises ferritin.
- 744. The oligo- or polydeoxyribonucleotide of claim 742, wherein said magnetic component comprises magnetic oxide.
- 745. The oligo- or polydeoxyribonucleotide of claim 744, wherein said magnetic oxide comprises ferric oxide.
- 746. The oligo- or polydeoxyribonucleotide of claim 742, wherein said metal-containing component is catalytic.
- 747. The oligo- or polydeoxyribonucleotide of claim 742, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 748. The oligo- or polydeoxyribonucleotide of claim 736, wherein said oligo- or polydeoxyribonucleotide is terminally ligated or attached to said polypeptide or protein chemical linkage.
- 749. The oligo- or polydeoxyribonucleotide of claim 736, wherein said polypeptide comprises polylysine.
- 750. The oligo- or polydeoxyribonucleotide of claim 736, wherein said polypeptide is selected from the group consisting of avidin, streptavidin and anti-hapten immunoglobulin.

- 751. The oligo- or polydeoxyribonucleotide of claim 736, wherein said Sig moiety is attached via said polypeptide or protein chemical linkage to a phosphate moiety in a terminal nucleotide in said oligo- or polydeoxyribonucleotide.
- 752. The oligo- or polydeoxyribonucleotide of claim 751, wherein the sugar moiety of said terminal nucleotide has a hydrogen atom at the 2' position thereof.
- 753. The oligo- or polydeoxyribonucleotide of claim 751, wherein the sugar moiety of said terminal nucleotide has oxygen atoms at each of the 2' and 3' positions thereof.
- 754. The oligo- or polydeoxyribonucleotide of claim 736, comprising at least one ribonucleotide.

755. An oligo- or polydeoxyribonucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polydeoxyribonucleotide comprising at least one modified nucleotide having the structural formula:

wherein BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, and wherein BASE is attached to the 1' position of the pentose ring from the N1 position when BASE is a pyrimidine or from the N9 position when BASE is a purine or a deazapurine;

wherein x is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein y is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein z is selected from the group consisting of H— , HO— , a monophosphate, a di-phosphate and a tri-phosphate; and wherein Sig is covalently attached through a chemical linkage to at least one phosphate selected from the group consisting of x, y, z, and a combination thereof, said chemical linkage comprising a polypeptide or a protein, and said Sig comprising a non-radioactive label moiety which can be directly or indirectly detected when attached to said phosphate via said polypeptide or protein chemical linkage or when said modified nucleotide is incorporated into said oligo- or polydeoxynucleotide or when said oligo- or polydeoxynucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof.

756. The oligo- or polydeoxyribonucleotide of claim 755, wherein said Sig is or renders the modified nucleotide or the oligo- or polydeoxyribonucleotde self-signaling or self-indicating or self-detecting.

757. The oligo- or polydeoxyribonucleotide of claim 755, wherein said Sig moiety comprises at least three carbon atoms.

758. The oligo- or polydeoxyribonucleotide of claim 755, wherein said covalent attachment is selected from the group consisting of

759. The oligo- or polydeoxyribonucleotide of claim 755, wherein said polypeptide or protein chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

- 760. The oligo- or polydeoxyribonucleotide of claim 755, wherein said x and y each comprise a member selected from the group consisting of a monophosphate, a diphosphate and a triphosphate and said Sig moiety is covalently attached via said polypeptide or protein chemical linkage to either or both of said x and y a phosphorus atom or phosphate oyxgen.
- 761. The oligo- or polydeoxyribonucleotide of claim 755, wherein Sig comprises a component selected from the group consisting of biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component, or a combination of any of the foregoing.
- 762. The oligo- or polydeoxyribonucleotide of claim 761, wherein said electron dense component comprises ferritin.
- 763. The oligo- or polydeoxyribonucleotide of claim 761, wherein said magnetic component comprises magnetic oxide.
- 764. The oligo- or polydeoxyribonucleotide of claim 763, wherein said magnetic oxide comprises ferric oxide.
- 765. The oligo- or polydeoxyribonucleotide of claim 761, wherein said metal-containing component is catalytic.

- 766. The oligo- or polydeoxyribonucleotide of claim 761, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 767. The oligo- or polydeoxyribonucleotide of claim 755, wherein said oligo- or polydeoxyribonucleotide is terminally ligated or attached to said polypeptide or protein chemical linkage.
- 768. The composition of claim 755, wherein said polypeptide comprises polylysine.
- 769. The composition of claim 755, wherein said polypeptide is selected from the group consisting of avidin, streptavidin and anti-hapten immunoglobulin.
- 770. The oligo- or polydeoxyribonucleotide of claim 755, wherein said Sig moiety is attached via said polypeptide or protein chemical linkage to a terminal nucleotide in said oligo- or polydeoxyribonucleotide.
- 771. The oligo- or polydeoxyribonucleotide of claim 770, wherein z of said terminal nucleotide comprises a hydrogen atom at the 2' position thereof.
- 772. The oligo- or polydeoxyribonucleotide of claim 770, wherein both y and z of said terminal nucleotide comprise an oxygen atom at each of the 3' and 2' positions thereof, respectively.
- 773. The oligo- or polydeoxyribonucleotide of claim 755, comprising at least one ribonucleotide.

774. The oligo- or polydeoxyribonucleotide of claim 755, having the structural formula:

wherein m and n represent integers from 0 up to about 100,000, and wherein said Sig moiety is attached to at least one of the phosphate moieties in said structural formula.

775. An oligo- or polynucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polynucleotide comprising at least one modified nucleotide having the formula

wherein PM is a phosphate moiety, SM is a sugar moiety and BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, said PM being attached to SM, said BASE being attached to SM, and Sig being covalently attached to PM via a chemical linkage comprising a polypeptide or a protein, said Sig comprising a non-radioactive label moiety which can be directly or indirectly detected when attached to PM via said polypeptide or protein chemical linkage or when said modified nucleotide is incorporated into said oligo- or polynucleotide, or when said oligo- or polynucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof, provided that when said oligo- or polynucleotide is an oligoribonucleotide or a polyribonucleotide, and when Sig is attached through a chemical linkage to a terminal PM at the 3' position of a terminal ribonucleotide, said chemical linkage is not obtained through a 2',3' vicinal oxidation of a 3' terminal ribonucleotide previously attached to said oligoribonucleotide or polyribonucleotide.

776. The oligo- or polynucleotide of claim 775, wherein said Sig is or renders the nucleotide or the oligo- or polynucleotide self-signaling or self-indicating or self-detecting.

777. The oligo- or polynucleotide of claim 775, wherein said Sig moiety comprises at least three carbon atoms.

778. The oligo- or polynucleotide of claim 775, wherein said covalent attachment is selected from the group consisting of

779. The oligo- or polynucleotide of claim 775, wherein said polypeptide or protein chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

780. The oligo- or polynucleotide of claim 775, wherein said PM is a monophosphate, a diphosphate or a triphosphate and said Sig moiety is covalently attached via said polypeptide or protein chemical linkage to said PM through a phosphorus atom or a phosphate oxygen.

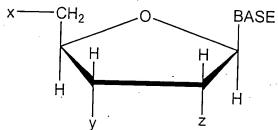
781. The oligo- or polynucleotide of claim 775, wherein Sig comprises a component selected from the group consisting of biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.

782. The oligo- or polynucleotide of claim 781, wherein said electron dense component comprises ferritin.

- 783. The oligo- or polynucleotide of claim 781, wherein said magnetic component comprises magnetic oxide.
- 784. The oligo- or polynucleotide of claim 783, wherein said magnetic oxide comprises ferric oxide.
- 785. The oligo- or polynucleotide of claim 781, wherein said metal-containing component is catalytic.
- 786. The oligo- or polynucleotide of claim 781, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.
- 787. The oligo- or polynucleotide of claim 775, wherein said oligo- or polynucleotide is terminally ligated or attached to said polypeptide or protein chemical linkage.
- 788. The oligo- or polynucleotide of claim 775, wherein said polypeptide comprises polylysine.
- 789. The oligo- or polynucleotide of claim 775, wherein said polypeptide is selected from the group consisting of avidin, streptavidin and anti-hapten immunoglobulin.

- 790. The oligo- or polynucleotide of claim 775, wherein said Sig moiety is attached via said polypeptide or protein chemical linkage to a terminal nucleotide in said oligo- or polynucleotide.
- 791. The oligo- or polynucleotide of claim 790, wherein the sugar moiety of said terminal nucleotide has a hydrogen atom at the 2' position thereof.
- 792. The oligo- or polynucleotide of claim 790, wherein the sugar moiety of said terminal nucleotide has an oxygen atom at each of the 2' and 3' positions thereof.
- 793. The oligo- or polynucleotide of claim 775, comprising at least one deoxyribonucleotide.

794. An oligo- or polynucleotide which is complementary to a nucleic acid of interest or a portion thereof, said oligo- or polynucleotide comprising at least one modified nucleotide having the structural formula:



wherein BASE is a moiety selected from the group consisting of a pyrimidine, a purine and a deazapurine, or analog thereof, and wherein BASE is attached to the 1' position of the pentose ring from the N1 position when BASE is a pyrimidine or from the N9 position when BASE is a purine or a deazapurine;

wherein x is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein y is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate;

wherein z is selected from the group consisting of H- , HO- , a monophosphate, a di-phosphate and a tri-phosphate; and

wherein Sig is covalently attached through a chemical linkage to at least one phosphate selected from the group consisting of x, y and z, and a combination thereof, said chemical linkage comprising a polypeptide or a protein, and said Sig comprising a non-radioactive label moiety which can be directly detected when attached to said phosphate via said polypeptide or protein chemical linkage or when said modified nucleotide is incorporated into said oligo- or polynucleotide, or when said oligo- or polynucleotide is hybridized to said complementary nucleic acid of interest or a portion thereof, provided that when said oligo- or polynucleotide is an oligoribonucleotide or a polyribonucleotide and when Sig is attached through a

chemical linkage to a terminal PM at the 3' position of a terminal ribonucleotide, said chemical linkage is not obtained through a 2',3' vicinal oxidation of a 3' terminal ribonucleotide previously attached to said oligoribonucleotide or polyribonucleotide.

795. The oligo- or polynucleotide of claim 794, wherein said Sig is or renders the nucleotide or the oligo- or polynucleotide self-signaling or self-indicating or self-detecting.

796. The oligo- or polynucleotide of claim 794, wherein said Sig moiety comprises at least three carbon atoms.

797. The oligo- or polynucleotide of claim 794, wherein said covalent attachment is selected from the group consisting of

798. The oligo- or polynucleotide of claim 794, wherein said polypeptide or protein chemical linkage does not interfere substantially with the characteristic ability of Sig to form a detectable signal.

Engelhardt et al., U.S. Pat. Appl. Ser. No. 08/479,997
New Claims 576-825 For Submission In Amendment
(In Response To November 26, 2001 Office Action)
Page 52

799. The oligo- or polynucleotide of claim 794, wherein said x and y each comprise a member selected from the group consisting of a monophosphate, a diphosphate and a triphosphate and Sig moiety is covalently attached to either or

both of said x and y a phosphorus atom or a phosphate oxygen.

- 800. The oligo- or polynucleotide of claim 794, wherein Sig comprises a component selected from the group consisting of biotin, iminobiotin, an electron dense component, a magnetic component, a metal-containing component, a fluorescent component, a chemiluminescent component, a chromogenic component or a combination of any of the foregoing.
- 801. The oligo- or polynucleotide of claim 800, wherein said electron dense component comprises ferritin.
- 802. The oligo- or polynucleotide of claim 800, wherein said magnetic component comprises magnetic oxide.
- 803. The oligo- or polynucleotide of claim 802, wherein said magnetic oxide comprises ferric oxide.
- 804. The oligo- or polynucleotide of claim 800, wherein said metal-containing component is catalytic.
- 805. The oligo- or polynucleotide of claim 800, wherein said fluorescent component comprises a member selected from the group consisting of fluorescein, rhodamine and dansyl.

- 806. The oligo- or polynucleotide of claim 794, wherein said oligo- or polynucleotide is terminally ligated or attached to said polypeptide or protein chemical linkage.
- 807. The oligo- or polynucleotide of claim 794, wherein said polypeptide comprises polylysine.
- 808. The oligo- or polynucleotide of claim 794, wherein said polypeptide is selected from the group consisting of avidin, streptavidin and anti-hapten immunoglobulin.
- 809. The oligo- or polynucleotide of claim 794, wherein said Sig moiety is attached via said polypeptide or protein chemical linkage to a terminal nucleotide in said oligo- or polynucleotide.
- 810. The oligo- or polynucleotide of claim 809, wherein z of said terminal nucleotide comprises a hydrogen atom at the 2' position thereof.
- 811. The oligo- or polynucleotide of claim 809, wherein both y and z of said terminal nucleotide comprise an oxygen atom at each of the 3' and 2' positions thereof, respectively.
- 812. The oligo- or polynucleotide of claim 794, comprising at least one deoxyribonucleotide.

813. The oligo- or polynucleotide of claim 794, having the structural formula:

wherein m and n represent integers from 0 up to about 100,000, and wherein said Sig moiety is attached to at least one of the phosphate moieties in said structural formula.

814. The oligo- or polydeoxyribonucleotide of claims 454 or 658, wherein said Sig is covalently attached to PM through a chemical linkage comprising a polypeptide or a protein.

815. The oligo- or polydeoxyribonucleotide of claim 814, wherein said polypeptide comprises polylysine.

- 816. The oligo- or polydeoxyribonucleotide of claim 814, wherein said polypeptide or protein is selected from the group consisting of avidin, streptavidin and antihapten immunoglobulin.
- 817. The oligo- or polydeoxyribonucleotide of claims 596 or 677, wherein said Sig is covalently attached to said at least one phosphate through a chemical linkage comprising a polypeptide or a protein.
- 818. The oligo- or polydeoxyribonucleotide of claim 817, wherein said polypeptide comprises polylysine.
- 819. The oligo- or polydeoxyribonucleotide of claim 817, wherein said polypeptide or protein is selected from the group consisting of avidin, streptavidin and antihapten immunoglobulin.
- 820. The oligo- or polynucleotide of claims 617 or 697, wherein said Sig is covalently attached to PM via a chemical linkage comprising a polypeptide or a protein.
- 821. The oligo- or polydeoxyribonucleotide of claim 820, wherein said polypeptide comprises polylysine.
- 822. The oligo- or polydeoxyribonucleotide of claim 820, wherein said polypeptide or protein is selected from the group consisting of avidin, streptavidin and antihapten immunoglobulin.

Engelhardt et al., U.S. Pat. Appl. Ser. No. 08/479,997 New Claims 576-825 For Submission In Amendment (In Response To November 26, 2001 Office Action) Page 56

823. The oligo- or polynucleotide of claims 637 or 716, wherein said Sig is covalently attached to said at least one phosphate through a chemical linkage comprising a polypeptide or a protein.

824. The oligo- or polydeoxyribonucleotide of claim 823, wherein said polypeptide comprises polylysine.

825. The oligo- or polydeoxyribonucleotide of claim 824, wherein said polypeptide or protein is selected from the group consisting of avidin, streptavidin and antihapten immunoglobulin.

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(b) Coenzyme A (Peak B, Fig. 3).—The ratio of phosphorus to adenosine was found to be 2.96 and the compound was chromatographically and electrophoretically identical with natural Coenzyme A. Degradation with crude rattlesnake venom gave adenosine-3',5'-diphosphate as the only detectable nucleotide. Enzymatically assayed as above the compound gave a linear response of activity with concentration for 0.0041 and 0.0082 µmole (optical density) and gave an activity of 139% in each case assuming a purity of 75% (by weight) for commercial Coenzyme A. Using the purified commercial product (see below) as the standard and assuming 100% activity on the basis of its adenosine con-

dissolved in water (3 ml.) and adjusted to pH 6.0 with ammonium hydroxide. 2-Mercaptoethanol (3 ml.) was added and the mixture stored at room temperature for 4 hr. after which time it was diluted with water (15 ml.) and applied directly to a 2 × 22 cm. column of DEAE cellulose in the chloride form. After washing the column with water until no further ultraviolet absorbing material was present in the washing, elution was commenced using a linear salt gradient. The mixing vessel contained 1.5 liters of 0.003 N hydrochloric acid and the reservoir contained 1.5 liters of 0.15 N lithium chloride in 0.003 N hydrochloric acid. Ten ml. fractions were collected at the rate of 1 ml. per minute. Three distinct peaks and two small ones were detected by ultraviolet absorption at 257 mμ (Fig. 4). Peak I had λmax 239 mμ grassy a reliable 

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and a second small maximum at 283 m $\mu$  (e 239/e 283 = 5.5 at pH 2.7) and was obviously not a nucleotide. Peak II contained at least two superimposed compounds one having  $\lambda_{\max}$  243 m $\mu$ , and the other  $\lambda_{\max}$  255 m $\mu$ . Peak III (273 optical density units at 257 m $\mu$ , 18  $\mu$ mole) was reduced Coenzyme A. Peaks IV and V were too small for identification but, from its position, IV is probably oxidized Coenzyme

Peak III was adjusted to pH 4.0 with lithium hydroxide and worked up as described for the synthetic material to give 16 mg. of lithium salt which was chromatographically shown (Solvent I) to contain only reduced Coenzyme A and a little of the disulfide form. The material was somewhat

assuming to the synthetic sample had 96% activity.

Anal. Calcd. for C<sub>11</sub>H<sub>11</sub>N<sub>1</sub>O<sub>14</sub>P<sub>1</sub>SLi<sub>1</sub> 6H<sub>2</sub>O: C, 28.41; hydrated, two preparation.

H, 5.08; N, 10.98. Found (after drying at 100°): C 48.52; H, 4.98; N, 9.87.

The contents of the synthetic material, it now showed 143% activity on the basis of its adenosine

(the Characterization of Pi, P'Bis-(-2'(or 3')-phosphoryladeno-sine-5') Pyrophosphate (XXVI) — Incubation of the sulfursine-5.) Eyropnosphate (AAVI).—Incubation of the sulfur-free product from peak IV (Fig. 3) with crude rattle snake venoin rapidly gave adenosine-2'(3'),5'-diphosphate as the only phosphorus containing product. On incubation with purified prostatic phosphomonoesterase it was slowly (~ 75% in 24 hr.) dephosphorylated to give initially Pl-2'(3')-phosphoryladenosine-5' P²-adenosine-5'-pyrophosphate (XXVI, with loss of one phosphomonoester group) and subsequently di-adenosine-5'- pyrophosphate which were isolated in Solvents VI and I, respectively. The chromatographically isolated initial dephosphorylation product was rapidly degraded by crude venom, giving equal amounts of adenosine-2'(3'),5'-diphosphate, adenosine and inorganic phosphate. These results are all consistent with the structure assigned (XXVI) to this product.

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(83) Pabst Laboratories, Milwaukee, Wis., Lot 413.

ancks as some a view to the preparation of thath. [CONTRIBUTION FROM THE CHEMISTRY DIVISION OF THE BRITISH COLUMBIA RESEARCH COUNCIL, VANCOUVER, B. C.]

Studies on Polynucleotides: bVIII. Experiments non, the Polymerization of Mononucleotides. Improved Preparation and Separation of Linear Thymidine Polynucleotides. Synthesis of Corresponding Members Terminated in Deoxycytidine Residues.

By H. C. Khorana and J. P. Vizsolvi Received July 18, 1960.

Treatment of a molar anhydrous pyridine solution of a mixture of 3'-O-acetylthymidine-5' phosphate (25%) and thymitreatment of a morar annuarous pyriume solution of a mixture of 3-0-acetylthymidine-o phosphate (25%) and thymidine-5' phosphate (75%) with dicyclohexylcarbodiimide at room temperature for six days gives linear thymidine polynucleotides as the major products. Members containing up to eleven units in a chain have been purified and characterized, smaller amounts of somewhat higher polynucleotides also being present in the polymerization mixtures. Procedures developed for the purification include chromatography of the total mixture on a DEAE-cellulose (carbonate) column using the volatile triethylammonium bicarbonate as the eluent and rechromatography of the major peaks under similar conditions. Polymerization of a mixture of N,3'-O-diacetyldeoxycytidine-5' phosphate (25%) and thymidine-5' phosphate (75%) gives products from which thymidine polynucleotides bearing deoxycytidine residues at one end were isolated pure and characterized of The procedures developed for their purification involved, first, chromatography on DEAE-cellulose (carbonate) columns followed by rechromatography of the major peaks at acidic off using the anion exchanger in the chloride form. Section 1986 Bin advance stated as

The development of methods for the polymerization of mononucleotides and the separation and characterization of the resulting polymers forms a part of the program of synthetic work in the polynucleotide field which is in progress in this Laboratory. 4-6 The range of simple polymers

(1) Paper VII, H. G. Khorana, This Journal, 81, 4657 (1959).

(2) This work has been supported by grants from the National Cancer Institute of the National Institutes of Health, U. S. Public Health Service and the National Research Council of Canada, Ottawa.

(3) Institute for Enzyme Research, The University of Wisconsin, Madisoa 5. Wisconsia.

(4) G. M. Tener, P. T. Cilham, W. E. Razzell, A. F. Turner and H. G. Khorana, Ann. N. Y. Acad. Sci., 81, 757 (1959). (5) H. C. Khorana, J. Cellular Comp. Physiol., 54, Suppl. 1, 5 thus obtained offers obvious advantages for a variety of chemical, physico-chemical and enzymic studies in the nucleic acids field. The polymerizations of thymidine-5' phosphate and the isomeric 3'-phosphate by reaction with dicyclohexylcarbodiimide in anhydrous pyridine have previously been reported.7.8 While the extension of these initial studies to other mononucleotides and, indeed, in a number of directions is clearly desirable,9 many

(6) H. G. Khorana, in E. Chargaff and J. N. Davidson, eds. "The Nucleic Acids," Vol. III, Academic Press, Inc., New York, N. Y., in press; H. G. Khorana, Federation Proc., 50, in press (1960).

(7) G. M. Tener, H. C. Khorana, R. Markham and E. H. Pol, Tuts JOURNAL, 80, 6223 (1958).

(8) A. F. Turner and H. C. Khorana, ibid., 81, 4651 (1059). (9) H. G. Khorana, A. P. Turner and J. P. Vizsolyi, ibid., 83, 686 (1901).

Introduction

tion are being examined by further studying the relatively simple thymidine-5' phosphate itself. During all this work, marked improvements in the procedures, both for polymerization of this mononucleotide with a view to the preparation of linear polynucleotides (general structure; I) and for the separation of the polymers, have been effected. Because of the widespread interest in the thymidine polynucleotides of known size and structure, these minal unit cannot undergo the intramolecular procedures are described in this paper with special attention to experimental detail. The general principle used for favoring the formation of the linear polymers over the cyclo-oligonucleotides (general structure, II) has been applied to the preparation of thymidine polynucleotides bearing deoxycytidine residues at one end. The preparation and characterization of such compounds (general structure, III) are also described. The following paper, records the synthesis of polynucleotides by polymerization of suitably protected deoxycyti-dine-5' phosphate

""In the previous work, 1.8 a competing reaction in the linear polymerization was found to be the intra-molecular phosphorylation of the 3'-hydroxyl group at one end of the chain by the activated 5'phosphoryl group at the other end, resulting in the formation of the macrocyclic compounds of the type II. In fact, the cyclic dinucleotide (II; n =0) accounted for 18-20% of the total nucleotidic material, and although the proportion of the higher cyclic members decreased with increase in chain length, it became insignificant only beyond the pentanucleotide level. An increase in the nucleotide concentration would be expected to favor linear polymerization (involving bimolecular reactions) and the present experiments have all been carried out using a much more concentrated (1 molar) solution of the nucleotide than that used previously.

(10) These include studies of the kinetics of polymerization and a comparative study of the efficiency of different chemical polymerizing agents such as "reactive" anhydrides,

The concentration (approximately 30% solution by weight of the nucleotide in pyridine) now used is as high as appears practical (see below). A technique which further reduces the extent of the cycliza tion reaction consists in the addition of some 3'- $\tilde{Q}$ acetylthymidine - 5' phosphate to thymidine - 5' phosphate....The protected mononucleotide can only serve as the donor of an activated phosphory group and the chains formed with it as the ter reaction. The addition of as much as 50% of 3 acetylthymidine-5' phosphate completely inhibited the cyclization reaction but then, as expected, a large amount of mononucleotide was present in the final products. ii In the polymerization experiments reported, 3'-O-acetylthymidine-5' phosphate and thymidine-5' phosphate were used in the ratio of 1:3. This ratio appears to represent a compromise, and although the amount of the cyclic dinucleotide formed is still high, the cyclic tri- and tetranucleotides are only minor products.

The above principle of polymerizing a nucleotide bearing the 3'-hydroxyl group in the presence of a second suitably protected mononucleotide should lead to an interesting general class of polymers, namely, 'homopolymers' terminated in a different nucleotide group. Compounds of this type are clearly useful for studies, such as the determination of the mode of action of phosphodiesterases12 and nucleases.4.13 In the present work, homologous series of thymidine polynucleotides bearing deoxycytidine groups at one end (III) have been prepared.

New procedures for the isolation of pure homologous polynucleotides have been developed. major technical advance is the use of the DEAEcellulose columns7 in the bicarbonate form14 and of

<sup>(11)</sup> The collaboration of Dr. C. M. Tener in the early experiments is gratefully acknowledged.

<sup>(12)</sup> W. E. Razzell and H. C. Khorana, J. Biol. Chem., 234, 2114

<sup>(13)</sup> H. G. Khorana, R. A. Smith and R. K. Ralph, in preparation. (14) M. Stachelin, H. A. Sober and E. A. Peterson, Arch. Biochem. Biophys., 85, 239 (1959). These authors use ammonlum carbonate

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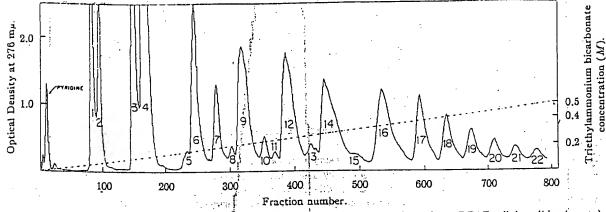


Fig. 1.—Chromatography of thymidine polynucleotides (total polymeric mixture) on DEAE-cellulose (bicarbonate) column. For details of procedure see text; for product distribution and identification, see Table I. Broken line shows triethylammonium bicarbonate gradient.

the volatile triethylammonium bicarbonate<sup>15</sup> as the eluent. Procedures for rechromatography of the initially obtained peaks under altered conditions (of salt gradient or pH) have been devised for most of the polynucleotides described here. These procedures enable the isolation of pure compounds on a scale much larger than is conveniently possible by

chromatography on paper sheets described earlier. 7.8 System of Abbreviations.—The basic system of abbreviations for polynucleotides used in this and the following paper is as has been adopted by the Journal of Biological Chemistry. 15 These abbreviations have been used widely by different workers in " recent years and are very convenient. Thus the trinucleotide III (n = 1) is abbreviated to  $d-pTpT_{1,0}$ pC, " the letter "d-" designating deoxyribonucleoside ... series. In the present work dealing with rather large polymers derived from one kind of mononucleotide, it has been found necessary to develop the existing system of abbreviations further-Penta- and higher polynucleotides of the general structure I and III will be abbreviated to pT(pT), pT and pT(pT),pC respectively. Thus, the octanucleotide (III; n = 6) will be designated  $pT(pT)_{6}$ pC. As in the general formulae for full structures (I and III) the basic unit for the present abbrevia-tions is a trinucleotide. The two end units of a trinucleotide chain, being different from each other, have to be retained and it is only the internal nucleoside-b' phosphory units which can be considered to repeat.

## Thymidine Polynucleotides

The elution pattern obtained on initial chromatography of the polymeric mixture (corresponding to 1 mmole of the starting nucleotide) is shown in Fig.

for clution. Tricthylamine bicarbonate<sup>14</sup> is even more volatile and is used routinely in this Laboratory.

(15) J. Poratli, Nature, 175, 478 (1955).

(10) See under "Instructions to Authors" in current issues of the Journal of Biological Chemistry.

(17) (a) According to the nomenclature previously proposed, in the triancheotide would be named either 5-0-phosphorylthymidylyl-(3'-5')-thymidylyl-(5'-3')-thymidylyl-(5'-3')-thymidylyl-(5'-3')-thymidylyl-(5')-acid. (b) The significant shortening and convenience is effected only with the penta- and higher polynucleotides and therefore the abbreviations are introduced in this paper only from the pentanucleotide on.

(18) P. T. Githam and H. G. Khorana, This Journal, 80, 6212 (1958).

1. The manner of pooling the fractions and the distribution of the nucleotidic material in the different peaks are shown in Table I. The recovery as

#### TABLE I

CHROMATOGRAPHY OF THYMIDINE POLYNUCLEOTIDES. DISTRIBUTION OF NUCLEOTIDE MATERIAL IN DIFFERENT PEAKS
OF FIGURE 1

|                  | nucleotide<br>material                        |  |
|------------------|---|--|
| Peak pooled      | in peaks.                                     | Remarks, composition of the peak, etc.                 |
| 1 78-87          | 3.63  | Mainly N-pyridinium nucleotide                         |
|                  |   | compound   |
| 4) 1a 4 88-93 20 | 1.07  | Discarded A. C. St. Communication                      |
| 472 94-102       | 2.53  | Mainly thymidine 3',5'-cyclic                          |
|                  |   | * Uphosphate * 1 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - |
| 3 146-156        | 6.50  | Thymidine-5' phosphate                                 |
| 3a157-162        | :-::1:: <b>45</b> 13                          | Discarded artificulting Combine                        |
| : 4. ft 163-180  | : 410.19                                      | Mainly cyclic dinucleotide                             |
| 2:5: i3:225÷238  | oc: 05 <b>76</b> ,⊕                           | Several unidentified components                        |
| v 6 li:239-265   | .m 5:83 m                                     | Linear dinucleotide                                    |
| 57.: 272-295     | 3.45  | Cyclic trinucleotide Aliver, will                      |
| 8 296-308        | 0.87  | Several unidentified components                        |
| 9: 310-345       | 9.24  | Linear trinucleotide                                   |
| 10 346-363       | 1.42  | Cyclic tetranucleotide                                 |
| 11 : 364-377     | :::::0.70 :::                                 | Mixture of sunidentified com-                          |
| out in the       |   | ponents  |
| 12 😂 378-415     | 8.97  | Linear tetranucleotide                                 |
| 13 416-438       | :: :-1.58                                     | . Cyclic pentanucleotide and uni-                      |
| Charles of 127   | $f_{i} \mapsto f_{i} \in \mathcal{I}_{A_{i}}$ | dentified compounds                                    |
| 14 . 439-485     | 7.80  | Linear pentanucleotide                                 |
| 15 486-517       | 1.36  | Not investigated                                       |
| 16 : 518-570     | 6.70  | Linear hexanucleotide                                  |
| 16a . 571-580    | 0.29  | Not investigated                                       |
|                  |   | Linear heptanucleotide                                 |
| 18 621-660       |   |  |
| 19 661-697       |   |  |
| 20 698-730       | 2.57  | Linear decanucleotide                                  |
| 21 731-763       |   | Linear undecanucleotide                                |
| 22 764-793       |   | Linear dodecanucleotide                                |
| 1 M triethyla    | -   |  |
| monium bi-       |   |  |
| carbonate        | 4.71  | Higher polymers  |

• Total recovery of nucleotide material was 8,833 optical density units at 267 mμ. In view of the hypochromic effect in thymidine oligonucleotides, the recovery is concluded to be practically quantitative. Percentage of the nucleotide material cluted after the tetranucleotide was 41.1%.

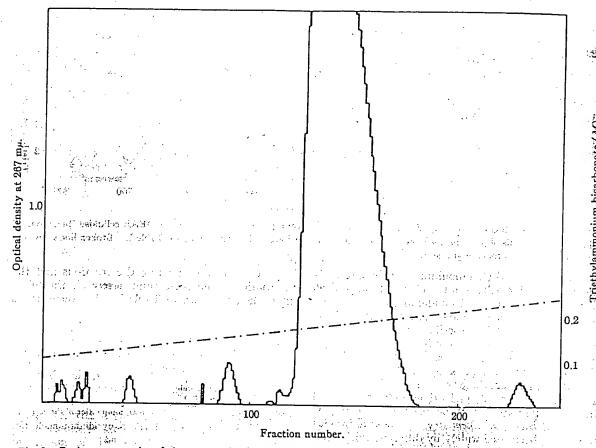


Fig. 2.—Rechromatography of the tetranucleotide peak (peak 12 of Fig. 1) on a DEAE-cellulose (bicarbonate) column (20 cm. × 2 cm. dia.). The column was pre-equilibrated with 0.1 M triethylammonium bicarbonate buffer. Elution carried out using a linear gradient (broken line) of the same salt; the major peak is pure tetranucleotide.

service of Competency judged by the ultraviolet absorption measurements was essentially quantitative.19 The resolution between the successive homologous polynucleotides was sustained as far as the elution was pursued by the gradient elution technique used. (In the previously published work, elution of pure peaks on a preparative scale was described only as far as the pentanucleotide.) The extent of polymerization achieved in these runs has been markedly higher than was obtained before. Thus 41% of the nucleotidic material appeared after the tetranucleotide (peak 12), about 5% of the total material being eluted after the dodecanucleotide peak (number 22) with 1 M triethylammonium bicarbonate. There was no sharp drop at any stage in the yield of the polymers, the amounts decreasing steadily with the increase in chain length after the linear tetranucleotide which accounted for 9.24% of the total nucleotidic material.

Linear Polynucleotides.—The linear polynucleotides were in peaks 6(dinucleotide), 9(trinucleotide), 12(tetranucleotide), 14(pentanucleotide), 16(hexanucleotide and 17-22(heptanucleotide to dodecanucleotide). For further purification, conditions were

(19) Some hypochromic effect in the synthetic polynucleotides is probable. Evidence for this at the dinucleotide level, and in the higher thymidine oligonucleotides (unpublished experiments of C. M. Tener in this Laboratory) has been obtained.

(20) The skew nature of some of these peaks (tail end) does not indicate heterogeneity, as was shown by rechromatography of the individual peaks and subsequent tests of purity. The clution patterns

The state of the state of found for rechromatography of each one of the polynucleotide peaks on DEAE-cellulose (carbonate form) columns. A shallower gradient was now used in each case and the various polynucleotides emerged from the columns at lower salt concentration than that at which they appeared in the initial gross chromatography. During rechromatography of the dinucleotide, it was found that satisfactory results were obtained by pre-equilibrating the column with the concentration of triethylammonium bicarbonate used initially in the mixing-vessel and this practice was followed for rechromatography of all of the higher polynucleotides. The conditions used for rechromatography and the yields of pure polynucleotides obtained are shown in Table II. The elution patterns obtained on rechromatography are illustrated with respect to the tetranucleotide (peak 12) and the decanucleotide (peak 20) peaks in Figs. 2 and 3, respectively. It was important to confirm that the increasing number of minor peaks21 obtained with increase in chain length were not due to any fault in the technique and therefore the main obtained on this scale have usually given more symmetrical peaks (see e.g. Fig. 4, below).

(21) As mentioned below, when the minor peaks (e.g., 5, 8 and 11 of Fig. 1) were examined by paper chromatography, each was found to contain several distinct bands. Since these minor peaks, which just preceded the linear polynucleotide peaks, were not separated beyond the heptanucleotide, they were, presumably, included in the main peaks and this phenomenon accounts for the increased number of small fore peaks obtained upon rechromatography of the higher polynucleotide peaks.

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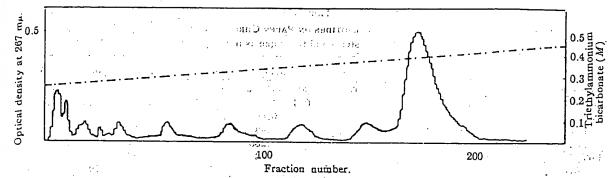


Fig. 3.—Rechromatography of the decanucleotide peak (peak 20 of Fig. 1) on a DEAE-cellulose (bicarbonate) column (20 cm. × 2 cm. diameter). The column was pre-equilibrated with 0.25 M triethylammonium bicarbonate buffer. Elution with linear gradient of the same salt as shown by broken line; the peaks in the first ten fractions contain non-nucleotidic

peak of pure decanucleotide obtained in Fig. 3 was taining about 1 µmole of thymidine. Single spots rechromatographed. A single sharp peakinwas again obtained (Fig. 4) in the expected region of salt concentration.

travelling faster than the starting materials were obtained.23 A tracing of the chromatogram con--taining results with the octa-, nona- and deca-

TABLE II

RECHROMATOGRAPHY OF INDIVIDUAL PEAKS OF FIGURE 1 (THYMIDINE POLYNUCLEOTIDES) ON DEAE-CELLULOSE (CAR-BONATE) COLUMNS

(For details of procedure see text.)

|  | •                   |     | Cond                          | litions of rechromatography                     |  | Yield pure                                       |
|--|---------------------|-----|-------------------------------|---|--|--|
| Peak no. of<br>Fig. 1  | Poly-<br>nucleotide | ·   | 5% (                          | ion of salt———————————————————————————————————— | Concentration of salt<br>at mid-point of<br>major peak | oligonucleotide<br>on rechroma-<br>tography, b % |
| 6  | Di-                 |     | 1 l. of 0.05 M                | 1 l. of 0.1 M                                   | 0.075  | 95   |
| 9  | Tri-                |     | 1 l. of 5075 M                | 11. of .15 M                                    | .125   | 86   |
| 12   | Tetra-              |     | (1) 1 l. of .1 M              | 11. of .2 M                                     | .180   | 84   |
|  | •                   |     | (2) $\frac{1}{2}$ l. of .2 M  | $^{1}/_{2}$ 1. of .3 M                          |  | Same in  |
| 14   | Penta-              |     | (1) 1 1 of .15 M              | 1 1. of . 25 M                                  | . 230  | 87   |
| The state of the s | ·<br>- ·            |     | (2) $\frac{1}{2}$ l. of .25 M | $^{1}/_{2}$ 1. of .35 M                         |  |  |
| N 16   | Hexa-               |     | 2 l. of .2 M                  | 21. of .4 M                                     | .320   | ·72•   |
| 17   | Hepta-              | ١   | 2 l. of .25 M                 | 2 1. of .45 M                                   | .340   | 69   |
| 18   | Octa-               |     | 2 l. of .25 M                 | .2 l. of .45 M                                  | .350   | 70   |
| 19   | Nona-               |     | 2 l. of .25 M                 | 2 1. of . 45 M                                  | 360  | 52   |
| 20   | Deca-               |     | 2 l. of .25 M                 | 2 l. of .45 M                                   | .380   | 56   |
| 21   | Undeca-             | • • | 2 l. of .25 M                 | 2 l. of .45 M                                   | .410   | 72   |

<sup>a</sup> The column was pre-equilibrated with the concentration of triethylammonium bicarbonate (pH 7.5) used in the mixing vessel at the start of chromatography. <sup>b</sup> This is the % of the total ultraviolet absorbing material that was rechromatographed; the yields of each of the original peaks of Fig. 1 are given in Table I. The actual recovery may be higher, since while investigating the appropriate conditions for rechromatography, the original peak had been put through columns twice. The yield recorded is that obtained in the final column and is based on the total optical density units in the original peak

The purity of the oligonucleotides up to the pentanucleotide was checked by direct comparison with samples previously characterized,7 using extensive paper chromatography and paper electrophoresis. For the characterization of the higher members and for ascertaining their purity, chromatography on paper strips was performed over a period of three days to two weeks in several solvent systems. The pattern of the mobilities (see Table III for  $R_i$ 's) in all the solvent systems was consistent with their being a homologous series of compounds and single spots were uniformly obtained with all the polynucleotides. The most convincing proof of the homogeneity of the penta- to the deca-nucleotides was provided by dephosphorylation with the bacterial alkaline phosphomonoesterase23 followed by chromatography on paper using heavy spots con-

(227 A. Garen and C. Levinthal, Biochim. Biophys. Acta, 38, 470 (1060). We are very grateful for a sample of Dr. Caren's preparation of this very useful enzyme; the sample was kindly furnished to us by Dr. Leon A. Heppel.

nucleotides is shown in Fig. 5. Finally, the degradation of the products lacking the terminal phosphomonoester groups by venom phosphodiesterase to thymidine-5' phosphate and thymidine, followed by estimation of the ratios of the two products gave results in good agreement with the size of the starting polynucleotides (Table IV).

Cyclic Oligonucleotides.—Peak 2 contained as the major constituent thymidine-3',5' cyclic phosphate, while peak 4 consisted mostly of cyclic

(23) Great emphasis is placed on this criterion of purity of the linear polynucleotides, since the general impurities that would be suspected from all the practical experience with the present method (see also the following papers) are of pyrophosphate type, the pyrophosphate bond being formed between the phosphomonoester groups of different oligonucleotides. These impurities could be eluted with or close to that polyancleotide bearing the 5'-phosphomonoester end group, which has the same net charge at pH 7.5. In the ammonincal solvent systems, too, they may not be resolved from each other. Complete disappearance of the original spots (Fig. 5) on dephosphorylation constitutes the best means at the present time for showing freedom from the pyrophosphates.

TABLE III

 $R_f$ 's of Polynuclbotides on Paper Chromatograms (Solvent systems and technique as in to

| Solvent  | A  | (So.                            | ivent systems a                    | and technique                          | HROMATOC                              | RAMS                    |                                  |   | 1.                 |
|--|--|---------------------------------|------------------------------------|--|---------------------------------------|-------------------------|----------------------------------|---|--------------------|
| Compounda pT d-pC d-pTpC d-pTpC  | R <sub>f</sub> relative<br>R <sub>f</sub> to to pT b<br>0.177 1 0.86 | relation to Rt pl               | r Rib                              | Rt relative Rt pT 0.3 1 0.66           | R <sub>I</sub> b relative to pTpTpTpC | R <sub>l</sub><br>0.625 | pT ;                             | R <sub>I</sub> relative to DTpTpTpC                 | R <sub>I</sub> rel |
| d-pTpTpTpC<br>d-pT(pT),pC<br>d-pT(pT),pC<br>d-pT(pT),pC<br>d-pT(pT),pC<br>d-pT(pT),pC<br>d-pT(pT),pC | .24<br>.094  |                                 | .50 da<br>.37 //                   |  | .135                                  |                         | .69<br>.52<br>.32                | 1<br>0.79<br>.58<br>.42                             | 217                |
| pTpT byprotes pTpTpTpTpTpTpTpTpTpTpTpTpTpTpTpTpTpTpT   | relative to pT b p p 1 0.54 .28 .125                                 | Ri relative to pT 0.68 .49 .285 | RI relative to PTPT PTPT PTPT PTPT | relative relative pT 0.73              | RI relative to pTpT-pTpT=             | Rt                      | rela-<br>tive to p<br>pT<br>0.84 | .276<br>.197<br>RI<br>Elative<br>to<br>TpT.<br>pTpT | Ri tive t          |
| cyclic phos- phate 0.4 Cyclo-pTpT* 0.2 Cyclo-pTpTpT* Cyclo- pTpTpTpT* PT(pT)*pT                      | 4 1.4 0.3<br>0.53  | 0 0.75                          | 0.5                                | 86 1.29<br>0.79                        |                                       | .67<br>.53<br>.40       | .44 1                            | . 2   | 27<br>             |
| pT(pT) <sub>*p</sub> T<br>pT(pT) <sub>*p</sub> T<br>pT(pT) <sub>*p</sub> T<br>pT(pT) <sub>7p</sub> T | \$1.50<br>   | 1945<br>61 (00)<br>85 (1)       | 0.695<br>.47<br>.325               | 0.43                                   | 0.57<br>.29<br>.145                   | .31                     |                                  | 72 0.22<br>50 0.17                                  |                    |
| pT(pT),pT pT(pT),pT All compounds wer matograms in these sol   | e spotted as am  |                                 | A.17 .                             | ************************************** | .06<br>.029                           |                         |                                  | 25<br>.5  | .27<br>.20         |

All compounds were spotted as ammonium salts; these were prepared by appropriate ion exchange techniques. All compounds were spotted as ammonium saits; these were prepared by appropriate ion exchange technic matograms in these solvent systems, containing d-pTpTpTpC as the marker were run for 3 to 12 days. • these oligonucleotides indicates that they are macrocyclic compounds of the general structure II (n = 0-2). 6 Chro-

dinucleotide (II; n = 0). (Peak 3 was identified as thymidine-5' phosphate.) Cyclic tri- (II; n = 1) and tetra- (II; n = 2) nucleotides were present

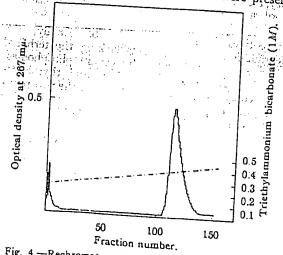


Fig. 4.—Rechromatography of the main decanucleotide peak of Fig. 3; conditions identical to those under Fig. 3. The peak in the first ten fractions contains non-nucleotidic

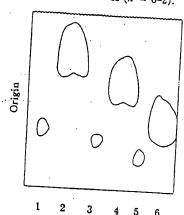


Fig. 5.—Paper chromatography of thymidine octa-, nona-, and decanucleotides before and after treatment with bacterial phosphomonoesterase. Solvent system, n-propyl alcohol-cone. ammonia-water (55-10-35), irrigation by the descending technique for  $5^1/_1$  days. Spots 1, 3 and 5, octa-, nona and decanucleotides before treatment with the phosphomonoesterase; spots 2, 4 and 6, products obtained after action of phosphomonoesterase from the octa-, nona- and decanucleotides, respectively. Each of the spots in the larger

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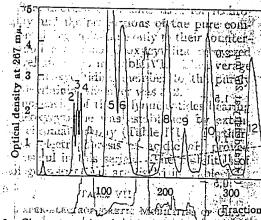


Fig. 6.—Chromatography of total products obtained on polymerization of a direction of the middle of phosphate and No. 3'-O-diacetyldeoxycytidine-5' phosphateae For details of procedure see text; for product distribution and identification of different peaks, see Table Vii militar relative to d-pTpTpTpC respectively in peaks 17 and 140 stall of these compounds were purified by chromatography lont paper sheets in isopropyl alcohol-ammonia-water solvent and they were characterized by the techniques described previously for this class of compounds, a stat

the initial gross Schotling Fig. (i) in the life of each size and subsequent Chromocopy of each size and subsequent Topogothers of each size and subsequent to the each size and so arrivers. RESULTS OF DEGRADATION OF THE MINISTER IN CROTTES LACKING. TERMINA UNITAGED MONORSTER IN CROTTES LOW THE CONTROL OF THE MINISTER OF THE CROTTES LOW THE CONTROL OF THE CONT September 101 II HTM 632 49 EOUV 017 2 HTM TG (TG)T

Other Minor and Unidentified Products.-Peak 1 contained mostly material which travelled more slowly than thymidine-5 phosphate on paper chromatograms in the isopropyl alcohol-ammoriia-water solvent builts absorption spectrum was additive of N-alkyl pyridinium and thymidine chromophores. or Compounds with the rsame properties were encountered in previous work (peak A of Fig. 2 in ref. 7 and peak B of Fig. 1 in ref. 8). The structure indicated by the properties reported previously for the similar compound from the polymerization of thymidine-3' phosphate and now verified with that isolated from peak, 1 of Fig. 1, is that it contains a phosphomonoester group and a pyridine residue quaternized at either the 3' or 5' carbon of the sugar ring in thymidine. The simpler derivation would be that in which the phosphomonoester group is placed on the 5'-position as in the starting nucleotide and the pyridinium group is formed at the 3'-carbon atom, the configuration being unas-

(24) There is seen on chromatograms in the isopropyl alcoholammonia-water solvent an additional apot with mobility similar to that of thymidine. The ultraviolet absorption characteristics ( $\lambda_{max}$  at 295 mu) show it to be non-nucleotide. The compound is encountered during acetylation of nucleotides and is apparently a product of reaction between acetic anhydride and pyridine.

of Dyinidhe cyclic dr., tre, und respectively. The carbor peaks a thing similar to the observations for the condisted to a service of deposition in a phase and phymunue-5' phosphack. contact and surprise of property of the property of surprise of su The little will be considered to polymenzation of a finiture of the constant o

न्यकृतिस्य विस्तारा हेतुः सार्थः प्रतिकृतिकार्थः कार्यस्य स्ति हिन्दि हिन्दि हिन signed as 1(Similar compound isolated in the prewious mork would have the isomeric structure in which the pyridinium group is formed at the 5% position and the phosphomonoester group is present at the 3'-hydroxyl group')

his A large number of products present in the polymene mixture in extremely minute amounts remain unidentified moThese are not of any practical sig-nificance since the major desired products can be freed from them as described above, but their formation is of importance in the mechanism of the polymerization reaction fair hus each of the peaks 5, 8 and 11 emerging just before the linear oligonucleotides, gave on prolonged chromatography in isopro-"pylealcohol ammonia water solvent, an average of five bands to The minor fore peaks obtained on rechromatography of the higher linear polynucleotide peaks gaversimilarly a series cofrequencia. prindividual bands referred to there represented very small fractions of 1% of the total polymeric mixture and were insufficient lor a detailed examination. However, it seems very proable that they are pyroacphosphates formed by the linking up of different linear soligonucleotides through their ophospho-monoester groups have the control of their ophospho-

ding Thymidine. Polynucleotides Bearing & Terminal Deoxycytidine Residues.—The separation of products obtained on polymerization of a mixture of NB310 diacetyldeoxygytidine of phosphate and thymidine-5, phosphate is shown in Fig. 6. . The fractions were pooled) as shown in Table V, which also records the distribution of the nucleotidic material in the different peaks. The elution pattern is similar to that obtained above in Fig. 1, except that the polymerization did not go as far. The major peaks 8, 10, 12 and 14-19 contained the linear homologous polynucleotides and, as might have

(25) A possible mechanism for the formation of such compounds is the prior formation of an isource ether by an addition reaction between 3'-bydroxyl group, and dicyclohexylcarbodilmide and the subsequent attack of pyridine at Ci' with cleavage of the C-O bond. Such attack would be expected to occur from the back side and would result in Inversion of configuration. In a model experiment O-methyl N.N. dicyclohexyl-isouren ether [J. G. Moffatt and H.G. . Khorana, This JOURNAL, 79, 3741 (1957)] was rapidly cleaved by pyridiue at room temperature to form N-methylpyridinium cation. However, all attempts to carry out addition reactions between the hydroxyl groups of thymidine and dicyclohexylcarbodiimide have falled. We are grateful to Drs. G. M. Tener and A. P. Turner for the experim

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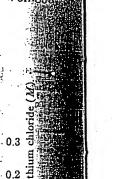
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Optical density 0.2 \$19T. Tq.15 0.1 \$4(Tq) Ly.2 Fig. 7. Chromatography of the mixture of dinucleotides 0.5 (d.pTpC and pTpT) (peak's of Fig. 6) on DEAE-cellulose (chloride) column. Conditions as in text and Table ivIn noiseard Birst peak, d. P.T. Second major seak p.T. of a first property of the property

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- Fraction number Of

F.C. ice of icontypyridate of 100 sphare 25 For details of proceeds this, see text; for product distribution and identification of raphy (pH 7.5), the two members of each size (e.g., -pTpTrand d-pTpC; and similarly pTpTpT and d-pTpTpC; etci) were eluted together lu In earlier work on these: polynucleotides a theriinitial chromatog-

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memor sumone Pears of Figure 6 mentani pirone Peak Fractions Total nuclei orn osen Remarks in Indipoled Colide mater orn osen composition in the property of the composition mincance loiseit the major banker products can be freed from them as described whime, but their formation is of importanibity Tile :28: drams: 181-3 the pair-3 2 24151-5911 lo th 45 | zutSimilar topeaks traffd 21 of and HI, emerging start beforest linea 20-60 on the gytides gave on prolonged clr (e8) rtogra (08-66) 186 4 (ipyl sicohol-aroq-bri-Tqater 4) Elent, 221-401755 cof -95 fto 126-1560 sxl218-010 Cyclic dinticleotideasd ovil chromatographybáfrásadughacilotear g81+08fclforde peaks garaqtaliffqtqeneso.siconiqqse08se 1782 b.2164223197 90:34 1 Discirded brust tambivibui at 97xlin224=236/leq 2.20 off Cyclic trinucleotide it figure? .niga nii 237-253 boliu 0:76 a TDiscarded liuzui Stevr bins -c10(4-5254-288 to:h578 world-pTpTpC:4-pTpTpTroit rateoff:289-3051 pa 01.93 of Cyclic tetranucleofide zonq -तिविज्ञाम306-322 वि १०,371वी Discardedoungedo विश्वकृति 323-353 9.8 pTpTpTpTr+Td-pTpTpTpC 12 Thymidine HobrasiColic80. 1 Bear 886-468 rm 21 al -114:q 1384-415:sq 9.8.25: -- pT(pT):pT +: d-pT(pT):pC lg14amri416-440 to π0.69 i rerDiscarded) bemissido albu 1.15 9441-46217 5:05 itt pT(pT) pT(+ d-pT(pT) pC

thymuline 5' phosphozid is \$17.0 m in \$69,584. a5b. d16 w ,494-532 T m3:52 nis pT(pT),pT + d-pT(pT);pC cileacel533-553t) ic0.47thucDiscardedth sirroper ogla ::17\$!!m(554-592) 5:1 2.14 (mo.pT(pT)\*pT 5+ d-pT(pT)\*pC 1:18:20 593-620 ft 51:38: b5pT(pT);pTi+ d-pT(pT);pC inst the polymentation diffe.01 (e.065-125) (e1) theor points 5, 10, 11 and 19(80:00 artificial the h<sup>2</sup>00 19

This is the total material eluted with 1 Matriethylammonium bicarbonate, pH 7.5.

raphy of the total mixture was carried out under acidic (pH'2.7) conditions and there the separation of all of the expected small-sized compounds (d-pTpC, pTpT, d-pTpTpC, etc.) was satisfactory, but as the size increased, the compounds with approximately equal charge at the acidic pH (e.g. pTpTpT and d-pTpTpTpC) emerged together and further separation was carried out by paper chromatography. The procedures now preferred and described here

Fraction number. Fraction number. ...Fig. 8....Chromatography of the mixture of heptanucled tides (neak 16 of Fig. 6) on DEAE cellulose (chloride) column. Conditions as in text and Table VI. First major peak, d-pT(pT).pC; second major peak; pT(pT).pT.

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take advantage of the use of the volatile eluent for the initial gross separation (Fig. 6) into the pairs of each size and subsequent chromatography under of each size and subsequent chromatography under addic conditions of each one of the major linear polynucleotide peaks. Uniformly successful results were thus obtained, two examples of separation, namely, at the di and heptanucleotide level, are shown in Figs. 7 and 8. Two major peaks were obtained in every case, the first one being, as expected, that of deoxycytidine containing apolynucleotide. The amount of the ultraviolet absorb-ing material separation from the containing apolynucleotide. ing material separating from the major components increased with the increase in size, but the materials recovered from the main peaks were all pure (see

BE'S were rof TABLE VI Get & ·Tac(Tac)To SEPARATION OF THYMINIS POLYMUCLEOTIDES PROM THE CORRESPONDING POLYMUCLEOTIDES CONTAINING TERMINAL

I And I — DEOXYCYTIOLDE BY RECHROMATOGRAPHY Peak Detailed procedure as in text)
Peak Conditions for rechomatography
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A lower concentration of LiCl would have been adequate as found during rechromatography of the next higher oligonucleotide mixture. For the relatively small amount of the materials corresponding to these peaks, rechroma tography was performed on a smaller column (16 cm.  $\times$  1.0 The flow rate was about 1 ml./min. c Percentem. dia.). age of cytosine-containing member and corresponding member containing thymidine only.

below). The detailed conditions used for rechromatography and the proportions of the pure components containing thymidine only to their counterparts containing terminal deoxycytidine recovered at each level are listed in Table VI. The average ratio of the deoxycytidine member to the purely thymidine-containing member was 3:2.

The homogeneity of the polynucleotides bearing

terminal deoxycytidine was established by extensive paper chromatography (Table III). Furthermore, paper electrophoresis at acidic pH proved especially useful in this series. The mobilities of the homologous members are listed in Table VII

RELATIVE PAPER BLECTROPHORBTIC MOBILITIES OF OLIGONIUS AT PH 3.52

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AUGUSTIDES AT PH 3.52

Compound Mobility relative to be propagated by presented the propagate of propagated by presented the propagate of propagated by presented the presented by pres pTpTop learner (in 1 29 months in the property of the pTpTpT who allowed 47 weeks to see a second d-pTpTpTpCC220-1850T\_22126 (Agree1) 103-225-1 d-pT(pT)ipC fell intr2 > qua-f@rentani-ra sector 1 08-225-1 d-pT(pT)ipC at the sector distributed (action of the property of the

d-pT(pT),pCare manage montainmonon ermodes 1.19 are a Electrophoresis ruh'using 3"-wide strips and a potential gradient of 15-16 volts/cm." Each run was performed for gradient of 15-10 voits/cm. Each run was performen for about 2 hr in ammonium acetate (0.05 M) buffer 10 Mobilities are quoted relative to the reference compound run along with the oligonucleotides on the same strip 100 mobile to the compound run along with the oligonucleotides on the same strip 100 mobile to the compound of the com

(With the members containing thymidine only, the technique as hitherto used in this Laboratory was applicable only as far as the tetranucleotide—all the higher members having about the same mobil-Degradation of the homologous polynucleotides by venom phosphodiesterase and determination of the ratios of the resulting deoxycitidine-5 phosphate and thymidine-5 phosphate gave excellent agreement with the values expected (Table:

VIII).

TABLE VIII

RESULTS OF DEGRADATION OF POLYMULEOTIDES. TER-MUNATED IN DEOXYCYTIDINE BY VENOM PHOSPHODIESTERASE. (Details as in text)

| arte de la companya d<br>La companya de la co |                               | Products         | of hydrolys                              | ei     | n in the M | v 941 |
|---|-------------------------------|------------------|--|--------|------------|-------|
| Com-<br>pound   | Optical<br>density,<br>ml. at | aterios<br>Notae | Optical<br>density,<br>ml. at<br>280 mg/ | erin e | Ratio      | pT/pC |
| d-pTpC  | 1.56                          | 0.161            | 2.15                                     | 0.163  | 0.99       | 1     |
| d-pTpTpC  | 4.10                          | . 423            | 2.86                                     | .2165  | 1.96       | 2 .   |
| d-pTpTpTp(  | 2 4.30                        | . 444            | 1.96                                     | .148   | 3.00       | 3     |
| d-pT(pT)₁pC   | 4.90                          | . 505            | 1.64                                     | . 124  | 4.07       | 4     |
| d-pT(pT),pC   | 3.28                          | .338             | 0.882                                    | .067   | 5.04       | 5     |
| d-pT(pT)spC   | 3.68                          | .379             | 0.840                                    | .0636  | 5.96       | 6.    |

" Using a figure of 9,700 for  $\epsilon_{max}$  at 267 m $\mu$  for thymidine-5' phosphate. " Using a figure of 13,200 for  $\epsilon_{max}$  at 280 m $\mu$ iu acid for deoxycytidine-5' phosphate.

The linear thymidine polynucleotides isolated were all pure when characterized as described in the preceding section. Other features of the elution diagram (Fig. 6) were similar to those of the diagram

in Fig. 1. Thus peaks 6: 9 and 11 mainly consisted of thymidine cyclic diverse and 11 mainly consisted of thymidine cyclic diverse and tetra-nucleotides, respectively. The carlier peaks, 24, had compositions similar to those described for Fig. 1 and peak 5 consisted of a mixture of deoxycytidine-5' phosphate and thymidine-5' phosphate and thymidine-5' phosphate and thymidine-5' phosphate. General Remarks. The method of polymerization as it stands is satisfactory for the preparation of linear polynucleotides.

of linear polynucleotides containing up to about twelve units in a chain However, further studies are required in order to induce the chemical poly-menzation to so much further. These studies will be concerned with a comparison of the efficiency of different apolymenzing reagents. With dicyclo-hexylcarbodiimide, the mixtures are heterogeneous at the start of the polymenzation reaction. With toluenesulfonyl chloride and other reactive anhy-drides. See car solutions result (and this forest) drides 1.25 clear solutions result (and this factor may make kinetic studies of the polymerization reaction simpler: However detailed analysis of products obtained by using freagents other than dicyclohexylcarbodimide is necessary before further studies with these reagents can be undertaken. In the previous work?! the results obtained using dicyclo-hexylcarbodiimide; were cleaner than when ptoluenesulfonylchloride, was used

Much effort continues to be expended in this Laboratory on the fectiniques for the separation of the synthetic polynucleotides. The procedures as now evolved are satisfactory for the purification of all of the products encountered, and it is hoped that the information that Is being gained with the relatively simple polymeric mixtures will be of use in the formidable problems of separation of polynucleotides of natural origin. The later with the later are 1979 to 1970 to 19 and the man area of the litter mental

Preparation of No.32-O Diacetyldeoxycytidine-5' Phosphate and 32-O-Acetylthymidine-5' Phosphate.—Deoxycytidine-5' phosphate!!(free acid) and thymidine-5' phosphate used in the present work were commercial samples. They were checked carefully for their purity by (a) paper chromatography (solvents B and F) see below) or doubleacid washed paper strips; using at least 2 mmole of material for each spot; (6) paper electrophoresis; and (c) spectral characteristics Paper chromatography in solvent B detects deoxyuridine-5" phosphate which may be present in thymi-

dine-5 phosphate, while solvent Fis suitable for detecting any riboducleoside-5 phosphates.

Deoxycytidine-6 phosphate (0.5 mmole, 165 mg. of free acid) was dissolved in a mixture of 10 ml. of water and 1 ml. of pyridine and the solution lyophilized. The finely divided material thus obtained was suspended in 5 ml; of dry pyridine and 1.5 ml. of acetic anhydride added. The stoppered flask was kept in the dark at room temperature and shaken frequently. Clear solution resulted within a few hours. After a total of about 18 hr., water (20 ml.) was added to the essentially colorless solution in ice bath. The solution was kept at room temperature for about 1.5 hr. and then concentrated to a syrup in vacuo at low temperature (bath temperature below 20°) using a rotary evaporator. Water was added to the syrupy concentrate and the solution re-evaporated as above. The procedure was repeated twice when most of pyridinium acetate was removed. Finally an aqueous solution (about 50 ml.) of the product was lyophilized to give a fine white powder which was stored at 3° as a solution in pyridine and used directly. Paper chromatography in solvents C, D and C as well as paper electrons. phoresis at pH 3.5 showed the absence of any unacetylated material. A weak fast-travelling spot was frequently seen on chromatograms. This was evidently non-nucleotidic in character (\(\lambda\_{max}\), 295 m\(\mu\)) and, as noted above, appears to

(26) Unpublished work of H. C. Khorana and J. P. Vizsolvi

result; from the reaction of acetic anhydride with pyridine. alone Manother very, weak spot possessing M-acetylder oxycytidine spectrum was also seen on chromatograms run in solvent D. This could be unhydrolyzed mixed anhydride between acetic acid and phosphate group of N.O-diacetyldeoxygytidine-5/ phosphate. lo No rattempt, was made to

remove this minor by product: (2-on bint (1) bits of and The procedure for the acetylation of thymidine-5, phosphate was identical, except that the starting material, usually as ammonium salt, was first converted to the pyridmines. ium salt by passage through a column of pyridinium Dower-) 50 jon exchange resin and the total effluent was eyaporated; ou jon exchange resin and the total entire was evaporated; and the residue rendered anhydrous by repeated evaporation of its solution in pyridine. The reaction time usually given for this acctylation was around 7 hr at room temperature in the dark ratio and to manner more thing by the dark ratio of a Mixture of 3 O-Acetylthymidine 5.

Phosphate, and Thymidine-5; Phosphate. A) mixture of pyridinium 3: O-acetylthymidine-5; phosphate (as obtained by acetylating 11 mmole; of thymidine-5; phosphate) and pyridinium thymidine-6; phosphate (a mmole) was taken up in dry pyridine (10 ml.), and the solution evaporated to a gum in vacua, (oil pump) at low temperature. Dry au was, admitted to the system, the residue redissolved in 10 ml. of, dry pyridine and the solution re-evaporated as above. The whole procedure was repeated at least three times and the resulting anhydrous foam was taken up in 2 mlcof dry pyridine. To the clear solution was added under agitation from a pressure equalizing flask a solution of dicyclohexylcarbo-dimide (1.65 g., 8 mmole) in dry pyridine (2 ml.). The stoppered reaction vessel was vigorously shaken for some five minutes, during which time the initially separated mobile liquid turned into a gumo; The two-phase mixture was shaken mechanically at room temperature in the dark for a total of six days. The gum progressively hardened and at the end the total reaction mixture turned into a solid mass. because of the crystallization of sadditional amount of this cyclohexylurea, To the freaction mixture was their added, rapidly under shaking an aqueous solution of sodium hydroxide and droxide (9 ml. of water + 6 ml. of 2 W sodium hydroxide) and the sealed mixture was shaken thoroughly and the solid lump broken with a glass rod. iThe alkaline solution was shakent with ether (50 ml.) and the total mirture filtered from dicyclohexylurea. The clear aqueous layer was washed twice with ether and keptifor a rotal of I hr. at room temperature to remove the acetyl group MAnberlite 18-120 (H+) resin was then added gradually until the PH dropped to neutrality and the solution was then filtered from resin and the latter washed thoroughly with water. The total aqueous solution was concentrated at low temperature and made up to a standard volume and stored at 3 mgs roomments

Polymerization of a Mixture of No,3'-O-diacetyldeoxycytidine-5'; Phosphate and dThymidine 5'; (Phosphate - The) polymerization was carried out exactly as described above; except that one-half the scale was used to After working up by the addition of appropriate amount of aqueous alkali to the polymerization mixture, and extraction with ether, the aqueous alkaline solution was passed through a column (6 cm. × 2 cm. dia.) of Amberlite-120 resin (ammonium form). and the total effluent and washings evaporated to dryness The residue was dissolved in 10 ml of cone ammonia and the solution kept at room temperature for 2.5 hr; to ensure complete removal of the N-acetyl group. (The solution was thennevaporated and the residue made up ito a standard volume in water and the solution stored at 3° to find a reference

Large-scale Separation of Polymers on DEAE-cellulose (Carbonate) Columns .- A portion of the solution (corresponding to 1 mmole of nucleotide) of the polymer mixtures obtained above was adjusted to pH 8-9 with ammonia and applied to the top of a DEAE-cellulose column (carbonate form) (30 cm. long X 4 cm. dia.) and carefully washed in with water (total volume of water wash, 300 mlr). Elution was begun using a linear gradient elution technique. In the case of polymers obtained from the mixture of 3'-O-acetylthymidine-5' phosphate and thymidine-5' phosphate, the mixing vessel contained initially 4 l. of water and the reservoir 4 l. of 0.25 M triethylammonium bicarbonate (pH 7.5). When this eluent had passed through the column, elution was continued by maintaining the linear gradient (4 l. of 0.25 M triethylammonium bicarbonate in the mixing vessel and 41. of 0.5 M salt in the reservoir). In the case of polyniers from the mixture of N,O-diacetyldeoxycytidine-5,

phosphate and thymidine-5' phosphate, the same column. was used but the linear gradient was a little different; 4 1, of water in the mixing vessel and 4 1. of 0.3 M trie ammonium bicarbonate (pH 7.5) in the reservoir, and, 2 1, of 0.3 M-triethylammonium bicarbonate in the mixing vessel and 2:1.1 of 0.45 M solution of the same salt in the fe ervoir. In both experiments, a flow rate of 2-2.3 ml./g was maintained and approximately, 20 ml, fractions of collected using an automatic fraction cutter. The eligible pattern obtained from the polymers containing thy filling only is shown in Fig. 1, and the pooling of fractions and prof uct composition is shown in Table I. The elution patte obtained in the polymerization of a mixture of diacetylde-oxycytidine-5' phosphate and thymidine-5' phosphate shown in Fig. 6 and the product distribution in Table 97. The recovery of the nucleotide material in both experiment was essentially quantitative.

Each of the pooled peaks was evaporated in vacuo at low temperature and the residual syrup obtained, especially with higher oligonucleotides, was redissolved in water and the solution re-evaporated. The process was repeated several times to ensure complete removal of triethylammonium bi carbonate. Further processing of the peaks is described below. The concentrated solutions of those peaks that were to be purified by chromatography in ammoniacal solvents of paper strips were passed through small columns of amimonium Dowex-50 ion exchange resin to obtain ammonium

salts of materials.

Further Purification of Thymidine Polynucleotides by Rechromatography on DEAE-cellulose (Carbonate Columns.—The peaks from Fig. 1, corresponding to linear thymidine polynucleotides, were rechromatographed on DEAE-cellulose columns (20 cm. × 2 cm. diameter, average line) (School of the columns of the cellulose columns). size) (carbonate form). Satisfactory results were obtained by pre-equilibrating the column with the triethylam by pre-equinorating the monitor used in the mixing vesse and by applying the polynucleotide as a concentrate solution in the same salt; solution. The elution was carried, out by the linear gradient method using 500 centrations in the mixing vessel and reservous as listed at Table II for each polynucleotide. On the average, 10-1 ml. fractions were collected, the flow rate being about 1 ml. min. The major peak was again recovered by evaporation of the combined eluate and repeated evaporation after addition of water. The triethylammonium salts of the polynucleotides were exchanged to ammonium salts by passage through small columns of ammonium Dowex-50 ion exchange The dation of the annologous polycularies

The number, of minor, peaks, obtained on rechromatography, in general, increased with the increasing size of the polynucleotide. Theresolution was throughout satisfactory, as far as tried (undecanucleotide) II The typical patterns obtained on rechromatography, are illustrated with respecti to the peak 12 (tetranucleotide) and peak 20 (decanucleotide) (Fig. 2 and 3 respectively). Rechromatography of the

major peak of Fig. 3 gave a single sharp peak (Fig. 4).

Separation of Individual Polynucleotides Containing,
Terminal Deoxycytidine from Corresponding Polynucleo tides Containing Thymidine Only.—Each of the major peaks: from Fig. 6 corresponding to linear polynucleotides was re-chromatographed on a DEAE-cellulose column (15 cm. × 2 cm. dia., average size) in the chloride form by using linear gradient elution technique. The volume used in the mixing vessel and in the reservoir was 11. each in all the experiments and the salt concentrations used are listed in Table VI. The flow rate was about 1.5 ml./min. and 15 ml. fractions were collected. Each of the original peaks gave two major peaks and often other minor peaks which were discarded. The first major peak was invariably the oligonucleotide containing terminal deoxycytidine, while the second major peak was the analogue containing thymidine only. The ratios of the optical density of the two major products obtained on rechromatography are also listed in Table VI. The typical elution patterns obtained are illustrated with respect to the dinucleotides (pTpC and pTpT) and heptanucleotides (pT

(pT), pC and pT(pT), pT) in Fig. 7 and 8, respectively.

Recovery of Linear Polynucleotides.—The combined pure peak fractions obtained above from the chloride columns were neutralized with lithium hydroxide and then evaporated down to a completely solid white residue by first using a rotary evaporator and then sucking on an oil pump. The solid cake was dissolved in minimum of methyl alcohol ge cc

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(2-4 ml.) and acetone (25-30 ml.) and then diethyl etherat fivefold with 0.05 M trihydroxylmethyl aminomethane buffer (5-10 ml.) added to precipitate the nucleotidic material. again collected by centrifugation. Finally they were washed with acetone and dried in vacuo at room temperature W. The lithium salts of the polynucleotides thus obtained were dis-solved in small amounts of water and converted to the am monium salts by passing through columns (2 cm. X 1 cm.

monium acetate (PH.7.5)-[(5-2) v./v.); 3solvent D. ethyl alcohol—0.5 M animonium acetate (PH. 3.8) (5-2) v./v.); solvent E. 7-propyl alcohol—conc. ammonia—water (55-10-35, v./v.); solvent F isopropyl alcohol—conc. ammonia—water (55-10-35, v./v.); solvent F isopropyl alcohol—concil ammonia— 0.1 M boric acid (7-1-2; N / M;); (solvent, G; n-butyl alcohol-acetic acid-water (5-2-3; N / Y;)); n:The R sigf different polynucleotides in the solvents A-E, which are the more useful are listed in Table III.

Paper electrophoresis was carried but in an apparatus similar to that of Markham and Smith PolyThe buffers used routinely, were 0.05 M ammonium acetate (pH 3.2-3.5) and 0.05 M triethylammonium bicarbonate (pH 7.5.). Thick double-acid washed paper (Whatman 31) strips were used the paper being soaked in the buffer and their blotted before application of the spots: The relative mobilities of different

oligonucleotides are given in Table-YII of shit allocation in Enzyme, Experiments. (a) Removal of Terminal Phosphomonoester Groups.—The prostatic phosphomonoesters prepared by the method of Boman's was first used in early work | conditions of concentration | buffer and incubation as standardized with the dinucleotide pTpT being used In More

standardized with the dinucleotide p.P.P. being used. More recently the alkaline phosphatase of Escherichia coli, as prepared by Garen and Levinthal, has been used. The preparation containing about 2 mg./ml. of protein was diluted (27) R. Markham and J. D. Smith. Biochem. J. 52, 552 (1952) (28) H. G. Boman, Arkiv. Kem., 12, 453 (1958) as the scienting inaterial in all the present wanks the first approach, the commercialists was comarted quantities to the contraction of the contraction 

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(pH 8) and the conditions used for complete removal of (5-10 mi.) added to precipitate the nucleotidic material. (pn.) and the conditions used for complete removal of were kept at 0° for some hours to ensure complete precipitated materials phosphomonoester groups from mono- to deca-nucleotides were kept at 0° for some hours to ensure complete precipitated were: substrate containing approximately 0.1 amole of the precipitates were restirred by adding 1 ml. of methylalcopioland of 0.04-0.05 ml. of water. To it 0.002 ml. of 1 M tri-hythen acetone (about 15 ml.) added. The precipitates were of 0.04-0.05 ml. of water. To it 0.002 ml. of 1 M tri-hythen acetone (about 15 ml.) added. The precipitates were contained the precipitates were contained to the precipitates were of 0.04-0.05 ml. of water. To it 0.002 ml. of 1 M tri-hythen acetone (about 15 ml.) added. The precipitates were contained to the precipi pH of the resulting solution checked to be around 8.

al. of the above diluted enzyme was added and the mixture incubated at 37° for 4 hr. Dephosphorylation of the phosphomonoester groups was complete in all the poly-

solved in similar and conversed to the content of t raper Chromatography, mraper nearbulatography, was a viously the venous mesterase preparation and open standard carried out by the descending technique using double acid-vized with respect to pTpT, and TpT; the incubation time washed paper (Whatman, paper, 40 nor, 44), or The solvent solvent in the degradation of polynucleotides and the amount systems used are: solvent A, isopropyl alcohol-coned amondof enzyme used were each double that necessary for the commonia-water (7-1-2, v./v.); solvent B, isobutyric acid-1 M plete hydrolysis of the reference substrates.) The total incubation mixtures were then applied on paper chromatograins which were developed in solvent Cal. The spots/and 13 Exactly; the same:procedure was used for degradation of thymidine polynucleotides of general structure T(pT) pT to diymidine of phosphate and thymidine, the total incubation mixtures being applied on paper chromatograms which were developed in solvent APUThe ratios of the nucleotide to the nucleoside are listed in Table IV: by flat in while bigs. non-Pyridinium Nucleotide (Peak 1, Fig. 1).—The major constituent of this peak showed the following characteristics: Its mobility on paper electrophoresis at pH 3.5 was nil.—At pH 715 it had a net negative charge, electrophoretic mobility being 10.46 that rot thymidine 5' phosphate: in On paper chromatograms in solvent, A, its  $R_l$  was 0.55, relative to thymidine 5, phosphate. It was dephosphorylated by prostate phosphomonoesterase and the resulting ultraviolet absorbing material had Rein solvent A of 0.38 (Reof thymidine run as marker, 0.65). The substance moved toward the cathode on paper electrophoresis, showing positive charge. The ultraviolet absorption spectrum of the substance showed a peak at 260 m<sub>µ</sub> with a shoulder (almost a second peak) at 267 लिए विश्व कार्यक्रिय के अन्ति कर्य हुनाय

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## URIDINE-SPECIFIC ANTIBODIES OBTAINED WITH SYNTHETIC ANTIGENS\*

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The problem of the antigenicity of nucleic acids has been approached from several directions with varying degrees of success.<sup>1</sup> Reports in the older literature<sup>1, 2</sup> on antibodies with specificity directed toward nucleic acids have been challenged because of doubts concerning the purity of the nucleic acid used either for immunization or for specific reaction with the antibodies formed. Neither RNA<sup>1</sup> nor DNA<sup>1, 3, 4</sup> nor synthetic polynucleotide<sup>5</sup> preparations were found to be immunogenic by the serological methods employed. On the other hand, positive results were reported with DNase-sensitive antigens from Brucellae<sup>6, 7</sup> and with a soluble RNA preparation from yeast.<sup>8</sup> Antibodies directed toward thermally denatured DNA have been detected in rabbit antisera to ruptured T-even coliphage<sup>9</sup> and in sera of patients with lupus erythematosus.<sup>10-12</sup> In the case of the coliphage the antibodies were shown to be directed, in part, toward the glucosylated 5-hydroxymethylcytosine.<sup>13</sup> Antibodies with specificity toward RNA were also detected in antisera to bacterial ribosomes.<sup>14-16</sup>

An alternative approach to the elucidation of immunological properties of nucleic acids consists of efforts to bind, chemically, their components to well-defined antigens, and to study the specificity of antibodies elicited by means of such artificial conjugates. Thus, antibodies with purine or pyrimidine specificities, reacting with heat-denatured DNA, were obtained in rabbits upon injection of purinoyl-17 or uracil-conjugates of serum albumins.

This report describes the chemical binding of a uridine derivative to two different multichain synthetic polypeptides, one antigenic and the other nonantigenic.<sup>19, 20</sup> The injection into rabbits of these synthetic nucleoside-polypeptide conjugates

elicited, in both cases, antibodies with specificity toward uridine, and which reacted with single-stranded thymus DNA, heat-denatured E. coli RNA, and polyribouridylic acid.

Materials and Methods.—Nucleosides and polyadenylic acid were obtained from Sigma Chemical Company, uracil and d-ribose from Nutritional Biochemicals, and calf thymus DNA from Worthington Biochemical Corp. We are indebted to Dr. S. Ochoa for a gift of polyuridylic acid, and to Dr. U. Z. Littauer for a gift of E. coli RNA.

The multichain polymer multi-poly-dialanyl—poly-t-lysine (pAla—pLys) was prepared from N-carboxy-dialanine anhydride and poly-t-lysine, in a residue molar ratio of Ala:Lys, 7:1. Uridine-5'-carboxylic acid and thymidine-5'-carboxylic acid were synthesized according to Moss et al. N,N'-dicyclohexylcarbodiimide (0.5 gm, Fluka, Switzerland) was added to a mixture of pAla—pLys (1.5 gm in water) and uridine-5'-carboxylic acid (0.75 gm in dimethylformamide). The water content of the final reaction mixture was 5%. After 18 hr, it was dialyzed against distilled water (3 days), filtered, and freeze-dried. The resulting U-pAla—pLys (Fig. 1) contained 9% uridine-5'-CO-, determined from the extinction at 260 mμ (corresponding to a molar ratio of U:Ala:Lys, 1:32:4.5), and had a molecular weight of 80,000, calculated from a sedimentation coefficient of s<sub>20.π</sub> = 4.3 S (1% solution in 0.9% sodium chloride), a diffusion coefficient of D<sub>20.π</sub> = 4.7 × 10<sup>-7</sup> cm² sec<sup>-1</sup>, and a partial specific volume of 0.72. A thymidine derivative, T-pAla—pLys, was prepared analogously (8.5% thymidine-5'-CO-).

In order to obtain a multichain polymer that would contain both uridine and tyrosine, use was made of the observation that U-pAla—pLys still contained unreacted amino groups. It was, therefore, reacted (0.8 gm in 0.05 M phosphate buffer, pH 7.0) with N-carboxy-L-tyrosine anhydride (0.2 gm in dioxane); after 24 hr at 2°, the product was dialyzed against distilled water (3 days) and freeze-dried. The resulting (U,pTyr)-pAla—pLys contained 14.5% tyrosine residues (from the extinction at 293.5 m $\mu$  and pH 13, as well as ninhydrin colorimetry after hydrolysis and paper chromatography<sup>19</sup>). The molar ratio of U:Tyr:Ala:Lys was 1:3.2:32:4.5. The polymer had a sedimentation coefficient  $s_{20,x} = 4.7$  S.

Calf thymus DNA and E. coli RNA were denatured by boiling aqueous solutions for 10 min followed by rapid cooling in an ice bath. DNA was also heat-denatured in 1% formaldehyde. Immunological procedures: The polymers tested did not give any precipitate with preimmunization sera. Groups of eight rabbits were immunized (using Freund's adjuvant) as described previously. All experiments were carried out with pooled antisera. Precipitin and inhibition tests were performed as described by Fuchs and Sela.

Results.—The homologous precipitin reaction of the system U-pAla-pLys-

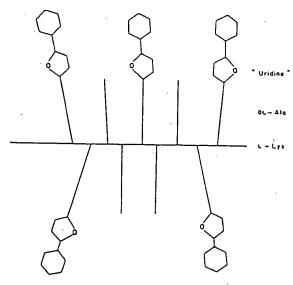


Fig. 1.—Schematic presentation of the multichain nucleoside-polypeptide conjugate U-pAla—pLys.

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anti-U-pAla—pLys is shown in Figure 2. The antisera cross-precipitated partially with T-pAla—pLys, and slightly with pAla—pLys. In the last case, the maximal precipitation occurred at a much higher concentration of the polymer. The homologous reaction was also positive when checked by the passive cutaneous anaphylaxis technique.<sup>23</sup>

The specificity of the antibodies obtained is apparent from inhibition studies. Neither uracil (up to 5 mg/ml serum) nor d-ribose (up to 10 mg/ml) nor a mixture of the two had any inhibitory effect on the homologous precipitin reaction. On the other hand, almost total inhibition was observed with uridine, and a partial one with thymidine (Fig. 3). Cytidine, at 5 mg/ml serum, caused 20 per cent inhibition. No inhibition was found with guanosine (0.5 mg/ml) or adenosine (1 mg/ml). The last two nucleosides are not well soluble at higher concentrations. Uridine did not affect the extent of precipitation of egg albumin with antiegg albumin. Nucleoside-5'-carboxylic acids were not used in inhibition studies, as uridine-5'-carboxylic acid inhibited efficiently the homologous systems of both U-pAla—pLys and egg albumin. On the other hand, uridine-3'-phosphoric acid caused 50 per cent inhibition at 1 mg/ml antiserum, but did not inhibit at all the homologous egg albumin-antiegg albumin system.

The cross-reaction of the antiuridine antibodies with nucleic acids was also investigated. Native calf thymus DNA did not cross-precipitate with the antiserum, while heat-denatured DNA gave a typical precipitin reaction, and an even better cross-precipitation was obtained with DNA heat-denatured in the presence of formaldehyde (Fig. 4).

Neither native nor heat-denatured E. coli RNA cross-precipitated with the anti-

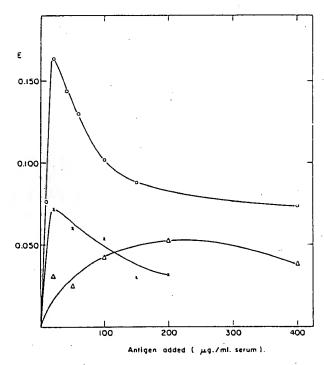


Fig. 2.—Extinction at 2800 A of solutions in 0.1 N sodium hydroxide of precipitates obtained by the addition to antiserum against U-pAla—pLys of: O, U-pAla—pLys; ×, T-pAla—pLys; Δ, pAla—pLys.

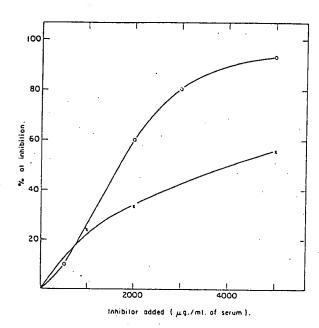


Fig. 3.—Inhibition curves of the homologous reaction of the system U-pAla—pLys and its antiserum by: O, uridine; ×, thymidine (antigen conc. 20 μg/ml serum).

uridine antiserum. This was due to the presence of RNase in the serum (rabbit serum contains RNase equivalent to approximately 0.1  $\mu$ g bovine pancreatic RNase per ml<sup>24</sup>). The RNase action was inhibited by the addition of 200  $\mu$ g of  $\gamma$ -globulin isolated on DEAE-cellulose<sup>25</sup> from an anti-RNase antiserum per 1 ml of antiuridine serum. When after 24 hr RNA was added, cross-precipitation was obtained with the heat-denatured material, but not with the native E. coli RNA (Fig. 5). Polyuridylic acid gave a precipitate with the antiuridine serum in the presence of anti-RNase  $\gamma$ -globulin, in contrast to polyadenylic acid (Fig. 5). None of the nucleic acid samples mentioned above gave, at the concentrations used, any precipitation with either normal rabbit sera or antiegg albumin sera.

In Figures 6 and 7 are shown, respectively, some precipitin and inhibition reactions of the antiserum to (U,pTyr)-pAla—pLys. In this case, beside specific antiuridine antibodies, antipolypeptide antibodies were also formed, as apparent from the incomplete inhibition of the homologous reaction by uridine (Fig. 7).

Discussion.—The experiments described show that antibodies with specificity

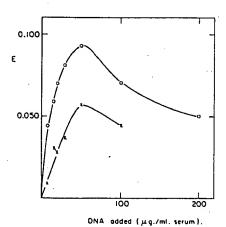


Fig. 4.—Extinction at 2800 A of solutions in 0.1 N sodium hydroxide of precipitates obtained by the addition to antiserum against U-pAla—pLys of: X, heat-denatured DNA; O, DNA heat-denatured in the presence of 1% formaldehyde.

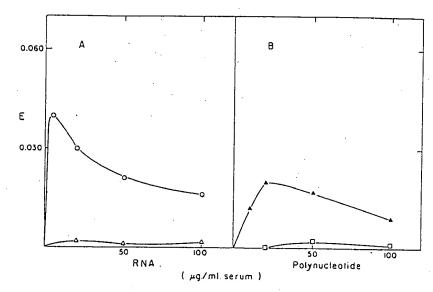


Fig. 5.—Extinction at 2800 A of solutions in 0.1 N sodium hydroxide of precipitates obtained by the addition to antiserum against U-pAla-pLys previously treated with 200 µg/ml serum anti-RNAsse γ-globulins of: (A) O, heat-denatured RNA; Δ, native RNA. (B) A, polyuridylic acid; D, polyadenylic acid.

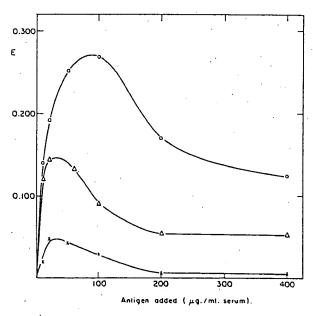


Fig. 6.—Extinction at 2800 A of solutions in 0.1 N sodium hydroxide of precipitates obtained by the addition to antiserum against (U, pTyr)-pAla-pLys of: O, (U,pTyr)-pAla-pLys; Δ, U-pAla—pLys; ×, pAla—pLys.

toward uridine may be obtained in rabbits upon injection of synthetic molecules in <, heatwhich uridine-5'-carboxylic acid is bound through an amide bond to the aminothe presterminal groups of poly-DL-alanyl side-chains of a multichain synthetic polypeptide. The attachment of the uridine-5'-carboxylic acid residues not only changed extensively the specificity of an antigenic synthetic polypeptide (Fig. 6), but also converted the nonantigenic multichain poly-pr-alanine into an immunogen (Fig. 2), with specificity due mostly to uridine. While some anti-poly-DL-alanine was

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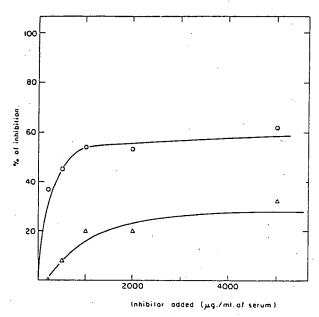


Fig. 7.—Inhibition curves of the homologous reaction of the system (U,pTyr)-pAla—pLys and its antiserum by: O, uridine; Δ, thymidine (antigen conc. 40 μg/ml serum).

formed, the antibodies in the equivalence zone (ca. 120  $\mu$ g/ml serum) consisted almost entirely of antiuridine, as apparent from inhibition studies (Fig. 3).

The antigenic specificity of U-pAla—pLys is due to the nucleoside unit as a whole, since uracil and/or ribose did not inhibit the homologous reaction, while uridine and uridylic acid were efficient inhibitors. The inhibition with thymidine demonstrates that neither the methyl group in position 5 of the pyrimidine ring nor the hydroxyl in position 2 of the ribose are of paramount importance in defining the combining sites of the antibodies formed. The lack of inhibition by the purine nucleosides stresses the role of the pyrimidine ring in the specificity. Nucleoside-5'-carboxylic acids inhibited efficiently both the uridine-specific homologous system and the egg albumin-antiegg albumin system. This is in agreement with a previous report<sup>26</sup> that heterocyclic carboxylic acids inhibit precipitin reactions.

The specificity toward uridine of the antibodies obtained is also apparent from the cross-precipitation with polyuridylic acid, but not with polyadenylic acid. In order to obtain precipitin reactions, RNase activity of the sera<sup>24</sup> had to be neutralized with antibodies against the enzyme. By this technique the reaction of heat—natured E. coli RNA, but not of native RNA, with antibodies toward uridine could also be demonstrated (Fig. 5). The lack of interaction of antibodies to purinoyl and uracil conjugates of proteins with RNA<sup>17, 18</sup> may thus have been due to the presence of RNase in the sera tested. In studies of antiriboscial antibodies, Barbu and Dandeu<sup>27</sup> have used bentonite to remove RNase from the sera. The cross-precipitations, reported here, with RNA and polyuridylic acid may be incomplete, as it is possible that not all RNase activity was removed from the sera tested.

Even though DNA does not contain uridine, a single-stranded call thymus DNA preparation (but not the double-stranded DNA) cross-precipitated with the test antiserum, probably because of its thymidine content. This observation is similar to the report of cross-reaction of antibodies against a uracil-protein conjugate with

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single-stranded DNA.<sup>18</sup> The lack of reactivity of double-stranded DNA with the antiuridine sera is in agreement with previous reports<sup>9, 11, 17, 18</sup> of the preferential reactivity of antisera specific toward nucleic acid or their components, with single-stranded rather than double-stranded DNA. Apparently, the antigenic determinants are not available in the highly ordered structures of double-stranded DNA or the high molecular weight  $E.\ coli\ RNA,^{28}$  for the reaction with nucleoside-specific antibodies. While the heat-denaturation of  $E.\ coli\ RNA$  is a reversible phenomenon, <sup>28</sup> the cross-reaction with the antiuridine serum suggests that the renaturation upon quick cooling is not complete. On the other hand, it was reported recently that polyuridylic acid, which cross-reacted with antiuridine, is a randomly coiled polynucleotide. <sup>29</sup>

The induction with fully synthetic antigens of biosynthesis of antibodies specific toward uridine permits a systematic investigation of the role of various molecular parameters in the immunogenicity and antigenic specificity of nucleoside-containing synthetic macromolecules. Preliminary results indicate that antibodies with specificity toward thymidine were obtained upon injection into rabbits of T-pAla—pLys, and the synthesis and immunochemical characterization of other potential nucleoside-specific immunogens is in progress. The availability of antibodies with specificity toward nucleosides and nucleotides should be helpful in investigations of the manifold chemical, physical, and biological preperties of nucleic acids.

Summary.—Completely synthetic antigens obtained by the chemical binding of uridine-5'-carboxylic acid to synthetic multichain polypeptides have elicited, in rabbits, antibodies with specificity toward uridine, as apparent from cross-precipitation and inhibition reactions. The attachment of the uridine derivative to a nonantigenic macromolecule converted it into an immunogen. The antibodies formed reacted with polyuridylic acid, heat-denatured RNA and DNA, but not with polyadenylic acid, native E. coli RNA, or double-stranded calf thymus DNA.

- \* This investigation was supported in part by the USPHS research grant AI-04715 from the National Institute of Allergy and Infectious Diseases, National Institutes of Health.
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- THE ENZYMATIC METHYLATION OF RNA AND DNA, VIII. EFFECTS OF BACTERIOPHAGE INFECTION ON THE ACTIVITY OF THE METHYLATING ENZYMES\*

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Communicated by B. L. Horecker, June 11, 1964

We, as well as others, have previously reported on the presence in Escherichia coli of several enzymes which catalyze the transfer of methyl groups from S-adenosylmethionine to sRNA,2-4 ribosomal RNA,5. 6 and DNA.4.7 Although the biological function of the methylated bases which these enzymes produce is still obscure, the species and strain specificity of the methylation reactions suggest that they provide a basis for a recognition mechanism. The virulent bacteriophage-host cell system is an example of a phenomenon involving recognition by the host of a foreign nucleic acid; in some instances, phage DNA is rapidly synthesized while the host DNA is rapidly degraded. If methylated bases are involved in controlling such a recognition mechanism, then a study of the methylated base content of DNA's of various bacteriophages grown in different hosts might provide a clue as to the biological function of the methylating enzymes. In order to establish a suitable system for further investigation, we have studied the effects of phage infection on the activities of the various methylating enzymes in the host cell. This communication summarizes such studies. It has been found that while the RNA methylases are apparently unchanged, DNA methylation activity increases markedly after infection with T2. In contrast, T3 infection induces an enzyme which cleaves S-adenosylmethionine to thiomethyladenosine and homoserine.

Materials and Methods.—(a) Bacteria and phage: E. coli B, used for infection experiments with the T series of bacteriophage was a strain obtained from Dr. C. Bresch of the University of Cologne. E. coli K12 strain W3104 and its lysogenic variant, W3104 (λ) were obtained from Dr. A. D. Kaiser of Stanford University and were used for studies on the effects of infection with or induction of bacteriophage  $\lambda$ , respectively.

Phages T1, T2, T4, T5, and T6 were generously provided from the stocks of the Department of Microbiology, New York University School of Medicine. Phages T3 and T7 were gifts of Drs. R. Latarjet of the Pasteur Institute and C. Bresch, respectively. Bacteriophage  $\lambda$  stocks were prepared from a single plaque isolated after plating the supernatant medium of an  $E.\ coli\ K12\ (\lambda)$ culture on E. coli K12.

# ANTIBODIES TO TRANSFER RNA OBTAINED WITH COVALENTLY LINKED tRNA CONJUGATES

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#### SUMMARY

Precipitating antibodies with specificity directed towards transfer RNA were obtained in rabbits and goat upon immunization with conjugates of transfer RNA bound covalently either to bovine serum albumin or to a synthetic antigen by means of a water-soluble carbodiimide. Radioactive transfer RNA was retained specifically on a column of anti-tRNA-Sepharose and displaced with unlabeled tRNA.

### INTRODUCTION

Antibodies which react with nucleic acids have been produced experimentally by immunization with ruptured bacteriophage, ribosomes, bases and derivatives chemically coupled to proteins or multichain polypeptides as well as with electrostatic complexes between methylated bovine serum albumin (MBSA) and DNA or synthetic polynucleotides (1, 2). The production of antibodies to transfer RNA following the immunization of one rabbit with yeast tRNA in complete Freund's adjuvant was reported by Bigley et al. (3). However, Hernandez et al. demonstrated that antibodies produced in rabbits immunized with yeast tRNA were directed only to the contaminant digonucleotides present in the tRNA preparation (4). Plescia et al. immunized rabbits with a complex of tRNA and MBSA (5). The antibodies obtained reacted with tRNA from various species, as followed by complement fixation.

In order to elucidate the role of tRNA conformation in its antigenic specificity, we were interested in obtaining precipitating anti-tRNA antibodies. The present report describes the preparation of conjugates of tRNA covalently bound to a protein or to a polypeptide by using a water-soluble carbodiimide reagent. Rabbits and a goat were immunized with such conjugates. For comparison, rabbits were also immunized with a complex of tRNA and MBSA as well as with tRNA alone. Specific precipitating antibodies to tRNA were obtained only upon immunization with covalently bound tRNA

## MATERIALS AND METHODS

Yeast tRNA (Boehringer, Mannheim) was extensively dialyzed and chromatographed on a Sephadex G-25 column to free the preparation of oligonucleotide contannants. E. coli tRNA and E. coli-32 P-tRNA were a gift from Dr. V. Daniel.

Preparation of tRNA conjugates - Bovine serum albumin (BSA) (crystallize Armour) in 0.1 M sodium acetate, pH 5.5, was treated with 0.15 M iodoacetic acid to inactivate any residual ribonuclease activity (6). The preparation was dialyzed aga water and lyophilized. Twenty mg BSA were dissolved in 2 ml of 0.05 M Tris-HCl] pH 7.5. To this solution, yeast tRNA (50 mg) in 2 ml of Tris-HCl buffer, pH 7.5 w: added, and the mixture was adjusted to pH 7.5 with 0.1 N NaOH. Forty mg of 1-ethyl (3-dimethylaminopropyl)-carbodiimide HCl (EDCl) (Ott, Muskegon) in 1.0 ml water ; added, and the reaction mixture was incubated at room temperature for 18 hours. solution was then chromatographed on a Sephadex G-200 column (75 x 2 cm) equilibra with 0.01 M Tris-HCl buffer, pH 7.5. The peak eluted at the void volume was collec and lyophilized. Protein was determined according to Lowry et al. (7), and tRNA fr optical density at 260 and 280 nm. tRNA was also conjugated to the synthetic antige poly(Glu, Tyr)-poly $D_{\underline{\underline{\underline{L}}}}$ LAla--polyLys (abbreviated as (T, G)-A--L), following the same procedure as described above for the preparation of the tRNA-BSA conjugate. preparation of (T,G)-A--L used in this study had an average molecular weight of 180 and a residue molar ratio of L-Lys: L-Tyr: L-Glu: DL-Ala, 1:0.9:1.8:17.2. A co of tRNA with MBSA was prepared according to Plesia et al. (5).

Immunological and chemical procedures - Several rabbits and a goat were immunized each with 2 mg antigen emulsified in complete Freund's adjuvant intrader ally in multiple sites. The animals were injected 10 days later and bled at weekly intervals following the second immunization. Immune precipitation and inhibition of precipitation were done as described previously (8). tRNA, the conjugate tRNA-(T, A--L and gamma globulin (prepared by precipitation with ammonium sulfate at 40%saturation) were coupled to Sepharose (9, 10) as follows: 5.0 g (net weight) of washed Sepharose 4B (Pharmacia, Uppsala) were suspended in 15 ml water and 0.5 g CNBr (Eastman Kodak) was added. The pH of the suspension was adjusted to 11.0, and war kept at this value for 8-10 minutes by the addition of 2 N NaOH. The reaction was terminated by filtration and washing several times with cold water. The activated Sepharose was washed with 0.1 M NaHCO3. Thirty mg of tRNA, tRNA-(T,G)-A--L or rabbit gamma globulin were dissolved in 5 ml of 0.1 M NaHCO3, and to each soluti l g of the activated Sepharose was added After 16 house of months attended

suspensions were filtered and washed several times with  $0.1\,\mathrm{M}$  NaHCO $_3$  until the absorbance at 280 nm or 260 nm of the washing fluids was less than 0.02. jugated Sepharose was then equilibrated with 0.14 M NaCl-0.01 M phosphate buffer, pH 7.4 (PBS). From the absorbance of the material before and after the coupling to Sepharose, it was estimated that 90% of the gamma globulin, and 50% of both tRNA and tRNA-(T, G)-A--L were covalently bound to the Sepharose. The Sepharose conjugates were packed in Pasteur pipettes and were used as immunoadsorbent columns.

## RESULTS AND DISCUSSION

Figure 1 illustrates a representative gel filtration on Sephadex G-200, for the separation of tRNA-BSA conjugate from the unreacted materials. Two peaks were The first peak, emerging in the void volume, was not observed in control experiments when preparations of either BSA or tRNA were treated with EDCI alone. Both BSA and tRNA elute in the region of the second peak. The presence of tRNA and protein in the high molecular weight material in the first peak was apparent both from the high absorbance at 260 nm and by the positive Lowry reaction. When this material was treated with bovine pancreatic ribonuclease and rechromatographed on a Sephadex G-200 column the first peak disappeared, and only the second peak was obtained. Similar chromatograms were obtained with the tRNA-(T,G)-A--L.

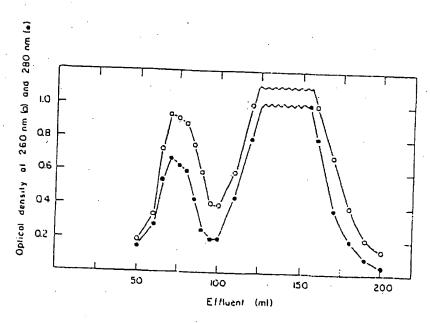


Fig. 1: Separation of tRNA-BSA conjugate from the unreacted materials on a Sephadex G-200 column (75x2 cm). Elution with 0.01 M Tris-HCl buffer, pH 7.5

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Coupling of tRNA to a protein probably takes place via the terminal 5'-phosp group of tRNA and the free amino groups of the protein. Halloran and Parker (11) EDCI for the coupling of mononucleotides to BSA, and demonstrated that the amino of the protein reacts with the 5'-phosphate group of the nucleotide to form a P-N both adenosine and adenine reacted poorly under the usual conditions of coupling.

Antibodies to tRNA — Groups of 4 rabbits were immunized, respectively, tRNA-BSA, tRNA alone and the complex of tRNA and MBSA. Antibodies to tRNA we observed in the sera of all four animals immunized with the tRNA-BSA conjugate. antibodies (0.2 mg/ml serum) precipitated with the heterologous tRNA-(T, G)-A--L conjugate. Likewise, a goat that was immunized with tRNA-(T, G)-A--L elicited and bodies that precipitated with the tRNA-BSA conjugate. Figure 2 illustrates a represtative precipitin curve of an antiserum against tRNA-BSA with tRNA-(T, G)-A--L precipitate could be completely inhibited with tRNA.

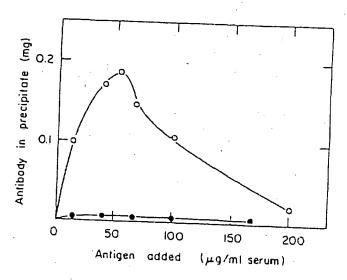


Fig. 2: Precipitin reaction with tRNA-(T, G)-A--L. tRNA-(T, G)-A--L was added to: O, rabbit anti-tRNA-BSA; •, rabbit normal serum. The amount of the antibodies in the immune precipitate was determined from the absorbance at 280 nm of the dissolved precipitates after substructing the contribution of the antigen.

Table 1 summarizes the precipitating capacity of the various antisera prepare against the tRNA-BSA conjugate, the tRNA-MBSA complex and tRNA, when tested wif various antigens. None of the sera tested gave a precipitate with tRNA alone. Antis from animals immunized with either tRNA-MBSA complex or tRNA alone failed to pre pitate with tRNA-BSA or tRNA-(T, G)-A--L conjugates. On the other hand, both the rabbit antisera against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg and the sera against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-BSA and the goat antiserum against tRNA-BSA and the goat antiserum against tRNA-TS CO A Landburg against tRNA-BSA and the goat antiserum against tRNA-BSA and th

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Table I

Precipitation of antiser: to tRNA with various antigens

| Immunogen          | Testing antigen              |      |          |                |  |  |
|--------------------|------------------------------|------|----------|----------------|--|--|
|                    | BSA                          | tRNA | tRNA-BSA | tRNA-(T, G)-AL |  |  |
|                    | (precipitating antibodies a) |      |          |                |  |  |
| tRNA-BSA           | 4/4                          | 0/4  | 4/4      | 4/4            |  |  |
| $tRNA-(T, G)-AL^b$ | 0/1                          | 0/1  | 1/1      | 1/1            |  |  |
| tRNA and MBSA      | 2/4                          | 0/4  | 2/4      | 0/4            |  |  |
| tRNA               | 0/4                          | 0/4  | 0/4      | 0/4            |  |  |
| BSA                | 4/4                          | 0/4  | 4/4      | 0/4            |  |  |
| (T, G)-AL          | 0/2                          | 0/2  | 0/2      | 2/2            |  |  |

<sup>&</sup>lt;sup>a</sup>Expressed as the ratio of animals producing precipitating antibodies to the total number of animals.

precipitating anti-tRNA antibodies. Thus, precipitating antibodies to tRNA could be obtained only following immunization with tRNA covalently bound to a protein or a synthetic polypeptide.

Antibodies against tRNA could be selectively absorbed from the antisera with tRNA-Sepharose or tRNA-(T, G)-A--L-Sepharose. This absorption could be applied for the preparation of purified antibodies to tRNA.

Specific antibodies to tRNA can be demonstrated by the binding of \$^{32}P-tRNA to antibody-Sepharose columns. These columns were prepared by coupling to Sepharose the gamma globulin fraction from the different antisera. As a control the gamma globulin fraction from an unrelated anti-lysozyme serum was similarly coupled. The antibody-Sepharose columns prepared from antisera to tRNA-BSA were able to bind \$^{32}P-tRNA (Fig. 3), whereas columns prepared from the other sera did not bind any \$^{32}P-tRN and behaved like the control anti-lysozyme-Sepharose column. About 20% of the \$^{32}P-tRN applied was retained on the anti-tRNA-BSA-Sepharose column. This labelled tRNA could be specifically displaced by unlabelled tRNA, as is shown in Fig. 3. The displaced \$^{32}P-tRNA was found to precipitate with \$^{30}O trichloroacetic acid, suggesting that the bound material was free of small oligonucleotides.

The results reported here demonstrate that antibodies to tRNA may be obtained upon immunization with covalently bound tRNA conjugates. We could not demonstrate

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This conjugate was used to immunize a goat. All the others were injected into rabbits.

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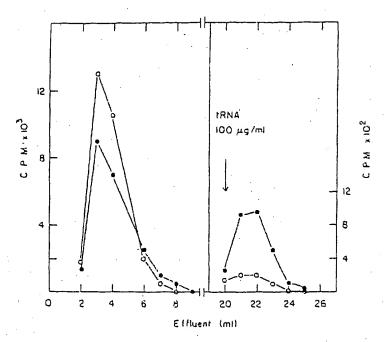


Fig. 3: Binding of <sup>32</sup>P-tRNA (E. coli) to specific antibodies coupled to Sepharose. <sup>32</sup>P-tRNA solution (40,000 cpm) was added to antibody-Sepharose columns (3 x 0.3 cm) prepared as described in the text, and left for 15 minutes at room temperature. The columns were then washed with PBS until no further radioactive material came through. The collected effluents were counted for radioactivity. A solution of unlabelle E. coli tRNA (100 μg/ml) was then added to the column and the displaced radioactive <sup>32</sup>P-tRNA was collected and counted. •, antibody-Sepharose column prepared with gamma globulin from antiserum to tRNA-BSA; O, antibody-Sepharose columns prepared with gamma globulin from antiserum, to tRNA, a complex of tRNA and MBSA and hen egg-white lysozym

the presence of antibodies to tRNA following immunization with either tRNA alor electrostatic complex of tRNA and MBSA.

The conjugation of tRNA to a carrier with EDCI, which probably takes ple through one phosphate residue of tRNA and the amino groups of the carrier, has advantage that the conjugate may keep the tRNA in its native conformation. (In amino acid charging experiments with the conjugates show that the covalently be is at least as active as free tRNA). Therefore, the production of specific antit tRNA by such conjugates may be useful in structural studies of tRNA. Thus, a to tRNA may be directed against different antigenic determinants derived from regions, as well as conformational areas of the molecule. Some of the antibod produced may recognize even unique nucleotide residues. Indeed, anti-tRNA; could inactivate inosine-bacteriophage T4 (12).

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Engelhardt et al.

Serial No.:

08/479,997

Filed:

June 7, 1995

For:

OLIGO- OR POLYNUCLEOTIDES COMPRISING PHOSPHATE MOIETY LABELED NUCLEOTIDES

(As Previously Amended)

Group Art Unit: 1656

Examiner: Alexander H. Spiegler

527 Madison Avenue, 9<sup>th</sup> Floor New York, New York 10022 May 28, 2002

## FILED VIA EXPRESS MAIL

Hononorable Commissioner of Patents and Trademarks Washington, D.C. 20231

# THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.56 & 1.97-1.98

Dear Sirs:

Pursuant to the provisions of 37 C.F.R. §§1.97-1.98, and in full compliance with their duty of disclosure under 37 C.F.R. §1.56, Applicants, through their attorney, are bringing the following twenty-nine (29) documents to the attention of the U.S. Patent and Trademark Office and the Examiner handling their above-identified application:

Dean L. Engelhardt et al. Serial No.: 08/479,997 Filed: June 7, 1995

Page 2 [Third Supplemental IDS -- May 28, 2002]

#### **EXPRESS MAIL CERTIFICATE**

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Deposit Date May 28, 2000

I hereby certify that this paper and the attachments herein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington DC 20231.

Ronald C. Fedus

Reg. No. 32,567

Date

Dean L. Engelhardt et al. Serial No.: 08/479,997 Filed: June 7, 1995 Page 3 [Third Supplemental IDS -- May 28, 2002]

- 1. Pollack, S. E. and Auld, D. S., "Fluorescent Nucleotide Triphosphate Substrates for Snake Venom and Phosphodiesterase," <u>Analytical Biochemistry</u> 127: 81-88 (1982) [Exhibit 1];
- 2. Scopes, D. I. C., et al., "Defined Dimensional Changes in Enzyme Cofactors: Fluorescent "Stretched-Out" Analogs o Adenine Nucleotides," Science 195: 296-298 (1977) [Exhibit 2];
- 3. Onodera, M. and Yagi, K., "Synthesis of 2-(Dansylamino)Ethyl Triphosphate and its Properties as a Fluorescent Substrate of Heavy Meromyosin-ATPase," Biochimica Et Biophysica 253: 254-265 (1971) [Exhibit 3];
- 4. Yarbrough, L. R., "Synthesis and Properties of a New Fluorescent Analog of ATP: Adenosine-5'-Triphosphoro-γ -1-(5-Sulfonic Acid) Napthylamidate," Biochemical and Biophysical Research Communications 81(1): 35-41 (1978) [Exhibit 4];
- 5. Silver, M. S. and Fersht, A. R., "Direct Observation of Complexes Formed between recA Protein and a Fluorescent Single-Stranded Deoxyribonucleic Acid Derivative," Biochemistry 21: 6066-6072 (Received April 16, 1982) [Exhibit 5];
- 6. Crane, L. J. and Miller, D. L., "Guanosine Triphosphate and Guanosine Diphosphate as Conformation-Determining Molecules. Differential Interaction of a Fluorescent Probe with the Guanosine Nucleotide Complexes of Bacterial Elongation Factor Tu," Biochemistry 13(5): 933-938 (1974) [Exhibit 6];
- 7. Hertz, H. S. and Zachau, H. G., "Kinetic Properties of Phenylalanyl-tRNA and Seryl-tRNA Synthetases for Normal Substrates and Fluorescent Analogs," <u>Eur.</u> Journal of Biochemistry 37: 203-213 (1973) [Exhibit 7];
- 8. Wu, F. Y. H. and Wu, C. W., "Fluorescent Affinity Labeling of Initiation Site on Ribonucleic Acid Polymerase of *Escherichia coli*," <u>Biochemistry</u> 13(12): 2562-2566 (1974) [Exhibit 8];
- 9. McKenzie, R. L., et al., "Fluorescent Conjugates of Natural and Biosynthetic Polynucleotides," <u>Biochimica Et Biophysica ACTA</u> 277: 306-322 (1972) [Exhibit 9];
- 10. Tournon, J., "Fluorescence Probing of Nucleic Acids: I. singly and doubly labeled dithymidine phosphate: fluorescence and energy transfer studies," <u>Nucleic Acids Research</u> 2(8): 1261-1273 (1975) [Exhibit 10];

Dean L. Engelhardt et al. Serial No.: 08/479,997

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- 11. Erlanger, B. F., et al., "Use of Antibodies to Nucleosides and Nucleotides in Studies of Nucleic Acids in Cells," Methods in Enzymology 60: 302-307 (1975) [Exhibit 11];
- 12. Yarbrough, L. R., et al., "Synthesis and Properties of Fluorescent Nucleotide Substrates for DNA-dependent RNA Polymerases," The Journal of Biological Chemistry 254(23): 12069-12073 (1979) [Exhibit 12];
- 13. Wintermeyer, W. and Zachau, H. G., "Fluorescent Derivatives of Yeast tRNA Eur. Journal of Biochem 98: 465-475 (1979) [Exhibit 13];
- 14. Reines, S. A. and Schulman, L. H., "A New Method for Attachment of Fluorescent Probes to tRNA," Methods in Enzymology 61: 146-157 (1979) [Exhibit 14];
- 15. Barrio, J. R., et al., "Synthesis of Modified Nucleoside 3', 5'-Bisphosphates and Their Incorporation into Oligoribonucleotides with T4 RNA Ligase," Biochemistry 17(11): 2077-2081 (1978) [Exhibit 15];
- 16. Koenig, P., et al., "Pyrene Derivatives as Fluorescent Probes of Conformation Near the 3' Termini of Polyribonucleotides," <u>Biopolymers</u> 16: 2231-2242 (1977) [Exhibit 16];
- 17. Menzel, H. M., "On the Phe-tRNA induced binding of fluorescent oligonucleotides to the ribosomal decoding site," Nucleic Acids Research 4(8): 2881-2892 (1977) [Exhibit 17];
- 18. Leonard, N. J. and Tolman, G. L., "Fluorescent Nucleosides and Nucleotides," Annals of the New York Academy of Sciences 255: 43-58 (1975) [Exhibit 18];
- 19. Gavrilovskaya, I. N., et al., "Immunofluorescent Demonstration of Double-Stranded RNA and Virus Antigen in RNA Virus-Infected Cells," <u>Virology</u> 62: 276-279 (1974) [Exhibit 19];
- 20. Kukhanova, M. K., et al., "Peptidyl-tRNA With A Fluorescent Label: Ribosome Substrates in Peptide Bond Formation," Molecular Biology Reports 1: 397-400 [Exhibit 20];
- 21. Lynch, D. C. and Schimmel, P. R., "Effects of Abnormal Base Ionizations on Mg2 + Binding to Transfer Ribonucleic Acid as Studied by a Fluorescent Probe," Biochemistry 13(9): 1852-1861 (1974) [Exhibit 21];

Dean L. Engelhardt et al. Serial No.: 08/479,997

Filed: June 7, 1995

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- 22. Bergstrom, D. E. and Leonard N. J., "Structure of the Borohydride Reduction Product of Photolinked 4-Thiouracil and Cytosine. Fluorescent Probe of Transfer Ribonucleic Acid Tertiary Structure," <u>Journal of the American Chemical Society</u> 94(17): 6178-6182 (1972) [Exhibit 22];
- 23. Mundry, K. W. and Priess, H., "A Quantitative Technique for Mapping Oligonucleotides on Thin Layers of Cellulose," <u>Biochimica Et Biophysica ACTA</u> 269: 225-236 (1972) [Exhibit 23];
- 24. Munniger, K. O. and Chang, S. H., "A Fluorescent Nucleoside from Glutamic Acid tRNA of *Escherichia coli* K12," <u>Biochemical and Biophysical Research Communications</u> 46(5): 1837-1842 (1972) [Exhibit 24];
- 25. Erlanger, B. F., et al., "Nucleic Acid-Reactive Antibodies Specific for Nucleosides and Nucleotides," Acta endocrinologica 71: 206-221 (1972) [Exhibit 25];
- 26. Yoshikami, D. and Keller, E. B., "Chemical Modification of the Fluorescent Base in Phenylalanine Transfer Ribonucleic Acid," <u>Biochemistry</u> 10(15): 2969-2976 (1971) [Exhibit 26];
- 27. Rudkin G. T. and Siollar, B. D., "High Resolution Detection of DNA-RNA Hybrids *in situ* by Indirect Immunofluorescence," Nature 265: 472-473 (1977) [Exhibit 27];
- 28. Rozovskaya, T. A., et al., "Introduction of a Fluorescent Label at the 3' -OH End of DNA and the 3' -OH End of the Growing RNA Chain," Molekulyama Biologiya 11(3): 598-610 (1977) [Exhibit 28]; and
- 29. Kossel, H. and Seliger, H., "Recent Advances in Polynucleotide Synthesis," Progress in the Chemistry of Organic Natural Products 32: 297-508 (1975) [Exhibit 29].

The above documents [Exhibits 1-29] were brought to the attention of Applicants' attorney earlier this month by a third party.

Dean L. Engelhardt et al. Serial No.: 08/479,997

Filed: June 7, 1995

Page 6 [Third Supplemental IDS -- May 28, 2002]

A completed Form PTO-1449 listing the 29 above-submitted documents is also attached hereto as Exhibit 30.

By this voluntary citation of art, Applicants and their attorney are requesting that the documents be made of record in the present application.

The above citation of documents is not a representation that these documents constitute a complete or exhaustive listing, nor that the above listing necessarily includes the closest or most relevant documents, nor are these documents necessarily a complete listing of all documents known to Applicants or their attorney. It is simply a voluntary citation of documents made in good faith, which is not intended to serve in any way as a substitute for the Examiner's own search.

In view of the general and specific features described and claimed in the present application, Applicants respectfully submit that the present invention is neither disclosed nor suggested by the documents referred to above and is thus patentably distinct thereover. Furthermore, Applicants do not believe, and do not submit, by the citation of these references, that these documents, either by themselves or in combination with other documents, render the invention *prima facie* obvious under the duty of disclosure rules.

Applicants respectfully request that the Examiner make the above-submitted documents of record in the instant application. Applicants further request that the Examiner consider these documents as any of them may relate to the instant application.

The fee under 37 C.F.R. §1.17(p) for filing this Supplemental Information Disclosure Statement is \$180.00. The Patent and Trademark Office is hereby

Dean L. Engelhardt et al. Serial No.: 08/479,997

Filed: June 7, 1995

Page 7 [Third Supplemental IDS -- May 28, 2002]

authorized to charge the amount of this fee (and any other fees in connection with this IDS) to Deposit Account No. 05-1135, or to credit any overpayment thereto.

Respectfully submitted,

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## Fluorescent Nucleotide Triphosphate Substrates for Snake Venom Phosphodiesterase<sup>1</sup>

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Center for Biochemical and Biophysical Sciences and Medicine, Harvard Medical School, and the Division of Medical Biology, Brigham and Women's Hospital, Boston, Massachusetts

Received May 17, 1982

The fluorophore 1-aminonaphthalene-5-sulfonate has been coupled to the  $\gamma$ -phosphorus of dTTP, CTP, UTP, ATP, GTP, dCTP, and dGTP via a phosphoramidate linkage. The synthetic reaction was monitored by chromatography on an analytical µBonadpak HPLC column. Changes in the fluorescence of these nucleotide analogs upon cleavage of the  $\alpha$ - $\beta$  phosphodiester bond provide a sensitive and convenient steady-state assay of enzymes which act upon this bond and are active within the pH range of 4 to 10. For pyrimidine derivatives this cleavage increases fluorescence above 350 nm 2- to 6-fold while for purines it quenches it 1.2- to 1.4fold. The kinetic constants determined by initial rate measurements and from integrated Michaelis-Menten equations indicate that these fluorescent nucleotides are excellent substrates of snake venom phosphodiesterase from C. adamanteus. The fluorophoric group does not interfere with binding or catalysis. This is consistent with product inhibition studies that demonstrate that most of the substrate binding strength resides in the nucleotide monophosphate moiety. The substrates bind tightly with  $K_m$ 's ranging from 5 to 30  $\mu$ M and are hydrolyzed rapidly. Values of  $k_{\rm eat}$  range from 200 to 600 s<sup>-1</sup> at pH 8.0 and 20°C. A wide variety of fluorophores are therefore possible without greatly affecting the catalysis of the substrate by snake venom phosphodiesterase.

Fluorescent N-dansylated peptides have been very useful for mechanistic studies of proteolytic enzymes (1, and references therein). The introduction of the dansyl fluorophore into peptides which have a C-terminal tryptophanyl residue leads to intramolecular radiationless energy transfer between the donor tryptophan and the acceptor dansyl group, resulting in nearly complete quenching of tryptophan fluorescence. On cleavage of the bond adjacent to the C-ter-

minus by carboxypeptidase A there is a 100-fold increase in tryptophan fluorescence which provides a direct and rapid initial rate assay for the enzyme (2).

Measurement of intermolecular radiationless energy transfer (RET)<sup>4</sup> between carboxypeptidase tryptophanyl residues and the dansyl group in the substrate by stopped-flow fluorescence allows direct observation of the ES complex (3). We have applied this approach to a wide variety of proteolytic enzymes and a detailed theoretical description of the RET kinetic analysis at both steady

This work was supported by Grant-in-Aid GM-24968 from the National Institutes of Health of the Department of Health, Education and Welfare to Harvard Medical School.

<sup>2</sup> National Institutes of Health Fellow supported by National Research Service Award 1 F32 HL06050-01 from the National Heart, Lung and Blood Institute.

To whom all correspondence should be addressed: Division of Medical Biology, Brigham and Women's Hospital, Seeley G. Mudd Bldg./250, Longwood Ave., Boston, Mass. 02115.

<sup>4</sup> Abbreviations used: 1,5-ANS, 1-aminonaphthalene-5-sulfonate (the nomenclature chosen is that suggested by Weber (8)): NTP, NDP, and NMP, nucleotide tri-, di-, and monophosphates, respectively; TEA, triethylammonium bicarbonate; EDC, 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide; dansyl, 5-dimethyl-aminonaphthalene-1-sulfonyl; RET, radiationless energy transfer; ES complex, enzyme-substrate complex.

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FIG. 1. Structure of the nucleotide triphosphate analogs. The arrow shows the point of cleavage by snake venom phosphodiesterase.

state and pre-steady state has been presented (1,4,5). The RET kinetic approach can be applied to any enzyme whose substrate can be labeled with a suitable fluorophore, providing the probe does not drastically affect binding or catalysis. The application of these fluorescent techniques to nucleic acid metabolizing enzymes would be of great value since they are usually assayed by tedious radioactive means.

Snake venom phosphodiesterase, which catalyzes the hydrolysis of an  $\alpha-\beta$  phosphodiester bond of nucleotide triphosphates, was chosen for the initial studies. This enzyme has mechanistic features similar to those of RNA and DNA polymerases in that it formally transfers a nucleotide monosphosphate group to water while the polymerases which also act at the  $\alpha$ - $\beta$  phosphodiester bond of nucleotide triphosphates transfer the nucleotide monophosphate group to a growing polynucleotide chain. In addition snake venom phosphodiesterase from C. adamantus like all known RNA and DNA polymerases (6,7) is a zinc metalloenzyme (Pollack and Auld, in preparation).

We report herein the synthesis of fluorescent 1-aminonapthalene-5-sulfonic acid (1,5-ANS) derivatives of nucleotide triphosphates (Fig. 1) and the design of a fluorescent assay for their hydrolysis by snake venom phosphodiesterase. A preliminary account of this work has been presented (9).

#### MATERIAL AND METHODS

Snake venom phosphodiesterase was obtained as a lyophilized powder from Wor-

thington Biochemical Corporation. It had been prepared by the procedure of Williams et al. (10) and treated to inactivate 5'-nucleotidase activity by incubation at 37°C, pH 3.6, for 3 h (11). The enzyme was further purified by blue Sepharose chromatography (Pollack and Auld, in preparation).

All nucleotide triphosphates and Tris were purchased from Sigma Chemical Company. Protein concentration was determined by the Bio-Rad assay procedure. Measurements were made in triplicate and average values were used. Protein assay reagent and protein standard bovine plasma γ-globulin were obtained from Bio-Rad Laboratories.

Absorption and fluorescent spectra were recorded on a Cary 219 and a Perkin-Elmer MPF-2A spectrophotometer, respectively. Fluorescent spectra were always obtained on samples that had an absorbance of 0.1 or less to prevent significant inner filter effects. Peak areas were computed using a Hewlett-Packard Model 9810A calculator, or an Apple II+ microcomputer. pH was measured with a Markson model 90 pH meter and conductivity with a Radiometer conductivity meter. High-performance liquid chromatography was done using an ALC 200 chromatograph (Waters Associates, Milford, Mass.) equipped with a Model 660 solvent programmer and a Model 440 absorbance detector, which measured OD at 254 nm. An analytical (30  $\times$  3.9 mm) C<sub>18</sub>  $\mu$ Bondapak column (Waters Associates) was used for nucleotide separation.

Synthesis of fluorescent nucleotides.<sup>5</sup> The 1,5-ANS (ICN Laboratories) was recrystallized from a 10% ethanol-water mixture which was treated with activated charcoal (Norite) and filtered through Hyflo Super Cel-(Fisher Scientific) to remove the charcoal. In a typical reaction, the sodium salt of a nucleotide triphosphate, NTP, 120 mg, is added

<sup>.5</sup>  $\gamma$ -Substituted ATP derivatives have also been prepared under nonaqueous conditions (28). The acid-catalyzed activation of ATP with DCC results in the formation of adenosine 5'-trimetaphosphate which upon addition of an amine yields the  $\gamma$ -substituted ATP derivative.

mical Corporation. It had the procedure of Williams reated to inactivate 5'-nu by incubation at 37°C, pH. The enzyme was further Sepharose chromatography d, in preparation).

triphosphates and Tris were Sigma Chemical Company ation was determined by the ocedure. Measurements were te and average values were ay reagent and protein stanna  $\gamma$ -globulin were obtained aboratories.

id fluorescent spectra were ıry 219 and a Perkin-Elmer ophotometer, respectively. tra were always obtained on an absorbance of 0.1 or less cant inner filter effects. Peak uted using a Hewlett-Pack-\ calculator, or an Apple II+ pH was measured with a 90 pH meter and conduciometer conductivity meter. ce liquid chromatography in ALC 200 chromatograph es, Milford, Mass.) equipped io solvent programmer and bsorbance detector, which : 254 nm. An analytical (30 Bondapak column (Waters used for nucleotide separa-

luorescent nucleotides. The Laboratories) was recrystal-0% ethanol-water mixture ed with activated charcoal red through Hyflo Super Cel. to remove the charcoal. In n, the sodium salt of a nuhate, NTP, 120 mg, is added

ΓP derivatives have also been preeous conditions (28). The acid-cat-ATP with DCC results in the for-5'-trimetaphosphate which upone yields the γ-substituted ATP de-

to 19 ml of water at 20 ± 2°C and the pH is adjusted to 6.0. 1-Ethyl-3(3-dimethylaminopropyl)carbodiimide, EDC (500 mg, Sigma Chemical Co.) is then added over 3 min and the pH is kept in the range 5.6 to 5.9 with the addition of small aliquots of 0.4 N HCl. After 10 min, 3.4 ml of a 0.6 M 1,5-ANS solution at pH 6.5 was added. The reaction mixture is allowed to stand for 18 h at room temperature and is then diluted with distilled H<sub>2</sub>O until the ionic strength is equal to that of 0.09 M TEA buffer and applied to a DEAEcellulose (Whatman) column (1.5 × 16.0 cm) equilibrated with 0.09 M TEA buffer, pH 7.5, and eluted stepwise with increasing TEA buffer concentrations. The 0.09 M TEA buffer elutes unreacted 1,5-ANS. A 0.16 M TEA elution removes the unreacted NTP and a side product, which has the same physical properties as the 1,5-ANS-NTP except retention time on the HPLC column. The 0.28 м TEA buffer elutes the 1,5-ANS-NTP product. Purity of the preparations is assessed by HPLC. The fractions containing product are pooled and lyophilized. The solid is redissolved in a small amount of water and again lyophilized, as necessary to remove excess TEA buffer. Yields of 55-65% are obtained.

Enzyme assays. Snake venom phosphodiesterase is assayed in 50 mm Tris, pH 8.0, containing 15 mm MgCl<sub>2</sub> at 20°C. Enzymatic activity is measured by observing the change in fluorescence above 410 nm which occurs upon hydrolysis of 1,5-ANS-NTP. Excitation of the substrate is at 320 nm. Kinetic parameters were determined from Lineweaver-Burk or Woolf plots, keeping the substrate concentration within the range 10 to 0.1  $K_m$ .

Stopped-flow fluorescence measurements were made on a stopped-flow instrument equipped with quartz fiber optics, a Schoeffel monochromator, a 200-W Xenon lamp, and a low noise/high sensitivity detector system comprised of a 9526B trilkyl (S13) photomultiplier and a Sorenson high-voltage power supply (12). The time course of the fluorescence changes were stored digitally on floppy disks (Memorex) using a DEC PDP 11/34

computer, equipped with an ARII A/D converter and a VT-55 Decscope, and a Decwriter III printer. Equal volumes (0.2 ml) of nanomolar enzyme and micromolar substrate solutions were mixed for each progress curve or initial rate analysis. The complete conversion of substrate to product for each experiment was recorded as 1000 data points at equal time intervals for progress curve analysis. Parameters in an integrated Michaelis-Menten treatment of the data were routinely computed by unweighted nonlinear regression analysis with data points typically beginning at 10% of reaction and in all cases extending to 90% of reaction. The time course of the reaction that is predicted from the calculated Michaelis-Menten parameters is superimposed on the observed data on the VT-55 screen for visual inspection and the values of  $V_{\max}$  and  $K_m$  and their associated error analysis are automatically recorded on a floppy disk.

Initial rate measurements were determined from at least 300 data points, collected over the first 10% of the reaction. First-order rate constants were obtained by linear regression of  $-\log(F_{\infty}-F_t)$  versus time. The first-order plot was examined and the results were stored as described above. Each data point on a kinetic plot is the average of two to four determinations.

### RESULTS

The coupling of 1,5-ANS to NTP was optimized by measuring the extent of coupling as a function of the concentration of reactants, pH, temperature, and time of mixing. Aliquots of the reaction mixture were injected onto an analytical C<sub>18</sub> µBondapak HPLC column. Isocratic elution with 25 mM ammonium phosphate buffer, pH 8.0, allowed the reactants, e.g., ATP and 1,5-ANS, and the products 1,5-ANS-ATP, ADP, and AMP to be separated at a pressure of 550 psi (Table 1). The results for TTP are given for comparison. The rate and extent of the coupling reaction can be measured by monitoring the HPLC elution profile as a function

TABLE I

HPLC RETENTION TIME OF REACTION MIXTURE
COMPONENTS AND NUCLEOTIDES<sup>a</sup>

| Compound                  | Retention time<br>(min) |  |  |
|---------------------------|-------------------------|--|--|
| 1,5-ANS-ATP (1,5-ANS-TTP) | 3.97 (3.76)             |  |  |
| 1,5-ANS                   | 9.39                    |  |  |
| ATP (TTP)                 | 4.40 (4.35)             |  |  |
| ADP (TDP)                 | 4.80 (4.63)             |  |  |
| AMP (TMP)                 | 4.98 (5.47)             |  |  |

<sup>&</sup>lt;sup>a</sup> Isocratic elution with 25 mM ammonium phosphate, pH 8.0, at a flow rate of 0.8 ml/min (550 psi) on a  $C_{18}$   $\mu$ Bondapak column.

of time. A decrease occurs in the ATP peak with a concomitant increase of the product 1,5-ANS-ATP peak. It was also possible to collect sufficient amounts of products from HPLC for measurement of their absorption and fluorescence spectra. The fractions eluted from the DEAE cellulose column could thus be identified by their HPLC retention times as well as their absorption and fluorescence spectra. Using molar absorptivities of 6100 at 330 nm for 1,5-ANS (8) and 15,400 for ATP at 260 nm (13), the absorption spectra of 1,5-ANS-ATP indicated a 1:1 stoichiometry for the two constituents.

Hydrolysis of 1,5-ANS-ATP by snake venom phosphodiesterase was followed as a function of time. Aliquots of the hydrolysis mixture were passed through Millipore filters (0.6 mm) to remove enzyme and then injected onto the  $\mu$ Bondapak column. The 1,5-ANS-ATP peak decreased concomitantly with an increase in the AMP and 1,5-ANS-pyrophosphate peaks. No intermediate ADP nor any ATP was ever seen in these hydrolysis mixtures. Hence, the point of enzymatic cleavage is between the  $\alpha$ - $\beta$  phosphoryl bond of the NTP (Fig. 1).

Excitation of the purines 1,5-ANS-ATP, 1,5-ANS-dGTP, 1,5-ANS-GTP and the pyrimidines 1,5-ANS-dCTP, 1,5-ANS-CTP, 1,5-ANS-UTP, and 1,5-ANS-TTP at 320 nm leads to emission of maximum fluores-

cence at approximately 444 nm under assay conditions of  $0.015 \,\mathrm{M}$  MgCl<sub>2</sub>,  $0.05 \,\mathrm{M}$  Tris, pH 8.0 at  $25^{\circ}$ C. Cleavage of the  $\alpha$ - $\beta$  phosphoryl bond shifts the fluorescence maximum to 456 nm and enhances fluorescence above 350 nm 2- to 6-fold for pyrimidines but quenches fluorescence 1.2- to 1.4-fold for purines. These spectral differences are shown in Fig. 2 for 1.5-ANS-dGTP, 1.5-ANS-dTTP, and their hydrolysis product 1.5-ANS-pyrophosphate. The different fluorescence properties of 1.5-ANS-pyrophosphate and 1.5-ANS-NTP can be used to design a steady-state assay.

The useful pH range of this fluorescent assay was investigated by measuring the total fluorescence above 350 nm for 1,5-ANS-TTP and its hydrolysis products 1,5-ANS-pyrophosphate and TMP at different pH values (Table 2). The large increase in fluorescence observed over the entire pH range indicates it should form the basis of a useful assay for any enzyme acting on the  $\alpha$ - $\beta$  phosphoryl bond and having a pH optimum between 4 and 10.

The increase in fluorescence on hydrolysis of the pyrimidine substrate 1,5-ANS-TTP, 4  $\mu$ M, catalyzed by snake venom phosphodiesterase,  $2.2 \times 10^{-8}$  M, is shown in Fig. 3. Since the data can be collected over different

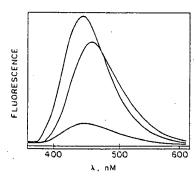


FIG. 2. Fluorescence spectra of 1,5-ANS-TTP (lowest curve), 1,5-ANS-dGTP (highest curve), and 1,5-ANS-pyrophosphate (middle curve). Catalytic amounts of snake venom phosphodiesterase, in 0.015 M MgCl<sub>2</sub>, 0.05 M Tris, pH 8.0, were used to generate the 1,5-ANS-pyrophosphate derivative. Excitation is at 320 nm and emission is observed using a 350-nm cutoff filter.

ttely 444 nm under assay 5 M MgCl<sub>2</sub>, 0.05 M Tris, leavage of the  $\alpha$ - $\beta$  phosthe fluorescence maximal enhances fluorescence to 6-fold for pyrimidines scence 1.2- to 1.4-fold for tral differences are shown ANS-dGTP, 1,5-ANS-

Irolysis product 1,5-ANS-

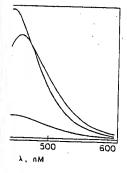
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luorescence on hydrolysis substrate 1,5-ANS-TTP, snake venom phospho-)-8 M, is shown in Fig. 3. De collected over different



pectra of 1,5-ANS-TTP (lowest (highest curve), and 1,5-ANS-curve). Catalytic amounts of esterase, in 0.015 M MgCl<sub>2</sub>, 0.05 ised to generate the 1,5-ANS-e. Excitation is at 320 nm and ng a 350-nm cutoff filter.

### TABLE 2

TOTAL INCREASE IN FLUORESCENCE ABOVE 350 nm FOR THE HYDROLYSIS OF 1,5-ANS-TTP BY SNAKE VENOM PHOSPHODIESTERASE AT DIFFERENT pH's"

| $F_{p}/F_{s}$                          |   |
|--|---|
| 5.7<br>4.9<br>5.4<br>6.2<br>6.3<br>6.3 |   |
| 5.4                                    |   |
| 4.9<br>2.7                             |   |
|  | 5.7<br>4.9<br>5.4<br>6.2<br>6.3<br>6.3<br>5.4 |

<sup>a</sup> Excitation is at 320 nm using a 350-nm cutoff filter. The fluorescence of  $2 \times 10^{-6}$  M 1,5-ANS-TTP,  $F_s$ , or 1-5-ANS pyrophosphate,  $F_p$ , is measured in 0.015 M MgCl<sub>2</sub>, 0.05 M Tris.

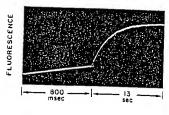
time ranges and digitally stored, several hundred points are available for both initial rate and progress curve analysis. For the example shown, 500 data points were collected over two consecutive time intervals of 0.8 and 13 s. (Fig. 3). The first time interval is used for initial rate measurements while both time intervals can be used for progress curve analysis. Measurement of initial rates of 1,5-ANS-TTP hydrolysis yields a value of 36.9  $\mu$ M for  $K_m$  and 590 s<sup>-1</sup> for  $k_{cat}$ , calculated from a Lineweaver-Burk plot (14) (Fig. 4).

The time course of the reaction at each substrate concentration was also analyzed with an integrated Michaelis-Menten equation, which takes into account competitive product inhibition by NMP,

$$\frac{t}{\ln(S/S_t)} = \frac{1}{V_{\text{max}}} (1 - K_m/K_p) \frac{S - S_t}{\ln(S/S_t)} + \frac{K_m}{V_{\text{max}}} (1 + S/K_p), \quad [1]$$

where S is the initial substrate concentration and that at time t is  $S_t$ .

The slope and intercept of this equation are obtained from unweighted nonlinear regression of  $t/\ln(S/S_t)$  versus  $(S - S_t)/S_t$ 



TIME

Fig. 3. Stopped-flow fluorescence observation of the hydrolysis of 1,5-ANS-TTP, 4  $\mu$ M, by purified snake yenom phosphodiesterase,  $2.2 \times 10^{-8}$  M, in 0.015 M MgCl<sub>2</sub>, 0.05 M Tris, pH 8.0, at 20°C. Excitation is at 320 nm, and emission is observed with a 410-nm cutoff filter. Five hundred data points are collected for both the 0.8- and 13-s time intervals during which hydrolysis occurs.

 $\ln(S/S_t)$ . The kinetic constants  $K_m$ ,  $k_{\rm cat}$ , and  $K_p$  cannot be determined from a single straight line, but they can be found readily from a series of experiments with different S values. Jennings and Niemann (15) have pointed out that Eq. [1] is of the form

$$\frac{S}{V} = \frac{S}{V_{\text{max}}} (1 - K_m / K_p) + \frac{K_m}{V_{\text{max}}} (1 + S / K_p). \quad [2]$$

Equation [2] can be further simplified to that of a Woolf plot (16),

$$E_T S/V = (S + K_m)/k_{\text{cat}}, \qquad [3]$$

by multiplying the slope,  $V_{\text{max}}(1 - K_m/K_p)$ ,

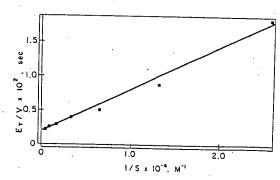


FIG. 4. Initial rate determination of the kinetic parameters  $K_m$  and  $k_{\rm cat}$  for the hydrolysis of 1,5-ANS-TTP by snake venom phosphodiesterase in 0.015 M MgCl<sub>2</sub>, 0.05 M Tris, pH 8.0, at 20°C.

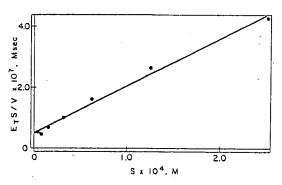


FIG. 5. Determination of the kinetic parameters  $K_m$  and  $k_{\rm cat}$  for the hydrolysis of 1,5-ANS-TTP by progress curve analysis (see Eqs. [1]-[3]).

by the substrate concentration, and adding it to the intercept  $K_m/V_{\text{max}}(1 + S/K_p)$ , where

$$k_{\text{cat}} = V_{\text{max}}/E_T$$
.

This mathematical method is equivalent to an extrapolation to the initial part of the reaction to time t=0, where no inhibition by product can occur. Hence, terms in  $K_p$  drop out of Eq. [2] to yield Eq. [3]. These S/V points when plotted as a Woolf plot versus S allow one to solve for the true values of  $K_m$  and  $k_{\text{cat}}$ . Hydrolysis of 1,5-ANS-TTP by purified snake venom phosphodiesterase yields a  $K_m$  of 30.3  $\mu$ M and a  $k_{\text{cat}}$  of 500 s<sup>-1</sup> (Fig. 5). The parameters agree well with those determined by initial rate measurements (see below).

The inhibitor constant  $K_p$  for the product TMP, obtained from a plot of the intercepts of Eq. [1],  $(K_m/k_{cat})(1 + S/K_p)$  versus initial substrate concentration, is 38  $\mu$ M (Fig. 6). This value agrees favorably with that of 24  $\mu$ M obtained by addition of TMP to the assay.

The kinetic parameters,  $K_m$ ,  $k_{cat}$ , and  $K_p$  for a number of ribo- and deoxypurine and pyrimidine analogs are given in Table 3. The commercial preparation of the enzyme was used for these studies.

### DISCUSSION

Snake venom phosphodiesterase is a single polypeptide with a molecular weight of 115,000-120,000 as judged by sodium do-

decyl sulfate-polyacrylamide gel electropho resis (17,18). It requires 15 mm magnesium ions to show optimal activity, and has a broad pH-activity optimum (19). The  $e_{\Pi_{\tau}}$ zyme is capable of hydrolyzing both DNA and RNA (20), removing the 5'-mononucle. otide units from a polynucleotide chain in a stepwise fashion from the end that beats, a free 3'-hydroxyl group (19). It is generally assayed by pH-stat titration where the quant tity of phosphodiester bonds hydrolyzed is calculated from the amount of alkali consumed (21). The enzyme can also hydrolyze di-, tri-, and oliogonucleotides as well. In some of these cases a spectrophotometric as say of the hydrolysis of p-nitrophenyl esters of oliogonucleotides (22) or 4-methylumbelliferyl esters of mononucleotides (23) was possible.

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The fluorescent changes that occur upon  $\alpha-\beta$  phosphoryl bond cleavage of the 1,5. ANS-NTP nucleotide analogs provide for a sensitive, convenient, and rapid steady-state assay. The speed of the assay performed under stopped-flow conditions combined with computerized data storage and analysis aflows both quantitative evaluation within seconds and if desired the continuous redesign of experimental strategy. While assays based upon release of nitrophenolate or 4-methylumbelliferone are of use over a narrow pH range, the sensitivity of this fluorescent assay

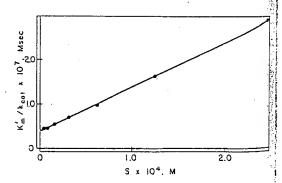


Fig. 6. Determination of the kinetic parameter  $K_p$  for TMP from the hydrolysis of 1,5-ANS-TTP by snake venom phosphodiesterase in 0.015 M MgCl<sub>2</sub>, 0.05 M Tris, pH 8.0, at 20°C. The ordinate,  $K'_{m}/k_{cat}$ , is obtained from the intercept of Eq. [1], where  $K'_{m}$  is  $(1 + S/K_p)K_{m}$ 

TABLE 3 KINETIC CONSTANTS FOR SNAKE VENOM PHOSPHODIESTERASE CATALYZED HYDROLYSIS OF 1,5-ANS-NTP

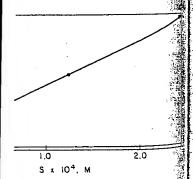
| Nucleotide<br>analog | $k_{\text{cat}}$ (s <sup>-1</sup> ) | $K_m$ ( $\mu$ M) | $k_{\text{cat}}/K_m \left(\mu M^{-1} \text{ s}^{-1}\right)$ | K <sub>p</sub> (μM) |
|----------------------|-------------------------------------|------------------|---|---------------------|
| 1,5-ANS-dCTP         | 630 (630)                           | 18.8 (21.0)      | 33.5 (30.0)   | 46.7                |
|                      | 604 (555)                           | 17.2 (19.2)      | 35.1 (28.9)   | 20.9                |
| 1 )-A140-4           | 590 (500)                           | 36.9 (30.3)      | 16.0 (16.5)   | 37.8                |
| 1 2-XIVO-VIII        | 337                                 | 16.0             | 21.1  | 27.0                |
| 1,5-ANS-CTP          | 198 (142)                           | 10.9 (10.5)      | 18.2 (13.5)   | 12.0                |
| 15-ANS-GTP           | 609 (383)                           | 9.2 (22.1)       | 66.2 (17.3)   | 25.4                |
| 1,5-ANS-UTP          | 213 (277)                           | 4.2 (4.8)        | 50.7 (57.7)   | 6.1                 |

Note. Assay conditions were 0.05 mm Tris, pH 8.0, 0.15 mm MgCl<sub>2</sub>, 20°C. k<sub>cat</sub> is based on an estimated purity of 10% for the commercial enzyme and 80% for the blue sepharose purified enzyme as determined by silver-stained sodium dodecyl sulfate-gels. The values in parentheses and values for  $K_p$  are calculated from progress curve analysis. All other kinetic constants are determined from initial rate analysis of the first 10% of the reactions.

equires 15 mm magnesium ptimal activity, and has ty optimum (19). The en of hydrolyzing both DNA emoving the 5'-mononucle i a polynucleotide chain i on from the end that bear yl group (19). It is generally tat titration where the quandiester bonds hydrolyzed is the amount of alkali con enzyme can also hydrolyze liogonucleotides as well. ises a spectrophotometric as olysis of p-nitrophenyl esters tides (22) or 4-methylumber mononucleotides (23) was

yacrylamide gel electropho

ent changes that occur upon I bond cleavage of the 1,5 leotide analogs provide for a nient, and rapid steady-state d of the assay performed un w conditions combined with lata storage and analysis titative evaluation within sec ired the continuous redesign I strategy. While assays based of nitrophenolate or 4-meth e are of use over a narrow pH tivity of this fluorescent assay



nation of the kinetic parameter Kp for ydrolysis of 1,5-ANS-TTP by snake iesterase in 0.015 M MgCl<sub>2</sub>, 0.05 Mg °C. The ordinate,  $K'_m/k_{\rm cut}$ , is obtained of Eq. [1], where  $K'_{in}$  is  $(1 + S/K_p)K_m$ 

is not effected over a wide pH range (Table 2). It may prove particularly useful for enzymes such as splenic phosphodiesterases which have a pH optimum of 5.6 to 5.9 (24). Initial rate measurements at 10% of reaction analogs (Fig. 4). In addition, the integrated Michaelis-Menten equation (Eq. 1) can be solved to yield both the kinetic parameters and the value of  $K_p$  for nucleotide monophosphate (Figs. 5 and 6).

These fluorescent nucleotide analogs are excellent substrates for snake venom phosphodiesterase. They bind tightly with  $K_m$ 's ranging from 5 to 30  $\mu$ M and are hydrolyzed rapidly (Table 3). The UTP analog binds about fivefold tighter than the best poly(U) substrate that has been made (25). Values of  $k_{\text{cut}}$  for these analogs are estimated to be in the range 200 to 600 s<sup>-1</sup> based on a molecular weight of 120,000 for the protein.

As can be further seen from Table 3 the  $K_m$  for 1,5-ANS-TTP and the  $K_p$  for TMP differ only slightly from each other and from the value of 30  $\mu$ M from the extrapolated data of Razzell and Khorana (22) or the value of 24 μM determined here by addition of TMP to the assay. Hence, it seems that the presence of the bulky naphthalene group does not significantly affect the ability of these

analogs to bind to snake venom phosphodiesterase. These results are in accord with the work of Khorana and co-workers (22) who concluded that modifications outside of the TMP moiety should have only slight efare used to determine  $K_m$  and  $k_{cat}$  for these - fects on substrate binding. This further indicates that future changes in the type of fluorophore or distance from nucleotide moiety should not have a detrimental effect on the binding of the substrate to the enzyme.

This class of fluorescent nucleotides are also substrates for nucleotidyl transfering enzymes. The 1,5-ANS-ATP and 1,5-ANS-UTP derivatives have been shown to be good substrates for the Escherichia coli RNA polymerase, with only slightly increased  $K_m$  values and with  $V_{\text{max}}$  values of 50 to 70% that of normal nucleotides (26,27). In addition the ATP and UTP analogs are substrates for yeast RNA polymerase and the dGTP and TTP analogs are substrates for the reverse transcriptase from avian myeloblastosis virus (9).

While the fluorescent changes observed here upon  $\alpha$ - $\beta$  phosphoryl bond cleavage provides a convenient steady-state assay, it hinders their use for examination of ES complexes by RET. In order to optimally use the RET kinetic approach with an energy transfer relay system consisting of a fluorophore

<sup>&</sup>lt;sup>a</sup> Parameters are for blue Sepharose purified enzyme.

in the substrate molecule and tryptophanyl residues of the enzyme, it is necessary that the fluorescence of the substrate and product fluorophore be the same (5). The purine nucleotide derivatives which exhibit relatively small fluorescence changes may still prove useful at enzyme concentrations of 1 to 10 μM. Such enzyme concentrations will increase the RET signal, which is proportional to the concentration of the enzyme substrate complex, above the smaller fluorescence change observed as substrate is converted to product. However the very rapid turnover of the substrate will likely require low-temperature stopped-flow conditions for such mechanistic studies (12). We are presently purifying the enzyme to perform such experiments. In addition we are synthesizing nucleotides where there is a greater spacer arm between the fluorophore and the y-phosphorus in the nucleotide in the hope of breaking the  $\pi$  conjugation between the nucleotide phosphates and the fluorophore. The use of these types of substrates for RET kinetics should further extend their usefulness in mechanistic studies of phosphodiesterases.

Snake venom phosphodiesterase shares a number of mechanistic features in common with the nucleotidyl transferases. All of these enzymes contain zinc, are activated by manganese and magnesium, and show a similar specificity toward the hydrolysis of an  $\alpha-\beta$ phosphoryl bond. Mechanistic studies of phosphodiesterase may therefore be helpful in understanding the mechanistic details of the hydrolytic step involved in all of these enzymes.

### **ACKNOWLEDGMENTS**

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by iśc NH<sub>4</sub>HCO<sub>3</sub>). The result was invaria single fluorescent spot with the mob of the original labeled peptide.

Our results thus provide a molecular weight limit for permeation of the junctional membrane channels. The permeating molecules were all short, simple, water-soluble peptide chains and hence were of extended form. Without further physical studies of the molecules themselves, it is not possible to determine from this weight limit a precise bore size of the channels. But the approximate bore size can be bracketed between the sizes of two limiting geometries of the largest permeant molecule, a sphere, representing the largest cross section, and a prolate spheroid with a major diameter of 30 Å, the upper limit of molecular extension. Thus, the effective channel diameter lies approximately between 14 and 10 Å (13). This is in satisfying agreement with a coarser estimate of the channel size based on electrical measurements. This estimate, based on the conductance of a minute junctional area (including the conductance component due to electrostatic interaction between channels) and the spacing of intramembranous particles of gap junction (widely assumed to contain the channels), gave a lower limit of conductance of 10-10 mho for the junctional channel unit and a lower limit of the channel diameter of the order of 10 Å (14).

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but not beyond its junctional boundaries.

10. On the other hand, the gland lumen, which is open to the exterior through the gland duct and has a depth comparable to the cells, became strongly fluorescent. Furthermore, dead cells became as fluorescent as the duct under these conditions. Dead cells were recognized in bright field by their swollen and granular appearance, and their enlarged nucleus and chromosomes.

11. A small labeled fragment seemed unlikely because, as was already mentioned, the rates of intracellular movement of the tracer varied inversely as their presumed molecular weights.

12. In this condition one would expect maximum lysosome breakage and, hence, maximum peptiThe size (2r) for the spherical molecular shape as determined from

$$r = \left( \frac{3 \mod \text{wt} \times \vec{v}}{4\pi N} \right)^{1/3}$$

where N is Avogadro's number and  $\vec{v}$  is the specific volume assumed to be 0.7; and the size for the most extended shape, was determined as the small diameter of the corresponding prolate spheroid whose major diameter was obtained with the aid of molecular models. The actual channel bore lies probably closer to the upper bracketing value (14 Å); for the molecules labeled with LBR and FITC, the small diameter of a realistic axiosymmetric equivalent is fixed at about this value by the labels themselves.

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### **Defined Dimensional Changes in Enzyme Cofactors:** Fluorescent "Stretched-Out" Analogs of Adenine Nucleotides

Abstract. A concept is presented for testing the dimensional restrictions of enzyme-active sites by stretching the substrate or cofactor by known magnitude. These restrictions of enzyme-active sites specific for purine cofactors were tested by the synthesis and evaluation of lin-benzoadenosine 5'-triphosphate, 5'-diphosphate, and 3',5'-monophosphate with respect to enzyme binding and activity. These "stretchedout" (by 2.4 angstroms) versions of the adenine ribonucleotides bind strongly, slow the enzymatic rates, and have useful fluorescence properties.

Laterally extended adenine nucleotides have been designed to examine the dimensional restrictions of enzymeactive sites specific for purine cofactors. One structural modification of this type involves the formal insertion of a benzene ring (actually four carbons) into the center of the purine ring system. In this way enzyme-binding characteristics at the terminal rings are preserved but are further separated by 2.4 Å while, at the same time, the potential for  $\pi$  interaction is increased. Initial experiments examining the substrate activity of lin-benzoadenosine (1a) (1, 2) and lin-ben-

zoadenine with a range of enzymes (3) demonstrated that such defined adjustments in the molecular periphery can help set limitations on the size and flexibility of the enzyme binding sites.

In view of these results it was anticipated that the enzymatic evaluation of the lin-benzoadenine nucleotides would also be informative since many enzymes utilize adenine nucleotides as substrates, cofactors, or allosteric effectors. In addition, it can be foreseen that the concept of defined dimensional changes is applicable to the construction and study of inhibitors. Furthermore, lin-benzoadenosine and its derivatives exhibit satisfactory fluorescence properties (a quantum yield of 0.44; a fluorescence lifetime of 3.7 nsec), and the nucleotides show sensitivity of the fluorophore to environmental conditions, such as divalent metal ions and stacking.

lin-Benzoadenosine (1a) was converted to its 5'-monophosphate derivative (1b) by reaction with pyrophosphoryl chloride according to the procedure described by Imai et al. (4). The integrity of the 5'-phosphorylation was established (i) by observing complete conversion of the lin-benzoadenosine 5'-monophosphate to the nucleoside (1a) on incubation with 5'-nucleotidase (5) and (ii) by <sup>31</sup>P NMR (nuclear magnetic resonance) spectroscopy.

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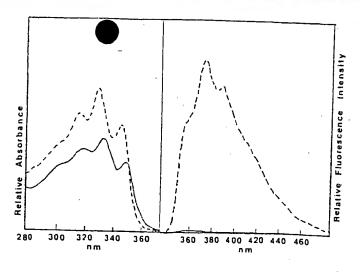
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Fig. 1. Formation (1b  $\rightarrow$ 3) and enzymatic hydrolysis.  $(3 \rightarrow 1b)$ . The graph shows the ultraviolet absorption spectrum on the left panel, and the fluorescence emission spectrum on right panel. Solid line, before hydrolysis; dashed line, after hydrolysis.

Morpholine (2) CF<sub>3</sub>COOH

Snake Venom Phosphodiesterase

3



and triphosphate (1d) were prepared from lin-benzoadenosine 5'-monophosphate by the phosphoromorpholidate method (6). Essentially quantitative conversion of the diphosphate to the triphosphate can be achieved enzymatically pyruvate kinase. lin-Benzoadenosine 3',5'-monophosphate (2) was synthesized from lin-benzoadenosine via the trichloromethylphosphonate derivative (7). The identity and purity of the new lin-benzoadenine nucleotides (1b to 1d and 2) were established by 31P NMR spectroscopy, high performance liquid chromatography, and electrophoresis. The structure of the 3',5'-monophosphate was further confirmed by its conversion to 1b on incubation with beef heart 3',5'-nucleotide phosphodiesterase (5), an enzyme that plays an important role in regulating intracellular cyclic adenosine monophosphate (cAMP). With this enzyme, the initial rate of hydrolysis of lin-benzoadenosine 3',5'-monophosphate (at 0.5 mM concentration) was approximately 5 percent of that for cyclic AMP.

To initiate our studies on the biological activity of the "stretched-out" nucleotides we have selected a representative group of kinases comprising pyruvate kinase, phosphofructokinase, phosphoglycerate kinase, and hexokinase. These enzymes, which exhibit broad to moderate nucleotide specificity, serve as representatives for measuring the degree to which lin-benzoadenosine 5'-diphosphate and lin-benzoadenosine 5'-triphosphate can function in enzyme systems. Conditions were selected to give consistent results for both adenosine triphosphate (ATP) and lin-benzoadenosine triphosphate and adenosine diphosphate (ADP) and linbenzoadenosine diphosphate without seeking to achieve those of maximal activity for 1d or 1c (8).

We have found that lin-benzoadenosine diphosphate serves as sub-

strate for pyruvate kinase (rabbit muscle) with a  $K_m$  (Michaelis constant) of 0.74 mM compared to 0.30 mM for adenosine diphosphate (ADP) and a  $V_{\text{max}}$ equal to 20 percent of that for ADP. These data directed the use of the coupled assay for phosphofructokinase involving pyruvate kinase and lactate dehydrogenase. Proposed binding models (9) of pyruvate kinase for nucleotide substrates and fluorescence polarization studies with  $\epsilon$ ADP (10) indicate that the base moiety of the substrate is not strongly associated with the protein. In view of this and the known broad specificity (11) of pyruvate kinase, it is not surprising that a lateral extension of the adenine nucleus is acceptable to this enzyme.

The next enzyme examined was phosphofructokinase (PFK, rabbit muscle) which requires ATP to phosphorylate fructose 6-phosphate. At low concentrations of lin-benzoadenosine triphosphate and ATP the  $K_m$  values for the cofactors were determined to be 0.16 mM and 0.04 mM, respectively, while the  $V_{\rm max}$ values were of comparable magnitude. PFK is able to utilize several nucleoside triphosphates as phosphoryl donors (5). While these mainly comprise purine nucleotides, uridine triphosphate (UTP) and  $\epsilon$ ATP (12) have also been shown to serve as cofactors. Acceptance of linbenzoadenosine triphosphate by PFK represents the largest dimensional deviation known from the natural cofactor. At high concentrations of ATP (and UTP or  $\epsilon$ ATP) PFK is significantly inhibited (13); lin-benzoadenosine triphosphate exhibits allosteric inhibition of this enzyme to approximately the same degree as ATP.

Yeast hexokinase; which exhibits more stringent nucleotide specificity, was assayed by the standard procedure of coupling to glucose-6-phosphate dehydrogenase. lin-Benzoadenosine 5'-triphosphate (1d) replaces ATP with this

enzyme; but, while the  $K_m$  values are of the same order (0.18 mM and 0.09 mM), respectively), the reaction rate with the "stretched-out" cofactor is approximately 40 times slower. Our kinetic data suggest that the "stretched-out" analog of ATP can be accommodated at the coenzyme binding site, but that there is a reduction in the efficiency of phosphoryl transfer to the substrate, possibly because of greater steric restrictions within the glucose and coenzyme binding regions. Hexokinase has an intrinsic hydrolytic activity that is low compared with the glucose phosphorylating activity (14). The occurrence of adenosine triphosphatase (ATPase) activity makes it essential to carry out binding measurements rapidly, a problem that has yet to be satisfactorily solved. lin-Benzoadenosine triphosphate may provide a means of studying the interaction of cofactor and hexokinase since it can be accommodated at the coenzyme binding site, while its slow reactivity makes it eminently suitable for fluorescence polarization measurements.

Finally, we examined the ability of linbenzoadenosine triphosphate to phosphorylate 3-phosphoglyceric acid, catalyzed by yeast 3-phosphoglycerate kinase (PGK), in comparison with ATP. The phosphorylation was assayed according to the standard procedure of coupling the reaction to glyceraldehyde-3phosphate dehydrogenase. lin-Benzoadenosine triphosphate functioned in the system with a  $K_m$  of 0.4 mM, while under identical conditions the  $K_m$  observed for ATP was 0.5 mM. The  $V_{\rm max}$ value for the "stretched-out" analog was approximately I percent of that of ATP. This activity of the lin-benzoadenosine triphosphate in the PGK system has permitted the enzymatic synthesis of linbenzoadenosine 5'- $[\gamma$ -32P]triphosphate (15), the availability of which facilitates further enzymatic studies.

21 JANUARY 1977

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A considerable body of evidence now been presented that the nucleotidebinding sites of PGK and several dehydrogenases (lactate, malate, alcohol, and glyceraldehyde-3-phosphate dehydrogenases) are alike (16) and it is thus attractive to speculate from our data that the lin-benzo analog of NAD+ (nicotinamide adenine dinucleotide) will bind to these dehydrogenases.

The lateral extension of the purine ring system has provided a heterocycle with interesting spectroscopic properties. For example, evidence for an intramolecular interaction of the 5'-phosphate substituent with the chromophore comes from the spectroscopically determined  $pK_a$  values (base protonation) for the "stretched-out" analogs of adenine nucleotides in aqueous solution: lin-benzoadenosine triphosphate, pKa, 7.1 (6.6 in presence of 5 m/M Mg2+); lin-benzoadenosine diphosphate, pK<sub>a</sub> 7.3 (6.9 in presence of 5 mM Mg2+); lin-benzoadenosine monophosphate, pK<sub>a</sub>, 7.6 (unchanged in 5 mM Mg2+); lin-benzoadenosine 3'.5'-phosphate, pK<sub>a</sub>, 5.6 (unchanged in 5 mM Mg2+); and lin-benzoadenosine,  $pK_a$ , 5.6 (unchanged in 5 mM Mg2+). When intramolecular interaction of the phosphate and the base is not possible, as in lin-benzoadenosine 3',5'-monophosphate, no change in  $pK_a$ is observed with respect to that of linbenzoadenosine. These data suggest participation of the phosphates in base protonation. The lowering of the  $pK_a$  values in the presence of 5 mM Mg2+ indicates the formation of magnesium chelate complexes with the polyphosphate residues of lin-benzoadenosine di- and triphosphates. At the pH at which all our enzyme studies have been conducted (pH 7.5, 5 mM Mg<sup>2+</sup>) the "stretched-out" base moiety of the di- and triphosphates can be considered mainly in the unprotonated form.

In acidic aqueous solutions of lin-benzoadenosine triphosphate, the fluorescence emission shifted to longer wavelength-385 nm compared to 372 nm (corrected values) for the unprotonated form,  $pK_a^*$  being close to the ground state pK<sub>a</sub>-with little change in quantum yield. This makes the usefulness of the compound equally satisfactory over a wide pH range. The second protonation of the system takes place at approximately pH 1. The presence of the long wavelength absorption band permits excitation of the fluorophore without interference from any other ultraviolet-absorbing species in proteins and nucleic acids. The fluorescence emission spectra are also sensitive to the presence

of divalent cations. The addition of 5 mM Mg<sup>2+</sup> alters the  $pK_a^*$  of lin-benzoadenosine triphosphate, as judged by the fluorescence emission spectra, without incurring significant change in fluorescence intensity. Fluorescence quenching by Co2+ in the case of lin-benzoadenosine di- and triphosphates is also indicative of divalent metal ion complexes with the polyphosphate residues and their interaction with the fluoro-

We have been interested in intramolecular base-stacking interactions of the heteroaromatic bases of nucleic acids (17). With a variety of lin-benzoadenine derivatives on hand, we therefore investigated the magnitude of the interaction between two of the tricyclic ring systems. The percentages of hypochromism for P1P2-di-lin-benzoadenosine-5'-pyrophosphate (3) and P1, P2-diadenosine-5'pyrophosphate were compared by means of hydrolytic cleavage with snake venom phosphodiesterase and were found to be 23 and 9 percent, respectively, at pH8.5 and 25°C. The hydrolytic cleavage of P1, P2-di-lin-benzoadenosine-5'-pyrophosphate also results in an increase in fluorescence intensity of approximately two orders of magnitude (Fig. 1). This dramatic change in fluorescence yield indicates that the lin-benzoadenosine moieties, when connected intramolecularly, can form dark complexes and can undergo intramolecular collisional quenching. It is therefore predictable that intramolecular positioning of a linbenzoadenosine system in close proximity to other moieties-for example, nicotinamide and isoalloxazine-will result in fluorescence quenching.

Pilot studies indicate that lin-benzoadenosine 5'-diphosphate acts as a substrate for primer-independent polynucleotide phosphorylase (Micrococcus luteus) in the presence of Mn2+. The polymeric material isolated by gel chromatography was essentially nonfluorescent. and its long-wavelength band in the ultraviolet spectrum lacked the characteristic fine structure of the monomeric species (Fig. 1) and was broadened. The fluorescence and the fine structure of the long-wavelength absorption band returned on treatment with 0.1M KOH at 100°C or as a result of enzymatic cleavage (18), showing again the strong stacking interaction between tricyclic base units. It should now be possible to study polynucleotide binding for the purpose of testing new complementarity relationships.

For the enzymes investigated, the formal insertion of a benzene ring in the cen-

if the adenine nucleotides does not greatly diminish their binding properties with respect to those of the normal nucleotides, but usually decreases the rate of reaction. Overall, the series 1a to 1d and 2 exhibit significant biological activity, varying with different enzymes. In addition, the useful fluorescence properties of lin-benzoadenine nucleotides and their increased  $\pi$  interactions can be directed to many studies of static and dywith different interactions moieties, complexation, the nature of enzyme binding sites, and conformational changes induced by surrogate coenzyme-enzyme binding.

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- 28 June 1976; revised 8 September 1976

BBA 46 105

SYNTHESIS OF 2-(DANSYLAMINO)ETHYL TRIPHOSPHATE AND ITS PROPERTIES AS A FLUORESCENT SUBSTRATE OF HEAVY MEROMYOSIN-ATPase

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### SUMMARY

2-(Dansylamino)ethanol, which was obtained after the reaction of dansyl chloride with ethanolamine, was phosphorylated by using tetrachleropyrophosphate, and the resulting 2-(dansylamino)ethyl monophosphate was further phosphorylated by using P<sub>i</sub> and N,N'-dicyclohexylcarbodiimide to obtain 2-(dansylamino)ethyl triphosphate (DTP)

DTP was hydrolyzed by heavy meromyosin-ATPase at a rate similar to the hydrolysis of ATP in the presence of  $\operatorname{Ca}^{2+}$ . In the presence of 10 mM  $\operatorname{Mg}^{2+}$ , the  $K_m$ of the DTP hydrolysis by heavy meromyosin was 1.9·10-5 M, the hydrolysis apparently being abolished by the addition of ATP.

Marked increases in the intensity of the maxima of the excitation and emission spectra of DTP were observed after the addition of heavy meromyosin in the presence of 83.4 mM Mg2+ at 10°. The peak of the emission spectrum shifted from 540 to 530 mm accompanied by an increase in intensity, thus suggesting that the polarity around DTP became rather hydrophobic. Energy transfer from tryptophan and/or tyrosine to the dansyl group of DTP was assumed.

### INTRODUCTION

The structure of the active site of myosin-ATPase (EC 3.6.1.3) has been investigated by using substrate analogs, all of which contained purine or pyrimidine bases or their derivatives. Tonomura et al.1 concluded from their study that the N-6 or O-6 of purine and pyrimidine bases is necessary for the interaction of the substrate analogs with the active site, and that the appropriate distance between the triphosphate and the base should be maintained for a desirable fit of the substrate analog with myosin.

On the other hand, it has been known since the observations of Singer and Barron² and of Kielly and Bradley³ that the sulfhydryl groups of myosin are

phosphate; DMP, 2-(dansylamino)ethyl monophosphate. Present address: Biochemistry Division, Research Institute for Tuberculosis, Hokkaido Abbreviations: DIP, 2-(dansylamino)ethyl triphosphate; DDP, 2-(dansylamino)ethyl di

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Biochim. Biophys. Acta, 253 (1971) 254-265

# DTP: A FLUORESCENT SUBSTRATE OF MYOSIN-ATPase

essential for its ATPase activity. Recently, Murphy and Morales<sup>4</sup> have shown by affinity labelling to the –SH group using 6-mercapto-9-eta-u-ribofuranosylpurine 5'-triphosphate as the substrate analog that low-molecular-weight components<sup>5</sup> included in the myosin molecule may be indispensable for its enzymic activity.

substrate analogs have sometimes been used with success<sup>6,7</sup>. It is now expected that As an approach to clarify the structure of the enzyme's active site, fluorescent fluorescent reagents will be most useful in the study of the enzyme's active site.

In this paper the synthesis of a new fluorescent substrate, 2-(dansylamino)ethyl triphosphate (DTP), of myosin-ATPase is presented. It was hydrolyzed by heavy nature of DTP hydrolysis resembles more that of ITP than that of ATP. It was also meromyosin-ATPase at a comparable rate to the hydrolysis of ATP. The qualitative shown that the environment around the bound DTP was rather hydrophobic. Some of the results have been presented elsewhere<sup>8,9</sup>.

## MATERIALS AND METHODS

Heavy meromyosin was prepared from rabbit skeletal muscle by the method similar to that described by Szent-Györgyile.

The dephosphorylation reaction was stopped by addition of trichloroacetic acid at a final concentration of 5 %, and the P<sub>1</sub> liberated was determined by the methods of Fiske and Subbarow<sup>11</sup> or Martin and Doty<sup>12</sup>. The enzymatic activity of heavy meromyosin-ATPase measured at 25° was expressed in units, which were defined as µmoles P<sub>1</sub> liberated per min per mg of protein. The concentration of heavy meromyosin was determined by using the absorbance at 280 nm of 0.63 for 1 mg

bicarbonate buffer) and at 30 V/cm for 1-2 h using the Mitsumi electrophoresis Paper electrophoresis was performed at pH 7-8 (50 mM triethylammonium instrument. Paper chromatography was performed by using Toyo Roshi 3 A paper (16 cm × 60 cm), following the descending technique. The spots were detected under ultraviolet light from a Manasul lamp.

The carbonate form of DEAE-cellulose was soaked in 0.5 M ammonium carbonate for 1–2 h with stirring and then washed with water in a column (2 cm, 60 cm) until the wash water became neutral. The height of DEAE-cellulose(F bonate form) in the column was 47 cm.

The P<sub>1</sub> content in 2-(dansylamino)ethyl phosphates was analyzed by the method of ALLEN<sup>13</sup>.

Absorption spectra were measured with a Hitachi 124 spectrophotometer.

Fluorescence measurements were carried out with a Hitachi 2PF-3A spectrofluorimeter and the temperature of the cell holder was regulated by circulating water. The fluorescence spectra shown in this paper were not corrected for the spectral response of the photomultiplier and the Xenon lamp. Excitation bandwidths were 3 or 4 nm and the emission band width was 12 nm.

NMR spectra were measured by a JEOL 3H 60 high resolution NMR spectro-

Organic solvents were all purified by distillation.

ATP was purchased from Sigma Chemical Company. 1-Dimethylaminonaphthalene-5-sulfonyl chloride (dansyl chloride) was purchased from Mann Research

RESULTS

# Preparation of 2-(dansylamino)ethanol

3.5 g of ethanolamine were added to an equal amount of dansyl chloride dissolved in 300 ml of ethanol, and the mixture was allowed to stand for 2 h at room temperature. The solution was evaporated to 50 ml by heating it to 80-85°. After cooling, a small amount of water was added to the solution and a precipitate of the product appeared. By further addition of water to 2 l, the precipitate was again solubilized and a solution giving green fluorescence was obtained. The solution was Yellowish green crystals were obtained from the solution after standing it overnight in the cold room. The precipitate was collected and dried in a desiccator. Yield was filtered through a glass filter, and the filtrate was evaporated to 300 ml at 100°. 94 %. The molar absorption coefficient of the product at 320 nm was  $5410~{
m mole^{-1} \cdot cm^{-1}}$ (reference 14 value: 5310 mole -1 cm -1). Only one spot, whose Rp was 0.9, was obtained Melting point 100° (reference<sup>13</sup>: 102°). An NMR spectrum measured in dimethyl sulfoxide has shown alcoholic OH at the 4.65 ppm triplet, amide NH at the 7.9 ppm singlet, and naphthalene 6 protons at 7.1-8.6 ppm. The OH and NH signals disapby paper chromatography developed by ammonium acetate-ethanol (2:7, by vol.). peared by the addition of <sup>2</sup>H<sub>2</sub>O.

# Preparation of 2-(dansylamino)ethyl monophosphate (DMP)

I mmole of 2-(dansylamino)ethanol was dissolved in 22 ml of acetonitrile at -5°. Io minoles of tetrachloropyrophosphate were added slowly to the solution with stirring. The synthesis of DMP was followed by paper electrophoresis. After reaction for 2 h 2-(dansylamino)ethanol disappeared completely and one large spot moving to the anode was obtained, with small spots containing more amonic compounds. The ethanol in the solution were adsorbed on to 2-3 g of charcoal by shaking vigorously. The charcoal was washed 3 times with 100 ml of a mixture of water-ethanol-benzene cellulose column chromatography under a concentration gradient of triethylammonium bicarbonate buffer (pH 7.0). DMP was obtained as the first main peak at reaction was stopped by adding 10 vol. of water. Derivatives of 2-(dansylamino)-The 2-(dansylamino)ethanol and its derivatives were fractionated by DEAEammonium bicarbonate at the peak was rather variable due to evolution of  ${\rm CO_2}$  gas during the experiment. The yield from 2-(dansylamino)ethanol was 65 %. Fractions 0.14-0.20 M triethylammonium bicarbonate. The concentration of triethylin a small volume of water. The dissolution-evaporation cycle was repeated until the ammonium acetate-ethanol (2:7, by vol.). The molar ratio of dansyl group to P<sub>1</sub> in (4:8:1, by vol.), with vigorous stirring. 72% of the material could be desorbed Benzene and ethanol were removed by evaporation under reduced pressure at 30°. of the first peak were collected and the solvent (triethylammonium bicarbonate) was removed by evaporation under reduced pressure at 30°. The residue was dissolved pH of the aqueous solution became neutral. The final product showed only one spot on paper electrophoresis and also on paper chromatography ( $R_{F}$ , 0.65) developed by the DMP preparation was 1.0.

## Preparation of DTP

Synthesis of DTP was performed according to the well-known condensation method of nucleotide monophosphate and P<sub>1</sub> using N,N'-dicyclohexylcarbodiimide

as a condensing agent<sup>15</sup>. DMP and P<sub>1</sub> in final concentrations of o.r mM and r mM, respectively, were dissolved in z ml pyridine containing z.r mM tri-n-butylamine. Tri-n-butylamine was added to dissolve the P<sub>1</sub> in the pyridine. The DMP was converted pyridine—evaporation cycle. Phosphorylation of DMP was started by the addition stirring in the dark at room temperature for z days, the light-yellow solution became precipitate was removed by filtration through a glass filter and was washed with an to the combined filtrate and the water layer was obtained after shaking z or 3 times. solution was fractionated by DEAE-cellulose column chromatography. As shown Fig. 1, DTP was separated from DMP and z-(dansylamino)ethyl diphosphate (F. Properties of the components of the first three peaks have not yet been examined.

presumed that the components are 2-(dansylamino)ethanol and the pyro-type of DMP. The yield of DTP from DMP was 78%. When the amount of N,N-dicyclospot was less then 50 mmoles, the yield of DTP was less. Only one spot was detected with the DTP preparation by paper electrophoresis and by paper chromatography. The molar ratio of the dansyl group to  $P_1$  was 1:3.3. DTP was used as the triethylammonium salt. Aqueous DTP solution was rather stable, i.e. after drolvzed

# Absorption and Anorescence spectra

Fig. 2 shows the absorption spectrum of 2-(dansylammo)ethanol. Absorption maxima were obtained at 215, 245, and 328 nm in 20 mM Tris-HCl buffer (pH 8.0).

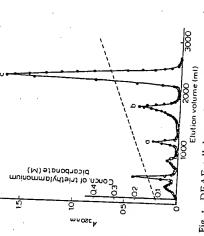


Fig. 1. DEAE-cellulose column chromatography of 2-(dansylamino)ethyl phosphates. Aqueous solution of a mixture of 2-(dansylamino)ethyl phosphates was applied to the column (COOH form, 2 cm × 47 cm). Elution was performed under a linear concentration gradient of triethylammonium bicarbonate from 0.1 to 0.4 M (----). DMP(a), DDP(l), and DTP(c) were eluted at 0.20, 0.27, and 0.32 M triethylammonium bicarbonate, respectively. Fractions of 20 ml were collected. It took 36 h to complete this chromatographic fractionation. Three peaks eluted in front of DMP

The absorption spectra of phosphorylated derivatives of z-(dansylamino)ethanol were indistinguishable from that of z-(dansylamino)ethanol in the range 230 to 400 nm. Since the molar absorption coefficients of the phosphorylated derivatives at 328 nm were apparently identical with that of z-(dansylamino)ethanol, absorbance at 328 nm of these compounds was adjusted to 0.03 and the fluorescence intensities, which were excited at 328 nm and measured at 540 nm, were compared. The fluorescence intensities of DMP, DDP and DTP were only 4, 2 and 1 % higher, respectively, than that of z-(dansylamino)ethanol.

Increase in the concentration of ethanol up to 70% at neutral pH increased 9-fold the intensity of the fluorescence of 2-(dansylamino)ethanol, which was measured in the range 440 to 620 nm (Fig. 3). At the same time, the peak of the fluorescence emission spectrum shifted from 540 to 523 nm. The fluorescence intensity of 2-(dansylamino) ethanol, wich was excited at 328 nm and measured at 485 nm, was increased by the addition of bovine serum albumin in 40 mM Tris buffer (pH 7.8) at 25° As shown in Fig. 4, the intensity at 485 nm increased 82-fold by the addition of the protein in a final concentration of 5 mg/ml. The fluorescence emission spectrum maximum was at 485 nm in the presence of 5 mg/ml of the protein, but it shifted to a longer wavelength with a decrease in protein concentration being at 540 nm in the absence of the protein.

A small decrease in the fluorescence intensity was observed by adding KCl or MgCl<sub>2</sub> to 2-(dansylamino)ethanol and its phosphorylated derivatives. When MgCl<sub>3</sub> was added in a final concentration of 0.2, 0.5 or 1.0 M, the extent of quenching of phosphorylated derivatives was 9, 20 or 28%, respectively, but when the same

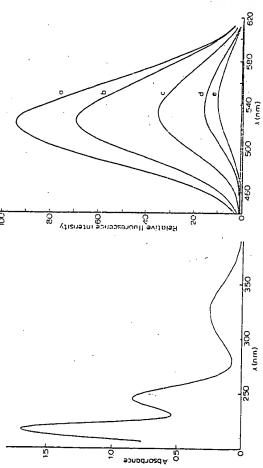


Fig. 2. Absorption spectrum of 2-(dansylamino)ethanol. Concentration of 2-(dansylamino)ethanol was 5.2·10-5 M. Solvent: 20 mM Tris-HCl buffer (pH 8.0).

Fig. 3. Effect of ethanol on the fluorescence emission spectrium of 2-(dansylamino)ethanol. Excitation at 328 nm. Concentration of ethanol in percentage (v/v): a, 70; b, 50; c, 30; d, 10; e, none. Concentration of 2-(dansylamino)ethanol was 6.4·10-6 M.

DTP: A FLUORESCENT SUBSTRATE OF MYOSIN-ALPase

concentrations were added to 2-(dansylaunino)ethanol itself, quenching was 4, 13 or 22%, respectively. No difference in the effect of KCl was observed between 2-(dansylamino)ethanol and the phosphorylated derivatives, and the quenching with 2 M KCl was less than 15%. In the presence of 0.5 M KCl and 100 mM MgCl<sub>2</sub>, the quenching of DTP was 5-10%.

Fig. 5 shows the effect of pH on the fluorescence intensity of DTP, which was excited at 328 nm and measured at 540 nm. The quenching of dansyl group fluorescence by decreasing the pH to the acid region has already been reported by Förster<sup>16</sup>. It was explained by the protonation of the dimethylamino group. Quenching of the fluorescence intensity of DTP was clearly observed below pH 6, while no fluorescence was observed below pH 3. The absorption at 328 nm was also decreased by decreasing the pH.

Hydrolysis of DTP by heavy meromyosin

Hydrolysis of DTP by heavy meromyosin was measured in a reaction mecontaining o.r mg/ml heavy meromyosin, o.3 mM DTP, 4.85 mM CaCl<sub>2</sub>, o.5 M KCl and 50 mM Tris-maleate buffer (pH 7.0), at 25°. As shown in Fig. 6, P<sub>1</sub> was liberated from DTP at the same rate as from ATP. P<sub>1</sub> liberation from DDP under the same conditions was negligible. P<sub>1</sub> liberation from DTP was hardly observed when heavy meromyosin which was inactivated by preincubation in o.5 M KCl (pH 7.0) at 80°.

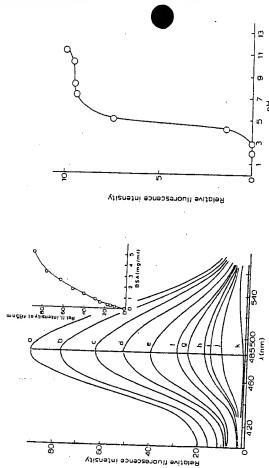
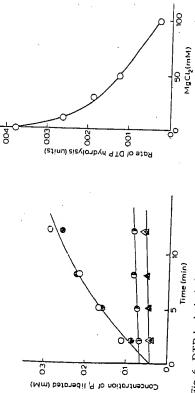


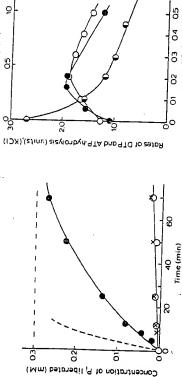
Fig. 4. Effect of bovine serum albumin on fluorescence emission spectrum of 2-(dausylamino)-ethanol. Concentration of 2-(dausylamino)ethanol was 1.7-10-6 M. Concentration of bovine serum albumin in mg/ml: a, 5.0; b, 3.3; c, 2.5; d, 1.7; e, 1.25; f, 0.84; g, 0.63; h, 0.42; i, 0.31; j, 0.21; f, none. Inset shows the relation between the fluorescence intensity at 485 mm and the concentration of bovine serum albumin (BSA).

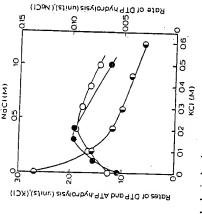
Fig. 5. Effect of pH on the fluorescence intensity of DTF. The pH's above 10 were adjusted by KOH and pH 2 was adjusted by HCl. 20 mM citrate buffer was used for the pH in the range from 2.8 to 6.0, and 20 mM Tris-HCl buffer was used from pH 7.1 to 8.1. The absorbance of DTF (6.4·10-6 M) at 328 nm was 0.03 in the solution at pH 7.6.



meronnyosin, o.3 mM DTF (or ATF, DDF), o.5 M KCl, 4.85 mM CaCl,, and 50 mM Tris-maleate buffer (pH 7.0). Temperature, 25°, • , A; DTF. O, A; ATF. O; DDF. A, A;, heat-denatured . 6. DTP hydrolysis by heavy meromyosin. Reaction mixture consisted of 0.1 mg/ml heavy heavy meromyosin was used.

Fig. 7. Effect of Mg<sup>2+</sup> inhibition on DTP hydrolysis by heavy meromyosin. Reaction mixture consisted of 0.1 mg/ml heavy meromyosin, 0.3 mM DTP, 0.5 M KCl. 20 mM Tris-HCl buffer (pH 7.6) and various concentrations of MgCl<sub>2</sub>. Temperature, 9.8°.





8. Inhibitory effect of ATP on DTP hydrolysis by heavy meromyosin. Reaction mixture and 50 mM Tris-maleate buffer (pH 7.0). Temperature, 25°. Substrate: •, DTP; O, ATP; X, 0.3 mM DTF and 0.3 mM ATP. Broken line, time course of R<sub>1</sub> liberation from ATP in the presence of Ca<sup>2+</sup> in place of Mg<sup>2+</sup>. The vertical broken line shows the level of DTP concentration consisted of 0.02 mg/ml heavy meronyosin, 0.3 mM DTP and/or ATP, 0.5 M RCl, 10 mM MgCl

Fig. 9. Effect of NaCl or KCl concentration on DTP hydrolysis by heavy meromyosin. Reaction DIP, to mM MgCl<sub>2</sub>, 20 mM Tris-HCl buffer (pH 7.6) and various concentrations of NaCl (•). Temperature, 9°. Reaction mixture used for the experiment with NCl consisted of 0.025 mg/ml heavy meromyosin, 0.93 mM DTP, 4.85 mM CaCl<sub>2</sub>, 20 mM Tris-HCl buffer (pH 7.6) and various concentrations of KCl (O). Temperature, 25°. In order to save the DTP its concentration was kept as low as possible. Reaction mixture used for ATP hydrolysis in the presence of KCl consisted of 0.025 mg/ml heavy meromyosin, 2 mM ATP, 5 mM CaCl<sub>2</sub>, 50 mM Tris-maleate buffer (pH 7.0) mixture used for the experiment with NaCl consisted of 0.05 mg/ml heavy meromyosin, 0.58 mM and various concentrations of ICCl (0). Temperature, 25°.

# DTP: a fluorescent substrate of myosin-ATPase

for 60 min was used in place of native heavy meromyosin. As shown in Fig. 7, DTP hydrolysis was inhibited by the addition of MgCl $_{2}$  in 0.5 M KCl and 20 mM Tris–HCl buffer (PH 7.6) at 9.8°. 70 % of the original activity was preserved after the addition of MgCl2 in a final concentration of 10 mM, but only 7 % or less was observed in the presence of 100 mM MgCl2. The rate of ATP hydrolysis by heavy meromyosin in 0.35 M KCl at pH 8.2 decreased to less than 30 % of the original activity with the addition of MgCl2 in a final concentration of 10 mM (ref. 17). As shown in Fig. 8, ATP hydrolysis was inhibited nearly completely in the presence of 10 mM MgCl2, while under the same conditions DTP hydrolysis was clearly shown. When both DTP and ATP were added at an equal concentration of 0.3 mM, the rate of  $P_{
m I}$ The result strongly suggests that ATP and DTP compete for the same active site and liberation was the same as that obtained in the presence of ATP as the only substrate. that the affinity of ATP for the site is stronger than that of DTP. This conclusion was confirmed by the measurement of  $K_m$ 's for ATP and DTP as described below.

by increasing the concentration of KCL. When DTP was used as the substrate in place of ATP, the level of  $P_1$  liberation was nearly one-half that of ATP hydrolysis in the absence of KCl. As shown in Fig. 9, this level increased with increase in the concentration of KCl and, after the maximum activity was attained at 0.2 M KCl, it gradually decreased. Graphs of the decrease in the rate of ATP hydrolysis and of the increase in DTP hydrolysis intersected at o.1 M KCl. The rate of DTP hydrolysis was also examined in the presence of ro mM MgCl<sub>2</sub> at various concentrations of NaCl (Fig. 9). An effect similar to that of KCI was obtained and the maximum activity was Heavy meromyosin-ATPase activity in the presence of Ca2+ is simply decreas attained at 0.3-0.4 M NaCl.

DTP was not hydrolyzed by heavy meromyosin in the presence of 10 mM EDTA and 0.5 M KCl at pH 7, 25°, while ATP hydrolysis was activated under the same conditions.

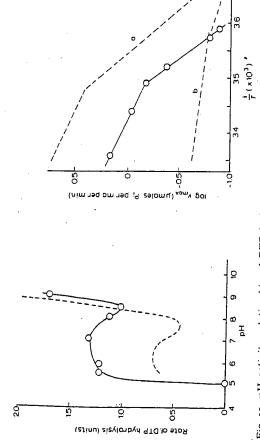
The Michaelis constant  $(K_m)$  and the maximum velocity  $(v_{max})$  of DTP hydrolysis by heavy meromyosin were measured in the presence of Mg²+ or Ca²+ at pH 7.0 and 25°. In the presence of 10 mM MgCl, and 0.5 M KCl, the  $K_m$  was 1.9 ·10-5 M and  $v_{
m max}$  was 0.24 unit. In the presence of 4.85 mM CaCl $_2$  and 0.5 M KCl, the  $K_{m_0}$ unit, respectively<sup>17,18</sup>, and those with 5 mM Ca<sup>2+</sup> were of the order of magnitude of was found to be 1.8.10-4 M and vmax was 1.56 units. In the case of ATP hydrolys. by heavy meromyosin,  $K_m$  and  $v_{max}$  with 2 mM Mg<sup>2+</sup> were less than  $10^{-6}$  M and  $o_{\infty}$ 10-5 M and 0.5-0.6 unit, respectively (T. Nakata, unpublished observation)

Fig. 10 shows the pH-activity relationship of DTP hydrolysis by heavy meromyosin with that of ATP hydrolysis as a reference. The pH dependency of DTP hydrolysis was similar to that of ATP hydrolysis, i.e. a maximum at around pH 6-7 and a minimum at around pH 8-9 were observed with DTP.

Dependence of the  $v_{\max}$  of DTP hydrolysis on temperature was measured in the presence of 4.85 mM CaCl2 and 0.5 M KCl at pH 7.6. As shown in Fig. xx, the temperature dependence gave a biphasic plot curving sharply near 14°. The activation energies were obtained as 12 and 34 kcal/mole above and below 14°, respectively.

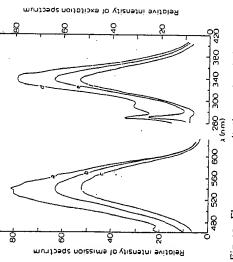
Fluorescence of the DTP-heavy meromyosin system

Fluorescence excitation and emission spectra were measured before and after the addition of heavy meromyosin in a final concentration of 0.39 mg/ml to the



consisted of o.1 mg/ml heavy meromyosin, o.3 mM DTP, o.5 M KCl, 4.85 mM CaCl, and 20 mM Tris-maleate buffer (pH 5.1-7.3) or 20 mM Tris-HCl buffer (pH 7.9-9.1). Temperature, 25. The Reaction mixture broken line shows the pH-activity curve of ATP hydrolysis as a reference under the same con-Fig. 10. pH-activity relationship of DTP hydrolysis by heavy meromyosin.

was measured using a reaction mixture of 0.05 mg/nul heavy meromyosin, 0.5 M KCl, 4.85 mM CaCl<sub>2</sub>, 20 mM Tris-HCl buffer (pH 7.6) and various concentrations of DTP, at temperatures from 6 to 25°. Data of ITP hydrolysis (a) and ATP hydrolysis (b) were taken from the results of Azuna and Tonomura<sup>22</sup> obtained in 0.6 M KCl, 7 mM CaCl<sub>2</sub>, and 25 mM Tris-HCl buffer Fig. 11. Temperature dependency of the  $v_{
m max}$  of DTP hydrolysis by heavy meromyosin. The  $v_{
m max}$ (pH 7.05)



meromyosin. a, b, c, emission spectra, excitation at 340 nm. d. e, f, excitation spectra, emission at 537 nm. Reaction mixture consisted of 0.39 mg/ml heavy meromyosin, 7.95  $\mu$ M DTP, 83.4 mM MgCl<sub>2</sub>, and 33.3 mM Tris-HCl buffer (pH 7.6). Temperature, 10°. Time elapsed until the measurement of the maximum of each spectrum after addition of heavy meromyosin: a, 20 sec; b, 90 sec; 12. Fluorescence excitation and emission spectra of DTP obtained after addition of heavy 160 sec; d, 33 sec; e, 89 sec; f, 159 sec.

# DTP: A FLUORESCENT SUBSTRATE OF MYOSIN-ATPase

reaction mixture containing 2-(dansylamino)ethanol, DDP or DTP in the presence of 83.4 mM MgCl<sub>2</sub> and 33.3 mM Tris-HCl buffer (pH 7.6), at 10°. The molar ratio of the added 2-(dansylamino)ethanol, DDP or UTP, to heavy meromyosin was nearly 7. The fluorescence excitation spectrum was obtained by the measurement of fluorescence at 537 nm, and the fluorescence emission spectrum was obtained by excitation at 340 nm.

The excitation and emission intensities increased a little with the addition shows the fluorescence excitation and emission spectra obtained by the addition of heavy meromyosin to DTP (8.0  $\mu$ M). In contrast to the above experiments using 2-(dansylamino)ethanol or DDP, an enhancement of about 60% in these intensities of heavy meromyosin to 2-(dansylamino)ethanol (7.1  $\mu$ M) or DDP (6.8  $\mu$ M). Fig. 12 by a rapid decrease to the level obtained in the presence of DDP. The peak of the was observed immediately after the addition of heavy meromyosin to DTP, followed emission spectrum shifted from 540 to about 530 nm along with the increase

place of DTP, the peak at 290 nm was not observed. The intensity at 290 nm was The sluorescence excitation spectrum measured 33 sec after the addition of heavy meromyosin to DTP, clearly showed a peak at 290 nm which was not observed at 89 sec. When DDP (6.8  $\mu M$ ) or 2-(dansylamino)ethanol (7.1  $\mu M$ ) was present in measured continuously after addition of heavy meromyosin in a final concentration of 0.39 mg/ml to various concentrations of DTP in the presence of 83.4 mM MgCl<sub>2</sub> and 0.5 M KCl at 16°. The first measurement was made 3-5 sec after the addition of heavy meromyosin. When the concentration of DTP was more than 19.8  $\mu \mathrm{M}$ , a plateau of intensity at 290 nm was observed which gradually decreased to a certain low level. The half-life of the decrease in intensity was obtained from a series of experiments as 26, 48, 62, or 102 sec with 8.0, 19.8, 31.8, or 39.8  $\mu M$  DTP, respectively.

### DISCUSSION

ATP. The sizes of the compounds appear to be very close to one another and the The molecular structure of DTP is shown in Fig. 13, together with that of similarity implies that DTP could be a substrate analog of ATP.

the competition between ATP and DTP in the heavy meromyosin-ATPase reaction indicates that DTP binds to the ATP binding site (Fig. 8). DTP was not laydrolyzed by heavy meromyosin in the presence of 10 mM EDTA and 0.5 M KCl, while ATP hydrolysis was activated by EDTA. The nucleotide polyphosphates tested so far show a marked difference in their rates of hydrolysis depending on the structure of the purine or pyrimidine ring, those possessing an amino group in the 6-position being highly preferable for hydrolysis in the presence of EDTA19. The marked inhibition of DTP hydrolysis by EDTA might therefore be due to the lack of any Like ATP, DTP was actually hydrolyzed easily by heavy meromyosin, amino group in the naphthalene ring in DTP.

The dansyl group is known as a fluorescent reagent, but it is also useful as an or bovine serum albumin to 2-(dansylamino)ethanol increased the fluorescence indicator of a hydrophobic environment. The addition of an organic solvent (ethanol) intensity and induced the blue shift of the fluorescence emission spectrum. When heavy meromyosin was added to 2-(dansylamino)ethanol, DDP or DTP, increase

Fig. 13. Molecular structures of DTP and ATP.

CHEUNG AND MORALES<sup>20</sup> have shown that the maximum binding of 8-aniliner-naphthalene sulfonate to myosin was obtained as 2 (mole/mole) and that the binding that the hydrophobic region of the myosin molecule is very restricted and that the noncovalent binding of dansyl compounds to heavy meromyosin would also be sites were located towards the head region of the myosin molecule. The results suggest

The maxima of absorption and fluorescence emission spectra of DTP were at 328 and 534 nm, respectively. The absorption of DTP overlaps with the fluorescence of tyrosine and tryptophan. Energy transfer from the protein chromophore (tyrosine and/or tryptophan) to the dansyl group of DTP can therefore be assumed to occur. When heavy meromyosin was added to DTP, a particular excitation spectrum that rapidly disappeared was observed around 290 nm. The result shows that the excitation spectrum around 290 nm may be related directly to the energy transfer from the protein chromophore to DTP and that DDP is no longer able to participate in energy transfer after DTP hydrolysis. The rate constant of the decay of fluoresand 0.5 M KCl at 16° using CHANCE's21 formula. This value was similar to the rate cence intensity at the 290 nm peak was 0.06 unit in the presence of 100 mM MgCl, constant of DTP hydrolysis by heavy meromyosin under the same conditions.

According to Morital', a red shift of the absorption spectrum around 290 nm is observed accompanied with the formation of Michaelis complex of heavy meromyosin. This shift is decreased by the hydrolysis of ATP to ADP. She explained the phenomenon of red shift by the displacement of tryptophan and tyrosine to the hydrophobic region. Binding of DTP to the active site might cause a displacement

DTP: A FLUORESCENT SUBSTRATE OF MYOSIN-ATPase

of the tryptophan, which moves by the binding of ATP, and the tryptophan probably participates in the energy transfer to the dansyl group of bound DTP.

## ACKNOWLEDGMENT

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SYNTHESIS AND PROPERTIES OF A NEW FLUORESCENT ANALOG OF ATP:

ADENOSINE-5'-TRIPHOSPHORO- $\gamma$ -1-(5-SULFONIC ACID) NAPTHYLAMIDATE

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### SUMMARY

An analog of ATP has been synthesized which contains the fluorophore, 1-aminonapthalene-5-sulfonate attached via a  $\gamma$ -phosphoamidate bond. This analog is strongly fluorescent (quantum yield = 0.63) with an emission maximum at 460 nm; the excited state lifetime is 20 nsec. It is a substrate for DNA-dependent RNA polymerase of E. coli and wheat germ RNA polymerase II. It is also a subapyrase. Cleavage of the  $\alpha$ - $\beta$  phosphoryl bond as a result of RNA synthesis or by venom phosphodiesterase produces a 15 nm red shift in the fluorescence emission spectrum. This property should make this nucleotide useful for studies phoryl bond.

### INTRODUCTION

Nucleotides play important roles in many biological processes. These processes include DNA and RNA synthesis, protein synthesis, and energy transduction. A number of nucleotide analogs have been synthesized and used to study the role of nucleotides in various systems (1). These analogs have included those with altered chemical reactivity (AMPPnP and ATP- $\gamma$ -S), altered chromophoric ring structures (6-thioguanosine triphosphate), and fluorescent derivatives such as  $\varepsilon$ -ATP and formycin triphosphate (2-7).

The use of fluorescent nucleotides,  $\epsilon$ -ATP and formycin triphosphate, has often been limited by the properties of the enzyme or by the spectroscopic properties of the nucleotide. For example,  $\epsilon$ -ATP contains a bridge group which prevents normal hydrogen bonding of the purine ring. This analog is

Abbreviations used are:  $(\gamma-AmNS)-ATP$ , adenosine-5'-triphosphoro- $\gamma-1-(5-sul-fonic acid)$  napthylamidate; AMPPnP, adenylyl-imidodiphosphate; ATP- $\gamma-S$ , adenosine-5'-0-(3-thiotriphosphate); and  $\varepsilon-ATP$ ,  $1,N^6-$ ethenoadenosine triphosphate.

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neither a substrate nor inhibitor for DNA-dependent RNA polymerase of  $\underline{E}$ .  $\underline{coli}$  an enzyme in which hydrogen bonding to the template evidently plays a key role (L. Yarbrough, unpublished observations). Formycin triphosphate is a substrate for DNA-dependent RNA polymerase, however, its excited state lifetime is only about 1 nsec and its quantum yield is very low (Q = 0.054) thus its application has been somewhat limited.

Grachev and Zaychikov (7) have reported the synthesis of an ATP analog containing aniline bound to the  $\gamma$ -phosphate via a phosphoamidate linkage. This analog is a good substrate for DNA-dependent RNA polymerase of <u>E. coli</u>. This suggested that it should be possible to prepare the analogous derivative containing l-aminonapthalene-5-sulfonate. Here I report the synthesis of this fluorescent derivative of ATP, adenosine 5'-triphosphoro- $\gamma$ -l-(5-sulfonic acid) napthylamidate, ( $\gamma$ -AmNS)-ATP, and some of its spectroscopic and enzymatic properties.

### MATERIALS AND METHODS

 $\frac{\text{Chemicals}}{\text{change}} - \text{The following chemicals were purchased from the sources listed in parenthesis:} \quad \text{ATP, grade 1 (Sigma), [^3H] ATP (New England Nuclear), poly d (A-T) (P and L Labs), l-aminonapthalene-5-sulfonate (Tridom), and l-ethyl-3-(3-dimethylaminopropyl) carbodiimide (Pierce).}$ 

Enzymes - E. coli RNA polymerase was purified as described previously (8). Wheat germ RNA polymerase II was purified as described by Jendrisak and Burgess (9). Purified valyl t-RNA synthetase was a gift of Drs. Ann Collins and George Marchin, Kansas State University. E. coli alkaline phosphatase, adenylate kinase, and apyrase were from Sigma; Acetate kinase was from Boehringer; cAMP dependent protein kinase from bovine heart was a gift of Dr. Ora Rosen, Albert Einstein College of Medicine, N.Y. Venom phosphodiesterase was purified from crude venom of Crotalus adamanteus by incubation for 3 hr at 37° and pH 3.6.

Spectroscopic measurements - Absorption spectra were obtained with a Cary model  $\overline{118\text{-C}}$  recording spectrophotometer. Fluorescence measurements were made at 25° with a Perkin-Elmer MPF-44 recording fluorescence spectrophotometer equipped with a microprocessor corrected spectra attachment. Samples had an absorbance of  $\leq 0.1$  absorbance unit to obviate significant inner filter effects. Excited state lifetime measurements were obtained with the Ortec model 9200 single photon counting system. Data was deconvoluted and fit to a single or double exponential by the method of moments.

Enzymatic Digestion of ( $\gamma$ -AmNS)-ATP - Reactions in 0.05 mL contained: 0.05 M Tris HCl, pH 8,  $10^{-2}$ M MgCl $_2$ ,  $10^{-4}$ M dithiothreitol and 2 mM ATP or ( $\gamma$ -AmNS)-ATP. Samples were incubated at 37° for 3 hr with 25  $\mu$ g of Crotalus phosphodiesterase, 25  $\mu$ g of E. coli alkaline phosphatase, or 25  $\mu$ g of each.

Synthesis and Sp synthesized from 1-am the water soluble car using a modification synthesis procedure w tion product, (Y-AmNS cellulose. The purif spot with a mobility ethyleneimine cellulo Incubation of (Y-AmNS complete disappearanc chromatographic speci with authentic ATP; t ted with 1-aminonapth

The absorption s a maximum at about 24 about 315 nm. Based tion coefficient at 3 lene-5-sulfonate show results are consisten l-aminonapthalene-5-s is also shown in Fig. Following acid hydrol tc 330 nm, the same  $\bar{\rm a}$ fluorescence emission a broad maximum at 46 Quinine sulfate in C. excited state lifetime ?-aminonapthalene-5-sı conditions.

)MMUNICATIONS

Vol. 81, No. 1, 1978

BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS

### **RESULTS**

Synthesis and Spectroscopic Properties of  $(\gamma-AmNS)-ATP$ .  $(\gamma-AmNS)-ATP$  was synthesized from 1-aminonapthalene-5-sulfonate, [³H]ATP (500 cpm/nmole), and the water soluble carbodiimide, 1-ethyl-3-(3-dimethylamino propyl)carbodiimide, using a modification of the procedure of Babkina et al. (10). Details of the synthesis procedure will be presented in a subsequent communication. The reaction product,  $(\gamma-AmNS)-ATP$  (Fig. 1), was purified by chromatography on DEAE cellulose. The purified nucleotide showed a single intense blue fluorescent spot with a mobility about one-half that of ATP when chromatographed on polyethyleneimine cellulose according to the procedure of Gonzales and Geel (11). Incubation of  $(\gamma-AmNS)-ATP$  in 0.5 N HCl for 30 minutes at 37° resulted in the complete disappearance of the original material and the appearance of two new chromatographic species. One strongly absorbed ultraviolet light and migrated with authentic ATP; the other exhibited a yellow-green fluorescence and migrated with l-aminonapthlene-5-sulfonate.

The absorption spectrum of  $(\gamma-AmNS)-ATP$  is shown in Fig. 2. It exhibits a maximum at about 243 nm, a shoulder at 260 nm, and a broad band centered at about 315 nm. Based on radioactivity measurement of [3H]ATP, a molar extinction coefficient at 315 nm of 5580 M<sup>-1</sup> cm<sup>-1</sup> can be calculated. 1-aminonapthalene-5-sulfonate shows a similar band at about 330 nm with  $\varepsilon$  = 6000. These results are consistent with a conjugate containing 1 mole of ATP and 1 mole of 1-aminonapthalene-5-sulfonate. The corrected fluorescence excitation spectrum is also shown in Fig. 2. Excitation maxima are observed at 243 and 315 nm. Following acid hydrolysis, the long wave length excitation maximum is shifted to 330 nm, the same as found for 1-aminonapthalene-5-sulfonate. The corrected fluorescence emission spectrum of  $(\gamma-AmNS)-ATP$  is shown in Fig. 3. It exhibits a broad maximum at 460 nm. The quantum yield was calculated to be 0.63 using quinine sulfate in 0.1 N  $H_2SO_4$  as standard (Q = 0.55). Measurements of the excited state lifetime show a single component with a lifetime of 20 nsec. 1-aminonapthalene-5-sulfonate shows a lifetime of about 5 nsec under the same conditions.

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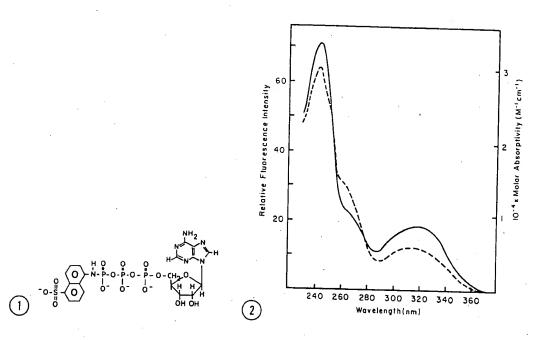


Fig. 1. Structure of  $(\gamma-AmNS)-ATP$ 

Fig. 2. Absorption (---) and corrected fluorescence excitation (——) spectra of ( $\gamma$ -AmNS)-ATP. Measurements were performed at 25° in 0.05 M Tris.HCl, pH 8, 0.05 M NaCl,  $10^{-2}$ M MgCl $_2$ ,  $10^{-4}$  EDTA. For the absorption spectrum the nucleotide concentration was 4.3 x  $10^{-5}$ M. For the fluorescence excitation spectrum, the nucleotide concentration was 2 x  $10^{-6}$ M. Emission was measured at 460 nm through a 350 nm cut-off filter.

Enzymatic Properties of  $(\gamma-AmNS)-ATP$ . The ability of  $(\gamma-AmNS)-ATP$  to substitute for ATP in a number of enzymatic reactions was examined. Table 1 shows that this nucleotide is a good substrate for DNA-dependent RNA polymerase isolated from  $\underline{E}$ .  $\underline{coli}$  and wheat germ (polymerase II). It is also a substrate for valy1 t-RNA synthetase from  $\underline{E}$ .  $\underline{coli}$ . Thus this nucleotide appears to be an effective substrate for reactions involving cleavage of the  $\alpha-\beta$  phosphory1 bond.

 $(\gamma-AmNS)-ATP$  is not a substrate under the conditions tested for any of the following kinases: acetate kinase, adenylate kinase, or cAMP-dependent protein kinase. It is degraded by potato apyrase and venom phosphodiesterase of  $\underline{Crotalus}$  adamanteus but not by bacterial alkaline phosphatase.

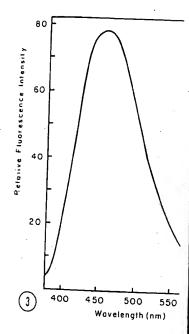
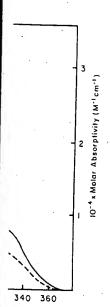


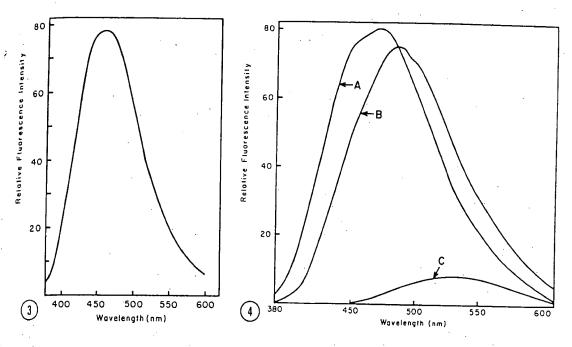
Fig. 3. Corrected fluo were the same as in Fig was at 320 nm.

Fig. 4. Alterations in duced by enzymatic util Fig. 3.

The fluorescence probonds are broken. The at 460 nm (curve A, Fig most of the nucleotide v (curve B, Fig. 4). The nsec. A similar change with venom phosphodieste

Although  $(\gamma-AmNS)-A$  alone, when the nucleoti terase and alkaline phos 520 nm, the same as foun Fig. 4). Analysis of th





(---) spectra ris.HCl, pH 8, 1 the nucleorion spectrum, 2d at 460 nm

Fig. 3. Corrected fluorescence emission spectrum of ( $\gamma$ -AmNS)-ATP. Conditions were the same as in Fig. 2 except that no cut-off filter was used. Excitation was at 320 nm.

Table 1
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Fig. 4. Alterations in the fluorescence emission spectrum of ( $\gamma$ -AmNS)-ATP produced by enzymatic utilization. Measurements were performed as described in Fig. 3.

for any of '-dependent phodiesterase The fluorescence properties of  $(\gamma-AmNS)-ATP$  are altered when P-O or P-N bonds are broken. The intact nucleotide has a fluorescence emission maximum at 460 nm (curve A, Fig. 4). Following extensive RNA synthesis during which most of the nucleotide was utilized, the emission maximum shifted to 475 nm (curve B, Fig. 4). The excited state lifetime decreased from 20 nsec to 16 nsec. A similar change in fluorescence properties was produced by digestion with venom phosphodiesterase.

Although ( $\gamma$ -AmNS)-ATP is not digested by bacterial alkaline phosphatase alone, when the nucleotide is digested with a combination of venom phosphodiesterase and alkaline phosphatase, the fluorescence emission maximum shifts to 520 nm, the same as found for free l-aminonapthalene-5-sulfonate (curve C, Fig. 4). Analysis of the reaction products by thin layer chromatography

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| Enzyme  | Activity (%) |              |  |
|---|--------------|--------------|--|
|   | ATP          | (Y-AMNS)-ATP |  |
| RNA polymerase ( <u>E</u> . <u>coli</u> )         | 100          | . 60         |  |
| RNA polymerase II (wheat germ)                    | 100          | 27           |  |
| valyl t-RNA synthetase ( <u>E</u> . <u>coli</u> ) | 100          | 20           |  |

Assays for RNA polymerase contained in 0.1 mL: 0.05 M Tris HCl, pH 8,  $10^{-2}$ M MgCl<sub>2</sub>,  $10^{-3}$ M dithiothreitol,  $10^{-4}$  M UTP,  $10^{-4}$  M [3H]ATP (4980 cpm/nmole) or  $\{\gamma-AmNS\}[3H]ATP$  (500 cpm/nmole), 20 nmoles of poly d (A-T), and 8 pmoles of of denatured calf thymus DNA in lieu of poly d (A-T), 3 mM MnCl<sub>2</sub> instead of MgCl<sub>2</sub>,  $[^3H]$ GTP (11,300 cpm/nmole), 0.05 M (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 5 µg of purified wheat germ RNA polymerase, and other components as described above. Samples were incubated for 10 min at 37°, precipitated with 5% trichloracetic acid, and the counted in a toluene based liquid scintillation fluid. Assays for valyl t-RNA synthetase contained in 0.1 mL: 0.1 M Tris. HCl, pH 7.3,  $10^{-2}$ M MgCl<sub>2</sub>,  $10^{-2}$  M KCl,  $10^{-4}$  M dithiothreitol,  $10^{-4}$  M  $[^3H]$ valine (35 cpm/pmole), 50 µg t-RNA, 2 Following a 15 min incubation at 37°, samples were precipitated with 5% trichloracetic acid, the precipitates collected, and counted by liquid scintillation as described above.

revealed the presence of two species. One absorbed u.v. light and migrated with adenosine; the other was fluorescent and migrated with 1-aminonapthalane-5-sulfonate. No  $(\gamma-AmNS)-ATP$  was detected following digestion.

### DISCUSSION

 $(\gamma\text{-AmNS})\text{-ATP}$  should be an excellent probe for many ATP requiring enzymes, especially those which cleave the  $\alpha\text{-}\beta$  phosphoryl bond such as nucleic acid polymerases and t-RNA synthetases. This nucleotide has an absorption band in the region 300-350 nm which permits its selective excitation. Since this is the region in which tryptophan fluorescence occurs, it is also a potential acceptor for resonance energy transfer from intrinsic fluorophores of proteins. In addition, the relatively long excited state lifetime makes it potentially useful for studies of fluorescence polarization. The quantum yield is high and

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MMUNICATIONS

Vol. 81, No. 1, 1978

BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS

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is altered when P-O or P-N bonds are broken. This property may allow one to study the dynamics of the phosphoryl bond breaking step.

The reaction used to synthesize ( $\gamma$ -AmNS)-ATP can be used to synthesize other nucleotide analogs. For example, we have already synthesized the comparable GTP analog. In addition, it should be possible to synthesize other ribo- as well as deoxyribonucleoside mono, di, or triphosphate derivatives.

It has not yet been determined whether ( $\gamma$ -AmNS)-ATP is capable of being incorporated into the 5' terminus of RNA chains, i.e., acting as an initiator. It appears that it can however, since Grachev and Zaychikov (7) have shown that the corresponding derivative, ATP- $\gamma$ -anilidate, can initiate RNA chains. If studies show that  $(\gamma ext{-AmNS})$  can initiate, we plan to use it to study the dynamics of RNA chain initiation.

### ACKNOWLEDGEMENTS

This research was supported by a research and equipment grant from the Mid-America Cancer Center Project, a Basil O'Connor Starter Research Grant from the National Foundation-March of Dimes, and a grant from the American Heart Association-Kansas Affiliate. I thank Dr. P.T. Gilham, Purdue University, for helpful suggestions regarding the synthesis procedure and Mr. Mike Loggan for expert technical assistance.

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### Direct Observation of Complexes Formed between recA Protein and a Fluorescent Single-Stranded Deoxyribonucleic Acid Derivative **元。前是中国外国际对这种的**

Marc S. Silver \* hand (Alan R. iFersht\*

ABSTRACT: The reaction of chloroacetaldehyde with singlestranded DNA (ssDNA) yields ¿DNA, a highly fluorescent substance. The binding of recA protein to ¿DNA nearly doubles its fluorescence yield. The enhanced fluorescence signals the formation of a recA-cDNA complex. This complex exhibits an ATPase activity as great as that of the corresponding recA-ssDNA complex. Addition of a saturating concentration of adenosine 5'-O-(3-thiotriphosphate) (ATP<sub>\gammaS</sub>) to a solution of the recA-eDNA complex yields a further rise in fluorescence. Saturation with ATP produces the same rise. The nucleotide triphosphates have converted the recA-€DNA complex into the respective ATP<sub>7</sub>S-recA-€DNA and ATPrecA-εDNA complexes. The fluorescence changes that ac-

The recA protein shows a remarkable range of activities for so small a molecule. Particularly interesting is its ability to catalyze ATP-dependent DNA strand assimilation. Studies with the recA protein are consequently providing important insights into genetic recombination mechanisms (Geider & Hoffmann-Berling, 1981; Radding, 1981). In particular, the structural features that homologous DNA molecules must possess if they are to undergo recA-promoted1 strand assimilation are being explored (West et al., 1981; DasGupta & Radding, 1982). Complementary biochemical studies have broadly established the nature of the interactions between recA protein and polynucleotides and nucleotide triphosphates and the factors controlling the ability of recA protein to act as a protease (Weinstock et al., 1981a-c; Craig & Roberts, 1980). These experiments rely primarily on selective filter-binding assays to characterize the various recA-DNA complexes presumed to be involved. Data obtained with the ultracentrifuge and electron microscope provide supplementary information. A spectroscopic method that allows recA-catalyzed reactions to be continuously monitored would make it possible to examine more closely the nature of these complexes and the dynamics governing their formation and disappearance. We describe here such a method and the initial results it has yielded.

The essential reagent is ¿DNA, obtained by treating ssDNA with chloroacetaldehyde. This highly fluorescent modification of ssDNA has been known for nearly 10 years, but its great potential for investigating protein-ssDNA interactions does not appear to have been realized (Lee & Wetmur, 1973). The corresponding derivative of poly(rA), poly( $\epsilon$ A), has been employed by several investigators (Ledneva et al., 1978; Toulmé & Hélène, 1980) but, as we shall see, is of no use in the recA protein system. The chloroacetaldehyde reaction converts adenosine to  $1.N^6$ -ethenoadenosine and cytidine to  $3.N^4$ ethenocytidine. It is the high fluorescence of the former at

description by the later company the formation of the three complexes have enabled us to (1) establish by titration that recA protein binds to 6.0  $\pm$  0.3 nucleotides of  $\epsilon$ DNA, (2) show that the binding of ATP to the recA-&DNA complex is highly cooperative under various conditions, with a Hill coefficient of 2.4-4.9 and  $K_{app} = 25$  $\pm$  2  $\mu$ M, (3) show that the binding of ATP $\gamma$ S is also highly cooperative, with a Hill coefficient of 3.3-4.2 and  $K_{\rm app} \simeq 0.5$ μM, and (4) perform initial measurements on the rate at which recA protein transfers between polynucleotides. The experiments provide the first direct observation of an ATP-recAssDNA-like complex, and they illuminate some of the properties of such complexes.

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neutral pH ( $\lambda_{max} \sim 405$  nm) that renders  $\epsilon DNA$  and poly( $\epsilon A$ ) so useful (Leonard & Tolman, 1975).

Experimental Procedures

Materials

Commercial samples of ADP (Sigma), ATP (Sigma), and ATP YS (Boehringer) were used as received. Highly polymerized calf thymus dsDNA (Sigma) was converted to ssDNA by heating it for 20 min at 100 °C and then plunging it into an ice bath. The concentrations of all these nucleic acid derivatives were determined spectroscopically (Weinstock et al., 1981a). Thin-layer chromatography established that the ATY $\gamma$ S contained 25 ± 5% ADP, and all cited concentrations for ATP $\gamma$ S allow for this. Other purchased chemicals were of the highest purity available.

In all but two experiments, a single stock recA protein preparation was employed. It had been purified by Sephacryl S-300 filtration and is certainly >98% pure and binds 1.0 mol of nucleotide/mol of recA monomer (Cotterill et al., 1982). recA protein concentrations were determined spectrophotometrically (Cotterill et al., 1982).

Our procedure for synthesizing ¿DNA was based primarily on an earlier method for preparing poly( $\epsilon A$ ) from poly(rA) (Steiner et al., 1973). Chloroacetaldehyde was obtained by heating a mixture of 24 mL of CH2CICH(OCH3)2 (Aldrich), 10 mL of  $H_2SO_4$ , and 250 mL of water under reflux for 20 min and distilling the resultant homogeneous solution until  $\sim$ 130 mL of distillate had been collected. This distillate, when diluted to a volume of 150 mL, had a pH of 5  $\pm$  0.5. It was redistilled until ~100 mL of distillate had been collected. The stock chloroacetaldehyde solution was obtained by diluting the second distillate to 130 mL. The principle synthesis of  $\epsilon DNA$ began with the addition of 29 mL of the chloroacetaldehyde

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Abbreviations: ss, single stranded; ds, double stranded; ATPγS. adenosine 5'-O-(3-thiotriphosphate); NTP, nucleoside triphosphate; poly(eA), poly(1,N6-ethenoadenylic acid); eDNA, product obtained by treating ssDNA with chloroacetaldehyde, which contains 1,06-ethenoadenosine and 3,N4-ethenocytidine residues; recA, recA protein; Tris. tris(hydroxymethyl)aminomethane.

reagent to a solution prepared from a 20 sspNA 6 mL of 1.7 M sodium acetate buffer pH d 24 mls of water. Reaction was allowed to continue at 40 °C for 280 min. The pH of the mixture was raised from 4.9 to 7.1 by the careful addition of NaOH and the DNA isolated by ethanol precipitation. Several redissolutions and reprecipitations served to purify the product (Steiner et al., 1973). The solution obtained prior to the final precipitation was incubated at 40 °C overnight to hasten the decomposition of undesirable reaction intermediates that may have accumulated (Krzyzusia et al., 1981). About 20 mg of colorless eDNA resulted. Aqueous solutions of this material have shown no detectable change in spectroscopic properties during a 3-month period.

The concentration of  $\epsilon DNA$  was determined by phosphate analysis (Ames, 1966). In standard buffer, our material showed  $\lambda_{min}$  244 nm ( $\epsilon = 4700$ ), a broad maximum at 250–267 nm ( $\epsilon_{260} = 6300$ ), and  $\epsilon_{300} = 970$ . Although it is not important to know the extent of modification in the  $\epsilon DNA$ , the following procedure suggests that  $75 \pm 5\%$  of the adenine and cytosine rings have been converted to the etheno derivatives [cf. Lee & Wetmur (1973)]. In a trial run, ssDNA was treated with chloroacetaldehyde overnight. The slightly yellow product isolated, assumed to be 100% modified, showed  $\lambda_{min}$  247 nm and OD<sub>270</sub>/OD<sub>260</sub> = 1.03. The corresponding numbers for the starting material are 230 nm and 0.83. By interpolation, the  $\epsilon DNA$  used in our experiments is 82% or 70% modified, if the changes described depend linearly on the extent of modification.

Poly( $\epsilon$ A) was similarly prepared from poly(rA). Its spectroscopic properties were in excellent agreement with those reported, and its concentration was determined as described elsewhere (Ledneva et al., 1978).

### Methods

All fluorescence experiments were performed with a Perkin-Elmer MPF-44B instrument operated in the ratio mode, generally with  $\lambda_{\rm ex}=300$  nm and  $\lambda_{\rm em}=400$  nm. A cuvette of approximately 1.5-mL capacity was positioned in a thermostated cell holder; it was illuminated over a 0.4-cm path length. The absorbance of the solutions employed was almost always <0.02, but at the very end of some titrations, it rose to ~0.025.

All experiments were performed at 25 °C and, except when noted, in standard buffer, consisting of 20 mM Tris-HCl, pH 7.5, containing 10 mM MgCl<sub>2</sub> and 1 mM dithiothreitol. A typical run involved the addition of small volumes of the reactants to 1.0 mL of standard buffer. Solutions were mixed by gently inverting the stoppered cell several times. recA protein was handled either with plastic apparatus or with glassware that had been previously treated with dimethyldichlorosilane. However, Hamilton syringes used for the addition of recA protein in some titration experiments were merely rinsed with the recA protein solution prior to use.

Miscellaneous Points. (1) The fluorescence titrations for determining the stoichiometry for the binding of ATP $\gamma$ S to the recA- $\epsilon$ DNA complex were performed on 200  $\mu$ L of solution in a cuvette of  $\sim 300$ - $\mu$ L capacity. The spectrophotometer was set at  $\lambda_{\rm ex}=310$  nm and  $\lambda_{\rm em}=450$  nm. (2) ATPase experiments, performed with,  $[\gamma^{-32}P]$ ATP (Amersham), determined the rate of release of radioactivity that was not adsorbed to activated charcoal. (3) In some experiments reported here and other unpublished ones, the order of mixing of reagents significantly affects what is observed. We have specified the order followed where that is a consideration. (4) All polynucleotide concentrations are reported as nucleotide residues.

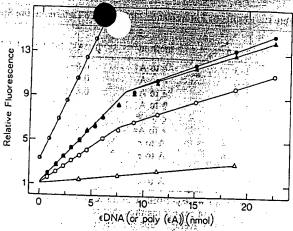


FIGURE 1: Titration of 1.24 nmol of recA protein at 25 °C in standard buffer. Aliquots of 750  $\mu$ M eDNA solution were added to approximately 1 mL of the recA protein solution which also held 930  $\mu$ M ATP ( $\bullet$ ), 140  $\mu$ M ATP $\gamma$ S ( $\Delta$ ), or no NTP (O). The two controls illustrate the addition of eDNA to 1.0 nmol of  $\alpha$ -chymotrypsin in the presence of 140  $\mu$ M ATP $\gamma$ S ( $\Delta$ ) and the attempted titration of 0.6 nmol of recA protein with poly( $\epsilon$ A) ( $\bullet$ ). The latter curve has been rescaled in order to fit it on the plot. It shows no change in slope in the region of 3.7 nmol of poly( $\epsilon$ A).

### Results

Two critical observations lie at the heart of all that follows. First, addition of recA protein to a solution of  $\epsilon$ DNA greatly enhances the fluorescence of  $\epsilon$ DNA at 400 nm. For example, the fluorescence yield from a mixture of 0.6  $\mu$ M recA protein with 6.4  $\mu$ M  $\epsilon$ DNA in standard buffer at 25 °C is  $\sim$ 80% higher than the sum of the separated individual components. The complex responsible for this enhanced fluorescence will be designated as the recA- $\epsilon$ DNA complex. Second, addition of saturating concentrations of ATP ( $\geq$ 500  $\mu$ M) or ATP  $\gamma$ S ( $\geq$ 30  $\mu$ M) to the solution of recA- $\epsilon$ DNA complex formed in the preceding experiment causes a further substantial rise in fluorescence. Under any particular set of conditions, rises in fluorescence for the two NTP's are identical. The experiments described below examine the utility of these fluorescence changes for exploring the behavior of recA protein.

Fluorescence Titrations. The expectation that recA protein binds strongly to \$\epsilon DNA\$ suggests performing fluorescence titrations to define accurately the number of nucleotides covered by a recA monomer (Toulmé & Hélène, 1980). Two procedures are possible, for \$\epsilon DNA\$ can be added to recA protein, or vice versa. Since a titration may be performed in the presence of saturating concentrations of ATP or ATP\$\gamma\$ or in the absence of any NTP, a total of six kinds of titrations may be attempted. All have been tried. Figures 1 and 2 give one example of each kind and illustrate a few control experiments. The difference in slope between the initial and final segments of each titration is greater in Figure 2 than it is for the corresponding titration in Figure 1 because the contribution of the fluorescence at 400 nm from excess recA protein, which the final portion of Figure 2 reflects, is so modest.

The equivalence point for each titration was obtained by determining the point of intersection of the least-squares straight lines passed through its initial and terminal phases. Table I summarizes the results obtained.

Binding of ATP and ATP $\gamma$ S to the recA- $\epsilon$ DNA Complex. As previously stated, addition of a high concentration of ATP or ATP $\gamma$ S to a solution of the recA- $\epsilon$ DNA complex affords a substantial fluorescence enhancement. Figure 3 graphically demonstrates this effect for ATP. Saturating concentrations of the two nucleotides produce the same enhancement, within experimental error.

|           | run            | method b                           | [recal, (M) ] [EDNA]; (M) [W[ATP], (mM)    |  | nucleoudes per                 |
|-----------|----------------|------------------------------------|--|--|--------------------------------|
| Mini      | 1-3            | € to A                             | 0.6-12-03-1-1700UC 3-1-1-0.93-1.4          | [ATP <sub>γ</sub> S] <sub>0</sub> (μM) | recA monomer c                 |
|           | 7-9            | ε to A<br>ε to A                   | 0.6-1.2 0.93-1.4                           |  | 15.7 ± 0.1<br>6.0 ± 0.1 noull. |
| Kia.      | 1-9<br>10d     | · ε to <b>A</b>                    |  | 60-140                                 | 6.3 ± 0.1 00 1 1 1 1           |
|           | 11 d           | € to A<br>€ to A                   | 0.6  | 60                                     | 6.0 ± 0.2                      |
|           | 12-14<br>15-16 | A to $\epsilon$<br>A to $\epsilon$ | 3.7-7.4                                    | 35                                     | 6.4 ± 0.1                      |
|           | 17-21 e        |                                    | 3.7-7.4<br>3.7-7.4<br>1.4-7.4<br>0.78-1.85 | å0                                     | 7.7 10.1                       |
| `a All ex | 12-21          | A to e                             | Maria Maria                                | 30–140                                 | 8.9 ± 0.2<br>8.3 ± 0.3         |

all experiments were performed at 25 °C in standard buffer. Small aliquots of either 750 μM εDNA or 124 μM recA protein were added to ~1 mL of solution containing the other reagents at the stated concentrations. be to A signifies addition of εDNA; A to ε, addition of from which these data derive. Standard errors are also given at Runs 10 and 11 employed a second (190 μM) and third (122 μM) batch of εDNA were titrated with 24.8 μM recA protein.

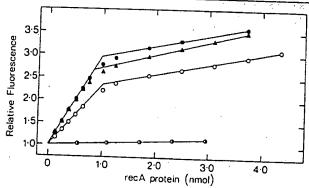


FIGURE 2: Titration of 7.5 nmol of  $\epsilon$ DNA at 25 °C in standard buffer. Aliquots of 124  $\mu$ M recA protein solution were added to about 1 mL of the  $\epsilon$ DNA solution in the presence or absence of NTP. The symbols ( $\bullet$ ), ( $\blacktriangle$ ), and (O) have the same meaning as in Figure 1. The control ( $\bullet$ ) describes the addition of recA protein to a solution holding 4.4 nmol of poly( $\epsilon$ A) and 57  $\mu$ M ATP $\gamma$ S. It shows no detectable change in slope. The slope is so slight because the added recA protein barely perturbs the intensity of the highly fluorescent poly( $\epsilon$ A) solution.

These factors give the titration curves in Figures 1 and 2 their characteristic forms. In each figure, the two titrations that include an NTP give a sharper change near the equivalence point than does the one without. The initial rise in fluorescence for the latter reflects solely the fluorescence enhancement associated with recA-eDNA complex formation. With the other two, we see this enhancement reinforced by the contribution from the conversion of that complex into what is most conveniently designated an ATP-recA-cDNA or ATPγS-recA-εDNA complex. Furthermore, the titration curves in the presence of ATP and ATP $\gamma S$  are nearly superimposable in Figure 1 and match closely in Figure 2. The following two qualitative observations on the nature of the NTP-recA-εDNA complexes are worth noting: (1) addition of ADP to a solution of recA-€DNA complex results in a slight decrease in the measured fluorescence; (2) addition of either NTP to a solution of recA-cDNA complex does not affect the measured intensity if Ca2+ is substituted for Mg2+ in the standard buffer or if no divalent cation is present.

When less than a saturating concentration of ATP (25-60  $\mu$ M) is mixed with preformed recA- $\epsilon$ DNA complex, the measured fluorescence changes in a characteristic way. As Figure 3 shows, it rapidly rises to a maximum value, remains constant for 0.5-3 min, and then commences a slow descent. If allowed to proceed long enough, the runs show a final fluorescence that generally lies slightly below the original level. The difference between the highest value reached and the original one,  $\Delta F$ , is greater when a larger ATP concentration

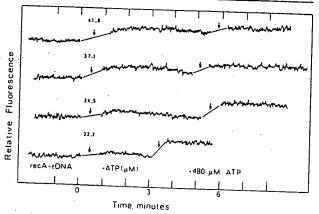


FIGURE 3: Fluorescence changes that characterize the binding of ATP to the recA- $\epsilon$ DNA complex. The extreme left of each run represents the fluorescence of 7.4  $\mu$ M  $\epsilon$ DNA plus 0.61  $\mu$ M recA protein in standard buffer (this was the same for each run, but the traces have been displaced vertically). At the first arrow, [ATP] was increased to the level shown; at the second, it was increased to >480  $\mu$ M. Traces of actual recordings obtained are shown, but the straight segments represent periods when the pen returned to zero as a reagent was added (the same holds for Figure 6). The final fluorescence intensity average was 1.16  $\pm$  0.01 relative to the initial value for 13 runs in this experiment.

is introduced. The observations suggest a method for determining the apparent dissociation constant, K, and the degree of cooperativity characterizing the ability of ATP to convert the recA- $\epsilon$ DNA complex into the ATP-recA- $\epsilon$ DNA one.

The experiments are best done by preparing a stock solution containing recA protein and  $\epsilon$ DNA at the desired concentrations. The following three-step procedure is used for each data point (see Figure 3): (1) record the fluorescence of 1.0 mL of the stock; (2) add 0.5-5  $\mu$ L of a relatively dilute ATP solution and record the time-dependent fluorescence change, thus determining  $\Delta F$ ; and (3) after the measured fluorescence has peaked, add 5-10  $\mu$ L of a second ATP solution that is sufficiently concentrated to convert all the recA- $\epsilon$ DNA complex into ATP-recA- $\epsilon$ DNA. The difference between the final, constant fluorescence and that in step 1 defines  $\Delta F_m$ .

Since the effect of [ATP] upon the ATPase activity of the recA-ssDNA complex has been treated successfully in terms of the Hill equation (Weinstock et al., 1981b), the same approach has been attempted for the fluorescence experiments. The data for each set of runs have been plotted according to the appropriate form of the Hill equation:

$$\log \left[\Delta F/(\Delta F_{\rm m} - \Delta F)\right] = h \log \left[ATP\right] - \log K \quad (1)$$

As Figure 4 illustrates, each plot displays satisfactory linearity.

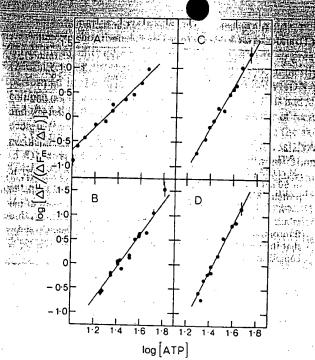


FIGURE 4: Binding of ATP to the recA- $\epsilon$ DNA complex under standard conditions. The data are plotted according to the Hill equation (eq 1) with [ATP] values expressed in micromolar: (A) 1.2  $\mu$ M recA protein, [ $\epsilon$ DNA]/[recA] = 3.1; (B-D) 0.61  $\mu$ M recA protein and [ $\epsilon$ DNA]/[recA] = 6.1, 12, and 18, respectively.

Table II: Cooperative Binding of ATP and ATPγS to recA-εDNA Complexes<sup>a</sup>

| . | [recA]<br>(μM)   | [eDNA]/<br>[recA] | [NTP] <sub>ο</sub><br>(μΜ) | h b     | K <sub>app</sub> <sup>b</sup> (μM) |
|---|------------------|-------------------|----------------------------|---------|------------------------------------|
|   | 1.2°             | 3 .               | 10-46                      | 2.4     | 20                                 |
|   | 0.6°             | 6                 | 19-66                      | 3.3     | 26                                 |
|   | 0.6°             | 12                | 19-54                      | 4.3     | 28                                 |
|   | 0.6°             | 18                | 20-48                      | 4.9     | 27                                 |
|   | 0.6 <sup>d</sup> | 12                | 20-49                      | 2.5     | 33                                 |
|   | 0.8e             | 27                | 14-50                      | 3.3     | 18                                 |
|   | $1.2^{f}$        | 3                 | 0.3-1.2                    | 3.3-4.2 | 0.4-0.6                            |
|   | 0.5              | 18                | 0.2-1.1                    | 3.3-3.4 | 0.4-0.5                            |

a Performed under standard conditions as described in the text. b h is the slope of the Hill equation plot (or its kinetic equivalent), and  $K_{\rm app}$  is the [NTP] required for half-saturation. c In these ATP binding experiments, no correction to [ATP] was made for the minor hydrolysis that occurred during the time required for the measured fluorescence to reach its maximum. The average value for  $K_{\rm app}$  is  $25 \pm 2 \,\mu{\rm M}$ . d This ATPase run with εDNA gave  $V_{\rm m} = 3.7 \pm 0.1$  M min for  $480-750 \,\mu{\rm M}$  ATP, so  $k_{\rm cat} = 6.3$  min. The Hill plot consisted of six points, encompassed a range for  $V/V_{\rm m}$  of 0.2-0.75, and showed a correlation coefficient of 0.991. For this φX174 ssDNA promoted ATPase at pH 8.1,  $30 \, {\rm ^{o}C}$ ,  $k_{\rm cat} = 7 \, {\rm min^{-1}}$  (Weinstock et al., 1981b). Analysis of these ATPγS binding experiments is described in the text. We have indicated the range of possible values for h and  $K_{\rm app}$ .

Table II demonstrates that the four derived values for  $K_{\rm app}$ , the value of [ATP] required for  $\Delta F = \Delta F_m/2$ , are in good agreement. The magnitude of the Hill coefficient establishes that ATP converts recA- $\epsilon$ DNA into ATP-recA- $\epsilon$ DNA in a highly cooperative process under all conditions examined. The degree of cooperativity rises as the ratio  $[\epsilon DNA]/[{\rm recA}]$  is increased, but the difference between the h values for the two runs at excess  $\epsilon$ DNA is barely significant. It is not experimentally feasible to increase the  $[\epsilon DNA]$  further.

The recA- $\epsilon$ DNA complex is an effective ATPase under conditions nearly identical with those employed for one set of binding runs. The two experiments afford reasonably similar values for h and  $K_{app}$  (Table II; comparable ATPase data from

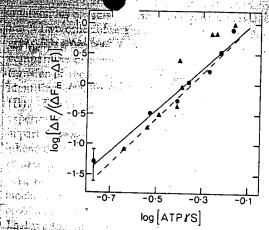


FIGURE 5: Binding of ATP $\gamma$ S to the recA- $\epsilon$ DNA complex under standard conditions. The data are plotted according to the Hill equation (eq 1) with [ATP $\gamma$ S] values expressed in micromolar. The broken line is the least-squares straight line through the lowest five ( $\triangle$ ) points. The experiment employed 1.2  $\mu$ M recA protein and 3.7  $\mu$ M  $\epsilon$ DNA. The solid line is the least-squares straight line through all ( $\bigcirc$ ) points. That experiment involved 0.5  $\mu$ M recA protein and 8.9  $\mu$ M  $\epsilon$ DNA. The analysis, explained in more detail in the text, assumed that ATP $\gamma$ S binds to the recA- $\epsilon$ DNA complex with a stoichiometry of 1:1.

the literature are also shown).

The method described for evaluating the binding of ATP to the recA- $\epsilon$ DNA complex has also been applied to ATP $\gamma$ S. Complexes between ATP  $\gamma S$  and recA-ssDNA have figured prominently in previous work with recA protein, primarily because they are readily captured in filter-binding assays (Weinstock et al., 1981c). The high stability of the ATP $\gamma$ SrecA-€DNA complex has proven a liability in the fluorescence investigations of ATP $\gamma$ S binding. Analysis of the experimental data requires making allowance for the substantial fraction of the added ATP $\gamma S$  that is bound to recA protein. Furthermore, experiments with excess recA protein witness a reproducible discontinuity in fluorescence at [ATP $\gamma$ S]  $\simeq 0.8$  $\mu$ M [Figure 5 at log [ATP $\gamma$ S] = -0.4; the point shown at log  $[\Delta F/(\Delta F_{\rm m} - \Delta F)] = 0.39$  represents three identical determinations] when the solution slowly grows perceptibly hazy. This is one of two instances where enzyme aggregation has caused a problem. It apparently does not affect the value for  $\Delta F_{\rm m}$  . The measured  $\Delta F_{\rm m}$  for the hazy solution is identical with that for a clear solution obtained by saturating the pure recA- $\epsilon$ DNA complex with a single addition of ATP $\gamma$ S. As a result of these difficulties, the  $\bar{A}TP\gamma S$  binding data are not as reliable as those for ATP. Table II lists the range of values for h and  $K_{app}$  that is compatible with our experiments and Figure 5 displays the Hill equation plots. The entries in Table II summarize several alternative analyses of the data acquired. We can assert confidently that ATPγS converts recA-εDNA to  $ATP\gamma S\text{--rec}A\text{--}\epsilon DNA$  in a highly cooperative process that shows a  $K_{\rm app} \simeq 0.5 \, \mu \rm M$ . This value of  $K_{\rm app}$  agrees with the value of  $K_i = 0.6 \mu M$  obtained from ATP $\gamma$ S inhibition of the ATPase reaction (Weinstock et al., 1981c).

The tight binding of ATP $\gamma$ S to the recA- $\epsilon$ DNA complex presents the opportunity of determining the stoichiometry of that binding by fluorescence titration. Meeting the requirement that [recA- $\epsilon$ DNA] greatly exceed the dissociation constant for the ATP $\gamma$ S complex proved difficult. Enzyme aggregation foiled our efforts to use 17  $\mu$ M recA protein ([ $\epsilon$ DNA]/[recA] = 7.6). Solutions of 4.92  $\mu$ M recA protein ([ $\epsilon$ DNA]/[recA] = 13.7) appeared to remain homogeneous. Triplicate determinations give [ATP $\gamma$ S]/[recA- $\epsilon$ DNA] = 0.78  $\pm$  0.04. Various other procedures have given values of 0.5-1.7 for this stoichiometry (Weinstock et al., 1981c).

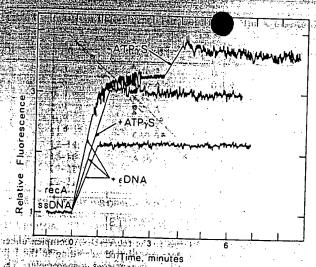


FIGURE 6: Demonstration of the transfer of recA protein from ssDNA to  $\epsilon$ DNA. The extreme left records the fluorescence of a solution containing  $0.6 \,\mu\text{M}$  recA protein plus  $80 \,\mu\text{M}$  ssDNA. The lowest run also held  $30 \,\mu\text{M}$  ATP $\gamma\text{S}$ . At the designated point,  $[\epsilon\text{DNA}]$  was increased to  $3.6 \,\mu\text{M}$ , and, after  $\sim 10 \, \text{s}$  (middle trace) or 3 min (upper trace),  $[\text{ATP}\gamma\text{S}]$  was raised to  $30 \,\mu\text{M}$ . A fast pen response was initially used in the latter in order to demonstrate the occurrence of a detectable time-dependent rise in fluorescence.

Detection of the Transfer of recA Protein between Polynucleotides. If  $\epsilon$ DNA is added to recA protein or vice versa, the measured fluorescence reaches its final value by the time observation begins,  $\sim 20$  s after mixing. That is not the case if  $\epsilon$ DNA is added to a solution containing recA protein plus ssDNA. When 3.7  $\mu$ M  $\epsilon$ DNA is added to a mixture of 0.6  $\mu$ M recA with 80  $\mu$ M ssDNA, the last 20–25% of a rapid rise in fluorescence is seen (note the upper curve of Figure 6). The ultimate value, attained within 2 min of mixing, is identical with that found when the same concentrations of recA protein and  $\epsilon$ DNA are combined. Addition of 80  $\mu$ M ssDNA to the latter solution fails to affect its fluorescence significantly.

A large number of such experiments have been performed. We shall focus on typical observations that best illuminate the properties of the various recA-єDNA complexes with which we have been concerned or that mostly clearly indicate the potential utility of fluorescent єDNA for investigating protein-nucleic acid interactions. We are currently attempting to acquire more thermodynamic and kinetic data for some of the processes described with the aid of conventional and stopped-flow fluorometers.

Only one interpretation of the fluorescence change seen when  $\epsilon$ DNA is added to the solution of the recA-ssDNA complex warrants consideration. We are detecting the relatively slow transfer of recA protein from ssDNA to  $\epsilon$ DNA, possibly by a dissociation mechanism. The rate of transfer is too fast to measure accurately with the Perkin-Elmer fluorometer. Since under the specified conditions transfer is more than half complete by the time recording commences, the half-life for the process is considerably less than 30 s. The corresponding value for k, >1.4 min<sup>-1</sup> (Table III), represents a conservative lower limit. The ability of 3.7  $\mu$ M  $\epsilon$ DNA to strip recA protein from 80  $\mu$ M ssDNA so effectively proves, moreover, that recA protein preferentially binds to the former polynucleotide.

A simple experiment, illustrated in Figure 6, confirms that recA protein transfers from ssDNA to εDNA in the experiment described. It relies upon the fact that a high concentration of ATPγS "freezes" recA protein to the polynucleotide upon which it resides for the few minutes required to record a stable fluorescence intensity (Weinstock et al., 1981c, and

Table III: Apparent Fils Rate Transfer of recA-Protein from a Po

Rate Constants Governing the e Polynucleotide to Another

| The state of the property of the state of th | Treaction 10 10 NTP kc (min-1) |                                      |  |  |  |  |
|--|--------------------------------|--------------------------------------|--|--|--|--|
|  |                                | ∴k <sup>c</sup> (min <sup>-1</sup> ) |  |  |  |  |
| reca-eDNAH poly(dT)  | none                           | >6 <sup>d</sup>                      |  |  |  |  |
|  | ATP                            | 0.13                                 |  |  |  |  |
| recA-ssDNA + eDNA b  | ΑΙΡγ                           | < 0.002                              |  |  |  |  |
| ICCH-SSDINA + EDINA  | none                           | >1.4 <sup>a</sup>                    |  |  |  |  |
|  | ATP                            | 0.3-1                                |  |  |  |  |
|  | $ATP_{\gamma}S$                | ≤0.007                               |  |  |  |  |

These three experiments employed 0.6 μM recA protein, 3.7 μM eDNA, 25 μM poly(dT), and, where appropriate, 770 μM ATP or 60 μM ATP'S. These three experiments utilized 0.6 μM recA protein, 80 μM ssDNA, 3.7 μM eDNA, and, where appropriate, 960 μM ATP or 25 μM ATP'S. The text explains the origins of the tabulated values of & d. Preliminary results with the stopped-flow fluorometer indicate these rate constants exceed 20 min<sup>-1</sup> and that the kinetic processes governing these transfers are reasonably complicated.

below). The crucial experiment is performed by adding  $\epsilon DNA$  to a solution of recA-ssDNA in the cuvette, inverting the cuvette twice, adding ATP $\gamma$ S ~10 s after the addition of  $\epsilon DNA$ , mixing, and recording the final fluorescence intensity. The measured value of 518 exceeds that obtained (365) when ATP $\gamma$ S is introduced prior to the addition of  $\epsilon DNA$ , which fixes recA protein to ssDNA. It lies below that measured (650) if ATP $\gamma$ S addition is delayed until 3 min after the addition of  $\epsilon DNA$ , when transfer of recA protein to  $\epsilon DNA$  is complete. When the addition of ATP $\gamma$ S occurred ~25 s after that of  $\epsilon DNA$ , the final fluorescence intensity was 605 (not shown in Figure 6).

The fluorescence experiments confirm the extreme inertness of the ATP $\gamma$ S-recA-ssDNA complex (Weinstock et al., 1981c). Note, for example, the relatively constant fluorescence intensity characterizing the lowest curve in Figure 6. The rate of transfer of recA protein to  $\epsilon$ DNA from this complex is too slow to be evaluated accurately by the fluorescence technique. We have estimated the rate as follows. When recA protein, ssDNA, and ATP $\gamma$ S (0.6, 80, and 25  $\mu$ M, respectively) are mixed and 3.7  $\mu M$   $\epsilon DNA$  is added, the initial instantaneous rise in fluorescence associated with the introduction of  $\epsilon DNA$ is followed by a very slow subsequent rise. Let us assume that the latter corresponds to the formation of  $ATP\gamma S$ -recAeDNA and that eventually all the recA protein would be converted to that complex under these conditions. The measured increase in fluorescence after 46 min corresponds to  $\sim$ 25% of the total expected rise. The half-life for the transfer is certainly  $\geq$  100 min, corresponding to  $k \leq$  0.007 min<sup>-1</sup> (Table III). Weinstock et al. (1981c) report a comparable half-life for the exchange of ATP  $\gamma S$  in the ATP  $\gamma S$ -recA-ssDNA complex at 37 °C and pH 7.5.

recA protein complexes incorporating ATP are of the most biochemical interest. Consider what happens in the experiment just described when 960  $\mu M$  ATP is substituted for ATP $\gamma S$ . The instantaneous rise in fluorescence attendant upon the addition of eDNA is followed by a further modestly rapid increase. The final fluorescence intensity, reached after 15-20 min, is identical (within experimental error) with that obtained for a solution holding just ATP, recA protein, and ¿DNA at the same concentrations. A first-order plot of the kinetic data is biphasic, corresponding to an initial slope of 1 min-1 and a final one of 0.3 min-1 (Table III). There are two important points: (1) the ATP-recA-ssDNA complex transfers recA protein more rapidly than does the corresponding ATP $\gamma S$ complex but less rapidly than does recA-ssDNA; and (2) recA protein binds more strongly to eDNA than to ssDNA, in the presence of ATP.

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interactions of rece

biochemically relevant insights into the behavior of recA protein and other proteins that interact strongly with ssDNA. The experiments performed thus far with recA protein (1) define the stoichiometry governing its binding to eDNA under various conditions, (2) quantify the binding of ATP to the recA-εDNA complex, (3) quantify the binding and stoichiometry of the binding of ATP $\gamma$ S to the recA- $\epsilon$ DNA complex, and (4) provide initial estimates on the rate at which recA protein transfers between polynucleotides. Our discussion will focus on the titration experiments and on the data bearing on the properties of the ATP-recA-eDNA complex. Stoichiometry for the Binding of recA Protein to  $\epsilon DNA$ . The fluorescence titration procedures described offer a convenient empirical method for standardizing recA protein solutions. The experiments may be performed rapidly (15-20 min per run) and yield highly reproducible equivalence points,

ilar. Two other obser ations corroborate the point DNA and ssDNA support the ATPase activity of recA protein with

comparable efficiency (Table II) sand the relative ease of transfer of recA protein from the three kinds of complexes

identified is comparable for the two polynucleotides (Table

III). The enhanced affinity of EDNA for recA protein is

experimentally advantageous. We believe it derives, at least

in part, from the disrupted secondary structure of eDNA. The

etheno derivatives that characterize ¿DNA cannot participate

In brief, fluorescence studies with ¿DNA should provide

in base pairing. It is the find while the con-

Earlier experiments of various kinds have indicated that each recA protein monomer binds to 4-10 nucleotides of ssDNA [e.g., see Craig & Roberts (1980) and McEntee et al. (1981b)]. Our determinations all lie within that range. Nevertheless, the order of addition of the reagents appears to affect the titration results significantly, and it is instructive to examine why this may be so. The stoichiometry values obtained by adding ¿DNA to recA protein are more readily interpreted. The procedure maximizes the likelihood that recA protein fully coats eDNA throughout the titration, since it is in excess until the equivalence point. The good agreement among the results from runs 1-9 confirms this expectation. The conclusion that each recA monomer covers  $6.0 \pm 0.3$ nucleotides of  $\epsilon DNA$  probably represents the best available estimate for the stoichiometry of binding between the protein and single-stranded polynucleotides.

which are readily estimated by eye to  $\pm 5\%$  under the specified conditions. Table I summarizes our results and displays ti-

tration data for two other batches of recA protein (runs 10

Titrations 12-21, where recA protein is added to ¿DNA, give larger values for the ratio [cDNA]/[recA] at the equivalence point. We think these experiments overestimate the ability of recA protein to cover cDNA. The fluorescent regions of  $\epsilon DNA$  cannot be homogeneously distributed, since the adenosine residues are not so distributed and the modification reaction with chloroacetaldehyde may not act randomly [cf. Ledneva et al. (1978)]. The finding that recA protein binds far more strongly to ¿DNA than it does to ssDNA suggests that recA protein may bind preferentially to the modified regions of the  $\epsilon DNA$  strands. Should this be so, when  $\epsilon DNA$ is in excess, recA protein will afford a fluorescence enhancement that overestimates the total degree of coverage of εDNA. This describes exactly the situation that pertains at the early stages of titration 12-21, when recA protein is added to  $\epsilon DNA$ .

The fact that the extent of overestimation is greatest for runs 17-21, which includes ATP<sub>\gammaS</sub>, accords with the preceding

Complementary experiments have Complementary experiments have reformed in which recA-protein distributes itselfabetween. Navand poly(dT). Since the affinity of recA-protein for poly(dT) is so great (McEntee et al 4 1981 a)) these experiments have been mostly performed in the following way. The desired recA-(DNA complex is prepared; a high concentration of poly(dT) is added, and the fall in fluorescence characterizing the transfer of recA protein from eDNA!to-poly(dT) is recorded. Unlike the experiments involving the transfer of recA protein from ssDNA to εDNA, it is here possible to assume that the concentration of the polynucleotide acceptor, poly(dT), remains constant during a run : If 1:5-3:6 \( \alpha M\) poly(dT) is added to a mixture of 0.3 μM recA protein with 0.9 μM cDNA, we see the very end of a time-dependent fall in fluorescence. However, under the standard conditions specified in Table III with [poly(dT)]0 = 29  $\mu$ M, transfer is complete within 20 s (the half-life is certainly <7 s, corresponding to  $k > 8 \text{ min}^{-1}$ ). If 25  $\mu\text{M}$ ATPγS is added before the poly(dT), under the same conditions <8% of the expected fall in fluorescence occurs in 37 min  $(k < 0.002 \text{ min}^{-1})$ . Most interesting is the transfer of recA protein in the presence of high [ATP]. Under standard conditions, the reaction obeyed the first-order rate law to better than three half-lives,  $k = 0.13 \text{ min}^{-1}$ . Indeed, five runs, incorporating a range of concentrations, gave excellent first-order plots and identical rate constants [average  $k = 0.13 \pm 0.01$ min<sup>-1</sup> for 0.6-1.8  $\mu$ M recA protein, 3.7-40  $\mu$ M  $\epsilon$ DNA, and 12-29  $\mu$ M poly(dT)]. When the recA- $\epsilon$ DNA complex is mixed with a saturating concentration of ATP in the absence of poly(dT), the fall in fluorescence is negligible during the period required for these kinetic measurements (note the final traces in Figure 3).

### Discussion

The assumption that the fluorescence data described under Results reveal the existence of recA- $\epsilon$ DNA, ATP $\gamma$ S-recA-€DNA, and ATP-recA-€DNA complexes renders those data readily understandable. It provides a theoretical interpretation that is consistent with previous studies on the interactions of recA protein with ssDNA. Filter-binding assays have captured recA-ssDNA and ATPγS-recA-ssDNA complexes, while an ATP-recA-ssDNA complex must be implicated in the ssDNA-promoted ATPase activity of recA protein (McEntee et al., 1981a; Weinstock et al., 1981a). Additional telling fluorescence observations are the following: (1) complexes between recA protein and poly( $\epsilon$ A) are not detectable (controls in Figures 1 and 2); (2) if Ca2+ is substituted for Mg2+ or if no divalent cation is present in the standard buffer, the fluorescence enhancement attributed to the conversion of the  $recA - \epsilon DNA$  complex into the ATP-recA-  $\epsilon DNA$  ones is not seen; (3) addition of ADP to a solution of the recA-eDNA complex causes the fluorescence intensity to fall slightly; and (4) the fluorescence data imply that the recA-ssDNA and recA-eDNA complexes rapidly transfer recA protein to suitable acceptor polynucleotides while for the corresponding ATP $\gamma S$  complexes those transfers are extremely slow. All four points find close analogy in earlier work, employing other techniques (Cotterill et al., 1982; McEntee et al., 1981a; Weinstock et al., 1981a): (1) recA protein binds poorly to poly(rA); (2) filter-binding assays fail to detect an ATPγSrecA-ssDNA complex in the absence of a divalent cation (Ca2+ affords that complex in reduced yield but does not support ATPase activity); (3) ADP appears to promote the dissociation of recA protein from ssDNA; and (4) filter-binding assays establish that the recA-ssDNA complex is highly mobile while the corresponding ATP<sub>\gammaS</sub> one is extremely inert.

These several arguments justify the conclusion that the

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explanation. Recall that recA protein binds essentially irreversibly to £DNA in the presence of ATP7S in Runs 17-21 should therefore most clearly reveal the initial preferred attachment of recA protein to the modified regions of £DNA, and they do. The high mobility of recA protein in the simple recA-£DNA complex affords the protein ample opportunity to reposition itself on the £DNA strands during the course of titrations 12-14. This repositioning reduces the extent to which those runs overestimate the ability of recA-protein to cover £DNA. Perhaps fortuitously, the ATP-recA-£DNA complex exhibits both intermediate mobility and an intermediate degree of overestimation in runs 15-16.

ATP-recA- $\epsilon$ DNA Complex. ATP converts recA- $\epsilon$ DNA into ATP-recA- $\epsilon$ DNA in a highly cooperative process under all conditions examined (Table II). The values for h and  $K_{app}$  obtained from the Hill equation are very close to those obtained for the  $\epsilon$ DNA- and ssDNA-stimulated ATPase reactions. It is gratifying that such different techniques are in good agreement, for the critical fluorescence observations are completed when the ATPase reaction has barely commenced. This agreement reinforces our conviction that we are justified in ascribing the fluorescence change seen, when ATP is added to the recA- $\epsilon$ DNA complex, to the formation of an ATP-recA- $\epsilon$ DNA complex.

We do not yet know what that fluorescence change represents, at a molecular level. Preliminary studies establish the feasibility of examining the binding of ATP to the recA-€DNA complex with a stopped-flow fluorometer. They indicate that it will not be easy to establish a quantitative link between the fluorescence and ATPase data. The measured fluorescence intensity in the ATP binding runs clearly does not depend solely upon the instantaneous ATP concentration. The fluorescence intensity noticeably rises initially (Figure 3), but ATP is converted to ADP without a detectable lag (Weinstock et al., 1981a). Qualitatively, the fall in fluorescence seen after the peak value is reached (Figure 3) coincides with falling [ATP]. Quantitatively, the ATPase rate estimated fluorometrically (via the appropriate Hill plot) consistently exceeds that measured conventionally by at least 2-fold.

What do the fluorescence experiments contribute to the question of the role of ATP in the recA protein system? It is helpful to start by contrasting the behavior of ATP and ATP $\gamma$ S. Both readily form ternary ssDNA complexes, but ATP is cleaved some 5000 times more rapidly (Craig & Roberts, 1981) and apparently binds to recA- $\epsilon$ DNA  $\sim$ 50 times less tightly. The following highly schematic equation (where NTP-recA- $\epsilon$ DNA symbolizes the complex detected in the fluorometer and responsible for the cleavage reactions) suggests one possible way to reconcile these facts:

NTP + recA-
$$\epsilon$$
DNA  $\stackrel{K}{\rightleftharpoons}$  NTP, recA- $\epsilon$ DNA  $\stackrel{k_1}{\rightleftharpoons}$ 

$$NTP-recA-\epsilon DNA \xrightarrow{k_3} NDP + P_i + recA-\epsilon DNA$$

For ATP $\gamma$ S,  $k_2 \gg k_3$  and binding experiments afford a true equilibrium dissociation constant,  $K(k_2/k_1)$ . For ATP,  $k_3 \gg k_2$ . The  $k_3$  route offers a relatively rapid pathway for breakdown of the critical ATP complex. ATP binding experiments thus measure an apparent dissociation constant for ATP,  $K(k_3/k_1)$ , that significantly exceeds its true dissociation

Constant from ATP-recA-tuNAttanta rations pro-TiThe data in Table III provide the first firm evidence that the ATP-recA-eDNA and ATP-recA-ssDNA complexes release recA protein far more rapidly than do the corresponding complexes with ATP \( \text{perhaps} \) (perhaps the ATP complexes have escaped detection in filter-binding experiments because of this lability). It is thus tempting to link that release to the cleavage of ATP in the  $k_3$  step of the above equation. However, if the two processes are coupled, the coupling is quite inefficient under our experimental conditions. The rate constant of 0.13 min-1 for the transfer of recA protein from cDNA to poly(dT) in the presence of ATP is far smaller than the ATPase turnover number of 6.3 min-1. The discrepancy with unmodified ssDNA is less but still substantial. The primary function of the ATPase activity may well lie elsewhere (Cox & Lehman, 1981; Weinstock et al., 1981a).

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Guanosine Triphosphate and Guanosine Diphosphate as Conformation-Determining Molecules. Differential Interaction of a Fluorescent Probe with the Guanosine Nucleotide Complexes of Bacterial Elongation Factor Tut

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ABSTRACT: Tritium exchange studies have recently provided evidence that conformational differences between EFTu-GTP and EFTu-GDP may account for the differential binding of AA-tRNA by EFTu-GTP (Printz, M. P., and Miller, D. L. (1973), Biochem. Biophys. Res. Commun. 53, 149). These conformational differences have been further characterized by studying the interaction of the fluorescent dye 1-anilino-8naphthalenesulfonate with EFTu-GTP and EFTu-GDP. EFTu-GTP enhances the fluorescence of 1-anilino-8-naphthalenesulfonate to a greater extent than does EFTu-GDP. When EFTu-GTP is complexed with Phe-tRNA, however, its interaction with 1-anilino-8-naphthalenesulfonate increases the fluorescence of the dye only as much as EFTu-GDP does. Titration of a solution of the dye with excess protein shows that both EFTu-GTP and EFTu-GDP produce the same fluorescence enhancement, about 200-fold, for the tightest bound dye. Equilibrium dialysis binding measurements indi-

cate that EFTu-GTP binds three molecules of the sulfonate dye with an apparent  $K_{\rm diss} \simeq 2 \times 10^{-5} \, \rm M$ , whereas EFTu-GDP binds two molecules with an apparent  $K_{\rm diss} \simeq$  5-8 imes10-5 м. Both complexes have at least one other population of more weakly bound dyes. It would appear from these data that differences in conformation between EFTu-GTP and EFTu-GDP are centered chiefly in a region of EFTu-GTP sensitive to AA-tRNA binding. However, further analysis of the fluorescence data indicates that somewhat more extensive conformational differences exist between the two nucleotide complexes of EFTu. Slope changes in the curve of the titration of 1-anilino-8-naphthalenesulfonate by EFTu-GTP and in Scatchard plots of the fluorescence data indicate cooperativity in the fluorescence yield and thus interaction of the dye binding sites on EFTu-GTP. EFTu-GDP gives no evidence of site interaction.

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ucleoside triphosphates perform three distinct functions in, L., Donova in organisms. They may be reagents or intermediates in

> † From the Roche Institute of Molecular Biology, Nutley, New Jersey <sup>07</sup>110. Received August 17, 1973.

the synthesis or degradation of cellular components, where the formation of a phosphate ester intermediate is a favorable pathway for removing or adding the elements of water. The synthesis and degradation of glycogen are examples of this function. In contrast to these reactions, nucleoside triphosphates also promote cellular processes where phosphate ester formation seems to play no obligatory role. Among the examples of this function are motile and contractile processes. A third and possibly related function of these compounds is to control biochemical reactions, as CTP regulates the activity of aspartate transcarbamoylase.

The role of GTP in the binding of aminoacyl-tRNA (AAtRNA)¹ to ribosomes bears a resemblance both to the function of ATP in motile systems and to the regulatory role of CTP. In the process of protein biosynthesis in prokaryotes, GTP promotes the binding of AA-tRNA to ribosomes in the presence of mRNA and elongation factor Tu (EFTu).² Aminoacyl-tRNA and EFTu-GTP readily form the ternary complex AA-tRNA-EFTu-GTP (Weissbach et al., 1970), which interacts with the mRNA-ribosome complex. As a result of this interaction, AA-tRNA is bound to the ribosome, the GTP in the ternary complex is hydrolyzed, and EFTu-GDP, which does not bind to AA-tRNA, is released.

The EFTu-GDP complex is very stable, having a dissociation constant in the range of  $10^{-8}$ - $10^{-9}$  M, whereas EFTu-GTP is about 100-fold less stable. The EFTu-GDP complex dissociates very slowly by itself; however, another protein, EFTs, catalyzes the exchange of GTP for GDP, thus completing the cycle of reactions in the binding process.

The details of this function of EFTu remain undetermined. There is some evidence that it alters the structure of the ribosome (Chuang and Simpson, 1971). Other possibilities, at present unproved, are that the protein alters the structure of AA-tRNA, or provides additional binding sites for interaction of the ternary complex with the ribosome. Whatever the function of EFTu in peptide chain elongation, the specificity of its interactions seems to be determined by which guanosine nucleotide is bound to it. Thus, the dissociation constant for AA-tRNA from EFTu-GTP is  $10^{-8}$  M or less (Miller et al., 1973), whereas EFTu-GDP does not interact with AA-tRNA to a measurable extent; the dissociation constant of the hypothetical AA-tRNA-Tu-GDP complex must be greater than  $10^{-4}$  M.

We have postulated that this difference in reactivity is due to conformational differences between EFTu-GTP and EFTu-GDP. A previous study of tritium exchange rates provided evidence to support this concept (Printz and Miller, 1973). Tritiated EFTu-GTP exchanged some of its peptide bond hydrogens considerably more rapidly than EFTu-GDP did, and at certain times in the exchange process EFTu-GDP possessed about 50% more unexchanged hydrogens than EFTu-GTP, suggesting that GDP induces a tightening of at least a portion of the tertiary structure of EFTu. In an effort to localize the conformational differences between the two complexes, and to relate them to their differential interaction with AA-tRNA, we have examined the binding of a fluorescent dye, 1-anilino-8-naphthalenesulfonate, to the complexes of EFTu. Although this molecule has been shown to interact with a large number of proteins, the number of fluorescent binding sites per protein is usually small (Stryer, 1965; Daniel and Weber, 1966: Brand, 1970). Furthermore, the fluorescence yield of the resulting protein-dye complex depends markedly upon the properties of the dye binding site, and is thought to increase with the hydrophobicity of the binding site (Brand and Gohlke, 1972).

### Materials and Methods

Preparation of EFTu Complexes. GDP, GTP, and dithiothreitol were obtained from Calbiochem. Methylenediphosphonic acid was a product of Miles Laboratories. GMP-PCP was prepared by the morpholidate method (Moffatt and Khorana, 1961) and was crystallized as the disodium salt. The disodium salt of 1-anilino-8-naphthalenesulfonate, obtained from K & K Laboratories, was converted to the mag. nesium salt, treated with Norite, and recrystallized from water (Stryer, 1965). The molar extinction coefficient of the purified material was found to be 4.9 × 103 at 350 nm. Thin-layer chromatography revealed a single fluorescent component, Purified Phe-tRNA from Escherichia coli (1600 pmol/A<sub>266</sub>) was prepared from a tRNA mixture (Schwarz-Mann) by benzoylated DEAE-cellulose chromatography (Gillam and Tener, 1971). The preparation of homogeneous EFTu as the EFTu-GDP complex has been described elsewhere (Miller and Weissbach, 1970, 1973). The EFTu-GMP-PCP complex was prepared by passing EFTu-GDP (10 mg) through a 90 X 15 cm column of Bio-Gel P-4 polyacrylamide gel equilibrated with 50 mm Tris-HCl (pH 8.0), 0.1 mm EDTA, 1 mm dithiothreitol, and 1 mm GMP-PCP. One pass through the column removed 80-90% of the GDP, whereas two passes removed 95-97% of the GDP.

To ensure that small differences in the protein preparations did not influence the results, both EFTu-GDP and EFTu-GTP were formed from a common intermediate, EFTu-GMP-PCP, by adding a small excess of the appropriate nucleotide. Since GMP-PCP is relatively loosely bound to EFTu, it is readily displaced by GTP or GDP. The extent of conversion of EFTu-GMP-PCP to EFTu-GTP or EFTu-GDP was determined by a Millipore filter assay using the appropriate tritium-labeled nucleotide.

Fluorescence Measurements. All fluorescence measurements were made at  $4^{\circ}$  using an Aminco-Bowman spectrophoto-fluorometer with a ratio attachment. The instrument was routinely standardized with a solution of quinine sulfate (12 ppb) in  $0.1 \text{ N} \text{ H}_2\text{SO}_4$ . The excitation and emission spectra of all the complexes tested were found to be very similar, and an excitation wavelength of 350 nm and an emission wavelength of 470 nm were used for all titrations (the emission maxima for the complexes were approximately 480 nm, but the lower wavelength was used to minimize fluorescence of the free dye in aqueous solution).

All titrations were performed in 0.5-cm cuvets in a buffer of 50 mm Tris-10 mm MgCl<sub>2</sub>-1 mm dithiothreitol, (pH 7.4). The solution to be titrated (250 µl) was added to the cuvet, the fluorescence was measured, and then microliter increments of titrant were added. After each addition of titrant the solution was stirred with a polyethylene rod and the fluorescence was remeasured after it arrived at a constant value (in titrations of the protein-nucleotide complexes the final fluorescence was achieved immediately; when Phe-tRNA was being added to quench fluorescence, final readings were taken after approximately 2 min).

Three types of titrations were performed: (1) titrations one of the EFTu complexes with 1-anilino-8-naphthalens sulfonate (the sulfonate dye) to determine the extent of fluored cence enhancement; for these titrations the complex with present in the buffer solution at an initial concentration of  $1.0^{\circ}$  M in the presence of a threefold excess of nucleotide; which is sulfonate solution used for titration was usually  $2 \times 10^{-1}$  in sulfonate dye; for titrations in the early region of the curvel titrant solution of  $2 \times 10^{-4}$  M sulfonate dye was used;  $4^{\circ}$ 

<sup>&</sup>lt;sup>1</sup> The following abbreviations are used: AA- (or Phe-) tRNA, aminoacyl- (or phenylalanyl-) tRNA; EFTu, EFTs, and EFG, elongation factors Tu, Ts, and G; GMP-PCP, guanylyl methylene diphosphonate.

factors Tu, Ts, and G; GMP-PCP, guanylyl methylene diphosphonate.

For a review on the process of peptide chain elongation, see Lucas-Lenard and Lipmann (1971).

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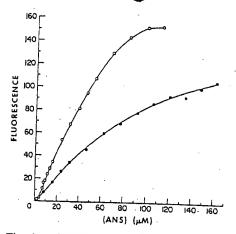


FIGURE 1: Titration of EFTu-GTP and EFTu-GDP by 1-anilino-8-naphthalenesulfonate (ANS): (O) EFTu-GTP; (•) EFTu-GDP. Fluorescence is in arbitrary units.

titration of the sulfonate with an EFTu complex to determine the fluorescence yield of the most tightly bound dye and its affinity for the protein; the dye was present in the buffer solution at an initial concentration of  $2\times 10^{-6}$  M, to which increments of EFTu complex were added from a solution containing  $1\times 10^{-4}$  M protein and  $3\times 10^{-4}$  M nucleotide; (3) titration of a dye–EFTu complex solution with Phe-tRNA to measure its quenching effect; a titration in the early region of the curve was performed as described in 1, followed by incremental additions of microliter amounts of a  $6\times 10^{-5}$  M solution of Phe-tRNA.

Blank corrections were made as follows. In all titrations corrections were made for dilution (which never exceeded 10%) and absorbance, which reached 0.25 at 350 nm at the highest concentration of dye used. In type 1 titrations correction was made for free dye by the method of Thompson and Yielding (1968). In type 2 titrations an additional correction was made for the contribution of the protein-complex solution to the fluorescence. Finally, the contribution of the Phe-tRNA solution to the measured fluorescence was also corrected for in type 3 titrations.

Equilibrium Dialysis. Equilibrium dialysis was performed at  $4^{\circ}$  in cells manufactured by Technilab Instruments. The usual buffer solution (0.5 ml) containing a range of 1-anilino-8-naphthalenesulfonate concentrations ( $4\times10^{-5}$  to  $1\times10^{-1}$  M) were placed in both chambers of the dialysis cells. A fixed protein–nucleotide complex concentration of  $3\times10^{-5}$ – $1.0\times10^{-4}$  M was included in the solution on one side of each cell. After 5 hr, a time at which control experiments showed dialysis to be complete, the optical density at 350 nm of each chamber was measured, and the value was corrected for the protein contribution. The solutions on both sides of the cell contained an amount of GDP or GTP three times the protein concentration.

### Results

Fluorescence of Dye-Tu-GDP and Dye-Tu-GTP. The conformational difference between the two complexes first observed by tritium exchange studies was reflected also in their interaction with the sulfonate dye. The titration curves obtained by adding small increments of a 1-anilino-8-naphthal-enesulfonate solution to either EFTu-GDP or EFTu-GTP are shown in Figure 1. Upon addition of dye to either complex, the wavelength of maximum emission shifted from approximately 530 nm (dye in aqueous solution) to 480 nm, similar to the dye in ethanol. Although the excitation and

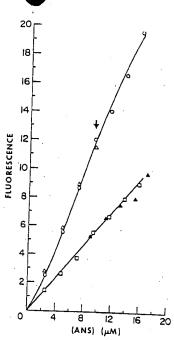


FIGURE 2: Effect of Phe-tRNA upon the fluorescence of dye-EFTu-GTP; comparison with dye-EFTu-GDP. At the point in the titration of EFTu-GTP by 1-anilino-8-naphthalenesulfonate (ANS) marked by an arrow, an equimolar amount of Phe-tRNA was added to the EFTu-GTP solution being titrated: (O) control titration of EFTu-GTP by 1-anilino-8-naphthalenesulfonate (ANS); no Phe-tRNA added during titration; (\(\Delta\)) titration of EFU-GTP before addition of Phe-tRNA; (\(\Delta\)) control titration of EFTu-GTP after addition of Phe-tRNA; (\(\Delta\)) control titration of EFTu-GDP, no Phe-tRNA added during titration.

emission spectra of the two dye-EFTu complexes were identical (data not shown), dye-EFTu-GDP consistently gave considerably less fluorescence than the dye-EFTu-GTP complex throughout the titration.

Effect of Phe-tRNA on the Fluorescence of Dye-EFTu-GTP and Dye-EFTu-GDP. In an attempt to determine whether this difference could be related to specific differences on the surface of EFTu-GTP and EFTu-GDP, we measured the effect of Phe-tRNA on the fluorescence of the protein-dye complexes. Figure 2 shows that a stoichiometric addition of Phe-tRNA to EFTu-GTP midway through sulfonate dye titration caused the fluorescence of the solution to decrease to a value corresponding to an identical concentration of EFTu-GDP. Further increments of dye produced a titration curve similar to the EFTu-GDP titration run as a control. In a parallel experiment, addition of the same amount of Phe-tRNA to EFTu-GDP produced no net change in fluorescence.

The specificity and extent of the fluorescence-diminishing effect of Phe-tRNA was tested by adding increments of PhetRNA to a solution of EFTu-GDP or EFTu-GTP pretitrated with 1-anilino-8-naphthalenesulfonate. As Figure 3A shows, a sharp decrease in fluorescence was observed with EFTu-GTP, which leveled off as the Phe-tRNA/(EFTu-GTP) ratio approached unity. As the Phe-tRNA/(EFTu-GTP) ratio was further increased, the fluorescence again decreased, leveling out a second time as the Phe-tRNA/(EFTu-GTP) ratio approached 3. The addition of Phe-tRNA to dye-EFTu-GDP (Figure 3A) caused only a gradual decrease in fluorescence at high concentrations of Phe-tRNA. Deacylated Phe-tRNA had no net effect upon the fluorescence of either dye-protein-nucleotide complex. It would seem that PhetRNA has two modes of interaction with EFTu, a specific stoichiometric interaction with the form of EFTu that binds GTP, and a nonspecific interaction that occurs at higher Phe-

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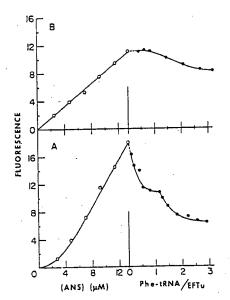


FIGURE 3: Ability of Phe-tRNA to quench fluorescence of dye-EFTu-GDP and dye-EFTu-GTP: (A) EFTu-GTP; (B) EFTu-GDP; (O) titration by 1-anilino-8-naphthalenesulfonate (ANS); (I) fluorescence of titrated solution after incremental additions of Phe-tRNA.

tRNA/EFTu ratios and differentiates much less strongly between the two forms of EFTu.

Quantitation of 1-Anilino-8-naphthalenesulfonate Binding. On the basis of the titration curves, it would appear that sulfonate binding is a sensitive indicator of the conformational differences between the two EFTu complexes that provides for the very selective binding of AA-tRNA by EFTu-GTP. In the absence of further quantitative information, however, it is not possible to conclude whether the conformational difference between the two forms of EFTu is confined to a local area directly involved in AA-tRNA binding, or if a major conformational difference exists with the identity of the EFTu-GDP and AA-tRNA-EFTu-GTP titration curves being a matter of coincidence.

Quantitation of the fluorescence results requires the fluorescence yield(s) of the bound dyes. If all of the bound dyes are approximately equivalent, the fluorescence yield can be obtained by titrating a solution of dye with excess protein (Weber and Young, 1964). Double reciprocal plots of such data are shown for the two forms of EFTu in Figures 4 and

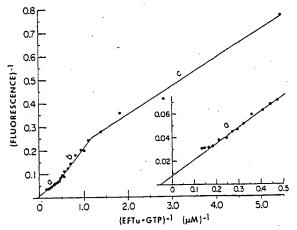


FIGURE 4: Double reciprocal plot of titration of 1-anilino-8-naph-thalenesulfonate (ANS) by EFTu-GTP;  $0.97 \times 10^{-4}$  M EFTu-GTP containing  $2 \times 10^{-6}$  M dye was added in small increments to  $2 \times 10^{-6}$  M dye.

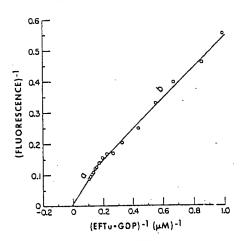


FIGURE 5: Double reciprocal plot of titration of 1-anilino-8-naphthalenesulfonate (ANS) by EFTu-GDP; 0.43 × 10<sup>-4</sup> M EFTu-GDP containing 2 × 10<sup>-6</sup> M dye was added in small increments to 2 × 10<sup>-6</sup> M dye.

5. Both curves show slope changes as the protein concentration increases. In the absence of other information, there are several possible explanations for this type of behavior. The biphasic nature of the EFTu-GDP curve could result from two populations of binding sites having the same fluorescence yield and differing affinities for the dye, or alternatively two populations differing in both characteristics. The EFTu-GTP curve is still more complex, having at least two slope changes in the binding region of interest. Some complexity was expected because of the sigmoidal nature of the early region of the is anilino-8-naphthalenesulfonate titration curve (Figure 1) This type of curve usually reflects cooperativity of binding and the increase in slope in Figure 4 designated as region bis consistent with this interpretation. However, the same type of behavior would be seen if the second population of dye binding sites had a higher fluorescence yield than the first.

Although no simple analysis can be made of regions b and c, in the region of large excess protein (region a) both reciprocal curves extrapolate to the same intercept and give a fluores cence yield for the most tightly bound dye molecule of 70 им in arbitrary units, about a 200-fold increase over the fluorescence of 1-anilino-8-naphthalenesulfonate alone in aqueous solution at 470 nm. The apparent dissociation constants determined from the respective reciprocal plots differhowever, extrapolation to the 1/[EFTu] intercept yields  $K_{\rm diss} = 1.7 \times 10^{-5}$  м for EFTu-GTP and  $8.0 \times 10^{-5}$  м for EFTu-GDP. When these fluorescence yield values are used to treat the titration data of the two forms of EFTu by the method of Scatchard et al. (1956), the curves shown in Figure 6 result. These curves are subject to the same difficulties in interpretation as the double reciprocal plots. The convernature of the curves is to be expected if cooperativity exist among the 1-anilino-8-naphthalenesulfonate binding sites as analyzed and discussed by Cassman and King (1972) However, the same type of curve could result from varying fluorescence yields.

Because of the apparent complexities of the fluorescence binding data, equilibrium dialysis measurements were used to obtain binding information by an independent method, although the amount of EFTu complex required prohibited the extensive use of this technique. The results from these experiments for both forms of EFTu are shown in Figure 7. EFTure GDP binds a large number of 1-anilino-8-naphthalenesulfor nate molecules very weakly. Clearly differentiated from the sites are the two tight-binding sites with an apparent  $K_{\rm diss}$ .

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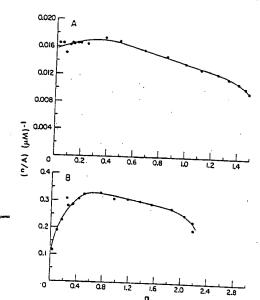


FIGURE 6: Scatchard plots, fluorescence data of I-anilino-8-naphthalenesulfonate (ANS) titration of EFTu-GTP and EFTu-GDP: n, number of moles of dye bound per mole of EFTu, calculated on the basis of a micromolar fluorescence yield of 70: A, concentration of free dye; (A) EFTu-GDP; (B) EFTu-GTP.

 $4.7 \times 10^{-6}$  M. Equilibrium dialysis of dye-EFTu-GTP yields three dye molecules bound tightly ( $K_{\rm diss}=1.9 \times 10^{-6}$  M) and at least two additional molecules bound much less tightly.

Interaction of EFTs with EFTu-GDP and EFTu-GTP. The function of EFTs seems to be to facilitate the replacement of GDP with GTP on EFTu by first displacing GDP, forming EFTu-EFTs; EFTs is then displaced by GTP. In vitro the EFTu-EFTs complex can be formed from either EFTu-GDP or EFTu-GTP. It was of interest to determine the nature of the EFTu-EFTs complex in terms of its ability to bind l-anilino-8-naphthalenesulfonate. When EFTs was added to EFTu-GDP and the solution titrated with dye (Figure 8) the resulting titration curve was equal to the sum of the individual EFTu-GDP and EFTs titration curves, indicating no net effect of EFTs upon the dye-binding properties of EFTu-GDP. When EFTs was added to a partially titrated solution of EFTu-GTP, the net fluorescence of the complex dropped, and further titration produced a curve roughly superimposable upon a control EFTu-GDP titration curve, as would be required by the thermodynamics of the system. To the extent that 1-anilino-8-naphthalenesulfonate binding is an indication of conformation, EFTu in EFTu-EFTs is similar to that form which binds GDP

That EFTs diminishes the fluorescence of dye-EFTu-GTP is required by the previous observations that the fluorescence of dye-EFTu-GTP is greater than that of dye-EFTu-GDP, and EFTs does not alter the fluorescence of dye-EFTu-GDP; therefore, these observations constitute a test of the consistency of the system. That EFTu in the EFTu-EFTs complex resembles EFTu-GDP rather than EFTu-GTP might have been expected, since EFTu when bound to EFTs should be in a form that does not bind AA-tRNA. Furthermore, displacement of GDP by EFTs would be facilitated if little or no conformational change were involved.

### Discussion 1

Interaction of Dye with EFTu-GDP. The equilibrium dialysis that and the fluorescence data can be rationalized if one as-

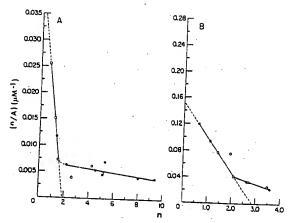


FIGURE 7: Scatchard plots, equilibrium dialysis of EFTu-GDP and EFTu-GTP with 1-anilino-8-naphthalenesulfonate (ANS); n, number of moles of dye bound per mole of EFTu, calculated on the basis of a molar extinction coefficient of 4.9 × 10<sup>3</sup>: A, free dye; (A) EFTu-GDP; (O) [EFTu-GDP] = 9.9 × 10<sup>-6</sup> m; (●) [EFTu-GDP] = 7.9 × 10<sup>-6</sup> m. (B) EFTu-GTP; (O) [EFTu-GTP] = 3.0 × 10<sup>-6</sup> m; (●) [EFTu-GTP] = 2.9 × 10<sup>-6</sup> m.

sumes a difference in fluorescence yield between the two populations of binding sites. Thus, there are two sites of  $K_{\rm dias} = 5-8 \times 10^{-5}$  m with micromolar fluorescence yield of 70, corresponding to region a of the double reciprocal plot, and a very large number of other sites, corresponding to region b, which both bind and fluoresce much more weakly. The convex nature of the fluorescence Scatchard plot could arise from the fact that a constant, high fluorescence yield was used to calculate "n" whereas a decreasing, composite value of the fluorescence yield would be more valid.

Interaction of Dye with EFTu-GTP. The equilibrium dialysis data indicate that there are three equivalent dyes bound tightly ( $K_{\rm diss}=1.9\times10^{-5}\,\rm M$ ) plus at least one other population of less tightly bound dyes. The fluorescence data indicate that the second dye molecule that interacts with EFTu-GTP has, in effect, a higher fluorescence yield than the first dye. In order to satisfy both the equilibrium dialysis data for site equivalency and the fluorescence data for site difference, it is necessary to postulate a kind of cooperativity of fluorescence, such that regardless which site is first occupied, giving rise to

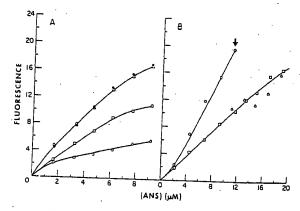


FIGURE 8: Effect of EFTs on the fluorescence of dye-EFTu-GDP and dye-EFTu-GTP: (A) titration of EFTu-GDP by dye in the presence and absence of EFTs: (D) EFTu-GDP, 1.3 × 10<sup>-6</sup> M; (O) EFTs, 2 × 10<sup>-6</sup> M (control curve); (Δ) summation of previous two curves; (•) EFTu-EFTs (EFTu-GDP and EFTs were combined prior to titration at the same concentrations as when titrated separately). (B) Effect of EFTs on the titration curve of dye-EFTu-GTP. At arrow, the solution being titrated was made 2 × 10<sup>-6</sup> M in EFTs: (O) EFTu-GTP; (D) EFTu-GDP (control curve); (Δ) EFTu-GTP after addition of EFTs and correction for EFTs enhancement of fluorescence.

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region a of Figure 4, the second and third sites will have an apparent higher fluorescence yield. This would result in the slope increase seen in region b of the double reciprocal plot and in the fluorescence Scatchard plot. The final decrease in slope of region c of Figure 4 would be due to the decreased fluorescence yield of the more weakly bound dyes indicated somewhat incompletely in the equilibrium dialysis data.

Conformational Differences between EFTu-GTP and EFTu-GDP as Evidenced by 1-Anilino-8-naphthalenesulfonate Binding. Although binding of AA-tRNA appears to cancel the difference between the two complexes in terms of their overall interaction with 1-anilino-8-naphthalenesulfonate, it is not possible to conclude that their conformational difference is localized to the AA-tRNA binding site. The observed differences in dissociation constant and fluorescence yield of the bound dye molecules could, however, be due to small perturbations in basically similar sites. Indeed, circular dichroic (CD) studies of EFTu-GDP and EFTu-GTP show no detectable differences in conformation (data not shown).

The assumption throughout this work has been that differential binding of the sulfonate by the two complexes truly reflected differences in conformation. The other possibility, that differential binding is due to selective binding to the nucleotides, is highly unlikely. Both complexes show a high and identical specificity for guanosine; close analogs such as the di- and triphosphates of inosine and xanthosine show no affinity for EFTu. Thus, the guanosine moiety is probably bound to the protein and is unavailable for interaction with the dye in both complexes. The additional phosphate moiety of GTP is also not likely to cause enhanced binding of the anion 1-anilino-8-naphthalenesulfonate.

Function of EFTu. Whereas the results from these experiments and the tritium exchange studies support the view that GTP induces EFTu to assume a conformation that selectively binds AA-tRNA, little is known about subsequent functions of EFTu-GTP. Whether the interaction of EFTu-GTP with AA-tRNA causes a significant alteration in the structure of the tRNA is uncertain. Nmr studies of the base-pair hydrogen bonds in AA-tRNA show that interaction with EFTu-GTP does not change the extent of base pairing in tRNA (C. Hilbert et al., submitted for publication); however, changes in the tertiary structure of AA-tRNA are still possible. Although details of the reaction of the ternary complex with the ribosome remain unclear, it appears that the conformational change accompanying the hydrolysis of GTP to GDP allows EFTu to be removed from the ribosome, freeing the aminoacyl group for peptide bond formation. GDP is then displaced by EFTs with no apparent conformation change in EFTu; the cycle of reactions is complete when GTP interacts with the EFTu-EFTs complex to change the conformation of EFTu into its AA-tRNA binding form.

EFTu-GTP as a Model for the Function of Nucleoside Polyphosphates. The role of GTP in the function of EFTu resembles the role of other nucleoside polyphosphates in the function of motile protein systems and allosteric enzymes. As examples, GTP is an essential effector for CTP synthetase when glutamine is the nitrogen donor (Levitzki and Koshland, 1972) and CTP is an allosteric inhibitor of aspartate transcarbamoylase. These effects are thought to be transmitted to the active site by conformational changes induced by the allosteric ligand; however, other explanations must be considered. In the case of aspartate transcarbamoylase, CTP may inhibit the enzyme by a steric effect rather than a conformational alteration (Warren et al., 1973). The validity of this proposal can be tested; however, it is unlikely that this hy-

pothesis can be extended to explain allosteric acceleration. Fluorescence studies have previously identified conformational changes induced by nucleotide allosteric effectors. In a study of the binding of 1-anilino-8-naphthalenesulfonate to phosphofructokinase, the allosteric effector AMP greatly decreased the fluorescence of the dye-phosphofructokinase complex.

Nevertheless, these enzymes are usually multisubunit complexes, and sometimes, as is true of aspartate transcarbamylase, the regulatory and catalytic sites are on different types of subunits. These properties complicate studies of conformational changes. Similarly, the proteins involved in motility, such as actomyosin, the dynein-tubulin complex of cilia, and the EFG-ribosome-mRNA complex are multiprotein aggregates where the primary function of ATP of GTP is extremely difficult to identify, although there is evidence that the triphosphates induce different conformational states than the diphosphates do (Schaub and Watterson, 1973; Cheung, 1969; Werber et al., 1972).

Motile systems could be related to allosteric systems in that both processes could be initiated by a conformational change induced by a nucleoside triphosphate (Hill, 1969). The former process could be reversed by the dissociation of the inducer, whereas the motile system could be rendered undirectional and irreversible by hydrolysis of the inducer to gether with additional interactions between the components after the hydrolytic step. This idea becomes more attractive with the demonstration that a nucleoside triphosphate can promote a significant conformational change upon binding to a relatively small, simple protein of one polypeptide chain.

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### Kinetic Properties of Phenylalanyl-tRNA and Seryl-tRNA Synthetases for Normal Substrates and Fluorescent Analogs

Harry S. Hertz and Hans G. Zachau

Institut für Physiologische Chemie und Physikalische Biochemie der Universität München

(Received March 28, 1973)

The kinetics of phenylalanyl-tRNA and seryl-tRNA formation were investigated with tRNAs and aminoacyl-tRNA synthetases from yeast.

Phenylalanyl-tRNA synthetase yielded linear Lineweaver-Burk plots with tRNA Phe, phenylalanine, and  $1,N^6$ -ethenoadenosine triphosphate ( $\varepsilon$ ATP) as variable substrates. According to equilibrium dialysis in the absence or presence of phenylalaninyl adenosine 5'-phosphate, phenylalanyl-tRNA synthetase possesses one binding site for phenylalanine. For ATP as variable substrate, the deviation from linearity in the Lineweaver-Burk plot, observed by other investigators, was confirmed. The slope of the curve indicates the presence of more than two ATP binding sites.

Seryl-tRNA synthetase yielded a linear Lineweaver-Burk plot only with eATP as variable substrate. The Lineweaver-Burk plots for serine and tRNASer were non-linear; the interpretation we favor involves positive cooperativity between amino acid binding sites and between tRNA binding sites. Hill plots of the kinetic data showed that the enzyme possesses at least two binding sites for each of these substrates. The kinetic data for ATP could be interpreted as showing more than two binding sites with negative and positive cooperativity in binding of successive ATP

The aminoalkyl adenylates, phenylalaninyl adenosine 5'-phosphate and serinyl adenosine 5' phosphate, competitively inhibited the aminoacylation reaction with respect to amino acid.

EATP functions in place of ATP in phenylalanyl-tRNA and seryl-tRNA formation although with rather different kinetic properties. Modified tRNAPhe and tRNASer, in which the 3'-terminal adenosine was replaced by ethenoadenosine, were prepared by a C-C-A transferase-catalyzed reaction of eATP. These modified tRNAs show kinetic properties very similar to those of the unmodified tRNAs and can therefore be used, in place of the unmodified tRNAs, as fluorescent probes in synthetase-tRNA interaction studies.

The kinetic behavior of phenylalanyl-tRNA synthetase appears to be much simpler than that of seryl-tRNA synthetase, despite the fact that the former enzyme is twice as big and contains twice as many subunits as the latter one. The comparative simplicity of the one enzyme relative to the other correlates with previous results on interactions with substrates, which were obtained by fluorescence measurements and nuclease protection studies.

Dedicated to Professor A. Butenandt on the occasion of his 70th birthday.

Abbreviations.  $\varepsilon$ ATP, 3- $\beta$ -D-ribofuranosylimidazo[2,1-t]-purine 5 triphosphate, or 1, $N^{\varepsilon}$ -ethenoadenosine triphosphate phate; tRNA, tRNA which has its 3'-terminal adenosine replaced by ethenoadenosine; Phe-ol-pA, phenylalaninyl adenosine 5'-phosphate; Ser-ol-pA, serinyl adenosine 5'-

Enzymes. Phenylalanyl-tRNA synthetase (EC 6.1.1.-); seryl-tRNA synthetase (EC 6.1.1.11); C-C-A transferase or C.C.A pyrophosphorylase or nucleoside triphosphate: tRNA nucleotidyltransferase (EC 2.7.7.25); snake venom phosphodiesterase (EC 3.1.4.1).

Definitions.  $A_{250(280)}$  unit, the quantity of material contained in 1 ml of a solution which has an absorbance of 1 at 260 (280) nm, when measured in a cell with 1-cm pathlength; "Km", the apparent Michaelis constant obtained in and-linear Lineweaver-Burk plots by extrapolation of the data at high substrate concentration to the 1/[S] axis.

The mechanism and specificity of action of aminoacyl-tRNA synthetases are of considerable current interest (Summaries, e.g. [1,2]). In this laboratory we have concerned ourselves with the phenylalanyl $t RNA \, and \, seryl-t RNA \, synthetases \, from \, yeast. \, Phenyl-term \, yeast. \, Phe$ alanyl-tRNA synthetase is a tetramer of the  $\alpha_2\beta_2$ type, with a molecular weight of about 240 000 [3] and seryl-tRNA synthetase is a dimer with a molecular weight of about 120000 [4-6]. The two synthetases have already been the subject of rather extensive studies in this laboratory. The interactions between the synthetases and their substrates have been investigated by fluorescence methods [4,5,7]. Some information on the topology of the synthetase  $\cdot$  tRNA complexes was obtained by partial nuclease digestion

[8]. The regions of the tRNA molecules which are essential for aminoacylation by synthetases were defined by numerous methods, for example through studies of fragments of tRNAPhe [9] and tRNASer [10].

The purpose of the present investigation was to complement the interaction studies by a detailed examination of the aminoacylation kinetics of  $tRNA^{Ser}$  and  $tRNA^{Phe}$  and of fluorescent derivatives of these tRNAs. These derivatives,  $tRNA^{Ser}_{\epsilon A}$  and  $tRNA^{Phe}_{\epsilon A}$ , were prepared by a C-C-A transferase-catalyzed reaction of  $1,N^s$ -ethenoadenosine 5'-triphosphate ( $\epsilon ATP$ ), which had been synthesized according to Barrio et al. [11]. The comparison of the kinetic parameters of the fluorescent derivatives with those of the natural substrates is important for the evaluation of fluorometric binding studies.

## MATERIALS AND METHODS

## tRNAs and Enzymes

 $tRNA^{\rm Phe}$  and  $tRNA^{\rm Ser}$  were prepared from baker's and brewer's yeasts [12,13]. The acceptor activities of the tRNAs from baker's yeast are listed in Table 1. If not otherwise stated  $tRNA^{\rm Phe}$  and  $tRNA^{\rm Ser}$  from brewer's yeast were used. These tRNAs accepted in the standard aminoacylation assay [14] more than 1.1 nmol phenylalanine/ $A_{250}$  unit and 1.0 nmol serine/ $A_{250}$  unit, respectively.

Phenylalanyl-tRNA synthetase and seryl-tRNA synthetase from yeast were prepared in cooperation with R. Hirsch as described previously [3-5,15] (and R. Hirsch, unpublished work) and had the previously described properties [4,5]; some samples were generously provided by R. Hirsch. One  $A_{280}$  unit phenylalanyl-tRNA synthetase and seryl-tRNA synthetase were taken to be 1 mg and 0.32 mg, respectively. C-C-A transferase  $(0.026 \text{ units } [16]/A_{280}$  unit) was a gift from H. Overath and snake venom phosphodiesterase was obtained from Boehringer-Mannheim GmbH (Mannheim, W. Germany).

[14C]Phenylalanine and [14C]serine were products of the Radiochemical Centre (Amersham). Na<sub>2</sub>ATP was purchased from Papierwerke Waldhof-Aschaffenburg (Mannheim, W. Germany).

## Preparation of Aminoalkyl Adenylates

Phenylalaninyl adenosine 5'-phosphate (Phe-ol-pA, I) was prepared according to the method of Sandrin and Boissonnas [17].

Serinyl adenosine 5'-phosphate (Ser-ol-pA, II): the starting material, N-(t-butoxycarbonyl)-O-(t-butyl)-serine dicyclohexylammonium salt was prepared by F. Drees and E. Wünsch through acylation of O-(t-butyl)-serine [18] and was kindly donated. 2.2 g (5 mmol) of this compound was converted to the free acid [19] and esterified with diazomethane.

Table 1. Amino-acid acceptance of baker's yeast tRNAs. Amino acid incorporation was at 37 °C, for 20 min with purified synthetases, otherwise as previously described [14]. 0.1 mU C-C-A transferase was used per 0.1 ml incubation mixture

| Substance<br>tested  | C-C-A<br>transferase | Incorporation of amino acid per $A_{240}$ unit | Incorporation |
|----------------------|----------------------|--|---------------|
|                      |                      | */•  | 1.            |
| ${ m tRNA}^{ m Phe}$ | +                    | 950  | 100           |
| tRNAPne              | · <u>-</u>           | 25   | 2.6           |
| tRNAPhe              | _                    | 900  | 95            |
| tRNASer              | +                    | 1180   | 100           |
| tRNASer              | <u>-</u>             | 20   | 1.5           |
| tRNAser              |                      | 840  | 71.5          |

0.54 g (2.0 mmol) of the ester was dissolved in 30 mil absolute tetrahydrofuran and 2.5 g (>0.1 mol) LiBH, was added with constant stirring and outer cooling (similar to [20]). The reaction mixture was heated for 24 h at 75-80 °C under reflux conditions with exclusion of moisture. After cooling, 20 ml of a water. saturated n-butanol solution were added and the mixture stirred until gas evolution ceased. The precipitate was filtered and boiled three times with 30 mg n-butanol. The combined solutions were concentrated to dryness, the residual oil dissolved in 20 ml ether and extracted three times with 10 ml water each The ether solution was then dried over anhydrous sodium sulfate and evaporated to dryness to yield  $0.358~\mathrm{g}$  (1.45 mmol) of N-(t-butoxycarbonyl)- $heta_3^4$ (t-butyl)-serinol. The structure of this compound and the previous ester were confirmed by mass spectrometry.

The serinol derivative was further reacted with  $N,O^{2'},O^{3'}$ -triacetyladenosine-5'-phosphate [21] according to the procedure of Sandrin and Boissonna [17], to yield 0.126 g (0.22 mmol) N-(t-butoxycarbo nyl)-O-(t-butyl)-serinyl adenosine 5'-phosphate. The oily product was homogeneous on silica-gel thing layer chromatography using acetone—water (8.2 v/v) as the solvent system (detection by ultraviolety and periodate-benzidine [22]).

The protecting groups were removed by allowing the product to react 5 min at room temperature in 1 ml trifluoroacetic acid and then evaporating to dryness on a rotary evaporator. Thin-layer chromatography using the same conditions as above revealed three major spots, of which only the one with the lowest  $R_F$  value (0.25) was both ninhydrin and period date-benzidine positive. 5.4 mg (0.013 mmol) of the compound were isolated by kieselgel column chromatography, using acetone—water (8:2, v/v) as the eluent.

To confirm the structure of the Ser-ol-pA, 0.31  $A_{260}$  units in 0.1 M sodium cacodylate buffer pH 1.31 containing 10 mM MgCl<sub>2</sub> were digested with 6.4 units [23] snake venom phosphodiesterase for 45 min 1.31

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buffer pH 7.8 with 6.4 unit for 45 min a 37 °C and then applied directly to two silica-gel thin-layer plates, which were run in an acetone— $H_2O$  (8:2, v/v) and a methanol—water—acetic acid (18:2:0.1, v/v/v) system, respectively. The chromatograms revealed cleavage of the product to serinol and adenosine 5'-phosphate, as shown by comparison with authentic samples.

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## εATP, tRNA,

eATP was prepared according to the procedure of Barrio et al. [11] with the exception that chloroacetaldehyde hemihydrate (Schuchardt, München, W. Germany) was used as starting material. For the preparation of tRNA  $_{\epsilon A}^{\rm Phe}$  and tRNA  $_{\epsilon A}^{\rm Ser}$  50  $A_{280}$ units of each of these tRNAs isolated from baker's yeast (i.e. tRNAs lacking the 3'terminal AMP) were incubated with 8.6 mU [16] C-C-A transferase for 30 min at 37 °C. Each reaction was carried out in 8 ml of a 12 mM eATP, 18 mM NH<sub>4</sub>Cl, 18 mM MgCl<sub>2</sub>, and 30 mM Tris-HCl solution, adjusted to pH 7 with NaOH. After the 30-min reaction time, the mixture was diluted with an equal volume of water and loaded on a DEAE-Sephadex column ( $0.6 \times 10$  cm) previously equilibrated with the following buffer: 20 mM sodium acetate, 12.5 mM MgCl<sub>2</sub>, 0.3 M NaCl, pH 5.2. The column was washed with the buffer until the absorbance at 260 nm returned to baseline level and then eluted with buffer containing 1 M NaCl in order to obtain the tRNA Phe or tRNA Ser.

## Aminoacylation Kinetics

Initial velocity values at each concentration of a variable substrate were based on time curves of 6-7 points per concentration. The kinetic experiments were performed at 24 °C and reactions were started by addition of enzyme to a solution containing the substrates. For each data point on a time curve 50 or  $100~\mu l$  of incubation mixture were withdrawn and pipetted into cold  $5^{\,0}/_{0}$  trichloroacetic acid. The precipitate was filtered on glass fiber filters and the filters prepared for counting as previously described [14]. Times and enzyme concentrations were chosen such that one was always operating in the range of linear rate dependence (between 2 and 7 min maxi-

mum). The thus-determined initial velocity values were then plotted as a function of substrate concentration in Lineweaver-Burk plots [24].

Kinetic studies with phenylalanyl-tRNA synthetase (0.12-0.17 μg/ml incubation mixture) were performed in 50 mM Tris-HCl pH 7.5, 15 mM MgCl<sub>2</sub>, 0.3 μM bovine serum albumin and 4 mM glutathione (reduced). Unless otherwise specified, substrate concentrations were as follows: 5 mM ATP, 38-50 μM phenylalanine, and 4 μM tRNAPhe.

Kinetic studies with seryl-tRNA synthetase  $(0.42-0.85~\mu g/ml)$  incubation mixture) were performed in 50 mM Tris-HCl pH 7.5, 0.3  $\mu$ M bovine serum albumin, 4 mM glutathione (reduced) and 100 mM KCl. Unless otherwise specified, substrate concentrations were as follows: 25 mM ATP, 30 mM MgCl<sub>2</sub>, 44-60 or 220  $\mu$ M serine, and 4 or 16  $\mu$ M tRNASer.

## Equilibrium-Dialysis Experiments

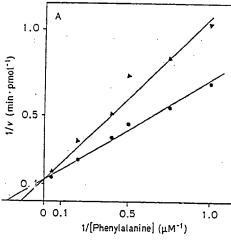
Equilibrium dialyses were carried out at 4 °C in a lucite cell. Both chambers were 100 μl in size. Visking dialysis membranes, which had been boiled in water and soaked in the dialysis buffer, were employed. The buffer was 50 mM Tris-HCl pH 7.5, 5 mM MgCl<sub>2</sub>, 0.5-mM dithiothreitol and 100 mM KCl. Each chamber was filled with 50 μl of solution, one containing the aminoacyl-tRNA synthetase and cognate tRNA and the other the <sup>14</sup>C-labeled substrate being investigated.

Dialyses ran for 5—18 h, times which were previously shown to be sufficient for complete equilibration. At each of two time points three 5-µl samples from the chambers were pipetted into vials containing 5 ml of scintillation fluid (4 g Omnifluor dissolved in 1 l of 1:3 mixture of Triton X-100/toluene) and counted in a Packard Tri-Carb liquid scintillation spectrometer.

### RESULTS

## tRNA LA

tRNA<sub>e</sub><sup>ser</sup> and tRNA<sub>e</sub><sup>phe</sup> were prepared from the corresponding baker's yeast tRNAs. According to aminoacylation experiments (Table 1), more than 95% of the tRNA molecules lacked the 3'-terminal AMP. Cytosine was completely present according to incorporation experiments (not shown). tRNA<sub>e</sub><sup>phe</sup> can be aminoacylated to the same extent as tRNA<sup>phe</sup> from baker's yeast, to which the terminal AMP has been added during the aminoacylation reaction. tRNA<sub>e</sub><sup>ser</sup> accepted approximately 70% as much serine as the adenosine-containing tRNA<sup>ser</sup>. Experiments showed that the C-C-A transferase was not limiting in the incubation mixture; no attempts were made to obtain a tRNA<sub>e</sub><sup>ser</sup> preparation with full amino acid acceptor activity.



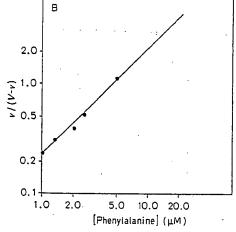


Fig. 1. Determination of kinetic parameters for Phe-tRNAPhe formation with phenylalanine as the variable substrate. (A) Determination of V and  $K_m$  for phenylalanine ( $\bullet$ ), and the inhibitory effect of Phe-ol-pA on the aminoacylation

reaction (A—A). For the inhibition studies 0.1 µM Phe-ol-pA was present. (B) Determination of the order of the reaction with respect to phenylalanine, according to the empirical Hill equation (see text)

Table 2. Results of kinetic experiments with phenylalanyl-tRNA synthetase

For details of the conditions see Methods. For each substance the concentration range tested is specified. For Phe-ol-pA studies, a fixed concentration of the inhibitor was used, and amino acid concentration was varied as in the experiments without the inhibitor. n values are the interaction constants obtained from Hill plots (see text)

|                            |  |                 |                | TIOM AIM PIOR | s (see rext)                     |
|----------------------------|--|-----------------|----------------|---------------|----------------------------------|
| Substance                  | Concentration                              | Km or "Km"      | K <sub>1</sub> | n             | V                                |
| -                          | μМ   | μМ              | μМ             |               | μmol·min-1·mg protein-1          |
| Phenylalanine<br>Phe-ol-pA | $\begin{array}{c} 1-25 \\ 0.1 \end{array}$ | 4.4             | 0.16           | 0.97          | varied between 0.26-0.57         |
| tRNAPhe<br>ATP             | 0.1 - 5 $50 - 10000$                       | 0.12<br>800     | 0.10           | 0.97          | depending on the batch of enzyme |
| tRNAPh•<br>£ATP            | 0.033 - 5 $200 - 10000$                    | $0.055 \\ 2220$ |                | 1.0<br>0.98   | 0.18<br>0.18                     |

The fluorescence emission spectra of  $tRNA_{\epsilon_A}^{ser}$  and  $tRNA_{\epsilon_A}^{phe}$  are the same as that of  $\epsilon ATP$  [11,25]. A corrected emission spectrum of  $tRNA_{\epsilon_A}^{ser}$  is presented elsewhere [7]. Results of kinetic experiments with the modified tRNAs are presented below.

## Kinetic Studies with Phenylalanyl-tRNA Synthetase

The kinetics of the formation of Phe-tRNA<sup>Phe</sup> were reasonably straightforward. Standard conditions were employed with no new effort to optimize them.  $K_{\rm m}$  and V values were obtained by the method of Lineweaver and Burk [24]. Interaction constants (n values) were determined from plots of reaction velocity vs substrate concentration according to the empirical Hill equation [26,27]. The results of the various experiments are summarized in Table 2.

Results of experiments with Phe-ol-pA (Fig.1A) show that it is a potent inhitibor ( $K_i = 0.16 \,\mu\text{M}$ ), which competitively inhibits binding of phenylalanine ( $K_m = 4.4 \,\mu\text{M}$ ) to its site on phenylalanyltRNA synthetase. A Hill plot (Fig.1B) of the

kinetic data for aminoacylation, with phenylalanine as the variable substrate, gives an *n* value of 0.97.

A comparison of the data for  $tRNA^{Phe}$  and  $tRNA^{Phe}_{\epsilon\Lambda}$  (Fig. 2) shows that  $tRNA^{Phe}_{\epsilon\Lambda}$  has both a lower  $K_m$  and leads to a lower V for the aminoacylation reaction than is observed with  $tRNA^{Phe}$ . Apparently the modified tRNA is bound somewhat tighter to the enzyme and is, under saturating conditions, aminoacylated somewhat slower than the unmodified tRNA.

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Kinetic experiments with ATP as limiting substrate (Fig. 3A) yielded linear Lineweaver-Burk plots in the concentration range 0.5—10 mM. Following unpublished results of Berther, Mayer and Dutler we then also observed a deviation from linearity at low ATP concentrations. For this non-linear reciprocal plot and for those obtained with seryl-tRNA synthetase (see below) we have introduced "K<sub>m</sub>" and defined it as the apparent Michaelis constant obtained by extrapolation of the data at high substrate concentration to the 1/[S] axis in the Lineweaver-Burk plot.

Kinetics of the aminoacylation reaction with ATP as variable substrate yielded a linear Lineweaver-Burk plot in the concentration range 0.2 to 10 mM (Fig. 3B). Attempts to obtain accurate rate determinations at lower sATP concentration than 0.2 mM were unsuccessful.

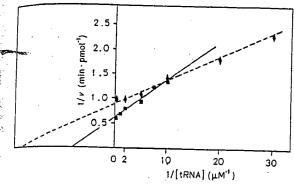


Fig.2. Lineweaver-Burk plot comparing tRNAPhe (■——■) and tRNAPhe (♦———♦) as substrates of phenylalanyl-tRNA synthetase in the aminoacylation reaction

## Kinetic Studies with Seryl-tRNA Synthetase

As can be seen from the Lineweaver-Burk plots (Fig. 4—6), seryl-tRNA synthetase does not exhibit normal Michaelis-Menten kinetics. Care was therefore taken to optimize reaction conditions before detailed kinetic investigations were undertaken. The maximum rate of aminoacylation was found at a salt concentration of 80—120 mM KCl, and at a 5—10 mM excess of Mg²+ over ATP, which was optimized at 25 mM. Results of kinetic experiments with seryl-tRNA synthetase are summarized in Table 3.

Kinetic experiments with Ser-ol-pA (Fig.4) indicate that it competitively inhibits the amino-acylation reaction with respect to serine. In this case it was particularly difficult to obtain smooth curves. From a number of independent experiments it appears, however, that the shapes of the curves were very similar to the ones obtained in the absence of inhibitor. Therefore the conclusion of competitive inhibition seemed justified. In keeping with our definition of " $K_{\rm m}$ " (see above), we have defined " $K_{\rm i}$ " as the inhibition constant obtained from the ratio of slopes at high concentration, in the Line-

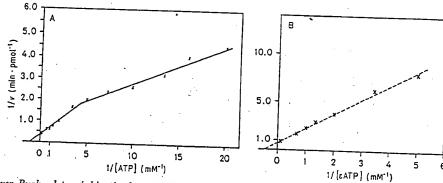


Fig. 3. Lineweaver-Burk plots of kinetic data obtained with phenylalanyl-tRNA synthetase and (A) ATP or (B) \(\varepsilon ATP\) as variable substrate

Table 3. Results of kinetic experiments with seryl-tRNA synthetase

For details of conditions see Methods. For each kinetic experiment the substance concentration range tested, as well as the fixed concentrations of tRNAser and serine are specified. For Ser-ol-pA studies, a fixed concentration of the inhibitor was used, and amino acid concentration was varied as in the experiments without the inhibitor. n values are the interaction constants obtained from Hill plots (see text)

|                 |   |  | 1 110 co (poc oc.v.)  |  |   |   |
|-----------------|---|--|---|--|---|---|
| Concentration   | tRNAser   | Serine   | Km or "Km"  | "K <sub>1</sub> "  | n   | v   |
| μM<br>37.5—1000 | μМ  | μМ   | μМ  | μМ   |   | μmol·min-1<br>·mg protein-1                           |
| 0.84            | 4   |  |   | 0.6  | 1.78  | 0.20 - 0.44   |
| 0.13 - 1.0      | <del>-</del>  | 220  | 0.091<br>0.38   |  | 1.77<br>1.53  | 0.11<br>0.21 0.41                                     |
| 12.5 - 20000    | 4   | $\tfrac{44}{220}$  | 1500<br>1700  | ٠  | 1.00  | 0.11  |
| 0.077 - 1.0     | 16 -<br>—   | <del>44</del><br>60  | 2300  |  |   | 0.23 - 0.44 $0.11$                                    |
| 25-20000        | 4   | 44   | 1000  |  | 0.93  | 0.10<br>0.05  |
|                 | $\mu$ M  37.5 - 1000 0.84 0.092 - 4.0 0.13 - 1.0 12.5 - 20000 12.5 - 20000 12.5 - 20000 | μΜ μΜ  37.5-1000 4 0.84 4 0.092-4.0 - 0.13-1.0 - 12.5-20000 4 12.5-20000 4 12.5-20000 16 0.077-1.0 | μM         μM         μM         μM           37.5-1000         4         —           0.84         4         —           0.092-4.0         —         60           0.13-1.0         —         220           12.5-20000         4         44           12.5-20000         4         220           12.5-20000         16         44           0.077-1.0         —         60 | Concentration         tRNA ser         Serine         K <sub>m</sub> or "K <sub>m</sub> "           μΜ         μΜ         μΜ         μΜ           37.5-1000         4         —         40           0.84         4         —         40           0.13-1.0         —         60         0.091           0.13-1.0         —         220         0.38           12.5-20000         4         44         1500           12.5-20000         4         220         1700           12.5-20000         16         44         2300           0.077-1.0         —         60         0.033 | $\begin{array}{c ccccccccccccccccccccccccccccccccccc$ | $\begin{array}{c ccccccccccccccccccccccccccccccccccc$ |

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weaver-Burk plot. Thus defined, serine has a " $K_m$ " of 40  $\mu$ M and Ser-ol-pA a " $K_i$ " of 0.6  $\mu$ M.

Kinetic experiments with tRNA<sup>Ser</sup> as variable substrate were performed at two amino acid concentrations. Only the experiments with 220  $\mu$ M serine (Fig. 5B) were in the region of amino acid saturation

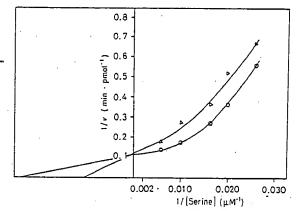


Fig. 4. Determination of V and "K<sub>m</sub>" for serine (O——O), and the inhibitory effect of Ser-ol-pA on the aminoacylation reaction ( $\Delta$ —— $\Delta$ ). For the inhibition studies 0.84  $\mu$ M Ser-ol-pA was present

and yielded a maximal V value. The experiments with 60  $\mu$ M serine (Fig. 5A) gave a lower V. In addition, the " $K_{\rm m}$ " for tRNASer was different at the two serine concentrations. The kinetic data obtained at 60  $\mu$ M serine were evaluated by a Hill plot (Fig. 5C) and yielded an interaction constant of 1.77. A comparison of the kinetic data obtained with tRNASer and tRNASer, both at 60  $\mu$ M serine, shows that tRNASer has a slightly lower " $K_{\rm m}$ " value and that the V value obtained with the two substrates is about the same.

A plot of 1/v vs 1/[S]<sup>2</sup> [29] yields a straight line for the data with tRNA<sup>Ser</sup> (Fig. 5D) and serine (not shown) as the variable substrates. This is in agreement with the parabolic, concave-upwards, appearance of the corresponding Lineweaver-Burk plots and confirms the quadratic dependence of substrate in the double-reciprocal plots.

Kinetic experiments with ATP as variable substrate were carried out at various fixed concentrations of serine and  $tRNA^{Ser}$  (Fig. 6A—C). These variations in the fixed substrate concentrations have little effect on the " $K_m$ " value. The V value for seryl-tRNA synthetase is, as above, raised by increasing the serine concentration from 44 to  $220\,\mu M$ .

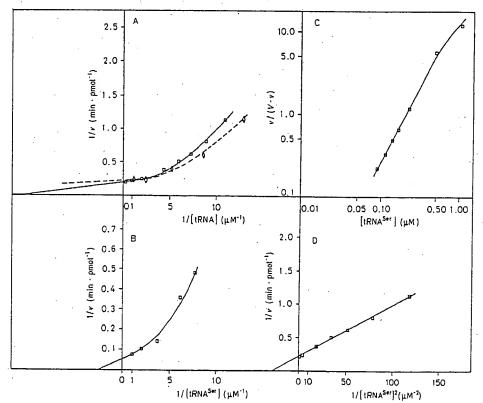


Fig. 5. Results of kinetic studies with  $tRNA^{ser}$  or  $tRNA^{ser}$  as variable substrate in the aminoacylation reaction. (A) Lineweaver-Burk plot,  $60 \, \mu M$  serine,  $tRNA^{ser}$  ( $\bigcirc$ —— $\bigcirc$ ) or  $tRNA^{ser}_{ser}$  ( $\bigcirc$ —— $\bigcirc$ ) as variable substrate; (B) Lineweaver-

Burk plot, 220 μM serine, tRNAser as variable substrates (C) determination of reaction order with respect to tRNAser (data from A), according to the Hill equation; (D) plot of 1/v vs 1/[S]<sup>2</sup> for the tRNAser data in (A)

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Vol. 37, No. 2, 1973

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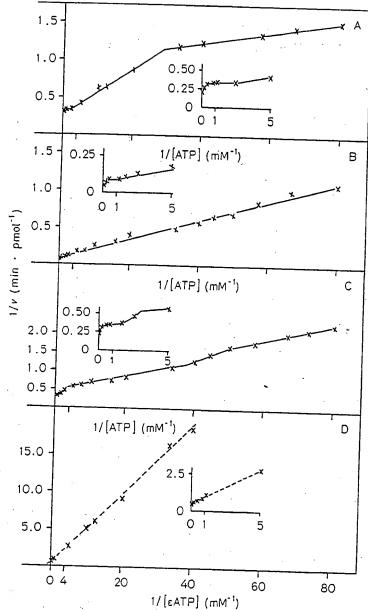


Fig. 6. Results of kinetic experiments with seryl-tRNA synthetase and ATP or &ATP as the variable substrate. Lineweaver-Burk plots for ATP with: (A) 4 \(mu\) M tRNAser and 44 \(mu\) M serine, (B) 4 \(mu\) M tRNAser and 220 \(mu\) M serine, and (C) 16 \(mu\) M tRNAser and 44 \(mu\) M serine. (D) Lineweaver-Burk plot for &ATP with 4 \(mu\) M tRNAser and 44 \(mu\) M serine

only the latter value representing a true maximal velocity. Increasing the tRNA concentration, which at  $4\,\mu\mathrm{M}$  is already in the region of substrate saturation to  $16\,\mu\mathrm{M}$  had no effect on V. A point of considerable interest is the change in shape of the curves at the various fixed substrate concentrations. In contrast to ATP, when  $\varepsilon\mathrm{ATP}$  was used as variable substrate (Fig. 6D) the enzyme seemed to follow normal Michaelis-Menten kinetics, with a  $K_{\mathrm{m}}$  of 1.0 mM and a lower V, than was obtained with the unmodified substrate.

## Equilibrium-Dialysis Studies with PhenylalanyltRNA and Seryl-tRNA Synthetases

As a supplement to the data obtained from the kinetic studies, an attempt was made to determine, by equilibrium dialysis, the number of binding sites on the enzyme for amino acid. In order to approach the conditions of the aminoacylation reaction, the amino acid was dialyzed against enzyme plus tRNA. The molar ratio of tRNA to enzyme was 2:1 and 1:1 for the serine and phenylalanine systems, respectively. The activities of the synthetases were the same

able substrate ect to tRNAsen on; (D) plot of n (A)

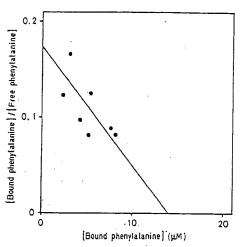


Fig. 7. Scatchard plot of results of the equilibrium dialysis of L-phenylalanine with phenylalanyl-tRNA synthetase (16  $\mu M$ ) and tRNAPhe (16 µM)

before and after dialysis. A Scatchard plot [30] for the data with phenylalanyl-tRNA synthetase is presented in Fig.7. The scatter of the points should be less at higher synthetase concentrations, but the data in Fig. 7 are sufficient to indicate a single binding site for phenylalanine per synthetase molecule with a binding constant of 10 mM<sup>-1</sup>. The presence of a single binding site for phenylalanine was proven in an equilibrium dialysis experiment in which an equimolar amount of synthetase and Phe-ol-pA was employed. The Phe-ol-pA, the  $K_i$  of which is 30-fold lower than the  $K_{\rm m}$  of phenylalanine, prevented amino acid binding to the synthetase completely. At enzyme concentrations up to 9.4 mg/ml, no amino acid binding was evident with seryl-tRNA synthetase. Preliminary equilibrium dialysis experiments have been performed to determine the number of binding sites for ATP on seryl-tRNA synthetase. Also here a tRNA-to-enzyme ratio of 2:1 was used. The results indicate at least two binding sites for ATP.

## DISCUSSION

Detailed analysis of the kinetic properties of aminoacyl-tRNA synthetases should yield some information on the mechanism of synthetase-substrate interactions. Furthermore, a comparison of the kinetic properties of natural and modified substrates is valuable when modified substrates are being used in other interaction studies, such as fluorescence binding studies. With phenylalanyl-tRNA synthetase normal Michaelis-Menten kinetics were generally observed, the K<sub>m</sub> values lying in the concentration ranges reported for other aminoacyl-tRNA synthetases (see, e.g. [1,2,31,32]). With seryl-tRNA synthetase, on the other hand, normal Michaelis-Menten kinetics

were not obtained. Since non-linear reciprocal plots were observed here, particular care was taken to exclude any stray effects. All curve forms reported were verified through multiple experiments and are completely reproducible.

## Studies on Seryl-tRNA Synthetase

Before performing detailed kinetic experiments, the conditions for the seryl-tRNA synthetase. catalyzed aminoacylation reaction were optimized. The experimental parameters were found to differ considerably from the standard test conditions [4,33]. By carrying out kinetic experiments in a buffer containing 100 mM KCl and a 5 mM excess of Mg2+ over ATP (25 mM) a significant increase in V was obtained. At saturating substrate concentrations and 24 °C, values of 0.20-0.44 μmol×mg protein-1 × min<sup>-1</sup> (corresponding to a specific enzyme activity of 0.20-0.44 International Units and a molecular activity of 24-53 mol × mol-1 × min-1) were determined. It has been shown under standard test conditions [4] that V values obtained at the enzyme concentration required for the initial rate studies can be approximately doubled when the enzyme concentration range is raised above 10 nM [5].

With the optimized buffer conditions, studies of the kinetics of Ser-tRNASer formation yielded nonlinear reciprocal plots (Fig.4-6). The data for tRNASer and serine as limiting substrates showed quadratic dependence and became linear when 1/[S]2 was plotted on the abscissa (Fig. 5D). The curves for ATP showed a more complex dependence and could not be simply linearized. Before discussing the implications of the data, a few comments about the theory of

such non-linear curves is appropriate.

Non-linear reciprocal plots occur when isoenzymes or different active conformers of one enzyme are present, when one enzyme has two or more independent binding sites with different Michaelis constants, or when an enzyme exhibits cooperative binding effects (for a brief discussion, see [34]). The last possibility is discussed in more detail. A simple mathematical derivation of curved reciprocal plots in the case of two-place enzymes is presented elsewhere [35]. Cooperative binding interactions may be negative (reciprocal plot is concave downward) or positive (reciprocal plot is concave upward) corresponding to substrate activation or substrate inhibition of the rate of the catalytic reaction. In cases of positive cooperativity the binding of one substrate molecule eases the binding of the next substrate molecule. In cases of negative cooperative ity, binding of one substrate molecule to the enzyme hinders the binding of the next molecule. However, a recent paper by Engel and Ferdinand [36] shows that curves with the same general reciprocal plot as seen in negative cooperativity can mathematically

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be explained by negative cooperativity followed by positive cooperativity affecting the catalytic rate constant. In reciprocal plots containing only one transition the question of only negative or negative-plus-positive cooperativity requires detailed knowledge of the abruptness of change between the two regions, a smooth concave curve signifying the first alternative and an abrupt change the second alternative.

The existence of consecutive negative and positive cooperativity in the binding of one ligand to an anzyme has already been mathematically substantiated by Teipel and Koshland [37]: if the rate of equilibration between substrate and enzyme is rapid relative to the rate of catalysis, kinetic saturation curves possessing an intermediate plateau region can sometimes be explained by more than two substrate binding sites, with the relative magnitude of the substrate binding or catalytic constants first decreasing and then increasing as the enzyme sites are occupied. The total number of such sites could be contained in several enzyme conformers or subunits with different affinities.

In addition to results obtained from the Lineweaver-Burk plots, the data from kinetic experiments have been evaluated according to the empirical Hill equation. The value n obtained from the slope of the Hill plot was denoted as an interaction constant by Changeux [38]. Assuming a single active enzyme conformer, the slope is a measure of the strength of interaction between binding sites. Hence, a value (see Table 3) of 1 signifies one substrate binding site or several independent binding sites. A value between 1 and 2 implies that at least two interacting active sites are present on the enzyme. The stronger the interaction between the sites, the closer the n value will come to the number of binding sites. Therefore, on an enzyme with cooperative binding sites the difference between n and the number of sites is a measure of the degree of cooperativity: the smaller the difference the higher the degree of cooperativity. At high and low substrate concentrations the slope of the Hill plot will curve off from the high n value and tend to flatten out with limiting slopes of 1 at either end. This is visible in Fig. 5 C where the curve gets flatter at the high log [tRNASer]

With the above concepts in mind, at least a partial interpretation of the kinetic data for SertRNAser formation is possible. For this interpretation, we favor arguments involving cooperativity of binding sites although, of course, one cannot rule out the other possibilities mentioned above. Based upon the reciprocal plots, it would appear that there is positive cooperativity between the amino acid binding sites and between the tRNAser binding sites. From the n values obtained from the Hill plots, cooperativity is also indicated, with a

minimum of two binding sites for each of the two substrates. In fluorescence studies [5], cooperativity has been previously indicated for the binding of  $tRNA^{Ser}$  to seryl-tRNA synthetase. In studies of protection against nuclease digestion [8] and in fluorescence measurements [5,7,39] two sites for  $tRNA^{Ser}$  were obtained. Unfortunately attempts to determine serine binding sites by equilibrium dialysis were unsuccessful, due to the low binding constant (which correlates with the relatively high " $K_m$ ").

The kinetic data with ATP as variable substrate, are considerably more complex than those for the other two substrates. The curve form of the reciprocal plots shows several steps with an intermediate plateau; this curve form furthermore changes with the tRNA and amino acid concentrations. Although our data are more complex than the mathematical model [37], we favor as explanation of the shape of the curve that there are a series of consecutive negatively and positively interacting binding sites. The number of sites would appear to be greater than for the other substrates and variable depending upon concentration of other substrates. Probably some of the sites are unspecific and unproductive but in some way influential on the catalytic sites. In agreement with this model at least two binding sites for ATP were found in equilibrium dialysis and up to 10 in fluorescence measurements [39]. In this context it should be mentioned that sATP seems to follow normal Michaelis-Menten kinetics; possibly  $\varepsilon$ ATP fits into a catalytic site, but not into additional sites.

Several researchers have reported kinetic data for seryl-tRNA synthetase with tRNAser as variable substrate [10,12,15,40,41]. Linear reciprocal plots were reported; this can be explained by technical reasons, such as the use of a narrower tRNAser concentration range, which did not allow easy determination of the curvature of the plots. The  $K_{\rm m}$  values for tRNAser [10,12,15,40,41], however, are close to the " $K_{\rm m}$ " given in this paper. Makman and Cantoni [15] also reported  $K_{\rm m}$  values for serine and ATP but did not specify the concentration ranges in which they were determined; again, the reported  $K_{\rm m}$  values are comparable to the " $K_{\rm m}$ " values in this paper.

## Studies on Phenylalanyl-tRNA Synthetase

In comparison to the seryl-tRNA synthetase system, the kinetics of Phe-tRNAPhe formation are much less complex. There is one binding site for tRNAPhe on the synthetase according to gradient centrifugation [42], fluorescence measurements [7] and nuclease protection studies [8]. Also for phenylalanine one binding site was found by equilibrium dialysis. In agreement with these results the evaluation of the kinetic data in Hill plot format indicates

one (or several independent and equivalent) binding site(s) for tRNA<sup>Phe</sup> and phenylalanine.

Berther, Mayer and Dutler (unpublished results) report non-linear kinetic data at high concentrations of phenylalanine. The results of equilibrium dialysis experiments, plus our  $K_{\mathbf{m}}$  value for phenylalanine (and their first  $K_{\mathbf{m}}$  value) suggest that the deviation from linearity may be an induced effect at high substrate concentration.

For ATP linear reciprocal plots were obtained yielding  $K_{\rm m}=0.8$  mM, a value comparable to those found by others [3,15]. H. Dutler pointed out to us that reciprocal plots for ATP were non-linear, approaching linearity only at low and high concentrations of the varied substrate. Our data (Fig.3) point to a rather abrupt transition between two linear regions which could be attributed to several binding sites (>2) for ATP with decreasing then increasing catalytic constants. A detailed investigation with curve fitting would be necessary to substantiate this detail.

## Experiments with Aminoalkyl Adenylates

Aminoalkyl adenylates are valuable substances in the study of the mechanism of amino acid activation, since they interact with the synthetases in the presence of tRNA without leading to aminoacvl-tRNA formation [43,44]. The interactions can be observed in kinetic inhibition studies or in fluorescence experiments. We were interested in studying synthetase-tRNA interactions with synthetase molecules which had the other substrates, or better, non-reacting substrate analogs, bound to them. We therefore synthesized Phe-ol-pA and Ser-ol-pA, which were found to be competitive inhibitors of the cognate aminoacyl-tRNA synthetases with respect to amino acid. In both cases, the ratio  $K_{\rm m}/K_{\rm i}$  was of the same order of magnitude, indicating similarly strong inhibition. Competition with respect to ATP was not investigated. In studies of phenylalanyl-tRNA synthetase protection tRNAPhe against nuclease digestion, additional tRNAPhe protection was found when Phe-ol-pA was present [8]. This additional protection disappeared when the tRNA was aminoacylated, while in the absence of Phe-ol-pA no difference in protection was detected between charged and uncharged tRNA. In initial fluorescence polarization studies no change in binding of modified tRNAs to the cognate synthetase was observed, when up to 1 mM Phe-ol-pA or Ser-ol-pA was added [7], although the degree of polarization was influenced by ATP-

### $\varepsilon A$ -Containing tRNAs and $\varepsilon ATP$

For the preparation of  $tRNA_{\epsilon_A}^{ser}$  and  $tRNA_{\epsilon_A}^{Phe}$ , baker's yeast tRNAs were used, since these tRNAs lack the 3'-terminal AMP. As a result, in the

kinetic experiments modified tRNAs from baker's yeast were compared to unmodified tRNAs from brewer's yeast. Therefore, a comparison of the unmodified tRNAs from both sources is indicated

tRNA<sup>Ser</sup> from brewer's yeast consists of two major species [45], tRNA<sup>Ser</sup> and tRNA<sup>Ser</sup>, which differ in only 3 nucleotides; tRNA<sup>Ser</sup> from baker's yeast consists of only one major species, which is identical to tRNA<sup>Ser</sup> from brewer's yeast [46]. Since kinetic experiments with various separated tRNA<sup>Ser</sup> species have shown the tRNAs to be very similar [12], the tRNA<sup>Ser</sup> species from baker's and brewer's yeast seem to be equivalent for our purposes.

The structure of tRNA<sup>Phe</sup> from baker's yeast has been elucidated [47] whereas no specific attempt has been made to sequence tRNA<sup>Phe</sup> from brewer's yeast. Nevertheless, in rather extensive studies on fragments of brewer's yeast tRNA<sup>Phe</sup>, no differences from the baker's yeast tRNA<sup>Phe</sup> sequence were detected [9]. Thus, the phenylalanine-specific tRNAs from the two sources are not only equivalent for our

purposes but are probably identical.

Bearing the foregoing in mind, one can conclude that the interchangeable use of the tRNAs from the two yeasts is justified. Moreover, the finding of only small differences in the kinetic properties of the EA-containing and the unmodified tRNAs indicates that their modes of interaction with the synthetases are rather similar. This answers the originally posed question: there are no biochemical reasons against using the &A-modified tRNAs in place of the unmodified ones in physicochemical studies. It seemed particularly interesting to use tRNA, in fluorescence studies with aminoacyl-tRNA synthetase since the fluorescent label must be at the catalytic site of the enzyme during the aminoacylation process. Initial experiments along these lines show an increase in fluorescence intensity when seryl-tRNA synthetase is added to a solution of tRNAser [7].

The finding that \$\varepsilon\$ATP functions in the amino acylation reaction with phenylalanyl-tRNA and seryl-tRNA synthetases is in agreement with the report of Secrist et al. on tyrosyl-tRNA synthetase from pig pancreas [48]. The detailed analysis presented in this paper shows that, under conditions which are standard or optimal for ATP, \$\varepsilon\$ATP behaves rather differently. Although (and in some cases because) \$\varepsilon\$ATP is not equivalent to ATP, \$\varepsilon\$ATP constitutes an interesting probe in the study of synthetase interactions.

We thank R. Hirsch for advice on the preparation of the aminoacyl-tRNA synthetases, W. Schäfer for the must spectra, and H. Dutler for informing us of his results protected to publication. We are indebted to E. Wünsch and F. Drew who provided the starting material for and helpful discussion during the synthesis of Ser-ol-pA. Equilibrium dialysis in the presence of Phe-ol-pA was suggested by J. P. Waller, who critically read the manuscript as

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S. Blanquet and H. Witzel. The expert assistance of S. Notz is the kinetic experiments is gratefully acknowledged.

H. Hertz wishes to thank the Alexander von Humboldt Stiftung for a fellowship. The work was supported by Deutsche Forschungsgemeinschaft, SFB 51.

Note Added in Proof (6.7.1973). The experiments in this paper were performed with a phenylalanyl-tRNA synthetase preparation having the properties described by Fasiolo et al.

[3] Recently synthetase preparations were obtained (R. Hirsch, unpublished) the activity of which (in nmoles Phe min-1) approaches 2000 units/A<sub>280</sub> unit under assay conditions similar to those of Fasiolo et al. [3] and 4000 units/A<sub>280</sub> unit under the conditions of J. Schmidt et al. (1971) Biochemistry, 10, 3264-3268. In equilibrium dialysis experiment the affinity for phenylalanine was somewhat higher; as before, one binding site per synthetase molecule was found by dialy. sis in the presence of 0, 0.5, and 1.0 mole Phe-ol-pA per mole of synthetase. In nuclease protection studies (W. Hörz, personal communication) the 1:1 stoichiometry of the RNA synthetase complexes remained as previously reported [8]. The two groups of experiments indicate that the previously used phenylalanyl-tRNA synthetase preparations contained inactive but ligand binding enzyme molecules.

The equilibrium dialysis experiments with seryl-tRNA synthetase were continued under the above described conditions using "Isis 3069" membranes from Société des Usines Chimiques Rhône-Poulenc, Paris (obtained through the courtesy of A. Richard). Two binding sites for ATP were observed, with binding constants of  $2\times10^4~l\cdot mol^{-1}$ and  $0.5 \times 10^4 \, \mathrm{l \cdot mol^{-1}}$ , respectively. This compares to the more than two binding sites for ATP, under aminoacylation conditions, which were indicated by the kinetic analysis.

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## Fluorescent Affinity Labeling of Initiation Site on Ribonucleic Acid Polymerase of Escherichia coli†

Felicia Y.-H. Wu and Cheng-Wen Wu\*,‡

ABSTRACT: A fluorescent analog of 6-methylthioinosinedicarboxaldehyde (MMPR-OP) has been synthesized in which the methyl group is replaced by N-(acetylaminoethyl)-1naphthylamine-5-sulfonate. This fluorescent nucleotide analog (AMPR-OP) is a much more potent inhibitor of DNA dependent RNA polymerase of Escherichia coli than MMPR-OP. The concentration of AMPR-OP required to inhibit 50% of RNA polymerase activity is  $7 \times 10^{-6}$  m as compared to  $5 \times 10^{-4}$  M for MMPR-OP. The noncompetitive inhibition of AMPR-OP with respect to nucleoside triphosphate suggests that AMPR-OP binds to a site on the enzyme involved in the initiation of RNA chains. The inhibition of DNA dependent [32P]PP; exchange reaction by low concentrations of AMPR-OP further support the contention that this compound primarily inhibits the initiation of RNA chains. When RNA polymerase was incubated with excess AMPR-OP followed by

NaBH4 reduction, the dye was stoichiometrically bound to the enzyme. Sodium dodecyl sulfate polyacrylamide gel electrophoresis of the denatured, labeled enzyme indicates that AMPR-OP is covalently attached to the  $\beta$  subunit of the enzyme. Although the labeled enzyme is essentially inactive. fluorescence studies show that it still retains the ability to bind DNA template and nucleoside triphosphates. The binding of nucleoside triphosphates is presumably to the second nucleotide site (the polymerization site) on the enzyme and its specificity is dependent on the template. Furthermore, upon binding of the template and nucleoside triphosphates, the enzyme undergoes conformational changes. Energy transfer measurements indicate that the initiation site and rifampicin binding site are at least 37 Å apart. Thus the inhibitory effects of rifampicin on initiation of RNA chains is indirectly mediated through enzyme molecule.

luorescent probes have been used to provide insight into the structure, interactions, and dynamics of macromolecules. By systematically labeling multiple active sites of DNA dependent RNA polymerase of *Escherichia coli* with various fluorescent probes, we have examined the molecular mechanism of gene transcription (Wu and Wu, 1973a-c).

Recently, Spoor *et al.* (1970) have shown that the periodate oxidation product of 6-methylmercaptopurine ribonucleoside (MMPR-OP)<sup>1</sup> inhibited *Escherichia coli* RNA polymerase by covalently binding to the initiation site (the first NTP site, Wu and Goldthwait, 1969; or the product terminus site, Krakow and Fronk, 1969) on the enzyme. The binding site has been shown to be an  $\epsilon$ -amino group of a lysine residue in the  $\beta$  subunit of RNA polymerase.

We report here the affinity labeling of *Escherichia coli* RNA polymerase with a fluorescent analog of MMPR-OP in which the methyl group is replaced by N-(acetylaminoethyl)-1-naphthylamine-5-sulfonate (AMPR-OP, Figure 1). Like MMPR-OP, AMPR-OP also binds to the initiation site on the  $\beta$  subunit. Although the AMPR-OP-labeled enzyme is cat-

alytically inactive, it still interacts with DNA template and nucleoside triphosphates. A model of the active sites of RNA polymerase consistent with the fluorescence spectroscopic results is discussed. Furthermore, energy transfer measurements have been carried out to elucidate spatial and functional relationships between the initiation site on the enzyme and the binding site of rifampicin, which is a specific inhibitor of RNA chain initiation.

### Materials and Methods

Materials. Unlabeled ribonucleoside triphosphates were purchased from P-L Biochemicals. 3H-Labeled ribonucleoside triphosphates and <sup>32</sup>P-labeled sodium pyrophosphate were obtained from New England Nuclear Corp. Poly[d-(A-T)] and calf thymus DNA were products of Miles Lab oratories, Inc., and Worthington Biochemical Corp., respectively. 6-Mercaptopurine ribonucleoside (6-MPR) was obtained from Cyclochemicals and sodium periodate from Fisher Scientific Co. Tricine, sodium borohydride, and dithio threitol were purchased from Sigma. Unlabeled and [14C] rifampicin were gifts of Drs. R. White and L. Sylvestri of Gruppo-Lepetit Laboratories. N-(Iodoacetylaminoethyl)-1naphthylamine-5-sulfonate (1,5 I-AENS) was synthesized by the method of Hudson and Weber (1973). Silica gel plates for thin-layer chromatography were obtained from Eastman Organic Chemicals. Sephadex G-75 was the product of Pharmacia Fine Chemicals. Inc.

RNA Polymerase. RNA polymerase was purified from E coli as described by Wu and Wu (1973c). The enzyme was 98% pure, and contained all subunits  $(\alpha, \beta, \beta', \text{ and } \sigma)$  as shown by sodium dodecyl sulfate polyacrylamide gel electrophoresis analysis.

RNA Polymerase Activity Assay. Enzyme activities of the labeled and unlabeled RNA polymerase were assayed by the

<sup>†</sup> From the Division of Biological Sciences, Department of Biophysics, Albert Einstein College of Medicine, Bronx, New York 10461. Received January 21, 1974. This work was supported in part by research grants from the National Institutes of Health (GM 19062) and the American Cancer Society (BC-94).

<sup>‡</sup> Research Career Development Awardee of the National Institutes of Health.

¹ Abbreviations used are: NTP, nucleoside triphosphate; PPi, inorganic pyrophosphate; 6-MPR, 6-mercaptopurine ribonucleoside; MMPR, 6-methylmercaptopurine ribonucleoside; MMPR-OP, oxidation product of MMPR, i.e., methylthioinosinedicarboxaldehyde; 1,5 I-AENS, N-(iodoacetylaminoethyl)-1-naphthylamine-5-sulfonate; AENS, N-(acetylaminoethyl)-1-naphthylamine-5-sulfonate group; AMPR, N-(acetylaminoethyl)-1-naphthylamine-5-sulfonate derivative of 6-MPR, 5-[[2-[[(9-β-D-ribofuranosyl-9H-purin-6-yl)thio]acetyl]amino]-thyl]amino]-1-naphthalenesulfonate; AMPR-OP, oxidation product of AMPR.

FIGURE 1: Structures of AMPR and AMPR-OP.

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incorporation of 3H-labeled ribonucleoside monophosphate into acid-insoluble material using the procedure described previously (Wu and Wu, 1973c). The enzyme (5 µg) was preincubated at 37° for 15 min with 80 mm Tris-HCl (pH 7.8), 10 mm MgCl<sub>2</sub>, and various concentrations of nucleoside analog inhibitor or water. After preincubation the reaction mixtures were cooled to 4° and to these were added 0.12 mm calf thymus DNA, 0.4 mm each of ATP, CTP, UTP, and GTP (one labeled with  $^3$ H, 8 imes 10 $^3$  cpm/nmol), 1.6 mm Na $_2$ HPO $_4$ , and 4 mm  $\beta$ -mercaptoethanol. When poly[d(A-T)] was used as a template, GTP and CTP were omitted and 0.2 M KCl was added to the reaction mixture. The complete system (0.25 ml) was further incubated at 37° for 20 min and reactions were terminated by cooling the mixtures to 4°, adding 0.1 ml of 0.1 M sodium pyrophosphate and 5 ml of 5% trichloroacetic acid. The acid-insoluble precipitates were collected on a glass fiber filter (Whatman GF/C, 2.4 cm) and washed with cold 1% trichloroacetic acid and 95% ethanol. The filter was died and the radioactivity was measured with a liquid scintillation spectrophotometer.

Synthesis of AMPR and AMPR-OP. Equimolar quantities of 1,5 I-AENS (22 mg) and 6-MPR (14 mg) in 0.1 N NaOH (1 ml) were reacted in the dark at room temperature for 3 hr and then at 4° overnight. Quantitative yield of the addition product, AMPR, was obtained after evaporation to dryness and recrystallization from acetone. AMPR (28 mg) was then oxidized with an equimolar amount of sodium periodate (10.7 mg) in  $H_2O$  (3 ml) in the dark at room temperature for 5 br. After addition of 95% ethanol, the white precipitate was filtered. The alcohol solution was evaporated to dryness and brown crystals of AMPR-OP were obtained in 50% yield after recrystallization from ethanol-water. Thin-layer chromatography of the reactants and products on silica gel in 5% $N_{a_2}$ HPO, solvent system gave a single spot having  $R_F$  values of 0.50, 0.59, 0.65, and 0.80 for 1,5 I-AENS, AMPR-OP, AMPR, and 6-MPR, respectively. The molar absorption coefficients of AMPR-OP in aqueous solution (pH 7) are 4.7 imes $^{10^{3}}\,\mathrm{M}^{-1}\,\mathrm{cm}^{-1}$  at 355 nm (shoulder), 3.5 imes 10  $^{4}\,\mathrm{M}^{-1}\,\mathrm{cm}^{-1}$  at 285  $^{\rm hm}$ , and 2.7 imes 10  $^{\rm s}$   $^{\rm m-1}$  cm $^{\rm -1}$  at 265 nm (shoulder). The fluorescent emission maximum of AMPR-OP is at 485 nm.

DNA Dependent [32P]PP, Exchange Reaction. The DNA dependent [32P]PP; exchange reaction catalyzed by RNA polymerase was measured as described by Krakow and Fronck (1969)

Labeling of RNA Polymerase with AMPR-OP. In labeling experiments, 1.2 mg of enzyme was first dialyzed overnight experiments, 1.2 mg of enzyme was first dialyzed overnight against 0.1 m NaHCO<sub>3</sub> or Tricine buffer (pH 7.9) containing 8 mM MgCl<sub>2</sub>, 0.2 m KCl, and 0.1 mm dithiothreitol to remove Iris normally present in the enzyme storage buffer. The envyme was then incubated for 45 min at 37° with a 10- to 300-lold molar excess of AMPR-OP. After incubation, the reaction mixture was cooled to 4° and 2-20 mg of NaBH, in 1 ml of NaHCO<sub>3</sub> buffer was added. Reduction of the Schiff base to

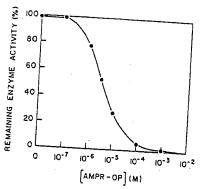


FIGURE 2: The dependence of RNA synthesis on the concentration of AMPR-OP. The per cent of enzyme activity remaining after exposure to AMPR-OP is plotted against the concentration of AMPR-OP added. The reaction mixture and conditions of the activity assay were as described in the Materials and Methods section.

a stable covalent bond was allowed to occur for 16 hr at 4°. The entire reaction mixture was then passed through a Sephadex G-75 column (1 × 14 cm) to remove unreacted AM-PR-OP and NaBH<sub>4</sub>. The labeled enzyme was eluted with 50 mm Tris-HCl buffer (pH 8) containing 0.5 m KCl, 0.1 mm EDTA, and 0.1 mm dithiothreitol. This step was followed by extensive dialysis against the same buffer

Sodium Dodecyl Sulfate Polyacrylamide Gel Electrophoresis Analysis. Electrophoresis on polyacrylamide gel was performed according to the method described by Weber and Osborn (1969). The AMPR-OP-bound enzyme was denatured with 3% sodium dodecyl sulfate or 7 m urea. Approximately 50 µg of denatured protein was layered on 7.5% polyacrylamide gels. Gels were run for 4–5 hr at 8 mA/tube. The gels were stained with Coomassie Brilliant Blue (0.2% methanolacetic acid-H<sub>2</sub>O, 5:1:5) overnight; then destained with the same solvent mixture as above until the gels yielded clear, visible bands.

Spectroscopic measurements were carried out with a Cary 118C recording spectrophotometer in a 1-cm light-path quartz cell. All spectroscopic measurements were carried out at  $22 \pm 0.1^{\circ}$ .

Fluorescence excitation and emission spectra were recorded in a Hitachi-Perkin-Elmer fluorescence spectrophotometer, Model MPF-3, equipped with a corrected spectra accessory. The solutions used for fluorescence studies had absorbances of less than 0.05 at the excitation wavelength to obviate inner filter effect.

Quantum yield  $\phi$  of a sample was calculated from absorbance (A) and the area enclosed by the corrected emission spectrum using the relationship (Parker and Rees, 1960)

$$\phi_{\rm S} = \phi_{\rm R} \frac{(1-10^{-4}\,{\rm R})}{({\rm area})_{\rm R}} \frac{({\rm area})_{\rm S}}{(1-10^{-4}\,{\rm s})} \frac{n_{\rm R}^2}{n_{\rm S}^2}$$
 (1)

where n is the refractive index of the solvent, and S and R refer to sample and reference, respectively. 5-Anilinonaphthalene-1-sulfonate in ethanol was used as a reference of quantum yield 0.37 (Stryer, 1965). The areas of the corrected emission spectra were obtained by planimetry.

## Results

Inhibition of RNA Polymerase Activities by AMPR-OP. Figure 2 shows the effect of various concentrations of AM-PR-OP on the DNA dependent RNA synthesis catalyzed by Escherichia coli polymerase. Virtually no inhibition occurred up to  $10^{-7}$  M, while complete inhibition was achieved at about

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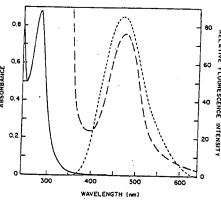


FIGURE 3: Absorption and corrected fluorescence emission spectra of AMPR-OP labeled polymerase and the overlap with the absorption spectrum of rifampicin: (—) absorption spectrum of 1.5 imes10-6 м labeled RNA polymerase in 0.5 м KCl-0.05 м Tris-HCl (рН 8)-0.1 mм EDTA-0.1 mм dithiothreitol; (-----) corrected fluorescence emission spectrum of 5  $\times$  10<sup>-7</sup> M labeled RNA polymerase in the same buffer, the excitation wavelength was at 330 nm; (---) absorption spectrum of  $7.2 \times 10^{-6}$  M rifampicin in the same buffer.

 $10^{-4}$  м. The concentration of AMPR-OP required for 50%inhibition was about  $7 \times 10^{-6}$  M, which is 100 times smaller than that of MMPR-OP for the same extent of inhibition.

The effect of nucleotide concentration on AMPR-OP inhibition was studied using poly[d(A-T)].as template. At a saturating concentration of UTP (0.4 mm), variation of the concentration of the alternate nucleoside triphosphate, ATP, yielded a linear double reciprocal plot. The results showed that the  $V_{\text{max}}$  decreased in the presence of AMPR-OP (the values of  $V_{\text{max}}$  are 1.0, 0.83, and 0.43 nmol/min at AMPR-OP concentrations of 0, 4 imes 10<sup>-6</sup>, and 1 imes 10<sup>-5</sup> M), while the apparent  $K_{\rm m}$  remained unchanged (7 imes 10<sup>-5</sup> M), suggesting that AMPR-OP is a noncompetitive inhibitor with respect to ATP binding to RNA polymerase. The K<sub>i</sub> value obtained for AMPR-OP was  $7.4 \times 10^{-6}$  M.

The type of inhibition produced by AMPR-OP is the same as that by MMPR-OP (Spoor et al., 1970). This suggests that like MMPR-OP, AMPR-OP might bind to the initiation site on the enzyme. (If it binds to the polymerization site, a competitive type of inhibition would be expected.) To further support this contention, the effect of AMPR-OP on the poly-[d(A-T)]-dependent [32P]PPi exchange reaction was examined. As shown in Table I, at 0.1 mm AMPR-OP the incorporation of [32P]PPi was almost completely inhibited. Thus, AMPR-OP primarily inhibits the initiation of RNA chains in the RNA polymerase reaction.

Affinity Labeling of RNA Polymerase with AMPR-OP. When the holoenzyme of RNA polymerase was incubated with excess AMPR-OP and then reduced by NaBH4, AM-PR-OP was bound to the enzyme in about 1:1 molar ratio. Prolonged incubation with a large excess of the dye did not significantly alter this stoichiometry. Sodium dodecyl sulfate polyacrylamide gel electrophoresis of the labeled enzyme (Weber and Osborn, 1969) showed a fluorescent band corresponding to the  $\beta$  subunit; bands corresponding to other subunits were nonfluorescent. This indicated that AMPR-OP was covalently bound to the  $\beta$  subunit of RNA polymerase.

The labeled RNA polymerase was essentially inactive (<1 %) in DNA dependent polymerization and PP<sub>i</sub> exchange reactions. The absorption and fluorescence emission spectra of the labeled enzyme are shown in Figure 3. The absorption maximum for the modified protein was at 280 nm with a tail at 300-350 nm due to the bound dye. The fluorescence ex-

TABLE I: Effect of AMPR-OP on Poly[d(A-T)] Dependent [32P]PP<sub>i</sub>-Exchange Reaction.a

| AMPR-OP Added (M)  | [32P]PP <sub>i</sub> Incorp<br>(nmol) | Inhibition (%) |
|--------------------|---------------------------------------|----------------|
| 0                  | 37.4                                  |                |
| $1 \times 10^{-5}$ | 9.9                                   | 74             |
| 1 × 10-4           | 3.0                                   | 92             |

<sup>a</sup> The incorporation of [<sup>32</sup>P]PP<sub>i</sub> into nucleoside triphosphates was measured by adsorption to activated charcoal (Krakow and Fronk, 1969). The complete system (0.25 ml) contained 80 mm Tris-HCl (pH 7.8), 40 mm β-mercaptoethanol, 4 mm MgCl<sub>2</sub>, 0.4 mm UTP, 1 mm sodium [3:p]. pyrophosphate (1.4  $\times$  10 cpm/nmol), 0.1  $A_{260}$  unit of poly[d. (A-T)], and 5 μg of RNA polymerase. The incubation was for 10 min at 37° and reactions were stopped by addition of 0.2 ml of 0.1 м EDTA (pH 6.0), and 0.1 ml of 0.1 м sodium pyrophosphate (pH 6.0), followed by addition of 0.5 ml of a 10%suspension of acid-washed, activated charcoal in 0.01  $_{
m M}$ sodium pyrophosphate (pH 6.0). After mixing, 3 ml of 0.01  $_{\rm M}^{3}$ sodium pyrophosphate was added and the mixture was filtered through glass-fiber filters. The filters were washed with 40 ml of 0.01 M sodium pyrophosphate, dried, and counted.

citation and emission maxima were at 335 (not shown) and 470 nm, respectively.

Interaction of the Labeled Enzyme with DNA and Nucleotides. When calf thymus DNA (100 µg) or poly[d(A-T)] (same amount) was added to a solution containing 10-8 M labeled polymerase, 10 mm MgCl<sub>2</sub>, 0.2 m KCl, 0.1 mm dithiothreitol, and 0.05  $\,\mathrm{m}$  Tris-HCl (pH 7.8) there was a 20–30 % increase in the fluorescence intensity of the labeled enzyme and a small blue shift (3 nm) of the emission maximum. In the absence of DNA, addition of 0.4 mm of a single nucleoside triphosphate (ATP, GTP, UTP, or CTP) to 10-8 м labeled enzyme in the same buffer brought about a 5-nm blue shift of the emission maximum and a small (about 5-7%) enhancement of the fluorescence intensity. These observations occurred with any one of the four nucleoside triphosphates, and the effect of more than two nucleoside triphosphates was less than additive. In the presence of calf thymus DNA, however, the observed fluorescence enhancement was much larger, about 20% increase by each nucleoside triphosphate. If poly[d(A-T)] was present instead of calf thymus DNA, the situation was quite different. Addition of ATP (0.4 mm) did not significantly alter the fluorescent properties of the probe, whereas addition of UTP (0.4 mм) markedly enhanced the fluorescence intensity (30%). Addition of AMP, UMP, ADP or UDP has no effect on the fluorescent properties of the labeled enzyme in the presence of either calf thymus DNA or poly[d(A-T)].

Energy Transfer from the Initiation Site to the Rifampicin Binding Site on RNA Polymerase. Rifampicin, a specific inhibitor of RNA chain initiation, has been shown to bind to a single site on RNA polymerase (Zillig et al., 1970). The modification of RNA polymerase by AMPR-OP did not significantly alter its ability to bind rifampicin. By use of a gel filtration technique (Yarbrough and Wu, 1974), we found that the labeled enzyme bound 0.5 mol of [3H]rifampicin per mole of enzyme while the unlabeled enzyme bound 0.6 mol of [3H]rifampicin per mole of enzyme under the same experimental conditions. Thus energy transfer measurements were carried out to estimate the distance between the rifampicin

ition (%)

0 74

92

de triphos-:d charcoat n (0.25 ml) 3-mercaptodium [32P]t of poly[dion was for lition of 0.25 odium pyroni of a 10% in 0.01 <sub>M</sub> ml of  $0.01 \, M$ : was filtered l with 40 ml ed.

shown) and

Nucleotides. A-T)] (same −8 м labeled ithiothreitol. る increase in and a small the absence side triphospeled enzyme shift of the enhancement. ns occurred ates, and the ites was less VA, however, much larger, hosphate. If is DNA, the (0.4 mм) did of the probe, enhanced the UMP, ADP, perties of the thymus DNA

he Rifampicin in, a specific nown to bind. .l., 1970). The -OP did not By use of a gel we found that picin per mole d 0.6 mol of same experiirements were the rifampicin

binding site and the initiation site on RNA polymerase because rifampicin, which possesses an absorption maximum at 470 nm, is an ideal energy acceptor of the emission of AMPR-OP (Figure 3).

In Förster's theory of dipole-dipole energy transfer (Förster, 1947), the transfer efficiency (E) is related to the distance (r)between the donor and acceptor by

$$E = r^{-6}/(r^{-6} + R_0^{-6})$$
 (2)

 $R_0$ , the distance (in Å) at which the transfer efficiency is 50%,

$$R_0 = (JK^2Q_0n^{-4})^{1/4}(9.79 \times 10^3) \tag{3}$$

where  $K^2$  is the orientation factor for dipole-dipole transfer,  $\mathcal{D}_0$  is the quantum yield of the donor in the absence of transfer, n is the refractive index of the medium, and J is the spectral overlap integral calculated from the emission spectrum of the donor and the absorption spectrum of the acceptor.  $Q_0$ , the quantum yield of the enzyme-bound AMPR-OP, was determined to be 0.05 with 8-anilino-1-naphthalenesulfonate in ethanol as a reference of quantum yield 0.37 (Stryer, 1965). The value of J was  $3.3 \times 10^{-14}$  cm<sup>3</sup> M<sup>-1</sup> as estimated from the corrected fluorescence emission spectrum of the enzymebound AMPR-OP and the absorption spectrum of the enzymebound rifampicin (Figure 3) using the equation

$$J = \frac{\int F(\bar{\nu}) \epsilon(\bar{\nu}) \bar{\nu}^4 d\bar{\nu}}{\int F(\bar{\nu}) d\bar{\nu}}$$
(4)

where  $F(\overline{\nu})$  is the fluorescence intensity of the donor at wave number  $\overline{\nu}$ , and  $\epsilon(\overline{\nu})$  is the extinction coefficient of the energy acceptor at that wave number. Though n cannot be measured directly, there is little uncertainty as to its value, which we assume to be 1.4. The problem arises in assuming a value to the orientation factor  $K^2$ . If the relative orientation of donoracceptor pairs is completely randomized during the excited state lifetime,  $K^2$  equals  $^2/_3$ . Although the orientation of the energy acceptor is not known, nanosecond emission anisotropy measurements show that the energy donor used in this study has local rotational mobility (C.-W. Wu and F. Y.-H. Wu, to be published). The rapid decrease in emission anisotropy (from 0.32 to 0.08) within 7 nsec indicates that the energy donor rotates over an angle of the order of 60°. (The excited state lifetime of the enzyme-bound AMPR-OP was 15 nsec.) The value of  $R_0$  was calculated to be 25 Å for the AMPR-OP and rifampicin pair on RNA polymerase using the experimentally observed values of J and  $Q_0$  and assuming that n =1.4 and  $K^2 = \frac{2}{3}$ . If an energy donor has complete rotational freedom and an energy acceptor is fixed, K2 can range from  $\frac{1}{1}$  to  $\frac{4}{3}$ . Since  $R_0$  is proportional to  $\frac{1}{6}$  power of  $K^2$ , the factor of 2 variations in  $K^2$  will result in 12% error in the

The transfer efficiency, E, was determined from quantum yields of the donor in the presence and absence of energy acceptor (Q and  $Q_0$ , respectively). When rifampicin (6  $\mu$ M)

$$E = 1 - (Q/Q_0) (5)$$

was added to a solution of the labeled enzyme, a 10% decrease in quantum yield was observed. Thus an apparent distance between the initiation site and the rifampicin binding site on RNA polymerase was calculated to be 37 Å according

## Discussion

Spoor et al. (1970) have shown that MMPR-OP is a potent inhibitor of Escherichia coli DNA dependent RNA polym-

erase. By substituting the methyl group of MMPR-OP with a fluorescent chromophore, N-(acetylaminoethyl)-1-naphthylamine-5-sulfonate (AENS), we found that the new pseudosubstrate, AMPR-OP, was a much more potent inhibitor of RNA polymerase. The  $K_{\rm i}$  of AMPR-OP (7.4 imes 10<sup>-6</sup> M) was two orders of magnitude smaller than that of MMPR-OP (5.1  $\times$  10<sup>-4</sup> M). Although AMPR-OP is a larger molecule, the result indicates that the fluorescent chromophore somehow stabilizes the inhibitor-enzyme complex.

Since noncompetitive inhibition was observed for MMPR-OP with respect to polymerization of nucleoside triphosphates (Spoor et al., 1970), it was proposed that the inhibitor bound at the initiation site, a nucleotide binding site on the enzyme other than the polymerization (elongation) site. Similar noncompetitive kinetics was observed for AMPR-OP, suggesting that AMPR-OP may also bind to the initiation site.

The binding site of MMPR-OP has been shown to consist of an  $\epsilon$ -amino group of a lysine residue in the  $\beta$  subunit of RNA polymerase (Nixon et al., 1972). If AMPR-OP and MMPR-OP were bound to the same site, AMPR-OP should also form a Schiff base with the  $\epsilon$ -amino group of the lysine. In fact, after sodium borohydride reduction, a stable covalent linkage between AMPR-OP and the  $\beta$  subunit of RNA polymerase was obtained as shown by the sodium dodecyl sulfate polyacrylamide gel electrophoresis of the labeled

The labeled polymerase was essentially inactive in catalyzing RNA synthesis and the DNA dependent PPi exchange reaction. However, the altered enzyme still could interact with DNA template and nucleoside triphosphates as demonstrated by the enhancement of fluorescence intensity and the blue shifts of the emission maximum. Since the fluorescent probe used here is sensitive to the environment (Hudson and Weber, 1973), these observations indicate that the surrounding of the AMPR-OP binding site has become less polar upon binding to DNA or nucleoside triphosphate, i.e., a templateor substrate-induced conformational change of the enzyme has taken place. (No direct interaction between AMPR-OP and DNA or NTP could be detected by fluorescence measurements.) In addition, the emission maximum of free AMPR-OP is at 485 nm and that of the bound AMPR-OP is at 470 nm. This implies that the environment of the initiation is slightly more hydrophobic than that of the aqueous media.

Wu and Goldthwait (1969) have demonstrated two nucleoside triphosphate binding sites on Escherichia coli RNA polymerase (in the absence of DNA): a weak binding site ( $K_s$  =  $1.5 \times 10^{-4}\,\mathrm{M})$  with preferential affinity for purine nucleotides, and a strong binding site ( $K_s = 1.5 \times 10^{-5} \text{ M}$ ) for all four nucleoside triphosphates. Kinetic analysis (Anthony et al., 1969) has suggested that the weak binding site is the initiation site and the strong binding site, the polymerization site. In this paper, we have shown that the affinity-label very probably binds to the initiation site of the enzyme (although it is not really proved). If AMPR-OP were bound to the initiation site by the affinity labeling as suggested above, then nucleoside triphosphate must interact with the other binding site, the polymerization site on the labeled enzyme. This is consistent with the observation that in the absence of DNA, all four nucleoside triphosphates produce similar fluorescence changes of the labeled enzyme.

The most interesting findings are the interactions between nucleoside triphosphates and the labeled enzyme in the presence of DNA. The further increase in fluorescence intensity of the labeled enzyme by binding nucleoside triphosphates in the presence of calf thymus DNA, as compared to

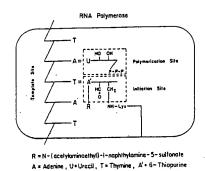


FIGURE 4: Model for active sites on RNA polymerase of Escherichia reoli.

that in the absence of DNA, may reflect some structural alteration of the polymerization site (on the enzyme) by the template. This is best demonstrated when d(A-T) copolymer was used as the template. As shown in the model presented in Figure 4, AMPR-OP is covalently attached to a lysine residue at or near the initiation site. When d(A-T) copolymer occupies the template site on the enzyme, the purine moiety of AMPR-OP may form hydrogen bonds with a thymine base of the template. The specificity of the polymerization site is then governed by the adjacent adenine base of the template due to base complementation (A-U hydrogen bonding), or alternatively, the adenine moiety of the template may induce a conformational change of the enzyme so that the polymerization site binds UTP preferentially. Our observation that in the presence of poly[d(A-T)] UTP but not ATP markedly enhanced the fluorescence of the labeled enzyme can be readily explained by this model.

Rifampicin is a known inhibitor of Escherichia coli RNA polymerase (Hartmann et al., 1967). It binds to a single site on the enzyme and genetic evidence suggests that the rifampicin binding site is on the  $\beta$  subunit of the enzyme (Rabussay and Zillig, 1969; Zillig et al., 1970; Heil and Zillig, 1970). Since rifampicin specifically inhibits initiation of RNA chains, and the initiation site may also be located on the  $\beta$  subunit, it was of interest to determine the structural and functional relationship between the initiation site and the rifampicin

binding sites. To uns end, energy-transfer measurements were carried out to estimate the distance between these two sites. The results indicate that these two sites are at least 37 Å apart. Therefore, although both the initiation site and the rifampicin binding site are on the  $\beta$  subunit, they are not adjacent to each other. This suggests that the effect of rifampicin on RNA chain initiation is indirectly mediated through the enzyme molecule.

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## **BBA 97304**

# FLUORESCENT CONJUGATES OF NATURAL AND BIOSYNTHETIC POLYNUCLEOTIDES

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helical groove4-6.

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and compared. Both types of label respond in a qualitatively similar way to helix coil transitions involving the polynucleotides. While the terminal-labeled acriflavine jugates of DNA and several biosynthetic polyribonucleotides have been examined conjugates showed only a single fluorescence decay time, the methylbenz(a)anthra The fluorescence properties of acriflavine and methylbenz(a)anthracene con cene conjugates gave decay curves which could best be fitted on the assumption of two fluorescent species, with different decay times. When labeled poly(rA) was incorporated into the rA:rU bihelical complex the extent to which rotational mobility, as measured by polarization, was lost at the early stages of complex formation differed for the two labels.

Although the reversible binding of fluorescent dyes by nucleic acids has been the subject of intensive study for many years<sup>1</sup>, the preparation and properties of covalent fluorescent conjugates of nucleic acids has received only limited attention The types of conjugate which have been reported thus far are of two kinds.

oxidative rupture of the ribose ring to form presumably a dialdehyde, followed by The first type of conjugate, which is limited to RNAs, involves an initial a reaction of the Schiff's base type of an aldehyde group with acriflavine or a related dye. 3.28 Since only the chain terminus is susceptible to oxidation in this way, the ment will be that of the terminal nucleotide?. Conjugates of this class have been utilized fluorescent label has in this case a definite and known location and its microenvironfor fluorescence polarization studies upon soluble RNA and synthetic polynucleo-

In conjugates of the second type substitution occurs directly upon the bases, The reagent 7-bromomethylbenz(a)anthracene (MBA) has been introduced by Po

Abbreviation: MBA, methylbenz(a)anthracene.

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Biochim. Biophys. Acta, 277 (1972) 306-322

chon et al.4 as a means of attaching a fluorescent label to DNA. Substitution occurs, 2014 the ties of the DNA are unaltered. It has been proposed that the mode of attachment on adenine and guanine bases<sup>4-6</sup>. At low degrees of substitution the physical properit does not lie wholly exterior to the double helix, but is located within the large is via a -CH2 group and that, while the benz(a) anthracene group is not intercalated, FLUORESCENT CONJUGATES OF POLYNUCLEOTIDES

Dipple et al.? have recently presented evidence that, when the reaction is carried out in dimethylacetamide, the primary sites of attachment are the N-7 positions of guanine derivatives, the N-1 of adenine derivatives, and the N-3 of cytosine derivatives. However, in aqueous media, reaction was reported to occur mainly on the amino groups of these bases7.

the fluorescent probe upon the structural characteristics of the polynucleotide and The availability of two different labels, with altogether distinct sites of attachment, offers definite advantages in interpreting the dependence of the properties of in developing the use of fluorescent probes to detect structural transitions.

The polyribonucleotides used in this study were purchased from Miles Laboraiories, Elkhart, Ind. Acriflavine and 1,2-benzanthracene were obtained from Aldrich. Sucrose was Bureau of Standards calorimetric grade. Analytical grade reagents and glass-redistilled water were used for the preparation of all solutions. Paraformaldehyde was obtained from Fisher.

## METHODS

## Preparation of acriflavine conjugates

by Millar and Steiner. The samples were stored at -20 °C in the frozen state. Because the acriflavine-polynucleotide conjugate is somewhat labile, even at -20°C, samples 1.0 groups were introduced per molecule of poly(rA) or poly(rU) for the conjugates Acriflavine conjugates of poly(rA) and poly(rU) were prepared as described were routinely reprecipitated with ethanol to remove any free dye prior to use. By application of the method described elsewhere3, it was found that between 0.5 and

# Preparation of MBA-poly(A) and MBA-DNA conjugates

7-Bromomethylbenz(a)anthracene was prepared by the method of Badger and After completion of the reaction the conjugate was precipitated with ethanol from 0.5 M KCl. The degree of labeling was determined by assuming molar extinction Cook\*. Poly(rA) conjugates were prepared by the method of Pochon and co-workers4.5. MBA-poly(rA) I was labeled to the extent of one residue in 800 and MBA-poly(rA) coefficients for poly(rA) and MBA of 104 and 5 · 104 at 260 and 360 nm, respectively. II to the extent of one residue in 900.

Concentrations of polynucleotides were calculated from experimentally desorption coefficients for each polyribonucleotide conjugate were determined by meastermined molar absorption coefficients for the buffer system being used. Molar ab-

uring the concentration of either snake venom and/or o.1 M KOH hydrolysate (\ge 18 h at 37°C) by measuring Axeo nm. The absorbance at 260 nm of the polynucle. tide conjugate could then be measured and the corresponding ezeo nm for that con jugate determined. All polynucleotide conjugates had  $\varepsilon$  values in the range 9.6 mg

except that the lyophilization step was omitted. The three DNA conjugates cited The MBA conjugate of calf thymus DNA was prepared by a similar proceding here, MBA-DNA IV, V, and VI, were labeled to the extent of one residue in 17,

Fluorescence polarization

The anisotropy\* $\mu$  of emitted light<sup>10</sup> is defined by:

$$\mu = \frac{3}{2} \cdot \frac{(I_{i,i} - I_{\perp})}{(I_{i,i} + 2I_{\perp})}$$

where  $I_{.,}$  and  $I_{\perp}$  are the respective intensities of the vertically and horizontally  $\widehat{p_0}$ arized components of the emisson when the exciting light is vertically polarized This procedure leads to a simplification of expressions relating to depolarization The familiar Perrin equation, which refers to a sphere of volume V undergoing Brown ian rotation in a medium of viscosity  $\eta$  at a temperature T (°K), now assumes the

$$\mu^{-1} = \mu_0^{-1} \left( 1 + \frac{KT\tau}{\eta V} \right)$$

where au is the lifetime of the excited state; K is the Boltzmann constant; and is the anisotropy in the absence of rotation. Substituting in the above equation the expression  $ho_0=3\eta V/KT$ , where  $ho_0$  is the rotational relaxation time, gives

$$\mu^{-1} = \mu_0^{-1} \left( 1 + \frac{3\tau}{\rho_0} \right)$$

Determination of  $\mu_0$  through a Perrin plot, as introduced by Weber<sup>11</sup> allows the deter mination of  $ho_0$ , subject to the often doubtful assumption that  $V, \mu_0$ , and the mole cular shape are independent of temperature and viscosity.

A further modification was made by the use of a mercury-xenon arc (Hanovia Static emission anisotropy measurements in our laboratory were made using a Phoenix light scattering photometer, modified as described by Millar and Steiner 901-C). Light from the lamp was passed successively through a prism monochromater polarized. A second polarizer, placed before the photomultiplier, intercepted the and a Polacoat polarizer, so that the light incident on the sample was vertically

Fluorescence intensity

equal to  $I_{\rm o}$ ,  $+2I_{\rm L}$ . Emission and excitation spectra were obtained upon either and Aminco or a Turner 210 spectrofluorimeter. The intensity of emission was obtained from emission anisotropy data and is

Biochim. Biophys. Acta, 277 (1972) 306-322

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The state of the

Elworescence lifetimes

Some of the excited lifetime measurements reported here were made with a type 556 Tektronix dual-beam oscilloscope. Measurements were also made using the TRW model 75A decay time fluorimeter with a 31B nanosecond spectral source and nanosecond time decay fluorimeters of Dr Irvin Isenberg of Oregon State University and Dr Ludwig Brand of Johns Hopkins University, to whom we are also indebted for their help and interest. The assistance of Dr Robert Schuyler is also gratefully acknowledged.

analysis of data was made by the method of moments 13.14. The parameters yielding the best fits on the assumption of one or two fluorescent components were used to generate computed decay curves, which were compared directly with the experimen-Both the above instruments utilize single photon counting<sup>12</sup>. In both cases, tal curves

Polyriboadenylic acid

The variation with pH of fluorescence intensity, anisotropy, and ultraviolet absorbance of a MBA conjugate of poly(rA) is shown in Fig. 1. Both fluorescence intensity and anisotropy indicate a transition at pH 5.8 corresponding to the transition from the alkaline form to the bihelical acid form of poly(rA). The transition, as measured by these parameters, shows significantly greater breadth than that indicated by absorbance measurements and suggests the possible existence of inter-

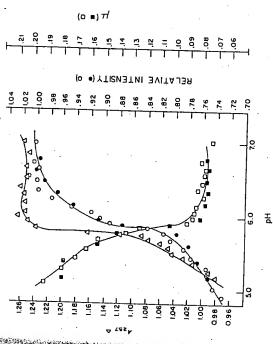


Fig. 1. The acid pH dependence of relative fluorescence intensity ( $\bigcirc$ ), absorbance at 257 nm ( $\triangle$ ) and emission anisotropy ( $\square$ ) for MBA-poly(rA) II in 0.5 M ICl, 0.001 M phosphate at 20°C. The excitation wavelength is 366 nm. Filled symbols represent reversals.

As discussed by Jablonskiin, the anisotropy is a better measure of the angular dissymetry of the emitted light than is polarization. It may be noted that the definition of anisotropy employed by some authors is simply related to ours by a factor of two-thirds.

tion time at acid pH is expected for the more ordered bihelical structure. The relative fluorescence intensity decreases with the transition to the acid form. However, as The increase in emission anisotropy, indicating an increased rotational relaxa. Fig. 2 shows, no significant spectral shifts occur upon going from pH  $\gamma$  to 5.

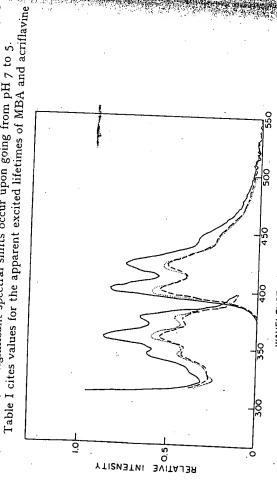


Fig. 2. The excitation and emission spectra of MBA-poly(rA) II at pH 7.0 (———) and at pH 5.0 (---) and those of the rA:rU complex of MBA-poly(rA) II (...). The solvent is 0.5 M KCl, 0.001 M phosphate at 25°C. The poly(rA) concentration is 1.5 mg/ml. The excitation and emission WAVELENGTH (nm)

VALUES OF EXCITED LIFETIMES AND APPARENT RELAXATION TIMES FOR POLY(FA) AND POLY( $\{ar{L}_{ij}^{ar{Z}}\}$ 

The solvent is 0.5 M KCl, plus 0.01 M potassium phosphate (pH 7) or 0.01 M potassium acetate (pH 5).

| Conjugate  | Hф          | T(ns)            |                            | Instrument               |       |     |
|--|-------------|------------------|----------------------------|--------------------------|-------|-----|
|  |             | I-component      | 2-component                |                          | (ns)  |     |
| MBA-poly(rA) 1   | 7.0         | 28.5             |                            | Torrit                   |       |     |
| MBA-poly(rA) 2   | 7.0         | Approx 25.2      | 29.4 (80 %)                | Isenberg<br>TWR<br>Brand |       |     |
|  | 5.0         | 27.8             | 11.1 (20 %)<br>32.2 (62 %) | Brand                    | \     | • • |
| Acriflavine-poly(rA)   | 7.0         | 5.6              | 14.8 (38 %)                | TWR                      | 7+1   |     |
|  | 7.0<br>5.35 | 5.6              | 5.7 (99 %)                 | Isenberg                 | 10    |     |
| Acritlavine-poly(rU)   | 7.0         |                  | 2.6 (>00 %)                | TWR                      | 36    |     |
| From Perrin plot, assuming r constant and equal to average (1-component) value | assumin     | g r constant and | equal to average           | (1-component)            | value |     |
| Percentage contribution of component of indicated lifetime                     | ibution o   | f component of   | indicated lifetim          |                          |       |     |
| Biochim. Biophys. Acta, 277 (1972) 306-222                                     | 277 (197    | 72) 306-322      |                            |                          |       |     |

<sup>•</sup> From Perrin plot, assuming r constant and equal to average (1-component) value · Best visual fit.

Biochim. Biophys. Acta, 277 (1972) 306-322

FLUORESCENT CONJUGATES OF POLYNUCLEOTIDES

conjugates of poly(rA), as measured on several different instruments. It was found, with the that, at both pH 7.0 and 5.0, the experimental curves of decay of intensity with time could be significantly better fitted on the basis of two fluorescence decay times than on the basis of a single decay time (Table I and Fig. 3). Decay times computed according to both models are cited in Table I. There is some indication of an increase at pH 5.0 of the contribution of the component of shorter lifetime (Table I).

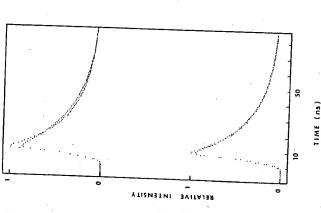


Fig. 3. Time decay of fluorescence intensity for MBA-poly(rA) II when incorporated into a 1:1 rA:rU complex in 0.5 M KCl, o.or M phosphate (pH 7.o) at 23°C. Lower: a comparison of data with best 2-component fit. Upper: best 1-component fit. Data were obtained with the nano-

In contrast, acriflavine-poly(rA) showed virtually exponential decay at both pH's with no indication of a change in lifetime with pH (Table I).

Fig. 4 shows Perrin plots of MBA-poly(rA) at pH 7.0 and 5.0. The values of  $T/\eta$  were altered by the addition of a sucrose stock solution of the same pH and ionic strength as the original buffer of the sample, while maintaining the temperature at 20°C. Apparent rotational relaxation times were determined using Eqn 3 and the results are cited in Table I. The increased rotational relaxation time at pH 5 is in harmony with the expected greater structural rigidity of the acid bihelical form in comparison with the alkaline form 15-18.

The decrease in intensity of fluorescence of MBA-poly(rA) noted in Fig. 2 and the absence of spectral shifts suggest that a decrease in the lifetime of the excited state may be occurring. The lifetime measurements cited indicate that such is

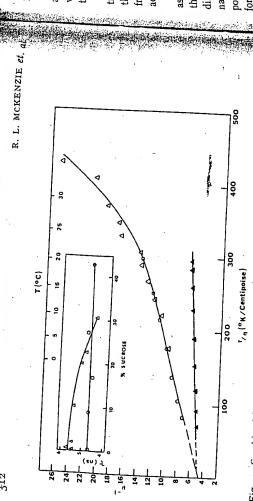


Fig. 4. Combined Perrin plots for MBA-poly(rA) I at pH 7.0, 20°C, and varying sucrose level (○); pH 7.0 in the absence of sucrose and varying temperature (△); and at pH 5.0, 20°C, and varying sucrose level (▲). The solvent is 0.5 M KCl, 0.001 M phosphate. The concentration of MBA-poly(rA) I is I mg/ml. The excitation wavelength is 366 nm. Inset: The dependence of the excited lifetime of acriflavine-poly(rA) (△) and of free acriflavine. (○) upon the sucrose level in 0.5 M KCl, 0.001 M phosphate (pH 7.0).

A 10 % decrease in  $\tau$  was observed on going from 0 to 30 % sucrose. A calculation of the expected magnitude of the effect of this change on the value of relaxation time, using the method of Johnson and Thornton<sup>19</sup>, indicates that, for the present case, the correction amounts to less than 5 %

for MBA-poly(rA) in which  $T/\eta$  was varied both by adding sucrose at a constant temperature and by varying the temperature for constant solvent conditions. In the In the temperature range of these experiments the fluorescent label does not appear to develop any new degrees of rotational freedom. Fig. 4 shows Perrin plots region ot overlap the values are identical, suggesting that  $\mu$  is a function of  $T I \eta_{ar{n}}^{ar{n}}$ 

higher temperatures, a sample was maintained at 25°C for 48 h. No change in presented in this named and accounted in this named are accounted ture range. To test for the possibility that detachment of the label might occur at occurred in this period and subsequent heating to 40°C for 30 min likewise produced appearance of new, thermally induced degrees of rotational freedom in this tempera The slight upward curvature observed above 25°C may be interpreted as the no change in  $\mu.$  This stability of the MBA conjugates, which contrasts with the  $\operatorname{acni}_3^2$ flavine conjugates, renders them particularly useful for monitoring temperature

As pointed out earlier, acriflavine conjugates can be prepared only with the purine base along the length of the chain. The excited lifetime of acriflavine-poly(rU) was determined in the laboratory of Dr Irwin Isenberg and indicates essentially and label at the terminus of the polymer, whereas the MBA label may be present on any single (> 99 %) fluorescent species having a lifetime of 5.67 ns. This homogeneity is excepted in view of the specificity of label position.

Measurements with the TWR apparatus gave a value of  $\tau$  of 5.62 $\pm$ 0.07 ns for Biochim. Biophys. Acta, 277 (1972) 306-322

Late of the Party. and the error corresponds to 2 standard deviations from the average. This value is very close to that found for acriflavine-poly(rU) and suggests that the nature of the base does not influence the acriflavine lifetime.

As with MBA-poly(rA), the lifetime was influenced by the sucrose concen-

the free dye shows no quenching by sucrose. This suggests that quenching may occur tration of the solution. Fig. 4 shows the effect on  $\tau$  of added sucrose. In contrast, from indirect effects on the polymer itself, rather than by direct interaction with

the transition profile reported here for MBA-poly(rA). There are thus no obvious The transition profile from the alkaline to the acid form of acriflavine-poly (rA), as measured by emission anisotropy by Millar and Steiner<sup>3</sup> is in close agreement with differences in behavior between the terminus and the bulk of the polymer. Determinations of the rotational relaxation times of the acid and alkaline forms of acriflavine for the acid bihelical form (Table I). The apparent relaxation times of both forms of poly(rA) are likewise in agreement with expectations of greater structural rigidity poly(rA) are lower for the acriflavine than for the MBA conjugate.

Poly(rU)

The effect of temperature upon the emission anisotropy of acriflavine– $\operatorname{poly}(\tau U)$ is shown in the form of a Perrin plot in Fig. 5. The appearance of upward curvature at about 5°C, indicating new rotational freedom, correlates well with the absorbance change at 259 nm, reported by Lipsett<sup>20</sup>, which was attributed to the thermal disruption of the organized helical form prevailing at low temperatures, the melting

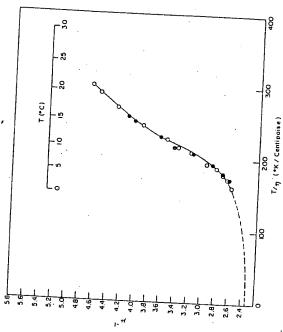


Fig. 5. The temperature dependence of the emission anisotropy of acriflavine-poly(rU) (1 mg/ml) in 0.5 M KCl, 0.001 M phosphate (pH 7.0): Filled symbols represent reversals.

Interaction of poly(rA) and poly(rU)

fraction of 0.5 and a trihelical rA:2rU species at a mole fraction of poly(rU) of 0.67 The effect of the addition of poly(rU) to a solution of acriflavine-poly(rA) of emission anisotropies of fluorescence are shown in Figs 6 and 7. The changes in abs sorbance reflect the formation of a bihelical rA:rU complex at a poly(rU) mole as reported by Stevens and Felsenfeld<sup>21</sup>. For both acriflavine-poly(rA) and MBA poly (rA) conjugates, a biphasic behavior of anisotropy is noted in the mixing curves MBA-poly(rA) on the absorbance at 260 and 280 nm and on the intensities and with a definite inflection point occurring at a poly(rU) mole fraction of 0.5, followed by a further increase in emission anisotropy up to a mole fraction of 0.67.

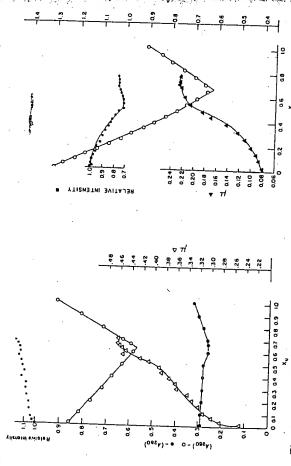


Fig. 6. The variation with mole fraction of poly(rU) of fluorescence intensity ( $\blacksquare$ ), emission anisotropy ( $\triangle$ ), absorbance at 280 nm ( $\blacksquare$ ) and at 260 nm ( $\bigcirc$ ) for acriflavine-poly(rA) (ro- $\blacksquare$ M) in 0.5 M KCl, 0.001 M phosphate (pH 7.0) at  $25^{\circ}$ C.

Fig. 7. The variation with mole fraction poly(rU) of emission anisotropy ( $\Delta$ ), fluorescence intensity ( $\blacksquare$ ), and absorbance at 257 nm (O) for MBA-poly(rA) in 0.5 M KCl, 0.001 M phosphate at measurements, respectively.

The corresponding profile of relative fluorescence intensity versus mole fraction of poly(rU) is different for the two types of conjugate. For MBA-poly(rA) a definite variation point is observed at a mole fraction of poly(rU) of 0.5. The observed species. In contrast, the acriflavine-poly(rA) conjugate shows a gradual increasing intensity with increasing mole fraction. inflection at a mole fraction of 0.5. These differences may arise from either the natures of the fluorescent label traction. species. In contrast, the acriflavine-poly(rA) conjugate shows a gradual increasing intensity with increasing mole fraction of  $\operatorname{poly}(\operatorname{rU})$ , but does not display any obvious of the fluorescent label itself or from the effects of differing positions of the label.

A comparison of the profiles of emission anisotropy as a function of mole fracn. Biophys. Acta, 277 (1972) 306-322

Biochim. Biophys. .4cta, 277 (1972) 306-322

FLUORESCENT CONJUGATES OF POLYNUCLEOTIDES

tion of poly(rU) indicates that a significant difference between the two conjugates For acriflavine-poly(rA) the emission anisotropy increases more gradually up to a occurs in the initial portion of the curve, up to a poly(rU) mole fraction of about 0.3. mole fraction of 0.5. In contrast, the emission anisotropy of MBA-poly (rA) increases increase beginning at a mole fraction of 0.5, followed by a levelling off and saturation more gradually up to a mole fraction of poly(rU) of about 0.3 and then more sharply to a mole fraction of 0.5. Both types of conjugate then show a moderately rapid at a mole fraction of 0.67.

Formation of the rA:rU and rA: 2rU species results in a significant decrease in mean lifetime of the MBA conjugate with a corresponding increase in the contribution of the short lifetime component (Table II). In contrast, the lifetime of the acrifiavine conjugate was essentially unchanged, within experimental uncertainty, over the entire range of compositions.

VALUES OF EXCITED LIFETIMES AND APPARENT RELAXATION TIMES FOR IA:IU AND IA:2IU TABLE II

| Conjugate             | Species \(\tau(ns)\) | T(ns)       |                                  | Instrument | • 0           |
|-----------------------|----------------------|-------------|----------------------------------|------------|---------------|
|                       |                      | I-component | I-component 2-component          |            | (us)          |
| MBA-poly(rA) 2        | rA:rU 24.7           |             | 32.1 (39.%)**                    | Brand      | 206           |
|                       | rA: 2rU 21.3         |             | 15.7 (61 %)<br>26.7 (44 %) Brand | Brand      | 4 morror      |
| Acriflavine-poly (rA) | rA:rU                |             | 10.0 (56 %)                      |            | rippion: 3300 |
|                       |                      |             |                                  |            | 7 92          |

Computed from Perrin plots, using average (1-component) value of r. The solvent is the same as for Table I.

Percentage contribution of component of indicated lifetime.

The increase in emission anisotropy for the rA: rU and rA: 2rU species indicates an increase in apparent relaxation time. The values of relaxation time are too long in comparison with the excited lifetime to permit computation of more than approximate values, as the slopes of the Perrin plots are close to zero. A major increase in relaxation time accompanies the transition from the rA : rU to the rA : 21  $\rm U$ 

Analysis of poly(r.4)-poly(rU) mixing curves

The method developed by Evett et al. 9 and by Ellerton and Isenberg 22 permits calculation of the fraction of labeled polymer which has acquired the characteristics of the complex species as a function of the mole fraction of poly(rU).

It is assumed that the fluorescent conjugate exists in either of two states, corresponding to those existing before and after the transition of interest. Subscripts I and 2 will designate the initial and final parameters, respectively.

Let  $\Phi_1$ ,  $\Phi_2$  = fractions of light emitted by Species 1 and 2, respectively and t= fraction of fluorescent moieties having undergone the transition from State 1 to State 2.

sity (I) is proportional to  $\varepsilon qN$ , where  $\varepsilon$  is the molar extinction coefficient, q is the If it is assumed that the solution is dilute enough so that the fluorescence inten-

Biochim. Biophys. Acta, 277 (1972) 306-322

316

quantum yield, and N is the number of fluorescent molecules, and that arepsilon and  $q_{i extit{forestate}}^{ extit{sign}}$ the fluorescent species which have not undergone the transition are unaffected by the presence of poly(rU), a derivation similar to that of Ellerton and Isenbergia

$$f_0 \to 1: 1 = 1 + \frac{I_{X_0}(\mu - \mu_1)}{I_0(\mu_2 - \mu_1)}: 0 < X_0 < 0.5$$

$$f_{1:1} \to 1:2 = 1 + \frac{I_{A_u}(\mu - \mu_1)}{I_{0:\delta}(\mu_1 - \mu_1)} : 0.5 < X_u < 0.67$$

= intensity corresponding to a particular value of  $X_{\mathbf{u}}$ . In the former State I corresponds to  $X_{\rm u}=0.5$  and State 2 to  $X_{\rm u}=0.67$ . The justification for dividing the mixing curve into two independent regions. equation State I corresponds to  $X_u = 0$ ; and State 2 to  $X_u = 0.5$ ; in the latter

lies in the demonstration that only the rA : rU species is formed up to values of  $R_{m{k}}^{m{k}}$ 

the fraction of the bases of poly(rA) which have undergone the transition and is based  $f_{1:1-1:2}$  which is computed from the change with  $X_{\rm u}$  of the absorbance at 257 of of 0.5 and that only at higher values of  $X_{\mathfrak{u}}$  is the rA : 2rU species formed  ${\mathfrak{u}}_{\mathfrak{u}}$  and  ${\mathfrak{u}}_{\mathfrak{u}}$  is the rA : 2rU species formed  ${\mathfrak{u}}_{\mathfrak{u}}$  and  ${\mathfrak{u}}_{\mathfrak{u}}$  is the rA : 2rU species formed  ${\mathfrak{u}}_{\mathfrak{u}}$  is any Fig. 8 shows  $l_{9-1:1}$  and  $l_{1:1-1:2}$  as a function of  $X_{0}$ . The dashed line indicates on the known stoichiometry of the interaction and upon the variation in /o ~ 1:1 and

curve, significant deviations occur in the case of  $l_0$ . If  $l_0 < X_u < 0.5$ . Moreover, It is clear that, while the variation in  $f_{1:1-1:2}$  is consistent with the predicted there are some differences in behavior between the acriflavine-poly(rA) and MBA poly(rA) conjugates, which show opposite deviations from the predicted curve.

are not measuring the state of the entire polymer, but rather of segments. The results shown in Fig. 8 are consistent with, and suggest, that the terminal regions of the poly(rA) molecule, which are monitored by the acriflavine label, lose rotational This kind of behavior is not unexpected, inasmuch as the fluorescent labels

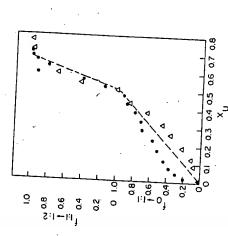


Fig. 8. The fraction of conjugate which has undergone the transition from poly(rA) to rA:rU, for acriflavine-poly(rA) (•) and from rA:zrU, fill-1:2, for acriflavine-poly(rA) (•) and for MBA-poly(rA) (△). The dashed line indicates the fractional transition based on the stoichiometry of interaction. Biochim. Biophys. Acta, 277 (1972) 306-322

317

" The section of freedom to a greater degree at low extents of formation of the rA : rU species than does the bulk of the polymer, whose behavior is reflected by the MBA label. Once the rA:rU species is formed the differences between the terminus and the balance of the molecule disappear and their behavior becomes coincident.

## DNA-MBA conjugates

Dependence of quantum yield upon pH·for a DNA-MBA conjugate in the presence of O.I M KCl is illustrated in Fig. 9 and Io. In the acid pH range a significant quenching begins below pH 6 and increases down to about pH 3. At still lower pH's a major enhancement of fluorescence with decreasing pH occurs (Fig. 9).

In the alkaline pH range, under the same conditions a significant quenching begins at about pH II, becoming maximal at about pH II.7. At higher pH's a rapid

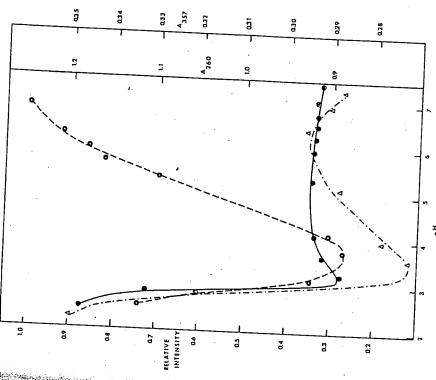


Fig. 9. Acid pH dependence of relative fluorescence yields (O), absorbance at 260 nm (•) and absorbance at 357 (△) for MBA-DNA VI in 0.1 M KCl, 0.001 M phosphate at 25°C. The concentrations for fluorescence and for absorbance at 357 nm are 0.5 and 2 mg/ml, respectively. The

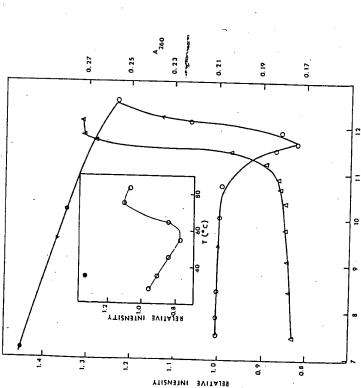


Fig. 10. The alkaline pH dependence of relative fluorescence yield (O) and of absorbance are 260 nm (Δ) for MBA-DNA VI in 0.1 M KCl, 0.001 M phosphate at 25°C. The concentration of MBA-DNA VI for fluorescence is 0.6 mg/ml. The excitation wavelength is 340 nm. The filled circles represent data obtained by back titration from alkaline pH. Inset: Temperature dependences of emission intensity for MBA-DNA IV (0.5 mg/ml) in 0.001 M phosphate (pH 7.5). The excitation wavelength is 340 nm. The filled point represents a reversal.

increase in fluorescence with increasing pH occurs, in parallel with the behavior and pH's below 3. This is accompanied by a shift to shorter wavelengths of the peak positions, the positions of the two maxima shifting from 399 and 413 nm to 396 and 411 nmm (Fig. 11). Back titration from pH 12.5 does not reproduce the original curve, but results in relative quantum yields at neutral pH which are considerably in excess of the initial values (Fig. 10).

The fluorescence changes are accompanied by changes in the absorption spectrum of the MBA group. A pronounced positive difference spectrum is developed at phi's above 12 with respect to neutral pH (Fig. 11). A positive difference spectrum is likewise developed at acid pH, the pH profile being correlated with the increase influorescence intensity below pH 3 (Fig. 0).

The disruption of the native bihelical form of DNA by exposure to extremes of pH is accompanied by a loss of hypochromism, as reflected by an increase in absorbance at 260 nm. In the acid pH range this is closely correlated with the increase influorescence intensity occurring below pH 3, while in the alkaline range its midpoint occurs in the zone of quenching (Figs 9 and 10).

Biochim. Biophys. Acta, 277 (1972) 306-322

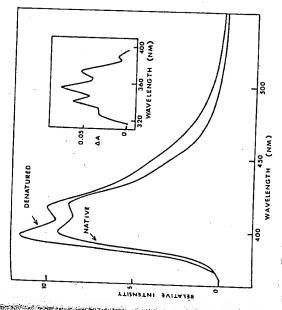


Fig. 11. Emission spectra of native (pH 7.0) and alkaline denatured (pH 12.5) forms of MBA-DNA VI (0.5 mg/ml) in 0.1 M KCl, 0.001 M phosphate. The excitation wavelength is 340 nm. in 0.1 M KCl, 0.001 M phosphate.

The acid and alkaline pH profiles of relative fluorescence intensity thus both display an initial quenching, followed by a rise in quantum yield at extremes of pH. In the case of the acid branch the decrease in intensity becomes important at much too high a pH to be identified with the classical acid denaturation of DNA, which results in a loss of hypochromism. It must therefore, result, at least in part, from either a structural transition below pH 6, or else from a direct quenching effect of the protonated adenine and cytosine groups. The rise in quantum yield below pH 3 clearly corresponds to the acid-induced helix → coil transition.

The alkaline branch is likewise biphasic. Here too, it is difficult to separate enhancement in intensity occurs in the same pH range in which actual strand separation, as reflected by a drop in molecular weight, occurs at this ionic strength, as reported by Studier<sup>27</sup>.

The temperature profile of the relative quantum yield in o.oox M potassium phosphate buffer (pH 7.5) is shown in Fig. 10. An initial monotonic decrease is followed above 65°C by an abrupt increase. Upon rapid cooling to room temperature, the enhancement in fluorescence efficiency persists. The initial decrease in intensity with increasing temperature presumably represents normal thermal quenching, while the subsequent enhancement arises from the transition to the randomly coiled, denatured form of DNA.

## Excited lifetime

Measurements with both the TRW and the instrument of Isenberg indicated

a complex and non-exponential decay curve, making it impossible to cite reliable

Effect of external perturbants whon the quantum yield

The significant responses of MBA-DNA to the quencher, KI, and to the non-

quenching perturbant, ethylene glycol, neither of which is enhanced by disruption of MBA residues are not intercalated within the stacked helical bases of the native. the bihelical structure by thermal denaturation (\* '5. --', MBA residues are not intercalated within the stacked helical bases of the native

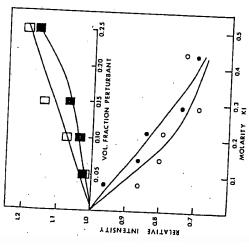


Fig. 12. Upper: Dependence of relative fluorescence yield upon volume fraction of ethylene glycol for the native ([]) and denatured ([]) forms of MBA-DNA VI (2 mg/ml) in 0.1 M KCl, 0.001. M phosphate (pH 7.5) at 25°C. Lower: Dependence of relative fluorescence yield upon molarity; of KI for the native (Q) and denatured (•) forms of MBA-DNA V (0.08 mg/ml) in 0.1 M KCI, o.oor M phosphate (pH 7.5) at 25°C. For both upper and lower curves, the excitation wavelength was 340 nm. Thermally denatured DNA was produced by heating at 100°C for 15 min.

The quantum yield and anisotropy of both types of conjugate are sensitive to such transitions.

In contrast to the acriflavine conjugates, MBA conjugates of poly(rA) show more than one fluorescence decay time in the conjugates.

In contrast to the acriflavine conjugates, MBA conjugates of poly(rA) show more than one fluorescence decay time, indicating a heterogeneity of microenvironment of the label or differing positions of attachment to the base. In this case a significant

Although Dipple et al. 7 have reported that the amino groups are the primary sites of attachment when the reaction is carried out in an aqueous medium, it is difference in lifetime is noted for the acid and alkaline forms.

Biochim. Biophys. Acta, 277 (1972) 306–322

FLUORESCENT CONJUGATES OF POLYNUCLEOTIDES

difficult to exclude the possibility of some degree of attack at the N-7 position. A the relation of the fluorochrome to the regions of stacked bases may vary, since more mixture of both species could explain the presence of two decay times. Alternatively,

Perrin plots for both acriflavine and MBA conjugates of the alkaline form of poly(rA) yield similar pictures of the conformational state of the polymer. Considerwhere several of the assumptions involved in derivation of the Perrin equation, inable caution is of course necessary in interpreting Perrin plots for systems of this kind, cluding especially those of random orientation of the label and absence of free rotation of the label, may not be valid. The relaxation times obtained in this way should thus be regarded as only apparent values. Nevertheless, it appears clear that the values of relaxation time obtained for either conjugate are much too small to be consistent with a rigid molecule having the dimensions of the polynucleotide chain. The equivalence of anisotropy values obtained as a function of  $T/\eta$  by adding sucrose and by varying the temperature suggests that thermally activated rotation of the tion time obtained for MBA and acriflavine conjugates of alkaline poly(rA) indicate label is not a major factor in this temperature range. The comparable values of relaxathat the terminal nucleotide is not atypical with respect to rotational freedom.

The apparent values of relaxation time for alkaline poly(rA) are, however, large in comparison with that expected for a single nucleotide and indicate a significant degree of rigidity of the polynucleotide strand. Indeed the observed values would be formally equivalent to a rotational kinetic unit containing 100 nucleotides.

Results with both labels thus agree that the single-stranded, interrupted helical form characteristic of alkaline poly(rA) possesses significant structural rigidity, although the average rotational kinetic unit does not encompass a major fraction of

The major increase in apparent relaxation time observed with conjugates of both types upon making the transition to the acid form of poly(rA) is consistent with the increase in rigidity expected in view of the double stranded helical nature of the latter. Here a significant difference is observed for the two conjugates, those of the acriflavine type having a somewhat lower relaxation time, perhaps as a consequence of increased flexibility of the terminus.

The interaction of poly(rA) with poly(rU) is, as would be expected, accompanied by a major increase in apparent relaxation time, corresponding to the transition to a more rigid bi- or tri-helical structure. With both types of label a major difference is noted between the rA:rU and rA: 2rU helical species, the latter having complex does not approach complete structural rigidity, which requires the addition a much higher apparent relaxation time. It seems clear that the bi-helical rA :  $r ilde{
m U}$ of a third strand. As there is no evidence for any significant interruption of the bihelical structure, it is likely that this reflects an intrinsic flexibility of the bi-helical

Very little rotational freedom of the MBA label appears to persist for the rA : $^{
m 2rU}$  species. If the preferred site of attachment is indeed the 6-amino group of adenine it would be expected that this might interfere with the formation of a stable base pair. Apparently this does not result in sufficient local flexibility to affect the rotational mobility of the label to a major degree.

The results with MBA conjugates of DNA are consistent with, and suggest a

R. L. MCKENZIE et al

relatively high degree of exposure to solvent, as is reflected by its response to per furbants and quenchers. This would be the case if the MBA groups were located within the wide groove of the bi-helical structure, rather than intercalated within

Nevertheless, the microenvironments of the MBA groups appear to exert by the pronounced changes accompanying the helix ightarrow coil transition. Loss of the significant influence upon their spectral and emission properties, as is manifested helical structure results in an increase in fluorescence yield, a shift in emission wave length, and the development of a difference spectrum.

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Biochim. Biophys. Acta, 277 (1972) 306-322

BIOCHIMICA ET BIOPHYSICA ACTA

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STUDIES ON TRANSFER RNAS

II. MODIFICATION OF ESCHERICHIA COLI FORMYLMETHIONINE TRANSFER RNA

Faculty of Pharmaceutical Sciences, Hokkaido University, Sapporo (Japan) YOSHIYUKI KAWAMURA AND YOSHIHISA MIZUNO (Received March 9th, 1972)

SUMMARY

Treatment of tRNAMet with hydroxylamine caused specific modification of the 26 cytosine residues in the tRNA were modified. The modified tRNA was found cytosine residues among a number of constituent nucleobases and only three out of to charge methionine up to 64 % of its original acceptance.

2. By base-sequence analysis of the modified tRNA, it was found that  $C_1$  (the Cresidue at position I counting from the 5'-end),  $C_{16}$  in the dihydrouridine loop and

3. As compared with earlier findings on the chemical modification experiments of tRNA, a striking result of the present work is that a cytosine residue in the anti-C,8 in the 3'-terminus were modified to the extent of 70, 50 and 80 %, respectively. codon CpApUp was resistant to this modification.

INTRODUCTION

Chemical as well as enzymatic modifications of tRNA may be promising tools for studying the interrelationship of the structure and function of tRNA. The method of chemical modification should yield a tRNA with chemical alternations of known distribution, extent and character.

The reaction of hydroxylamine with cytosines has been well studied, largely because of an interest in the mutagenic action of this reagent whose action is primarily associated with the modification of cytosine residues in RNAs or DNAs, especially

The hydroxylamination of cytosines may give rise to the corresponding N4hydroxycytosine derivatives as well as 5.6-dihydro- $N^4$ -hydroxy-6-hydroxylaminocytosine derivatives. This reaction is quite specific for cytosines, provided that the reaction is carried out in acidic regions se.

Abbreviations: <sup>D</sup>C , N<sup>4</sup>-hydroxycytidine 3'-phosphate; hydroxylamine-adduct of cytine or C is short for 5.6-dihydro-N<sup>4</sup>-hydroxylaminocytidine; CMC, N-cyclohexyl-RNA, the recommendations of the IUPAC-IUB Commission on Biochemical Nomenclature have been followed: s<sup>4</sup>U, 4-thiouridine; m<sup>2</sup>G, 7-methylguanosine; Cm, 2'-O-methylcytidine.

Biochim. Biophys. Acta, 277 (1972) 323-334

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Nucleic Acids Research

Fluorescence probing of nucleic acids: L. singly and doubly labeled dithymidine phosphate: fluorescence and energy transfer studies

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Received 25 April 1975

BSTRACT

Dithymidine phosphate labeled at its 5' end with a naphthalche-carbamaticharacterized by chromatography and absorption and fluorescence measurements. Models for three possible conformers where the dye is solvated, stacked on the first thymine or intercalated between the two thymines are given with their absorption and fluorescence spectra. The doubly labeled molecule prich their In and A form a donor-acceptor energy transfer pair has also been prepared. The energy transfer rate has been measured from the donor fluorescence lifetime

INTRODUCTION

dy conformations of biomolecules and to investigate interactions involving biopolymers. Although many studies have been reported of fluorescence pro-Fluorescence probing has proved to be a powerful technique to stunucleic acids lies in their structure; there is no unique site for intercence probing of nucleic acids conformations . The difficulty in probing action of a fluorescent dye with nucleic acids in contrast with a protein bing of protein conformations, only few studies have dealt with fluoresamino-acids, particularly tryptophan, can act as intrinsic probes of prowhere a probe often interacts with the active site. In addition aromatic base analogues  $^2$  . Our approach is to label nucleic acids with dyes at spenucleic acids interactions. One may also prepare a doubly labeled nucleic cific sites, for example the 3' and 5'positions of the end riboses of the teins. In nucleic acids, however, the bases exhibit only very weak fluoacid and use the efficiency of energy transfer to study conformational: rescence. One approach to tackle the problem is to prepare fluorescert chain. Such singly labeled nucleic acids can be used to study proteinschanges due to its interaction with another nucleic acid chain or a protein. Obviously this technique is limited to short labeled DNA chains since energy transfer can hardly be measured beyond 50 Å.

In this paper we shall discuss the preparation and spectroscopic

Properties of a dithymidine monophosphate which is labeled at its 5'end with a naphthalene derivative and at its 3'end with an anthracene derivative, forming a donor-acceptor pair (DTpTA). The energy transfer rate in this doubly labeled nucleic acid is obtained from fluorescence lifethe enasurements. Implications of these results for the determination of I - CHEMICAL SYNTHESIS

The method used to synthesize the doubly labeled dithymidine phosphate DTpTA is applicable to longer chains; it consists of three steps;

a) Preparation of the 5' labeled monomer (DT)

Preparation of s'

Preparation of 5' naphthalene-2-carbamate thymidine-3'0H (5'NC-Thy-3'0H) compound II in Fig. 1 was described in an earlier paper <sup>3</sup>. It is ted at its 3' position.

Fig. 1 : Compounds referred to in the text.

b) Condensation (DIpT)

An excess of the 5' labeled nucleotide is condensed with 5'-mono-phosphate-thymidine to give the dimer. The condensation is done by the procedure used for oligothymidilic acids  $^4$  in dry pyridine with dicyclohexyl-

Nucleic Acids Research

carbodifinide as the condensing agent. To avoid condensation of non-labeled nucleotides we used a 3' acetyl protected phosphate thymidine. The condensation reaction is written as follows:

5'NC - Thy - 3'OH + 5'PO3H - Thy - 3'Ace + 5'NC - Thy - PO3H - Thy - 3'Ace

The condensed product can be deacetylated easily  $^4$  without removing the 5' label in amsoniacal solution at pH = 11.

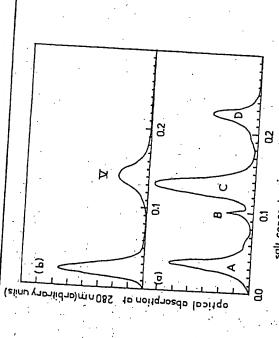
Separation of the condensation products was done on a DEAE-cellulosse column, ammonium acetate was used as eluent. The elution pattern is shown on Fig. 2a. Three main peaks are observed: A, C, D. Thin layer chrowater) shows that product A is 5'-naphthalene carbamate-thymidine (R<sub>f</sub> = 0.94) and that products G (R<sub>f</sub> = 0.73) and D (R<sub>f</sub> = 0.65) are new compounds. C is four times more abundant that D. The nature of products G and D was gives back the two starting compounds, i.e. 5'-naphthalene-2 carbamate thymidine-3'OH (compound II of Fig. 1) and 5'phosphate-thymidine-3'OH, idennidine-3'OH (compound G and D were found to contain equal amounts of the two hydrolysis products were separated on DEAE-cellulose columns and their quantities determined by absorption spectroscopy. Both compounds G and D were found to contain equal amounts of the two hydrolysis products. From this analysis two conclusions can be drawn:

"C and D are both condensation products of one 5'Naphthalene carba-mate-thymidine and one 5'phosphate thymidine.

- In both compounds condensation is through a O-P-O bridge, since this is the basic requirement for phosphodiesterase action<sup>5</sup>. These results can be taken as evidence that both products C and D correspond to compound III, Fig. 1, they will be called IIIC and IID.

c) Labeling at the 3' end (DTpIA)

Labeling of the 3'0H end of compound IIIC was done by action of 2-isocyanate in dry pyridine at room temperature. Anthracene-pisocyanate is not commercially available and is prepared by action of phosgene on 2-anthramine. Excess of anthracene isocyanate after completion of the reaction is removed by addition of methanol to give 2-anthracenethe elution diagram is shown on Fig. 2b. The first eluting product is shown comparison of its absorption and fluorescence spectra to those of IV (see duct paragraph) to contain Anthracene carbamate, it is considered as product V, Fig. 1.



salt concentration (moles) Fig. 2: Chromatography on DE AE cellulose column.

- a) Elution diagram of compounds after condensation (1 b). B produced in small amount does not contain naphtalene, it is not studied further. b) Elution diagram after labeling the 3' end (I c).

was prepared by direct action of 2-anthracene isocyanate on 5'-trityl-thyanthracene and thymine. Compound IV was used as a reference for absorption midine 3'0H and subsequent detritylation as described for 5'0H-thymidine- $\mathfrak{I}^{\mathsf{i}}$ naphthalene $\mathfrak{I}^{\mathsf{3}}$ . The product was analysed by NMR and shown to contain both In addition, S'OH thymidine-J'anthracene carbamate (IV on Fig. 1)

## - OPTICAL MEASUREMENTS

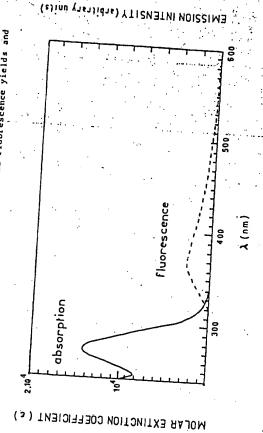
2.5 ns. Optical filters are used for excitation and emission lights : for the emission, for the acceptor fluorescence a MTO 404 for excitation and a VOM 22 fluorescence spectra on a Jobin-Yvon spectrofluorimeter. Fluorescence spec-Ble photon counting techniques. The excitation light is produced at a reper trument. Lifetime measurements were done with an instrument built on sindonor fluorescence a MTO 308 in excitation and a Kodak Wratten VOM 26 in tra were not corrected for the wavelength dependent response of the institive rate of 60 000 per second by a spark in air; it has a width of Absorption apectra were run on a Beckman Acta III spectrometer,

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for emission. Excitation light was convoluted with different exponentials to fit the fluorescence decay curves. Details of the set up will be given in a later publication by H. Lebret and J.M. Le Pecq. III - RESULTS AND DISCUSSION

a) Study of compound II

Absorption and fluorescence, spectra and fluorescence quantum yield of negligible change in absorption is observed, the maximum of the emission II in methanol have already been reported  $^3$ . From methahol to water while band shifts from 350 nm to 375 nm (Fig. 3). The fluorescence yields and



Absorbtion and fluorescence spectra of compound II in water at room temperature. Fig. 3:

lifetimes of II in methanol and water are shown on Table I. For comparison we have tabulated the fluoreacence yields and lifetimes of the isomer of II, i.e. 5'OH-thymidine-3'a-naphtylcarbamate.

- Thymine drastically quenches the fluorescence of the dye when it is bound: The data from Table I lead to the following observations :
  - to the 5' position of thymidine but not when bound to the 3' position. This quenching effect is much larger in water than in methanol.

occur when the dye is at the 5' position (Fig. 4a) but not at the 3' position. Molecular models show than in thymidine dye-thymine stacking can

| 1 |          |        | _             |      |   |      |   |      |   |        |   |        |       |   |           |    |
|---|----------|--------|---------------|------|---|------|---|------|---|--------|---|--------|-------|---|-----------|----|
|   |          | d nsec | methono       | \$   | ? | ç    | ? | 5    |   |        | _ |        |       |   | _         | Ī  |
|   |          | - 1    | mernana water | 81   |   | 18   |   | 18   |   | 18     |   | 1      | <br>? | - | 7         | 16 |
|   | ŏ        |        |               | 0.75 |   | 0.20 |   | 0.75 |   |        | 1 |        |       |   | $\dagger$ | _  |
|   |          | Water  |               | 100  |   | 005  |   | 0.85 | 1 | 0.02   | T | 600    |       | _ |           |    |
|   | Compound |        |               | ч ,  |   | H    |   | Liso |   | U<br>目 |   | B<br>B | 10000 |   | dcceptor. |    |
|   |          |        |               |      | _ |      | _ |      |   |        | _ |        |       | Þ |           | 1  |

room temperature in water and methanol. The yields were and cyclohexane. Iliso = 5'OH-thymidine-3'a naphthalene for which Qf = 0.1 is taken10 and corrections were made for difference in refractive indices of water, methanol Table I : Fluorescence quantum yields  $(0_{f f})$  and lifetimes  $( au_{f f})$  at measured by comparison with maphthalene in cyclohexane

Compounds I and II are the same in a given solvent in spite of very different cules by enhancement of their intersystem crossing rate due to charge-trans-It has been shown $^7$  that stacking can produce quenching of fluorescent molefer interaction. On the other hand, stacking in polynucleotides is stronger in water than in organic solvents such as methanol. These remarks suggest quäntum yields. This striking result can be understood if we consider the interaction. Table I shows in addition that fluorescence lifetimes for that fluorescence quenching in II is caused by a dye-thymine stacking

second state the dye is stacked with thymine (Fig. 4a) and its fluorescence with thymine (Fig. 4b) and has the same fluorescence yield as in I, in the 1) The dye in II can be in two states, in the first the dye is not stacked

2):The dye cannot pass from one state to the other during the fluorescence

These two states can be two conformations, or two sets of conformations of molecule II. Quantum yield values show that in water, fluorescent non stacked molecules represent only 5% of all molecules II.

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b) Study of compounds C and D

Results of enzymatic analysis given above show that the two fractions C and D correspond to the same structure III of Fig. 1. Absorption spectra of C and D are closely related, the maximum of the first absorption band of C is at 268 nm, while that of D is at 260 nm.

results indicate along the model given in paragraph ITIa that the conformation, than that of II, while its fluorescence lifetime is the same (Table 1). These where the dye is stacked on the neighbouring thymine (Fig. 4a) is still more dye is not stacked (Fig. 4b) represent in this case only 2% of all molecules than it is for II, and that the molecules for which the The fluorescence spectrum of IIIC (fig.5) has its maximum at 375 nm like those of I and II. Its fluorescence quantum yield is still smaller







b - molecules C in "non-stacked" conformation c - molecules C in "intercalated" conformation. Fig. 4 - a - molecules C in "stacked" conformation

The fluorescence spectrum of coupound IIID is considerably red-shifted (fig. 5). If as stated above III C and III D correspond to the same structure they should be conformational isomers (or conformers). These conformers are stable at room temperature in water and in the conditions of chromatography conversion being monitored by the fluorescence spectra. The stability of conformers III C and III D seems quite high since heating to 90°C in water Compared to those of I, II and III C, its maximum being at around 435 nm on cellulose. We looked for pathways from one conformer to the other, the

proved 'insufficient. It is well known that large concentrations of urea can destroy tertiary structure in nucleic acids. The presence of urea to concentrations up to 7 M is insufficient at room temperature but at 90°C it can induce transition from IIIC to IIID (fig. 5) but not from III D to III C, indicating that conformer III D is more stable than conformer III C,

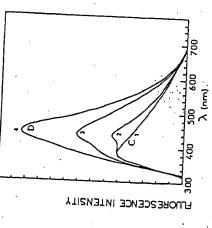


Fig. 5: Fluorescence spectra of conformers IIIC and IIID and transition

from IIIC to IIID monitored by fluorescence spectra after hea
ting in 7 M urea at 90°C

1 - Conformer IIIC

2 - After heating 20 min

3 - After heating 1 h 30

4 - After heating 5 h, nearly complete conversion to IIID An isostilbic point appears at 340 nm Observation of molecular models shows that the naphthalene dye is bound to thymidine with enough flexibility so that it can pass under the neighbouring thymine. This leads us to a tentative model for structure of conformer IIID where the naphthalene dye is intercalated between the two thymines at 435 nm which is never observed with the isolated dye I, or the labeled monomer II. The hydrophobicity of the dye would make the intercalated conformer (Fig. 4c) more stable than the conformers in which the dye is solvated (Fig. 4b) between the bases by a simple translation movement parallel to the bases but has to perform a translation plus a rotation which require that the two thymines separate by more than the thickness of the dye. This stretching of the

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molecule in the transition state must be energetically disfavoured which explains the difficulty to pass from IIIC to IIID. During the formation of compound III the condensation of 5'-phosphate thymidine on compound II traps the dye in conformations where it is on one side or the other of thymine, which will correspond to conformers IIIC or IIID. This trapping would explain how conformer IIIC can be five times more abundant though less stable than conformer IIID.



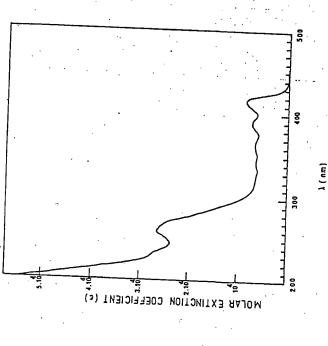


Fig. 6 : Absorption spectrum of compound IV in water at room temperature

The first and second absorption bands of the acceptor IV in water are shown in Fig. 6, excitation and emission spectra for fluorescence are shown in Fig. 7. The region of interest shows two excitation bands and an excitation minimum at 300 nm. The quantum yield of IV in water is 0.09; a solution of anthracene in cyclohexane was used as a reference. The fluorescence lifetime of IV was measured as 16 nsec.

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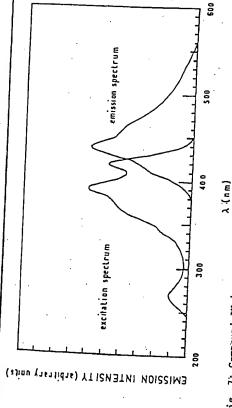


Fig. 7: Compound IV in water at room temperature:

- fluorescence emission spectrum excited at 370 nm - excitation spectrum of the emission at 460 nm.

# d) Energy transfer in the doubly labelled dimer V

both donor and acceptor emissions. The excitation wavelength was chosen such that efficiently excited. Evidence of energy transfer can be derived from a comparison of excitation spectra of the acceptor fluorescence in the absence (compound IV) from comparison of the donor fluorescence yields in III C and V. However these The emission spectrum of V in water excited at 308 nm, (fig. 8), shows direct excitation of the acceptor moiety is at a minimum while the donor is or in the presence (compound V) of the donor moiety. Itcan also be derived methods are notdirect and they require a number of corrections;

2) Corrections must be made for absorbancies at 308 mm so that the fraction of Direct excitation of the acceptor must be corrected for.

 Changes in quantum yield of the donor by the presence of the acceptor moiety may be induced by factors other than energy transfer, for example the acceptor compounds II and III C, the presence at the second thymine in III C decreasing moiety may induce changes of conformation of III C. This effects occurs in light that is absorbed by the donor moiety alone is calculated.

the donor fluorescence yield (Table I).

In contrast evaluation of energy-transfer from lifetime values is direct molecule. Moreover we have seen that the donor fluorescence lifetime remains and does not require correction for absorption of the other moieties in the constant in I, II, and III C and seems to be quite insensitive to stacking.

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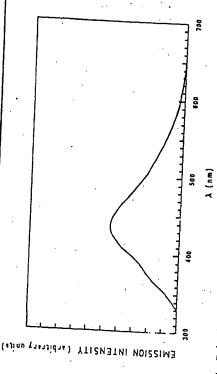


Fig. 8: Emission spectrum of V excited at 300 nm in water, at room

We have measured the donor fluorescence lifetime in V and compared it to that in III. A short lifetime component of 3 ns is observed which accounts the presence of the acceptor dye. This deexcitation process can be energyfor 99% of the emitted light (a second component of 16.ns is shown to be deexcitation process of the donor fluorescence is operating in V due to due to the presence of the acceptor on the molecule. However this second acceptor fluorescence passing through the emission filter). The drastic drop in the donor fluorescence lifetime is taken as evidence that a new transfer to the acceptor or can be induced by conformation changes in V hypothesis can be ruled out on the following grounds:

spite of the presence of the dithymidine phosphate and further addition of the acceptor separated from the donor by two thymidines is very unlikely 1) In I, II and IIIC donor fluorescence lifetime is the same in to cause any serious perturbations on the latter.

lifetime in molecules IV and V (Table I), which shows that the presence of the donor dye at one end of the dithymidine phosphate does not affect the has the same The acceptor fluorescence excited at 400 nm acceptor fluorescence lifetime at the other end.

In conclusion, we consider the measured decrease in the donor fluorescence lifetime as a direct evidence for energy transfer to the acceptor in compound V. We call  $k^{}_{
m D}$  the fluorescence rates of the donor in II and IIIC and  $\mathsf{k}_{\mathtt{T}}$  the energy transfer rate in V. From lifetime measurements compounds IIIC and V (Table 1);

 $\frac{1}{k_D}$  = 18 ns and  $\frac{1}{k_D + k_T}$  3 ns hence  $\frac{k_T}{k_T}$  = 6

Forster's formula for the donor-acceptor energy transfer rate constant  $k_{\Gamma}$  can be written  $^8$  as a function of  $R_{\Gamma}$ 

$$k_{\rm I} = k_{\rm D} \left(\frac{{\rm R}}{{\rm R}}\right)^6 \dots$$
 (1)

$$R_o^6 = 0.87 \times 10^{-24} \frac{Q_D^F \, K^2}{D_0^4} \, I \tag{2}$$

fluorescence rate constant  $k_{\mathbf{D}}$  .  $Q_{\mathbf{F}}$  is the fluorescence quantum yield of the where R $_{
m O}$  is the donor-acceptor distance at which k $_{
m T}$  equals the donor donor, i.e. the dye in non stacked conformations, i.e.  $q_{
m F}$  = 1.

is the overlap integral of donor fluorescence and "2 fp(v) EA(v) dv

acceptor absorption, where –  $\nu$  is the wave number in  $cm^{-1}$ -  $f_{\mathbf{D}}(\mathbf{v})$  is the spectral distribution of donor fluorescence normalized such that

 $\left(egin{array}{ccc} \mathbf{f}\left(\mathbf{v}
ight) & \mathbf{d}\mathbf{v} & \mathbf{l} & \mathbf{c}_{\mathbf{A}}\left(\mathbf{v}
ight) & \mathbf{t} & \mathbf{t} & \mathbf{c}_{\mathbf{C}} & \mathbf$ 

between the transition moment vectors of both molecules,  $\phi_{
m D}$  and  $\phi_{
m A}$  are the orientation factor. K = cos  $\phi_{\mathrm{DA}}$  = 3 cos  $\phi_{\mathrm{D}}$  cos  $\phi_{\mathrm{A}}$ , where  $\phi_{\mathrm{DA}}$  is the angle n is the refractive index of the solvent, in this case water. K is the angles between vector DA and D and A transition moment vectors.

ter than the transfer rate, the average value of K $^2$  is 2/3, in this case the calculated value for R  $_{
m O}$  using (2) is 32 Å. From the donor fluorescence life-If donor and acceptor chromophores are free to rotate at a tate fastime measurement we find R = 24 Å from (1). This value is consistent with the structure given for pTpT  $^9$  and molecular models.

These correspond to conformers where the dye and the thymine are not stacked, the two dyes are free to rotate on the chain. On the contrary, this work has can act as donors in only a fraction of all molecules V present in solution. These conformers are separated from stacked conformers by an energy barrier that cannot be overcome at room temperature during the donor fluorescence However there is no evidence that may support the assumption that shown that naphthalene carbamate dyes bound in 5' position fluoresce and lifetime of 18 ms. Bence the possible directions that donor transition

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moments can assume on the chain may be limited. On the 3'-end of molecule V the anthracene carbamate acceptor dye is seriously limited in its rotation by the steric hindrance of the ribose ring to which it is directly bound.

tides can be labelled with fluorescent dyes specifically at both ends. This in fluorescence lifetime measurements allow determination of energy transspecificity gives to such systems a potential use to study their confor-In conclusion, this work on TpT labelling shows that oligo-nucleorelatively long lifetime value for the fluorescent donor and the accuracy mational changes due to interaction with proteins and nucleic acids. The fer rates for longer oligo-nucleotides containing up to 10 residues.

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The author wishes to thank Dr M. LEBRET with whom fluorescence lifethe preparation of anthracene isocyanate, and Prs EL-BAYOUMI, LANG, SCHOTT, the enzymatic analyses, Dr SPACH, Hme CAILLE, M. BOUTROY for their help in times have been measured on his equipment, Dr A. BERNARDI for his help in G. BERNARDI, A.M. MICHELSON, for fruitful discussions.

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# ETHODS IN ENZYMOLOGY

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# Methods in Enzymology

Volume XL

# Hormone Action

Part E

Nuclear Structure and Function

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Water Harrier

Sample Preparation. Most of the important considerations of sample in references cited in footnotes 15 and 16. It is worth reiterating that for calculations of the radioactivity of an isotope pair to be reliable, both sions with microscopic micelles may be counted as well as true solutions if three cautions are observed: (a) If one of the isotope pair is <sup>3</sup>H or <sup>125</sup>I, it must be in the same phase as the other isotope. This might seem to be a trivial problem since emulsion counting is of aqueous samples, but preparation for liquid scintillation counting can be conveniently reviewed isotopes must be uniformly distributed in the sample. Translucent emulit is not; there may be differential extraction of the labeled solutes into the organic solvent. (b) Absorption (of the lower energy isotope in par-If the Emax of an isotope is equivalent to or greater than 14C, and a comticular) onto the vial surface may greatly alter detection efficiency.17 (c) mercial solubilizer of aqueous samples has been included in the sample, the surfactant itself may fluoresce in response to radioactivity. This renders quench correction curves derived from sealed commercial standards invalid.18 All these proscriptions may be summarized in the cardinal, and frequently neglected, general rule for scintillation counting: standards should be of the same geometry as the unknown samples.

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## [22] Use of Antibodies to Nucleosides and Nucleotides in Studies of Nucleic Acids in Cells

By В. Г. Евгансев, W. J. Klein, Jr., V. G. Dev, R. R. Schreck, and O. J. MILLER The preparation of purine- and pyrimidine-protein conjugates and their use in eliciting base-specific antibodies that react with nucleic acids have been described in this series, Volume 12B [173]. Their reaction with regions. It follows, therefore, that demonstrable reaction with these antibodies is evidence for "single-stranded areas." Fluorescein-tagged i.e., that the nucleic acid be denatured or, at least, have single-stranded nucleic acids requires that the purifie or pyrimidine bases be unpaired.

# ANTIBODIES IN STUDIES OF NUCLEIC ACIDS IN CELLS

ness could be demonstrated only during S phase of the cell cycle.1.2 In antibodies have been used to study cell nucleiv: and metaphase chromostudies with metaphase chromosomes, local areas, rich in A-T and G-C somes.2-4 With respect to the former, it was found that single stranded. base pairs, have been localized.

# Nuclear Fluorescence of Mouse L Cells

Fluorescein Conjugates. Fluorescent sheep anti-rabbit globulin and and purification of the fluoresceinated conjugates were carried out as described by Dedmon, Holmes, and Deinhardt. Crystalline fluorescein isothiocyanate (Sylvana Chemical Co., Orange, New Jersey) was conjugated to protein following the procedure of Hsu (K. Hsu, personal communication). After each step in the preparation and purification of conjugates, the antisera were checked for the presence of antibody by the fluorescent rabbit anti-sheep globulin were obtained from Pentex Kankakee, Illinois and from Nutritional Biochemicals Corp., Clevel Ohio. Using antinucleoside or anti-BSA antisera, globulin prepa. gel diffusion method.

Tissue Culture. Mouse L cells (derived from strain 929, Earle) were grown in 250-ml plastic tissue culture flasks (Falcon Plastic Co., Los Angeles, California) at 37° for 4-7 days. The sheet was detached and dispersed in 0.25% trypsin in phosphate-buffered saline (PBS) with sodium ethylenediamine tetraacetate and glucose.

The cells were collected by centrifugation and suspended in culture medium. (Eagle's minimum essential medium' with 10% fetal calf serum, amino acids at 0.1 mM: L-alanine, L-asparagine  $m H_2O$ , L-asparatic acid, Leglutamic acid, Leproline, Leserine, and glycine. All medium constituents were obtained from Grand Island Biological Co., Grand Island, New the concentration of glutamine, cofactors, and vitamins; and nonessemal heat treated at 56° for 30 minutes to inactivate possible mycoplag contaminants; penicillin G, 15 units/ml; streptomycin, 15 µg/ml; d York).

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The state of

Plastic flasks with 25 ml of culture medium were inoculated with  $4 \times 10^6$  cells (approximately) or Leighton tubes containing cover slips were inoculated with 1.3 to  $1.5 \times 10^5$  cells in 1 ml of medium. The coverslips slips were removed after various times of incubation and, after three washes of 5 minutes each in phosphate-buffered saline (PBS) (0.14 M NaCl, 10 mM PO, pH 7.3), were fixed by one of the following methods: (a) air-drying 1–2 hours at 37°; (b) air-drying 1–2 hours at 37°, storing at 4° for 1–21 days, and dipping in methanol at room temperature immediately prior to staining; and (c) air-drying 1–2 hours, at 37°, placing at 4° for 1–21 days followed by treatment with 95% ethanol for 30 seconds at room temperature just prior to staining. Method (c) gave the most consistent results.

Pluorescent Antibody Staining. Antisera were diluted in PBS to 2-4 mg/ml total protein as measured by a hand refractometer. The coverslips were placed on a small staining rack in a humidity chamber, and 5 drops of antiserum were added onto the surface of each coverslip. The antiserum was allowed to react for 20 or 30 minutes at room temperature. Then the coverslips were drained and rinsed with a forced stream of PBS. This was followed by 2 washes in PBS for 10 and 5 minutes duration and another vigorous rinsing with PBS. The coverslips were then mounted in glycerol (1 part): PBS (4 parts) pH 7.5 on standard microscope slides (less than 1 mm thick) and immediately examined under the UV microscope.

In "blocking" experiments, unfluoresceinated globulin was allowed to react with the cells as above for 1 hour (1 replacement with fresh globulin at 0.5 hr) at 37°. The coverslips were washed as above and then stained with the fluoresceinated antiserum.

Microscopy and Photography. A Zeiss Standard Universal Microscope fitted with an HBO 200 Osram mercury burner and a 1.2/1.4 Z dark-field condenser was employed for UV microscopy. The exciter filters were BG 12, 4 mm, and BG 39, 2.5 mm. Barrier filters were Zeiss 47 or 50. The lens system consisted of a 40  $\times$  oil immersion objective, a 10  $\times$  eyepiece (5  $\times$  eyepiece to camera) and a 2  $\times$  Optovar. Photographs were taken with a Zeiss Ikon 35mm camera (factor 0.5) using Anscochrome 200 ASA color daylight or Agfa Isopan Record (black and white) film.

## Reaction of Anti-nucleoside Antibodies with Human Metaphase Chromosomes

The anti-nucleoside antibodies have been shown to bind to fixed human metaphase chromosomes only after treatment with denaturing agents such as aqueous solutions of NaOH. Such treatment can produce swollen

and distorted chromosomes which obscure the pattern of antibody binding to chromosomes. We therefore investigated the possibility of replacing NaOH with a saline solution of formamide, which reduces the thermal stability of DNA.\* This method, described below, enabled the antinucleoside antibodies to bind to human chromosomes with minimal distortion.\* We then investigated other methods for generating single-stranded DNA in chromosomes. Methylene blue-mediated photooxidation selectively destroys guanine residues in DNA in solution,\* freeing the formerly hydrogen-bonded cytosines. We have used this procedure to attach anti-cytosine antibodies to fixed metaphase chromosomes.

of an isotonic aqueous solution of sodium heparin (Organon) to prevent clotting, and allowed to sit undisturbed at room temperature until the leukocytes in plasma was transferred to sterile tissue culture flasks containing 10 ml of complete Eagle's minimal essential media (MEM) (plus of phytohemagglutinin "M" (General Biochemicals). Cultures were grown in Hanks' balanced salt solution (Grand Island Biological Co.) was added to each flask, which was then reincubated at 37° for 1 hour. The milliliters of venous blood was collected in a syringe containing 0.5 ml penicillin-streptomycin, L-glutamine and 20% fetal calf serum) and 0.5 ml red blood cells have settled. About 1 ml of the resulting suspension of at 37° for 3 days. After this incubation, 0.2 ml of Colcemid (10 µg/ml) pended in hypotonic solution (0.075 M KCl). After 2 minutes, the suspension was centrifuged (800 rpm, for 8 min) and the pellet resuspended Slides were made by suspending the cells in fresh fixative and dropping the suspension from a Pasteur pipette onto cold, wet slides which were culture was centrifuged (800 rpm for 8 minutes), and the pellet was sustageous to store the cells in fixative for several days before making sh. .s. then air-dried. The slides were stored in the refrigerator until needed. in fixative (3:1 methanol-glacial acetic acid). After three changes of fixa Preparation of Metaphase Chromosomes. Chromosome preparatiq were obtained from cell cultures set up from peripheral blood samples. tive, slides were prepared. However, for photooxidation it proved ad

Chromosome preparations were also obtained from other types of cultured cells, growing either as suspension cultures or monolayers. The procedure is basically the same as for cultured leukocytes, except that cells growing attached to the glass or plastic culture vessel were first separated from the surface. Some dividing cells could be shaken off the surface of the vessel rather easily, and we prefer this method when it works. In

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The last

other cases, the culture medium was removed, a trypsin-EDTA mixture (0.5 g of trypsin, 1:250, and 0.2 g of EDTA per liter of Puck's saline 350 mg of NaHCO3, and 5 mg of phenol red per liter) was added and the A which consists of 8000 mg of NaCl, 400 mg of IXCl, 1000 mg of glucose, cells were incubated at room temperature for a few minutes or until the cells could be removed by vigorous shaking of the vessel. The mixture was centrifuged as above, the cells were suspended in hypotonic solution (in this case 38 mM KCI). The remainder of the procedure is as already

## Method of Denaturation

## 1. Formanide

## Reagents

Formamide (Stabilized, Fisher Scientific Co.) pH adjusted to 7.2 with concentrated HCl

95% Formamide: 95 ml of pH 7.2 formamide + 5 ml of 20  $\times$  SSC  $20 \times {\rm SSC}$ : 3 M sodium chloride and 0.3 M trisodium citrate

Ethanol, 70%, 95%, absolute

NaHPO, 15 ml of 0.25 M KH2PO, in 2400 ml of distilled water Phosphate-buffered saline (PBS): 20 g of NaCl, 85 ml of 0.25 MpH 7.2-7.4

formamide in SSC and placed in a water bath maintained at 65°. The slides were heated for 1 hour in formamide. They were then rinsed twice in 70% ethanol, once in 95% ethanol and once in absolute ethanol. The Coplin jars designed to hold micoscope slides were filled with 95% slides were rehydrated for about 5 minutes in PBS before being treated with antibody

## 2. Photooxidation

## Reagents

Tris. HCl buffer, 0.1 M: dissolve 6 g of THAM (Fisher) in 500 ml Methylene blue, 33.4 µM: dilute 0.5 ml of stock solution in 49.5 ml Stock methylene blue (National Aniline): dissolve 0.0125 g of distilled water and adjust pH to 8.75 with concentrated HCl powdered methylene blue in 10 ml of Tris-HCl buffer of cold Tris buffer

Slides were photooxidized in a Coplin jar containing a cold saturated solution of 33.4  $\mu M$  methylene blue. Oxygen was bubbled into the jar,

# HORMONAL EFFECTS ON COLLAGENS

a Pasteur pipette. As oxygen is critical to the reaction, it is imperative so seal the jar tightly after the addition of oxygen. The sealed jar was placed in a glass water bath (259). The jar was illuminated overnight which was 15 cm from the jar. As the reaction progressed, the dye solution ture within the Coplin jar was usually about 1° higher than that of the which contained the dye solution and the slides, for 10 minutes through (15-18 hours) through the water bath by a 150 W Sylvania flood lamp, secame paler and was almost colorless after 18 hours. The final temperawater bath. The slides were rinsed briefly in PBS before antibody breatment.

incubating the slides as before with a 1:50 dilution of sheep anti-rabbit face) were wetted with PBS, a clean coverslip was mounted, the excess PBS and incubated at room temperature in a moist chamber for 45 minutes. Unbound antibody was rinsed off the slides with 200 ml of PBS from a spray bottle. Indirect immunofluorescence was accomplished by tgG tagged with fluorescein. After a second washing, the slides (cell surwere first layered with rabbit anti-nucleoside antisera diluted 1:x Indirect Immunofluorescence. Slides treated to produce denative ouffer blotted off and the edges sealed with clear nail polish.

transmitted through a cardioid condenser, with a BG 12 (4 mm) exciter tive. Well spread metaphases were photographed on either Panatomic Microscopy and Photography. The slides were examined with a Zeiss fluorescent microscope using light from an HBO 200 W mercury lamp, filter, a 530 nm barrier filter and a 100 X Planapochromatic objec-X or on H & W control film with 2-minute exposures.

Panatomic X film was developed with Microdol X (Kodak), and H & W film was processed with H & W control developer (H & W St. Johnsbury, Vermont). The negatives were printed on Ilford

## [23] Techniques for the Study of Hormone Effect on Collagens

By Dorothy H. Henneman and George Nichols, Jr.

| Gene       | General Precautions.                                |           |     |     | •    |      | •   |   | • |   |   |  |  | 300  |
|------------|---|-----------|-----|-----|------|------|-----|---|---|---|---|--|--|------|
| Initia     | Initial Preparation of Tissues                      | Tissues   |     |     |      | . •  |     | • |   |   | • |  |  | 310  |
| A. General | neral   |           |     |     | •    | •    |     | • |   |   |   |  |  | 310  |
| B. Sp      | B. Specific Tissues                                 |           |     |     | •    |      | •   | • |   | ٠ |   |  |  | 311  |
| S<br>D     | C. Defatting, Drying, and Demineralization          | , and De  | Ξ.  | ner | aliz | atio | · = | • |   |   |   |  |  | 312  |
| D. M       | D. Methods to Prenare Suspensions of Isolated Cells | ansus, an | . 2 | 200 | _    | Teal | 9   | 7 | ĺ |   |   |  |  | 0.10 |

## Synthesis and Properties of Fluorescent Nucleotide Substrates for DNA-dependent RNA Polymerases\*

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A new class of fluorescent nucleotide analogs which contain the fluorophore 1-aminonaphthalene-5-sulfonate attached via a y-phosphoamidate bond has been synthesized. Both the purine and pyrimidine analogs have fluorescence emission maxima at 460 nm. Cleavage of the  $\alpha$ - $\beta$ -phosphoryl bond produces change in both the absorption and fluorescence emission spectra. The fluorescence of the pyrimidine analogs is quenched; cleavage of the  $\alpha$ - $\beta$ -phosphoryl bond of the UTP analog produces about a 14-fold increase in fluorescence intensity at 500 nm. Under the same conditions the fluorescence of the CTP analog increases about 8-fold, whereas the fluorescence of the purine analogs shows only a slight change. These derivatives are good substrates for Escherichia coli RNA polymerase with only slightly increased  $K_m$  values and with  $V_{
m max}$  values about 50 to 70% that of the normal nucleotides. They are used less efficiently by wheat germ RNA polymerase II. The ATP analog can be used by E. coli RNA polymerase to initiate RNA chains.

Nucleotides play an important role in many metabolic processes. These include DNA, RNA, and protein synthesis, assembly of structural proteins such as tubulin, and energy metabolism. A variety of nucleotide analogs have been synthesized which have proven extremely useful in obtaining information about such processes. These include those with altered phosphoryl structures such as AMP-PNP (1, 2) and ATP- $\gamma$ -S (3), analogs with modified ribose rings (4, 5), and others having altered purine or pyrimidine ring structures such as  $\epsilon$ -ATP (6) and S<sup>6</sup>-GTP (7).

Several fluorescent nucleotide analogs have been synthesized. These include  $\epsilon$ -ATP, formycin triphosphate, and 2-aminopurine triphosphate (8, 9), the lin-benzo ATP analogs (10, 11), and a fluorescent GTP analog (12). All of these derivatives have altered purine ring structures.

The DNA-dependent RNA polymerases synthesize RNA in

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The abbreviations used are: AmNS, 1-aminonaphthalene-5-sulfonate; (γ-AmNS)ATP, adenosine-5'-triphosphoro-γ-1-(5-sulfonic acid)naphthylamidate; (γ-AmNS)NTP, ribonucleoside -5'-triphosphate containing 1-aminonaphthalene-5-sulfonate attached via a γ-phosphoamidate bond; (AmNS)PPi, the pyrophosphate adduct of 1-aminonaphthalene-5-sulfonate; ε-ATP, 1-N°-ethenoadenosine-5'-triphosphate; ATP-γ-S, adenosine-5'-O-(3-thiotriphosphate); AMP-PNP, adenyl-5'-yl imidodiphosphate; TEA, triethylamine-HCO<sub>3</sub>-buffer, TKME buffer, 0.05 m Tris, pH 8, 0.05 m KCl, 10<sup>-2</sup> m MgCl<sub>2</sub>, 10<sup>-4</sup> m EDTA; PEI, polyethyleneimine; SDS, sodium dodecyl sulfate.

the presence of a template, NTPs, and a divalent cation (see Chamberlin (13) for a review). The synthesis of an RNA chain involves at least the following events: 1) template binding, 2) binding of the first two NTPs, 3) formation of the first phosphodiester bond (initiation), 4) binding of subsequent NTPs and phosphodiester bond formation (elongation), and 5) RNA chain termination. In addition, there must be a translocation of the DNA and RNA with respect to the enzyme after each phosphodiester bond is formed. Although the template binding step has been studied in some detail, much less is known about subsequent events (steps 2 to 5).

In some elegant studies, Vallee and co-workers (14–16) have demonstrated that fluorescent substrates can be used to great advantage for studies of substrate binding and subsequent transformations. They synthesized a series of dansylated peptide substrates for carboxypeptidase and then studied the binding and hydrolysis (product release) steps by fluorescence stopped flow. When the dansylated peptides bound, they quenched intrinsic enzyme fluorescence due to resonance energy transfer, when the products were released, energy transfer was eliminated and the fluorescence increased. A similar approach could provide valuable information about the events involved in RNA synthesis. Such studies require fluorescent nucleotide analogs which have well characterized fluorescence properties and which are good substrates. Although several fluorescent nucleotides are available which could possibly be used for such studies, all have severe limitations.  $\epsilon$ -ATP has excellent fluorescence properties; however, it is neither a substrate nor an inhibitor for Escherichia coli RNA polymerase (17, 18). Formycin triphosphate is a substrate for E. coli RNA polymerase (7, 8) but it cannot be used to initiate RNA chains. Moreover, its quantum yield is low (Q = 0.05). 2-Aminopurine triphosphate has a relatively high quantum yield (8) but it cannot form the hydrogen bonds (H bonds) normally formed by GTP. Since H bonding apparently plays a critical role in substrate binding, neither 2-aminopurine triphosphate nor the fluorescent GTP analog synthesized by Weigand and Kaleja (12) would be likely to serve as a good substrate for RNA polymerase (19).

Grachev and Zaychikov (20) and Babkina et al. (21) have described the synthesis of an ATP analog containing aniline attached to the terminal phosphate via a phosphoamidate bond. This analog is a good substrate for E. coli RNA polymerase. Moreover, it is apparently also used to initiate RNA chains. This suggested to us that it should be possible to prepare similar nucleotide derivatives containing the fluorophore, 1-aminonaphthalene-5-sulfonate (AmNS).<sup>2</sup> In this

We have used the abbreviation used by Turner and Brand ((1968) Biochemistry 7, 3381-3387) for the aminonaphthalene sulfonates rather than the term ANS which is commonly used to refer to the noncovalent fluorescent probe, 8-anilinonaphthalene-1-sulfonate.

communication we describe the synthes such analogs and their spectroscopic and biological properties.

## MATERIALS AND METHODS

Chemicals—The following chemicals were purchased from the sources listed in parentheses: ribonucleoside triphosphates and poly[d(A-T)] poly[d(A-T)] (P-L Biochemicals), [³H]ATP and [³H]-GTP (New England Nuclear), [³H]UTP (ICN Radiochemicals), 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide (Pierce), and 1-aminonaphthalene-5-sulfonate (Tridom). For the early studies 1-aminonaphthalene-5-sulfonate was recrystallized from water; in subsequent experiments, the unrecrystallized material was used. Tris (ultrapure) was obtained from Schwarz/Mann. Other chemicals used were reagent grade.

Other Materials—Plastic-backed polyethyleneimine cellulose thin layer plates with fluorescent indicator were from EM Labs and plastic-backed cellulose thin layer plates were from Eastman. Venom phosphodiesterase was purified from crude venom of Crotalus adamanteus (Sigma) by incubation for 3 h at 37°C and pH 3.6. Following such treatment it showed no detectable ATPase activity.

Enzyme Purifications-E. coli DNA-dependent RNA polymerase was purified by the polyethyleneimine procedure of Burgess and Jendrisak (22). The specific activity of the enzyme ranged from 500 to 800 nmol of [3H]GMP incorporated/mg of enzyme/10 min using native calf thymus DNA as template. Sigma content ranged from 60 to 80% of saturation as determined by SDS-gel electrophoresis. Wheat germ RNA polymerase II was purified through the DEAE-cellulose step described by Jendrisak and Burgess (23). The partially purified enzyme from the DEAE-cellulose column was pooled, precipitated with 1.5 volumes of neutralized (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, and diluted with 0.02 M Tris, pH 8, containing 0.1 mm EDTA, 0.2 mm dithiothreitol, 3 mm mercaptoethanol, and 20% glycerol until the conductivity was equal to that of the buffer containing 0.05 M (NH4)2SO4. The enzyme (50 ml, ~160 mg) was applied to a 40-ml Sepharose 4B column containing 1 mg/ml of denatured calf thymus DNA attached covalently. The column was washed with buffer containing 0.02 м Tris, pH 8, 0.05 м (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 0.1 mm EDTA, 3 mm mercaptoethanol, 0.2 mm dithiothreitol, and 20% glycerol until the  $A_{280}$  of the eluate dropped below 0.1. The enzyme was then eluted with the same buffer containing 0.25 м (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>. Yields of 20 to 30 mg of purified enzyme having a specific activity with denatured calf thymus DNA of 400 to 500 nmol of [3H]GMP incorporated per mg of enzyme per 10 min were obtained. Assay mixtures for RNA synthesis (0.1 ml) contained: 0.05 м Tris, pH 8, 0.05 M (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 3 mM MnCl<sub>2</sub>, 4  $\times$  10<sup>-4</sup> M unlabeled NTPs, 4  $\times$  $10^{-4}$  M [ $^3$ H]UTP or [ $^3$ H]GTP ( $\sim$ 10,000 cpm/nmol), 2 mM dithiothreitol, and 20 nmol of denatured calf thymus DNA. Reactions were incubated for 10 min at  $37^{\circ}\text{C}$  and then placed on ice; 0.1 ml of 0.1 M sodium pyrophosphate was added, and [3H]RNA was precipitated with cold 5% trichloroacetic acid. The precipitates were collected on Whatman GF/A filters and counted in a liquid scintillation counter.

Spectroscopic Measurements-Corrected fluorescence excitation and emission spectra were obtained with a Perkin-Elmer MPF-44 recording fluorescence spectrophotometer equipped with a differential corrected spectra attachment. Samples had an absorbance of ≤0.05 to prevent significant inner filter effects. Unless otherwise noted, spectra were recorded with 10 nm excitation and emission band widths. For recording excitation spectra, an integral filter (No. 35) which transmits light of ≥350 nm was used to eliminate second order radiation. Quantum yields were determined using quinine sulfate in  $0.1 \text{ N} \text{ H}_2\text{SO}_4$  as standard with an assumed quantum yield of 0.55 (24). Where necessary (absorbance greater than ~0.002), the observed spectra were corrected for absorption of the exciting light. The value of 0.55 was used rather than the more recent value of 0.70 obtained by Scott et al. (25) to facilitate comparison with previously published data on the quantum yields of aminonaphthalene sulfonate and its derivatives. Absorption spectra were obtained with a Perkin-Elmer model 576 UV-visible recording spectrophotometer with a base-line correction accessory. The absorbance of samples was ≤2 to prevent errors due to stray light. A 1 nm band width was used for recording spectra. The temperature in all spectroscopic measurements was 25  $\pm~0.5\,^{\circ}$ C. All spectra shown were obtained with samples in 0.05 M Tris, pH 8, 0.05 m KCl, 0.01 m MgCl<sub>2</sub>, and 10<sup>-4</sup> m EDTA (TKME buffer).

Synthesis and Purification of  $(\gamma \cdot AmNS)ATP$ —1-Aminonaphthalene-5-sulfonate (447 mg) was added to 10 ml of  $H_2O$ , and the pH was adjusted to 5.8 with 1 N NaOH. Any insoluble material was removed by centrifugation, yielding a solution which was essentially saturated for this pH value (~0.18 to 0.2 M). Four milliliters of 12.5 mm ATP

(pH -5.8) and 2 ml of 7 -ethyl-3-(dimethylaminopropyl)carbodiimide were added to a reaction vessel maintained at 20°C. The reaction was initiated by adding 10 ml of the 1-aminonaphthalene-5-sulfonate solution and allowed to continue for 2.5 h. The pH was kept between 5.65 and 5.75 by the periodic addition of 0.1 N HCl. After 2.5 h, the reaction was diluted to 50 ml and made 0.05 M in triethylamine HCO3 buffer (pH ~7.5). The reaction products were placed on a 50-ml DEAE-cellulose column which had been equilibrated with 0.05 m TEA; the column was washed with 100 ml of 0.05 m TEA and eluted with a 1000-ml gradient (0.05  $\rightarrow$  0.4 m TEA). Approximately 20-ml fractions were collected. Absorbance and fluorescence profiles of the fractions were obtained after appropriate dilution. The fluorescent analog eluted after the peak of unreacted ATP and showed a brilliant blue fluorescence. Peak fractions were pooled, taken to dryness by flash evaporation at 25°C, and redissolved in  $H_2O/methanol$  (70/30); the evaporation process was repeated until excess TEA was removed. The purified material was dissolved in 0.5 to 2 ml of water. Purity was assessed by thin layer chromatography as described previously (18). If traces of free 1-aminonaphthalene-5sulfonate were detected, the purified fluorescent nucleotide was rechromatographed on a 15-ml DEAE-cellulose column using a 300-ml gradient of TEA (0.05  $\rightarrow$  0.4 M).

(AmNS)PP; was prepared by reacting sodium pyrophosphate with AmNS and the water-soluble carbodiimide under the conditions described above. It was purified by chromatography on DEAE-cellulose and shown to be homogeneous on the two thin layer systems described below. The purified compound was degraded by bacterial alkaline phosphatase to form free AmNS.

Digestion of  $(\gamma \cdot AmNS)NTPs$ —Digestions with venom phosphodiesterase and bacterial alkaline phosphatase were performed as described previously (18).

Thin Layer Chromatography—Samples containing 5 to 50 nmol of nucleotide were spotted 2.5 cm from the ends of plates of cellulose or PEI-cellulose and air-dried. PEI-cellulose plates were developed by ascending chromatography for 6 cm in 2 m sodium formate (pH 3.6) followed by 10 cm in 4 m sodium formate (pH 3.6) at 25°C. Cellulose plates were developed by ascending chromatography in a solvent prepared by mixing 300 ml of 1 m ammonium acetate, pH 7.5, with 700 ml of 95% ethanol.

## RESULTS

Synthesis of (\gamma-AmNS)NTPs-The structure of the ATP analog containing the fluorophore, 1-aminonaphthalene-5-sulfonate, attached via a y-phosphoamidate bond is shown in Fig. 1. This fluorescent nucleotide, adenosine-5'-triphosphoro- $\gamma$ -1-(5-sulfonic acid)naphthylamidate, has been termed ( $\gamma$ -AmNS)ATP (18). The synthesis and purification is simple and straight forward. Following a one-step reaction with a water-soluble carbodiimide, the reaction products are chromatographed on a DEAE-cellulose column. The fluorescent analog elutes after the unreacted nucleotide. Yields are good; they normally range from about 40 to 60% conversion of the NTP to the fluorescent derivative. The reaction proceeds well with all 4 NTPs. In addition, it is possible to synthesize the corresponding deoxy-NTPs. The analogs are quite stable at neutral pH and can be stored for several months at -20°C without significant degradation.

Effects of Venom Phosphodiesterase Digestion on the Spectroscopic Properties of the  $(\gamma \cdot AmNS)NTPs$ —The absorption spectrum of  $(\gamma \cdot AmNS)ATP$  is shown in Fig. 2. There is a broad band centered at 315 nm associated with the naphthalene ring  $(\epsilon = 5580 \pm 150 \text{ m}^{-1} \text{ cm}^{-1})$ , a distinct shoulder at 260

Fig. 1. Structure of (y-AmNS)ATP.

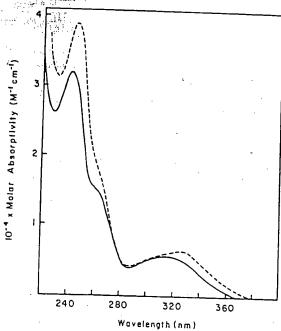


Fig. 2. Absorption spectrum of (γ-AmNS)ATP. (——), before digestion with venom phosphodiesterase; (----), after digestion. Digestion was shown to produce AMP and AmNS-PP, by thin layer chromatography.

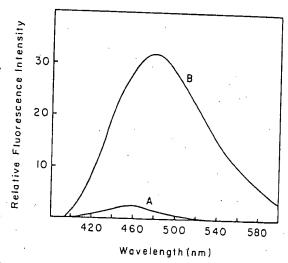


Fig. 3. Corrected fluorescence emission spectrum of  $(\gamma - AmNS)$ UTP. Curve A, before digestion with venom phosphodiesterase; Curve B, after digestion. The excitation wavelength was 360 nm with a 10 nm excitation and emission band width.

nm due to the adenine ring, and a maximum at 242 nm ( $\epsilon = 3.13 \pm 0.1 \times 10^4 \, \mathrm{M}^{-1} \, \mathrm{cm}^{-1}$ ). Cleavage of the  $\alpha$ - $\beta$ -phosphoryl bond with venom phosphodiesterase produces a shift of the 315 nm band to 325 nm as well as a hyperchromicity of about 16%; the absorption at 260 nm increases by about 30%, and the absorption maximum at 242 nm shifts to 246 nm. The pyrophosphate derivative of AmNS, (AmNS)PP, shows an absorption band at 325 nm ( $\epsilon = 6500 \pm 100 \, \mathrm{m}^{-1} \, \mathrm{cm}^{-1}$ ), identical to that produced by digestion of ( $\gamma$ -AmNS)ATP with venom phosphodiesterase. This is about a 5 nm blue shift of the band found for AmNS which has a maximum at 330 nm.

The other  $(\gamma\text{-AmNS})$ NTPs show very similar long wavelength absorption bands which also undergo large (8 to 10 nm) red shifts when the  $\alpha$ - $\beta$ -phosphoryl bonds are cleaved. There are also significant increases in the absorbance at 260 nm on digestion with venom phosphodiesterase. For example,  $(\gamma\text{-AmNS})$ UTP shows about a 42% increase in the absorbance at 260 nm after digestion.

We have previously found that (y-AmNS)ATP has a fluorescence emission maximum at 460 nm which shifts to 475 nm when the  $\alpha$ - $\beta$ -phosphoryl bond is cleaved (18). If excitation is performed at the isosbestic point (~315 nm), the fluorescence intensity at 500 nm increases by about 10% as a result of this cleavage. In contrast, the fluorescence intensity of (y-AmNS)UTP increases manyfold when the  $\alpha$ - $\beta$ -phosphoryl bond is cleaved. If excitation is performed at 360 nm to take advantage of the shift in the absorption spectra, the fluorescence intensity at 500 nm increases about 14-fold when the analog is digested with venom phosphodiesterase (Fig. 3). Thus the fluorescence of  $(\gamma\text{-AmNS})$ UTP is severely quenched. The quantum yield is only 0.086 as compared with 0.63 for (y-AmNS)ATP. Cleavage of the  $\alpha$ - $\beta$  phosphoryl bond of (y-AmNS)CTP also produces in increase in fluorescence of about 8-fold, and a similar increase is observed with the deoxy-CTP analog. (y-AmNS)GTP exhibits fluorescence properties similar to those found for  $(\gamma-AmNS)ATP$ . Thus only the pyrimidine analogs show strongly quenched fluorescence.

Use of (Y-AmNS)NTPs for RNA Synthesis by DNA-dependent RNA Polymerase—The ATP and UTP analogs were examined for their ability to support template-dependent RNA synthesis with  $E.\ coli$  RNA polymerase. Table I shows that both are good substrates. The incorporation ranges from 60 to 80% of that found with the unmodified NTPs. Anthony et al. (26) and Downey and So (27) have shown that if the concentration of one NTP is varied and the others held constant at a relatively high concentration (0.4 mm), linear double reciprocal plots of velocity versus substrate can be obtained. Using this procedure, we have obtained apparent  $K_m$  and  $V_{\max}$  values for ( $\gamma$ -AmNS)ATP and ( $\gamma$ -AmNS)UTP (Table II). For both, the maximum velocity is about 60 to 70% of that found for the normal substrates. The apparent  $K_m$  for the ATP analog is about the same as found for ATP; the value for the UTP analog is about double that found for UTP. Thus, the presence of the bulky naphthalene group does not significantly affect the ability of these analogs to serve as substrates for E. coli RNA polymerase. In contrast, they are poor substrates for wheat germ RNA polymerase II. The  $V_{\mathrm{max}}$  for RNA polymerase II with (γ-AmNS)ATP or (γ-AmNS)UTP as a substrate is only about 15 to 20% of that found for the unmodified NTPs. In addition the  $K_m$  values are increased by about an order of magnitude (data not shown).

Initiation of RNA Chains with  $(\gamma \cdot AmNS)ATP$ —Since purine NTPs are normally used to initiate RNA chains, the observation that  $(\gamma \cdot AmNS)ATP$  supported efficient RNA synthesis with poly[d(A-T)] · poly[d(A-T)] as template suggested that the analog is used to initiate RNA chains. To demonstrate this directly, RNA was synthesized with  $(\gamma \cdot AmNS)ATP$ 

TABLE I

RNA synthesis by Escherichia coli RNA polymerase using
(\gamma AmnS)ATP or (\gamma AmnS)UTP

Reactions (0.1 ml) contained: 0.05 M Tris, pH 8, 0.05 M KCl, 0.01 M MgCl<sub>2</sub>,  $10^{-3}$  M dithiothreitol,  $4 \times 10^{-4}$  M NTPs, 30 nmol of T7 DNA or poly[d(A-T)]-poly[d(A-T)], and  $2 \mu g$  of holoenzyme. Samples were incubated 10 min at  $37^{\circ}$ C and precipitated with trichloroacetic acid

|                               | o and precipitated with trichloroacetic acid |                              |                 |  |  |
|-------------------------------|--|------------------------------|-----------------|--|--|
| Template                      | Nucleotide analog                            | ['H NMP<br>incorpo-<br>rated | 4. Con-<br>trol |  |  |
| We by                         |  | nmal                         |                 |  |  |
| T7 DNA                        | None   | 1.89                         | 100             |  |  |
|                               | (y-AmNS)ATP                                  | 1.28                         | 68              |  |  |
|                               | (y-AmNS)UT'P                                 | L54                          | 81              |  |  |
| poly[d(A-T)]-poly[d(A-<br>T)] | None .                                       | 1,37                         | 100             |  |  |
|                               | (y-AmNS)ATP                                  | 0.96                         | 70              |  |  |

AmNS)ATP and [3H]UTP as substrates and poly[d(A-T)]-poly[d(A-T)] as template. SDS was added to 0.1% and the reaction products were chromatographed on a Sephadex G-50 umn equilibrated with 0.1% SDS. The fractions obtained re assayed for fluorescence and 3H. Fig. 4 shows that there is a fluorescent peak which co-elutes with 3H in the void

re assayed for fluorescence and  $^3H$ . Fig. 4 shows that there is a fluorescent peak which co-elutes with  $^3H$  in the void volume. No  $^3H$  or fluorescence eluted in the void volume if the synthesis was performed in the presence of rifampicin or if the reaction products were digested with RNAse. Thus we conclude that  $(\gamma$ -AmNS)ATP is used to initiate RNA chains.

Unprimed Synthesis with (γ-AmNS)NTPs—E. coli RNA polymerase is known to catalyze the formation of poly(rA-rU)

## TABLE II

Kinetic constants for (y-AmNS)NTPs with Escherichia coli RNA polymerase

Reactions (0.1 ml) contained 1 to 2  $\mu$ g of enzyme, 0.05 M Tris, pH 8, 0.05 M KCl, 10 mM MgCl<sub>2</sub>, 1 mM dithiothreitol, 0.4 mM [³H]GTP, [³H]ATP, or [³H]UTP, 8000 to 10,000 cpm/nmol, unlabeled NTPs 0.4 mM where necessary, 0.005 to 0.4 mM of the varying nucleotide, and 20 nmol of poly[d(A-T)]-poly[d(A-T)], or native calf thymus DNA were incubated for 5 min at 37°C, precipitated, filtered, and counted as described previously.  $V_{max}$  is expressed in nanomoles of [³H]NMP incorporated/mg of enzyme /min.

|                     |                 | Temp | olate                    |      |
|---------------------|-----------------|------|--------------------------|------|
| Variable nucleotide | Calf thymus DNA |      | Poly[d(A-T)] poly[d(A-T) |      |
|                     | К.,,            | Vmax | K <sub>m</sub>           | Vmax |
|                     | μМ              |      | μМ                       | -    |
| ATP                 | 38              | 61   | ·                        |      |
| (γ-AmNS)ATP         | 45              | 38   | •                        |      |
| UTP                 | 31              | 59   | 53                       | 72   |
| (γ-AmNS)UTP         | 97              | 36   | 82                       | 42   |

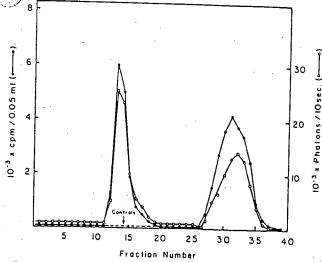


Fig. 4. RNA chain initiation with (\gamma-AmNS)ATP. RNA was synthesized in a 1 ml reaction containing 0.05 m Tris, pH 8, 0.05 m KCl, 2 mm dithiothreitol, 0.2 mm (\gamma-AmNS)ATP, 0.2 mm [\gamma-H]UTP (5690 cpm/nmol), 150 nmol of poly[d(A-T)] poly[d(A-T)], and 80 \tmug of Escherichia coli holoenzyme. After a 30-min incubation at 37°C, a 0.05-ml aliquot was precipitated with trichloroacetic acid and counted which revealed that a total 44 nmol of [\gamma-H]UMP had been incorporated. The remaining sample was made 0.1% in SDS and chromatographed on a Sephadex G-25 column equilibrated with 0.01 m Na<sub>2</sub>HPO<sub>4</sub>, pH 7, containing 0.1% SDS. Fractions were assayed for \( \bullet \bullet \bullet \) by liquid scintillation counting and for fluorescence ometer. Excitation was at 200 nm and trivial contents of the second counting fluorescence spectro-

O) using an SLM single photon counting fluorescence spectroometer. Excitation was at 320 nm and emission was measured at
460 nm. The buffer background fluorescence, which corresponds to
~2000 photons/10 s has been subtracted, and the fluorescence of the
included peak is reduced by a factor of 1000. Assuming that there is
no change in the quantum yield of (γ-AmNS)ATP when incorporated
into RNA, about 100 pmol of RNA chains were produced.

## TABLE III

Template independent poly(rA·rU) synthesis with (y·AmNS)NTPs by Escherichia coli RNA polymerase

Reactions (0.1 ml) contained: 0.05 m Tris, pH 8, 2 mm MnCl<sub>2</sub>, 1 mm dithiothreitol, and 1 mm of the indicated NTPs. Samples were incubated for 2 h at 37°C, precipitated with trichloroacetic acid, and the precipitate collected on glass fiber filters. The filters, containing the precipitated product, were counted by liquid scintillation.

| Nucleotides                  | [ <sup>3</sup> H]NMP<br>incorpo-<br>rated | % Con-<br>trol |
|------------------------------|---|----------------|
| A frame shares and           | nmol                                      |                |
| ATP, [3H]UTP                 | 25.4                                      | 100            |
| (γ-AmNS)ATP, [³H]UTP         | 24.8                                      | 98             |
| ATP, (γ-AmNS)[°H]UTP         | 21.1                                      | 83             |
| (γ-AmNS)ATP, (γ-AmNS)[³H]UTP | 7.5                                       | 30             |

in a template-independent reaction in the presence of  $Mn^{2+}$  and a high concentration of NTPs (28). Table III shows that both ( $\gamma$ -AmNS)ATP and ( $\gamma$ -AmNS)UTP can be used in this reaction. Moreover, the reaction will also proceed with only the analogs as substrate, although less efficiently.

## DISCUSSION

The  $(\gamma\text{-AmNS})$ NTPs should be excellent probes for the study of DNA-dependent RNA polymerases and perhaps other systems involving the utilization of nucleoside triphosphates. They have an absorption band located in the region 300 to 360 nm, well resolved from protein and nucleic acid absorption bands. This permits their selective excitation and thereby alleviates possible inner filter effects due to absorption by proteins or nucleic acids. Since the absorption band of the AmNS moiety overlaps the fluorescence emission spectra of proteins, these analogs can act as acceptors for resonance energy transfer from intrinsic protein fluorophores. Thus binding of  $(\gamma\text{-AmNS})$ NTPs to proteins may produce quenching of protein fluorescence. If so, this property can be used to study both the equilibrium and kinetic aspects of protein-nucleotide interactions.

Although both the purine and pyrimidine analogs show essentially identical fluorescence emission spectra in terms of shape and emission maxima, only the pyrimidine analogs have strongly quenched fluorescence. This quenching involves interaction between the uridine and the naphthalene ring as evidenced by CD and NMR measurements.<sup>3</sup> Studies of the fluorescence excited state lifetimes (data not shown) indicate that the quenching is of the dynamic type due to collisional interactions between the two rings.

It is not clear why only the pyrimidine analogs show strongly quenched fluorescence. Perhaps this reflects the fact that pyrimidines may assume a conformation which is especially favorable for stacking interactions between the two rings. For pyrimidines, the glycosidic bond angle  $\chi$  normally ranges from 25 to 105° while for purines it ranges from 3 to 55° (29). Inspection of space filling models of  $(\gamma\text{-AmNS})$ NTPs suggest that stacking would be most favored for  $\chi$  values around 90 to 100°, *i.e.* when the rings are co-planar. Alternatively, since the purines are larger than the pyrimidines, it is possible that the sulfonic acid moiety prevents close interaction of the purine and naphthalene rings.

The finding of intramolecular quenching interactions between the pyrimidine and naphthalene rings is not entirely surprising since similar observations have been made for several other related systems. Spencer (30) found that the fluorescence of NADH is strongly quenched by collisional interaction between the adenine and nicotinamide rings. Bar-

<sup>3</sup> L. R. Yarbrough and J. Bock unnublished data

rio et al. (31) found that the fluorescence of the etheno derivative of FAD is quenched by both dynamic and static mechanisms. More recently, Leonard et al. (11) determined that the symmetrical anhydride of lin-benzo AMP which was linked through the 5'-phosphorus residues is almost nonfluorescent due to ring-ring interactions. Thus stacking which results in fluorescence quenching is a common occurrence.

Our observations that the (y-AmNS)NTPs are good substrates for E. coli RNA polymerase and that the ATP analog can be used to initiate RNA chains are in good agreement with the results obtained by Grachev and Zaychikov (20) for the related analog, ATP-y-anilidate. They found that this analog was incorporated with 50 to 60% of the efficiency of ATP and that it could apparently be used to initiate RNA chains. Armstrong and Eckstein (32) have also synthesized analogs containing either a fluorine atom, a methyl group, or a phenyl group attached to the γ-phosphate of ATP. They found that these analogs were incorporated only about 10 to 15% as efficiently as ATP. Inhibition studies showed that the inhibition constants for these analogs were on the order of 1 to 2 mm indicating that they bind about an order of magnitude more weakly than the normal nucleotide. They suggested that this was due to the loss of a negative charge on the y-phosphate. However, our data, as well as the data of Grachev and Zaychikov, suggest that the loss of a negative charge does not greatly alter the ability of a nucleotide to bind to the enzyme or to be incorporated efficiently. Perhaps a more likely explanation is that modification may alter nucleotide conformation and/or interaction with divalent cations. For example, we have found that an analog containing AmNS attached via a 5atom bridge is a very poor substrate for either E. coli or wheat germ RNA polymerase.4

The ability of these analogs to serve as substrates for RNA polymerase may also reflect steric properties of the nucleotide binding site. The bulky naphthalene group might prevent the nucleotide from assuming the proper orientation when it binds to the enzyme. This could explain the significant decrease in  $V_{\text{max}}$  found for wheat germ RNA polymerase with the analogs as substrates. These analogs could thus complement the "dimensional probes" synthesized by Leonard and co-workers, the lin-benzo ATP analogs (11).

In summary, we have shown that the  $(\gamma-AmNS)NTPs$  are excellent substrates for E. coli DNA-dependent RNA polymerase. This, along with their desirable spectroscopic properties, makes them excellent tools for study of the kinetics and mechanism of RNA synthesis (33).

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## Fluorescent Derivatives of Yeast tRNAPhe

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The preparation of four fluorescent derivatives of tRNAPhe (yeast) and their characterization by chemical, spectroscopic, and biochemical methods is described. The derivatives are prepared by replacing wybutine (position 37 in the anticodon loop) or NaBH<sub>4</sub>-reduced dihydrouracil (positions 16/17 in the hU loop) with ethidium or proflavine; they are isolated by reversed-phase chromatography (RPC-5). All tRNA Phe-dye derivatives are aminoacylated by yeast phenylalanyl-tRNA synthetase to at least 80 % of the charging capacity of the unmodified tRNA<sup>Phe</sup> with an unchanged  $K_m$ (0.2  $\mu$ M) and a V lowered by 30 – 50 %. They exhibit good to excellent activity in the aminoacylation assay with synthetase from Escherichia coli. It is concluded that the insertion of the dyes does not seriously disturb essential elements of the native tRNA Phe structure.

The dyes are bound via N-ribosylic linkages. The appearance of isomeric tRNA Phe-ethidium derivatives is attributed to the involvement of the different amino groups of ethidium in the condensation. In addition, there are indications for the existence of  $\alpha$  and  $\beta$  anomers of the tRNA-dye

The dyes are rigidly fixed to their position in the tRNA molecule by stacking interactions with the neighboring bases.

The ethidium probes show Mg<sup>2+</sup>-induced changes of the tRNA conformation which are paralleled by changes of the rate of aminoacylation. On the basis of this observation it is hypothesized that conformational flexibility of the tRNA molecule is a functionally important feature of the tRNA structure.

Fluorescent derivatives of tRNA have proven to be useful for studies on the structure of tRNA and its various functions. The majority of the fluorescent probes were introduced into tRNA by covalent attachment to the periodate-oxidized 3'-end [1] or to odd bases carrying unique functional groups [2-4].

Dedicated to Professor Fritz Lipmann on the occasion of his Milh birthday.

Abbreviations. YWye, wybutine (rare base at position 37 of fast tRNA Phe, formerly called Y + or Y base); yW, the nucleoside Yosine: yW(red) and hU(red), NaBH4-reduced wyosine and Mydrouridine (ureidopropanol riboside), respectively; EtdBr, didium bromide (2,7-diamino-9-phenyl-10-ethyl-phenanthridinim bromide); Prf. proflavine; tRNA hvy, tRNA he lacking wybuine; tRNAPhg carrying ethidium in place of wybuline; IRNA Entrary and IRNA Entrary, separated species of IRNA Entrary which ethidium is probably bound via the 7-amino and the 2-amino Fours respectively (see text); tRNA Phe in which thidium replaces dihydrouracil in position 16 or 17 (see text); (B) and E(C) the B and C forms of the ribosyl derivatives of thidium (see text); P, the ribosyl derivative of proflavine.

Enzymes. Phenylalanyl-tRNA synthetase (EC 6.1.1.20); Ti NAase (EC 3.1.4.8); pancreatic RNAase (EC 3.1.4.22); phosphoesterase from snake venom (EC 3.1.4.1) and spleen (EC 3.1.4.18); Ikaline phosphatase (EC 3.1.3.1).

Since chemical modification of the 3'-end inactivates the tRNA and addition of bulky groups in many cases impairs its functions, we have developed an alternative procedure by which odd bases are replaced with fluorescent dyes [5,6]. The replacement involves a two-step procedure: a ribosylic aldehyde group is created in the tRNA by selective excision of a base which subsequently can be condensed with a fluorophor possessing either a primary amino or a hydrazino group. The procedure has been used for the insertion of the aromatic amines proflavine and ethidium in the place of wybutine or dihydrouracil in tRNA Phe and in the dihydrouracil positions in tRNA ser from yeast [5,6] as well as for the insertion of hydrazine derivatives at the wybutine position [7]. The proflavine and ethidium derivatives to tRNA Phe have been successfully applied in studies on synthetase interactions [8], tRNA conformation, and ribosome interaction [9-11] (summary [12]). However, the preparation, structural characterization, and spectroscopic properties of several of the tRNAPhe-dye derivatives used have not yet been described in full. This is accomplished in the present paper.

## MATERIALS AND METHODS

## tRNA

tRNA<sup>Phe</sup> was isolated from brewer's yeast tRNA (Boehringer Mannheim) as described previously [13] and accepted 1.5–1.7 nmol Phe/A<sub>260</sub> unit. tRNA<sup>Phe</sup><sub>Ywye</sub> was prepared from tRNA<sup>Phe</sup> [14] and accepted around 1.3 nmol Phe/A<sub>260</sub> unit. Both tRNA<sup>Phe</sup> and tRNA<sup>Phe</sup><sub>Ywye</sub> moved as single bands on polyacrylamide gel electrophoresis in the presence of urea [15].

## Enzymes

Phenylalanyl-tRNA synthetase from yeast (3.5 U/mg [16]) was donated by U. Pachmann who prepared it by a published procedure [16] including an affinity elution step [17]. Phenylalanyl-tRNA synthetase from E. coli K 10 (45 nmol Phe mg<sup>-1</sup> h<sup>-1</sup>) was a gift of A. Böck [18]. T<sub>1</sub> and T<sub>2</sub> RNAase, were purchased from Sankyo, pancreatic RNAase, spleen and snake venom phosphodiesterase, and alkaline phosphatase from E. coli were from Boehringer, Mannheim. The activity specifications of the manufacturers were used.

## Chemicals and Materials for Chromatography

Ethidium bromide (Serva, Heidelberg) was homogeneous on paper electrophoresis and thin-layer chromatography in three solvent systems and was used as purchased; proflavine (Fluka, Buchs) was purified by repeated crystallizations of the free base from ethanol/water. Phenol and ether were distilled shortly before use. L-[14C]Phenylalanine (specific activities 10 and 59 Ci/mol) was purchased from The Radiochemical Center (Amersham), NaB[3H4] (200-400 Ci/mol) from New England Nuclear. NaBH4 (for synthetic purposes) and all other chemicals (analytical grade) were from Merck (Darmstadt). Column chromatography was performed on DEAE-cellulose (DE-52, Whatman), DEAE-Sephadex A-25 (Pharmacia), and benzoylated DEAE-cellulose (Boehringer, Mannheim). The materials for reversed-phase chromatography (RPC-5), polychlortrifluorethylene and trioctylmethylammonium bromide, had been purchased from Serva (Heidelberg).

## Reductions with NaBH4

In the standard procedure to a solution of 50  $A_{260}$  units tRNA<sup>Phe</sup>/ml 0.2 M Tris-HCl, pH 7.5, in ice 0.1 vol. of a solution of 100 mg NaBH<sub>4</sub>/ml 0.01 M KOH was added. After 30 min the reaction was terminated by adjusting the pH to 4-5 with 6 M acetic acid. The reduced tRNA was isolated and washed by three ethanol precipitations.

Reductions with NaB[<sup>3</sup>H<sub>4</sub>] were carried out at pH 9.8 [19] in order to slow down the hydrolysis of the reagent.

## Preparation of $tRNA^{Phe}$ -Dye Compounds

The previously published procedures [5,6] were used for the incorporation of proflavine and ethidium respectively, both at positions 37 or 16/17 of tRNA Phe

## Aminoacylation Assay

If not stated otherwise the homologous aminoacylation assay with phenylalanyl-tRNA synthetase from yeast was performed as previously described [14] The reaction mixture (0.1 ml) contained 25 mM Tris-HCl, pH 7.5, 20 mM MgCl<sub>2</sub>, 10 mM ATP, 50  $\mu$ M L-[ $^{14}$ C]phenylalanine (10 Ci/mol), 0.05 – 0.07  $A_{260}$  unit tRNA Phe (which had been preincubated in 1 mM Tris-HCl, pH 7.5, 1 mM MgCl<sub>2</sub> for 15 min at 37°C). and 0.1-0.2 mU phenylalanyl-tRNA synthetase. The mixture was incubated at 37 °C for 15 min. Michaelis-Menten kinetics were measured in 0.4-ml incubation mixtures of the same composition except that L-[14C]phenylalanine with higher specific radioactivity (59 Ci/ mol) and 0.15 mU phenylalanyl-tRNA synthetase were used; the tRNA concentration was varied between 0.03 µM and 0.47 µM (1 A260 unit was taken to represent 1.75 nmol). Incubation was for 1 min at 21 °C.

## Nuclease Digestions

tRNA<sup>Phe</sup>-dye compounds (20-50 A<sub>260</sub> units/ml) were digested with various nucleases in 10 mM Tris HCl, pH 7.5, for 3 h at 25 °C. The concentrations of nucleases were (U/ml): T<sub>1</sub> RNAase (250), pancreatic RNAase (3), T<sub>2</sub> RNAase (20). For chromatographic separations of the digestion products the digestion mixtures were made 7 M in urea by addition of 10 M urea and applied to DEAE-cellulose columns.

## Chromatographic Procedures

Reversed-phase Chromatography (RPC-5). The adsorbent was prepared for use following procedure C of Pearson et al. [20]. Columns (0.3 × 60 cm for up to 100  $A_{260}$  units tRNA) were run at 24 °C at approximately 40 bar (4 MPa) pressure (Labotron, HKP 50, Kontron) in 10 mM Tris-HCl, pH 7.5, 10 mM MgCl<sub>2</sub>, 0.4 M NaCl and developed with 800-ml gradients from 0.4 M to 0.8 M NaCl in the same buffer. The tRNA was isolated from the pooled fractions by ethanol precipitation, followed by two additional precipitations. This procedure led to tRNA preparations with good amino acid acceptance. Dialysis and concentration by flash evaporation prior to

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the precipitation were avoided, since they sometimes ted to tRNA fractions with low charging capacity.

DEAE-cellulose Chromatography. Nuclease digests of tRNA Phe-dye compounds (up to 40 A<sub>260</sub> units) were chromatographed on DEAE-cellulose columns (0.3 × 70 cm) with a linear gradient of 250 ml each of 0.01 M and 0.3 M NaCl in 10 mM Tris-HCl, pH 7.5, 7 M urea; the columns were run at 24°C and 15—20 bar at a flow rate of approximately 0.5 ml/min. For rechromatography oligonucleotide-containing fractions were pooled, diluted fivefold with water and applied with 0.01 M ammonium bicarbonate, pH 8; after washing with the same buffer elution was performed with a linear gradient of 100 ml each of 0.01 M and 0.5 M ammonium bicarbonate, pH 8.

The solvent system used for thin-layer chromatography on cellulose plates consisted of 4 vol. 1 M ammonium acetate, pH 7.5, and 1 vol. 2-propanol. High-voltage electrophoresis on paper (Schleicher and Schüll, 2043b) was carried out using a 0.05 M ammonium acetate buffer, pH 7.0.

## Spectrophotometric Measurements

Absorbance was measured with a Zeiß PMQII, absorbance spectra with a Cary 118 spectrophotometer. Fluorescence was measured with a Perkin-Elmer MPF-2A and a Schoeffel RRS 1000 spectro-fluorimeter which was interfaced to a Hewlett-Packard 9820A calculator/9862 plotter combination. Emission spectra were corrected for the wavelength dependence of the detection system. The correction factors were calculated from the energy distribution of the excitation source, as measured with a temperature-compensated thermopile (type CA1, Kipp and Zonen, Delft), and the spectrum measured on the emission side when the excitation light was reflected on the emission monochromator with a magnesium oxide screen [21].

## RESULTS

## Chemical Characterization of tRNA Etd37

Chromatographic Separation of Two Isomers. The specific incorporation of ethidium into tRNA here was at the position vacated by excision of wybutine has been reported [5]. The product, tRNA here was been reported [5]. The product, tRNA here was been reported [5]. The product, tRNA here was been reported from unreacted tRNA here was been reported from unreacted tRNA here was been addition the flution profile indicated the separation of two species of tRNA here was been as the separation of two species of tRNA here was been fluorescence quantum yields [5]. Reversed-phase chromatography (RPC-5; [20]) resulted in a much improved separation of unlabeled tRNA here was first eluted peak) and of the two species of tRNA here was first eluted peak) and of the elution profile

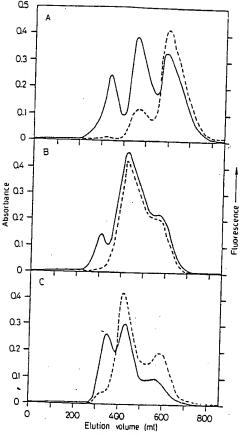
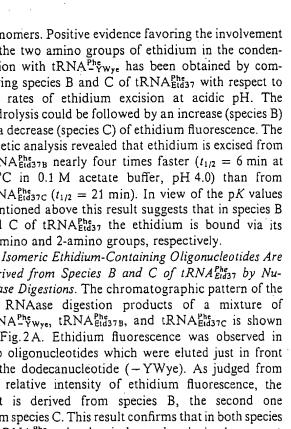


Fig. 1. Chromatography of  $tRNA_{Etd37}^{Ph}$  (A),  $tRNA_{Prf37}^{Ph}$  (B) and  $tRNA_{Etd16/17}^{Ph}$  (C) on reversed-phase (RPC-5) columns. Absorbance was measured at 260 nm (——), fluorescence (———) of ethidium at 590 nm (excitation at 470 nm), the one of proflavine at 500 nm (excitation at 460 nm)

are designated B and C (Fig.1A). It should be mentioned that also from benzoylated DEAE-cellulose tRNA hwy. is eluted first but the order of elution of the two fluorescent species is reversed. The individual species retained their original elution position upon rechromatography on RPC-5. This observation makes it unlikely that the appearance of two species is due only to conformational differences; it rather suggests that species B and C are chemical isomers which do not interconvert under the conditions of chromatography and isolation (ethanol precipitation and phenol extraction). The formation of two isomeric condensation products of ethidium with ribose may be due to condensation at the two different amino groups of ethidium; pK values of 2.43 and 0.713 have been reported for the 7-amino and the 2-amino groups respectively. [22]. In addition, N-ribosylic condensation products may be present as  $\alpha$  and  $\beta$  anomers. However, the appearance of species B and C of tRNA Phe does not seem to reflect a chromatographic separation of  $\alpha$  and  $\beta$  anomers because the same elution pattern was obtained after tRNAPhe reduction with NaBH4 [5], which should eliminate a heterogeneity due to the presence of  $\boldsymbol{\alpha}$  and

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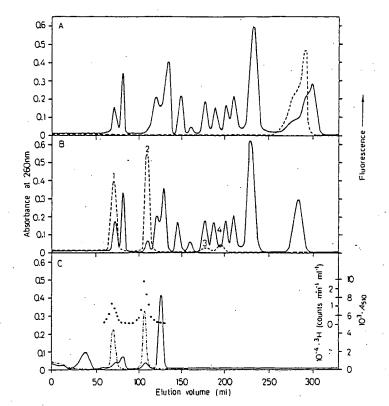


Fig. 2. Chromatography of T<sub>1</sub> RNAase digests of tRNA<sup>Phe</sup><sub>Ett37</sub> (A), tRNA<sup>Phe</sup><sub>Ett37</sub> (B) and of the ethidium-containing dodecanucleotides from Fig. 7 (C) on DEAE-cellulose. A<sub>260</sub> (——); A<sub>510</sub> (·-··-·); ethidium fluorescence, measured as in Fig. 1 (----); H radioactivity (●)

 $\beta$  anomers. Positive evidence favoring the involvement of the two amino groups of ethidium in the condensation with tRNA-Ywye has been obtained by comparing species B and C of tRNA Phe with respect to the rates of ethidium excision at acidic pH. The hydrolysis could be followed by an increase (species B) or a decrease (species C) of ethidium fluorescence. The kinetic analysis revealed that ethidium is excised from  $tRNA_{Etd37B}^{Phe}$  nearly four times faster ( $t_{1/2} = 6$  min at 20°C in 0.1 M acetate buffer, pH 4.0) than from  $tRNA_{Etd37C}^{Phe}(t_{1/2} = 21 \text{ min})$ . In view of the pK values mentioned above this result suggests that in species B and C of tRNA Phe ethidium is bound via its 7-amino and 2-amino groups, respectively.

Derived from Species B and C of tRNA Phe by Nuclease Digestions. The chromatographic pattern of the T<sub>1</sub> RNAase digestion products of a mixture of tRNA-Ywye, tRNA-Eid37B, and tRNA-Eid37C is shown in Fig.2A. Ethidium fluorescence was observed in two oligonucleotides which were eluted just in front of the dodecanucleotide (-YWye). As judged from the relative intensity of ethidium fluorescence, the first is derived from species B, the second one from species C. This result confirms that in both species of tRNA Etd37 the dye is located only in the correct position in the anticodon loop [5]. The slightly different chromatographic (Fig. 2A) and electrophoretic

(Table 1) properties of the B and C forms of the ethidium-containing dodecanucleotide seem to be due to differences in shape. Depending on the steric ar rangement the positively charged ring nitrogen of the dye may screen backbone charges to different extents and thereby cause the observed differences.

Complete Digestion Yields Anomeric Ethidium Ribosides. Species B and C of tRNA Etd37 were digested separately with a mixture of nucleases (T<sub>2</sub> RNAase, snake venom and spleen phosphodiesterase, alkaline phosphatase). Thin-layer chromatography revealed that both species yield two fluorescent spots, i.e. two forms of the expected ethidium ribofuranosides (Re values 0.12 and 0.34, same for species B and C; free: Etd: 0.25). When extracted from the plate by phenol. and rechromatographed each of the spots again yielded the same two spots indicating an isomerization of the ethidium ribofuranoside during isolation. Two ethidium-containing compounds were also observed when the products of T2 RNAase digestion of both species B and C of tRNA cuts were separated by paper electrophoresis (Rap values 0.22 and 0.37; free Etd- -0.06). These observations are best explained by assuming that both species B and C yield upon total digestion a mixture of  $\alpha$  and  $\beta$  anomers of the respective ethidium ribofuranosides. The anomers are apparently stable during chromatography, but interconvert during isolation

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Table 1. Electrophoretic mobilities of dye-containing oligonucleotides

The oligonucleotides were obtained from the indicated tRNA Phe derivative by digestion with T<sub>1</sub> (T<sub>1</sub>) or pancreatic (pan) RNAase. 18% polyacrylamide gels containing 7 M urea were run at pH 8.6 [15]. The bands were made visible by ultraviolet light (ethidium or proflavine-containing oligonucleotides) and by staining with Stains-all. The mobilities are given relative to the bromphenol blue marker (Rn value)

| of PNA OF      |  | grant to the of offipnehol blue marker  |   |  |
|----------------|--|---|---|--|
| Region of tRNA | Oligonucleotide  | tŖNA  | RNAase  | R <sub>B</sub> value   |
| Anticodon loop | A-Cm-U-Gm-A-A-yW-A-ψ-m <sup>5</sup> C-U-Gp<br>A-Cm-U-Gm-A-A-Rib-A-ψ-m <sup>5</sup> C-U-Gp<br>A-Cm-U-Gm-A-A-E(B)-A-ψ-m <sup>5</sup> C-U-Gp<br>A-Cm-U-Gm-A-A-E(C)-A-ψ-m <sup>5</sup> C-U-Gp<br>A-Cm-U-Gm-A-A-P-A-ψ-m <sup>5</sup> C-U-Gp<br>Gm-A-A-yW-A-ψp<br>Gm-A-A-E(B)-A-ψp<br>Gm-A-A-E(C)-A-ψp | tRNAPhe | T <sub>1</sub> T <sub>1</sub> T <sub>1</sub> T <sub>1</sub> T <sub>1</sub> T <sub>1</sub> pan pan pan | 0.535<br>0.580<br>0.535<br>0.510<br>0.535<br>0.730<br>0.730<br>0.700 |
| U loop         | G-G-G-A-G-A-G-Cp<br>A-G-hU(red)-E(B)-G-G-G-A-G-A-G-Cp<br>A-G-hU(red)-E(C)-G-G-G-A-G-A-G-Cp<br>A-G-E(B)-E-(B)-G-G-G-A-G-A-G-Cp<br>A-G-hU(red)-P-G-G-A-G-A-G-Cp  | tRNAPhe<br>tRNAEbito, <sup>1</sup> 7<br>tRNAEbito,17<br>tRNAPhf.6,17<br>tRNAPhf.6,17                    | pan<br>pan<br>pan<br>pan<br>pan   | 0.690<br>0.595<br>0.525<br>0.475<br>0.540                            |

The anomers of an ethidium ribofuranoside should yield the same compound upon reduction followed by reoxidation to restore the phenanthridinium system. However, total digestion experiments with NaBH<sub>4</sub>-reduced and reoxidized tRNA and tRNA and tRNA and transfer [5] analogous to those described above gave only inconclusive results. This may be due to side reactions during reduction and/or reoxidation which cannot be defined at the present time.

## Characterization of tRNAPhe

The previously described separation of two species of tRNAPris, on benzoylated DEAE-cellulose [5] was improved by using reversed-phase chromatography (RPC-5) (Fig. 1 B). According to the oligonucleotide analysis, both compounds contain one proflavine at position 37 [5]. The quantum yield of proflavine fluorescence is the same in the two compounds. After reduction with NaBH4 the tRNAPhe was eluted from reversed-phase chromatography in a single peak, indicating that the heterogeneity of the unreduced compound is due to the presence of  $\alpha$  and  $\beta$  anomers. It should be mentioned that in contrast to the thidium-containin compounds, the proflavinecontaining dodecanucleotides obtained by digesting the mixture of the two species of tRNAPhe with Ti RNAase appear in one symmetric peak upon column chromatography under the conditions of Fig. 2 [5] and have the same mobility in disc gel electrophoresis (Table 1).

Characterization of tRNA<sup>Phe</sup>-Dye Compounds Labeled in the hU Loop

Reduction of Dihydrouracil in tRNAPhe. Dihydropracil in tRNA has to be reduced in order to be sus-

ceptible to the replacement by amines. The NaBH4 reduction of dihydrouracil had previously been performed at pH 9.8 [19]. However, as determined spectrophotometrically [23], a complete reduction of dihydrouracil can be achieved under much milder conditions (pH 7.5, 0°C) [24]. Besides dihydrouracil, 7-methylguanosine and wybutine are also affected. These side reactions cannot be avoided since under any condition dihydrouracil in tRNA Phe is reduced by NaBH<sub>4</sub> at a slower rate than both 7-methylguanosine and wybutine. At least part of the reduced 7-methylguanosine is reoxidized to the starting compound by reaction with oxygen during isolation. It has been shown that the modification by reduction of wybutine [19] and 7-methylguanosine [13] has little or no effect on the activities of tRNA Phe in biochemical assay systems (see also below).

Preparation and Isolation of tRNAPhe and  $tRNA_{Prf16/17}^{Phe}$ . Previously the replacement of reduced dihydrouracil by ethidium had been performed at pH 3 [6]. However, the replacement reaction proceeds equally well at pH 4.3 [24]; this condition is much to be preferred, since it avoids excision of wybutine (see below). According to absorbance measurements, 1.1 mol ethidium were incorporated/mol reduced tRNAPhe, whereas non-reduced tRNAPhe did not accept measurable amounts of dye. The incorporation of Prf was also found to be strictly dependent on the progress of dihydrouracil reduction, both in tRNAPhe and tRNA hwy. (Fig. 3). The incorporation of proflavine into fully reduced tRNA Phe was complete after 2 h [24]. Reversed-phase chromatography (RPC-5) of the products of ethidium incorporation into reduced tRNAPhe again separated two ethidiumcontaining species from non-labeled tRNAPhe (Fig. 1C); only the major fluorescent species was used for further experiments and is designated

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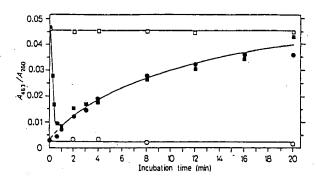


Fig. 3. Incorporation of proflavine into reduced tRNA. Per point 2  $A_{260}$  units of  $tRNA^{Phe}$  ( $\bullet$ ,  $\bigcirc$ ) or  $tRNA^{Phe}_{YWye}$  ( $\blacksquare$ ,  $\bigcirc$ ) were treated with NaBH<sub>4</sub> (standard procedure in Materials and Methods) for various times. The controls were incubated under the same conditions without addition of NaBH<sub>4</sub>. The isolated tRNA samples were reacted with proflavine as previously described [5]. The absorbance at 463 nm and 260 nm was measured for the NaBH<sub>4</sub>-treated ( $\bullet$ ,  $\blacksquare$ ) and the control samples ( $\bigcirc$ ,  $\bigcirc$ )

Fig. 4. Cleavages in the hU region of  $IRNA_{Edito}^{Phe}(I)$ ,  $IRNA_{Edito}^{Phe}(II)$  and  $IRNA_{Edito}^{Phe}(III)$  with  $T_1$  ( $\nabla$ ) and pancreatic ( $\triangle$ )  $RNA_{Edito}^{Phe}(III)$  in I and II the ethidium riboside is present in both B and C forms giving rise to chromatographically different ethidium-containing oligonucleotides (see text). In III the distribution of B and C forms has not been determined. In II no cleavage was observed between hu(red) and E (B, C) (see text)

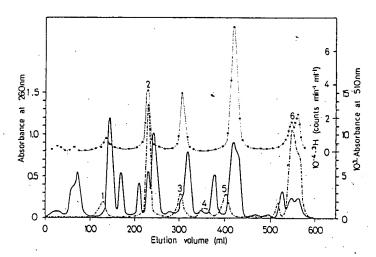


Fig. 5. DEAE-cellulose chromatography of a pancreatic RNAase digest of [3H]tRNA<sup>Phe</sup><sub>Editofl7</sub>, tRNA<sup>Phe</sup> was reduced with NaB[3H<sub>4</sub>], treated with ethidium bromide, and isolated as described in Materials and Methods. 30 A<sub>260</sub> units of the modified tRNA were digested with pancreatic RNAase and chromatographed on DEAE-cellulose in the presence of urea as described in Materials and Methods, except that the total gradient volume was 800 ml. A<sub>260</sub> (——), A<sub>510</sub> (———), 3H radioactivity (• • • • •)

tRNA<sub>Ptd16/17</sub> according to the analytical data presented below. A chromatographic profile similar to the one shown in Fig.1C was obtained for tRNA<sub>Prf16/17</sub>; also in this case only the major fluorescent species was analyzed and used for further experiments.

Oligonucleotide Analyses of tRNA<sup>Phe</sup> Labeled in the hU Loop. The results reported previously [3] and above (Fig. 2A and 3) already prove that dye incorporation into tRNA<sup>Phe</sup> depends on excision of a base (wybutine replacement) or modification of bases by NaBH<sub>4</sub> reduction (dihydrouracil replacement). tRNA<sup>Phe</sup><sub>Eld16/17</sub> was analyzed in order to (a) establish the extent to which the reduced dihydrouracil residues 16 and/or 17 were replaced by ethidium, (b) determine the amount of ethidium incorporation at the position of 7-methylguanosine which is also reduced by NaBH<sub>4</sub>

(see above), and (c) verify that there is no replacement of wybutine under the conditions of ethidium incorporation into reduced tRNAPhe. The information has been obtained by analyzing the T1 and pancreatic RNAase digestion products of tRNAPhe and of <sup>3</sup>H-labeled tRNA<sub>Etd16/17</sub>. Fig. 4 illustrates the observed cleavage points of the two nucleases in the hU region of tRNA Etd16/17. The chromatographic separation of the digestion products is shown in Fig. 2B, C, and 5. A detailed discussion of the analytical data is given in the miniprint at the end of the paper. In brief, the analytical experiments revealed that tRNAEdd16/17 as isolated by reversed-phase chromatography (RPC-5; main peak in Fig. 1C) is a mixture of tRNA $_{\text{eld}_{16}}^{\text{Phe}}$  (38  $\pm$  5%), tRNA $_{\text{eld}_{17}}^{\text{Phe}}$  (55  $\pm$  5%), and  $tRNA_{Etd_{16}+17}^{Phe}$  (10 ± 5%). In a small proportion of the molecules (10 ± 5%) ethidium is also located at the

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position of 7-methylguanosine. These numbers are based on the ethidium distribution in the absorbance profile of Fig. 5. No ethidium was found at the wybutine position. In addition, the analyses have shown that ethidium is bound in two different ways (designated B and C as in the case of tRNA Eld37), giving rise to two chromatographically different oligonucleotides for both positions. The tRNA species B and C apparently are not separated by reversed-phase chromatography. For tRNAPhilolin analytical data were obtained by chromatography of the T<sub>1</sub> RNAase digestion products (described in the miniprint part of the paper) and by the disc electrophoretic analysis of the pancreatic RNAase digestion products. The conclusions are very similar to the ones described for tRNA Etd16/17 with the exception that in this case there is no clear separation of two different condensation products. This follows from the observation of a single proflavine-containing dodecanucleotide in disc gel electrophoresis which is probably formed by digestion of tRNA Pre with pancreatic RNA ase in analogy to the digestion of tRNAPhe (Fig. 4).

Spectroscopic Properties of tRNAPhe-Dye Compounds

The visible absorption and fluorescence spectra of free and tRNA-bound ethidium and proflavine have been measured in the absence and presence of  $Mg^{2+}$ (Table 2). The absorption spectrum of ethidium is red-shifted upon binding to the tRNA. The three tRNA Phe-Etd derivatives exhibit somewhat different absorption maxima, the differences being most clearly expressed in the presence of 10 mM Mg<sup>2+</sup>. The same is true for the emission spectra, which show distinct differences in the extent to which they are blue-shifted relative to the spectrum of the free dye. There is no quantitative correlation of the extents to which the absorption and emission spectra of the three tRNA Phe-Etd derivatives are shifted to the red and the blue respectively. The quantum yield of fluorescence, however, appears to be related to the  $\lambda_{max}$  of emission, since the fluorescence intensity is highest for the species with the most blue-shifted emission spectra. The high quantum yield of tRNA eldarc relative to that of tRNA Etd37B, which is also clearly shown in Fig.1A, should be noted. The visible absorption spectra of the two tRNAPhe-Prf derivatives are also substantially red-shifted relative to the free dye, whilst the emission spectra are only slightly changed. In contrast to the observations with ethidium, the proflavine fluorescence is quenched upon incorporation into tRNA.

The spectra of the tRNA Phe-Etd derivatives are clearly influenced by changes of the Mg<sup>2+</sup> concentration. The effect is most clearly expressed when the fluorescence quantum yields are measured. IRNA Phe and tRNA Edd 16/17 behave similarly: When the Mg<sup>2+</sup> concentration is increased from below

Table 2. Spectroscopic properties of tRNA<sup>phe</sup>-dye compounds
The spectra were measured at 24°C in a buffer containing 10 mM
Tris-HCl, pH 7.5, 100 mM KCl, and the indicated concentrations
of MgCl<sub>2</sub>; the lowest Mg<sup>2+</sup> concentration was established by the
addition of 1.6 mM EDTA to solutions containing 1 mM MgCl<sub>2</sub>. The
fluorescence was excited at 467 nm with a band width of 4 nm
(ethidium) or 1.3 nm (proflavine). The emission data are normalized
to the absorbance at 467 nm and are corrected for the wavelength
dependence of the sensitivity of the detection system (Materials and
Methods)

| Compound       | Free Mg <sup>2+</sup> | Ab-<br>sorption<br>(vis λ <sub>max</sub> ) | Emission (λ <sub>max</sub> ) | Relative<br>fluorescence<br>intensity |
|----------------|-----------------------|--|------------------------------|---------------------------------------|
|                | mM                    | nm   |                              |                                       |
| EtdBr          | 0 10                  |  |                              | <del></del> -                         |
| tRNAPhe        | 0-10                  | 480  | 632                          | 1.0                                   |
| LICIAM EIG37B  | < 0.005               | 510  | 618                          | 3.2                                   |
|                | 1                     | 508  | 619                          | 2.9                                   |
| . D. S. L. Pha | 10                    | 508  | 620                          | 2.3                                   |
| tRNA Phe       | < 0.005               | 505  | 616                          | 8.5                                   |
|                | . 1                   | 500  | 614                          | 9.1                                   |
| D Bb.          | 10                    | 503  | 615                          | 7.8                                   |
| tRNA Eld 16/17 | < 0.005               | 505  | 620                          | 2.5                                   |
|                | 1                     | 500  | 623                          | 2.0                                   |
|                | 10                    | 498  | 624                          | 1.6                                   |
| Prf            | 0 - 10                | 443  | 512                          | 1.00                                  |
| tRNAPha        | < 0.005               | 460  | 515                          | 0.57                                  |
|                | 1                     | 458  | 513                          | 0.62                                  |
| _              | 10                    | 462 ·                                      | 513                          | 0.61                                  |
| RNAPril6/17    | < 0.005               | 462  | 511                          | 0.12                                  |
|                | 1                     | 460  | 510                          | 0.14                                  |
|                | 10                    | 461  | 510                          | 0.14                                  |

 $0.005 \ mM$  to 1 mM and 10 mM the absorption and emission spectra are continuously shifted to the blue and red respectively, and the quantum yields show a continuous decrease. tRNA Phe shows a different behaviour: the spectral changes which occur between the lowest Mg2+-concentration and 1 mM Mg2+ are partially reversed at 10 mM Mg<sup>2+</sup>; concomitantly the quantum yield, which increases upon addition of 1 mM Mg2+, decreases again at 10 mM Mg2+. This effect was found to be temperature dependent; it was more pronounced at 37 °C and was not found at 10 °C (not shown). Apparently the initial increase of the quantum yield of tRNAPhe. reflects the Mg2+induced folding of the molecule from some unfolded state which is to be expected at 24 °C and 37 °C when there is practically no  $Mg^{2+}$  present. The spectral changes, however, which occur at Mg2+ concentrations higher than 1-2 mM have to be ascribed to conformational changes of the folded molecule which, as will be seen in the next paragraph, is in its native state under these conditions. The spectroscopic properties of the tRNA Phe-Prf compounds were changed only slightly upon variation of the Mg2+ concen-

Absorbance measurements with the tRNA<sup>Phe</sup>-dye compounds purified by reversed-phase chromatogra-

Table 3. Spectroscopic data of the digestion products of  $tRNA^{Phe}$ -dye compounds

The spectra have been measured as in Table 2 at 24 °C in 10 mM cacodylate buffer, pH 7.5, 140 mM ammonium sulphate, 100 mM KCl, 1 mM MgCl<sub>2</sub>. The digestion mixtures contained in addition T<sub>2</sub> RNAase (15 U/ml), spleen phosphodiesterase (0.12 U/ml), snake venom phosphodiesterase (0.05 U/ml), and alkaline phosphatase (6 U/ml). Digestions were performed at 24 °C until no further change of the fluorescence signal was observed; the usual digestion time was 2 h, tRNA<sup>ph</sup><sub>Ed37C</sub> had to be digested for 4 h

| Compound               | Absorption (vis. λ <sub>max</sub> ) | Emission $(\lambda_{max})$ | Relative<br>fluorescence<br>intensity |
|------------------------|-------------------------------------|----------------------------|---------------------------------------|
|                        | nm                                  |                            |                                       |
| EtdBr                  | 480                                 | 632                        | 1.0                                   |
| tRNAPhe                | 508                                 | 618                        | 2.9                                   |
| tRNAFid37B digested    | 498 .                               | 624                        | 1.1                                   |
| tRNA Eld37C            | 500                                 | 614                        | 9.1                                   |
| tRNAPhe digested       | - 495                               | 625                        | 1.3                                   |
| tRNAPhe                | 500                                 | 622                        | 2.0                                   |
| tRNA Eld16/17 digested | 495                                 | 623                        | 1.2                                   |
| Prf                    | 443                                 | 513                        | 1.00                                  |
| tRNAPri37              | 458                                 | 513                        | 0.62                                  |
| tRNAPrist digested     | 451 .                               | 513                        | 1.00                                  |
| tRNAPri16/17           | 460                                 | 510                        | 0.14                                  |
| tRNAPr(16/17 digested  | 452                                 | 512                        | 0.70                                  |
|                        |                                     |                            |                                       |

phy (RPC-5) have revealed that the absorption coefficient of the visible absorption of proflavine decreases by about 30% upon binding to the tRNA. The absorption coefficient of bound ethidium, however, was found to be close (within 10%) to the one of the free dye. Thus our earlier assumption [5] of a 30% lower absorption coefficient of ethidium in the tRNA Phe-Etd compounds is no longer valid.

It is known that substitutions at the amino groups of acridine derivatives and related compounds may change their spectroscopic properties. Consequently, we have investigated the extent to which the spectra of the tRNA-bound dyes are influenced by such substitution effects. Because of the lability of the dyeribosides and their tendency to stick to chromatographic supports, we failed to isolate them from the complete digests of the respective tRNAPhe-dye compounds. Because of these difficulties we have completely digested the tRNA-dye compounds under conditions where the dye ribosides remained intact; this was established by chromatography of the digestion products (see above). The spectra of the digestion mixtures were then measured without isolating the products (Table 3). In all cases the total digestion of the tRNA Phe-dye derivatives shifts the spectra about half the way back towards those of the free dyes. The disappearance of the large quantum yield difference of species B and C of tRNA Etd37 upon digestion should be noted.

From these observations it is concluded that the spectroscopic properties of the tRNA-bound dyes are determined to a large extent by the particular local environment at the binding site. This is most clearly seen in the fluorescence intensity data (Table 3). The differences between dye ribosides and free dyes, which are greatest for the absorption spectra, may be due to the substitution at the amino groups of the dyes or to interactions of the dye ribosides with other components of the digestion mixtures. An influence of the ribose substituent on the spectrum of the proflaving riboside is indicated by the observation that an acetic acid treatment of the digestion products of both tRNAPris and tRNAPris 16/17 restores the absorption spectrum of the free dye.

## Stability of the Isomeric Forms of the IRNA Phe-Dye Derivatives

In addition to the rechromatography mentioned above several experiments have been performed in order to establish that the isomeric forms of tRNAPhe and tRNAPhe do not interconvert. As judged from their spectroscopic and/or chromatographic properties the isomers were stable during prolonged incubations under the conditions of the aminoacylation assay in the absence or presence of the synthetase. As discussed above the B and C forms of tRNA Phe upon nuclease digestion give rise to ethidium-containing oligonucleotides which exhibit different chromatographic (Fig. 2 and 5) and electrophoretic (Table 1) properties. The same is true for tRNAPhe B and C forms of the ethidium derivatives and the two forms of the proflavine derivatives are stable isomers.

## Activities of tRNAPhe-Dye Compounds

High activity in biochemical assay systems is a prerequisite for the meaningful use of fluorescent tRNA derivatives and probably the most sensitive criterion for the presence of the native conformation of the tRNA molecule. We have, therefore, extended previous studies [5] and have investigated the aminoacylation of the tRNA Phe-dye derivatives in some detail.

Aminoacylation with Phenylalanyl-tRNA Synthetase from Yeast. All tRNA-dye compounds mentioned above could be aminoacylated to at least 80% of the charging level of unmodified tRNA Phe. This activity was maintained also through the reversed-phase column chromatography when the necessary precautions were taken (Materials and Methods). Michaelis-Menten kinetics revealed a  $K_m$  of 0.2  $\pm$  0.05  $\mu$ M for tRNA Phe and all derivatives mentioned above. The maximal velocity was lower by approximately 30 – 50% for the tRNA Phe-dye compounds as compared to unmodified tRNA Phe. In addition, the

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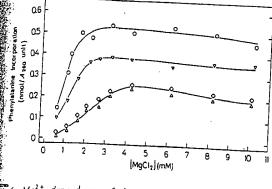


Fig. 6. Mg<sup>2+</sup> dependence of the aminoacylation of tRNA<sup>Phe</sup> (O), RNA Edition (V), IRNA Editor (O), and IRNA Editor (A) with phenylalanyl-tRNA synthetase from yeast. Aminoacylation was measured in 0.1-ml assays containing 10 mM Tris-HCl, pH 7.5, mm KCl, 0.1 mM ATP, varying concentrations of MgCl<sub>2</sub>, and the other components of the aminoacylation assay (Materials and Methods); incubation was for 2 min at 23°C

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ric forms of Mg2+ dependence of the aminoacylation rate was measured (Fig. 6). A rather low (although still nearly aturating) concentration of ATP (0.1 mM) was present in these experiments in order to minimize the Mg<sup>2+</sup> buffering effect of ATP. The similar response of the charging rate of tRNAPhe increasing Mg<sup>2+</sup> concentrations indicates a similar structure of the two tRNAs. A somewhat higher Mg<sup>2+</sup> concentration was necessary to reach the maximal charging rate of both tRNA ends and RNAPherones as grant differences as compared to unmodified tRNA Phe.

Aminoacylation with Phenylalanyl-tRNA Syntheluse from E. coli. The chargea bility of yeast tRNA Pho by phenylalanyl-tRNA synthetase from E. coli has been shown to be abolished upon excision of wybutine [3]. We have previously reported that the activity in he heterologous assay is partially restored by the incorporation of proflavine into tRNAPhe at the position recated by excision of wybutine [5]. The studies of leterologous aminoacylation have been extended to the other tRNAPhe-dye derivatives. The results are shown in Fig. 7. The activity of tRNAPhe is not Hected by NaBH4 reduction under conditions where hydrouracil, wybutine, and 7-methylguanosine are affected (see above). Replacement of reduced dihydrouracil with proflavine or ethidium diminishes he activity only slightly. Substitution of wybutine in the anticodon loop by proflavine lowers the rate of minoacylation. This effect is somewhat more proaunced when ethidium is substituted for wybutine. A small difference in the activities of the B and C Nomers of tRNA Edd 37 is observed. The complete loss of the activities of tRNAPres and tRNAPres, which is qused by NaBH4 reduction, is particularly note-

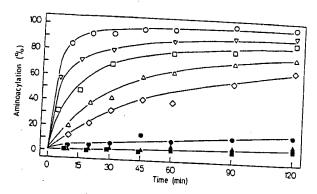


Fig. 7. Aminoacylation of tRNAPhe derivatives with phenylalanyltRNA synthetase from E. coli. The assay was performed in the presence of 1 M (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> as described previously [25]. In addition to the symbols of Fig. 6 the following symbols are used: tRNAPhe (●), tRNAPhe (□), NaBH4-reduced tRNAPhe (■) and tRNAPhe (A; the mixture of species B and C was used)

## DISCUSSION

The location of ethidium or proflavine in the anticodon or hU loops of four tRNA Phe-dye derivatives is established by the results of the structural analyses. As judged from the rather high activities in the homologous and heterologous aminoacylation assay and also in the ribosomal systems from several organisms [10, 26, 27] the insertion of the dyes causes only small alterations of the native tRNA Phe structure. The small shift of the Mg<sup>2+</sup> optimum of the homologous aminoacylation of tRNA Phe by insertion of ethidium (Fig. 6) should be compared with the extensive shift caused by the excision of wybutine [25]. Accordingly, the direct structural investigation by nuclear magnetic resonance spectroscopy has shown that the insertion of proflavine reverses the substantial changes of the spectrum which are introduced by the excision of wybutine from tRNAPhe [28]. As discussed in the following, the chromatographic and spectroscopic data allow one to develop a rather detailed picture of the chemical structure and the steric arrangement of the dye ribosides in the tRNA Phe-dye compounds.

From the knowledge of sugar-amine condensations [29], the proflavine and ethidium ribosides in the tRNA Phe-dye compounds are expected to be present in the form of ribofuranosides. Such a structure is in keeping with the finding that NaBH4 reduction, which leads to the open-chain ribitol amine derivatives, completely abolishes the chargeability of both tRNAPhe and tRNAPhe by phenylalanyl-tRNA synthetase from E. coli (Fig. 7). According to the reversed-phase chromatography (RPC-5) profiles, each of the tRNA Phe-dye derivatives is present in two isomeric forms (Fig. 1). No such behaviour was observed with tRNA Phe-hydrazine derivatives [7].

£ 2.

As already discussed in the Results section, there is a number of observations suggesting that the B and C isomers of  $tRNA_{Eld37}^{Phe}$  arise from the condensation at the 7-amino and the 2-amino groups of ethidium respectively. There is no direct structural proof, however; the alternative explanation by anomerism appears rather unlikely but cannot be ruled out completely. For the proflavine derivatives of  $tRNA_{eld}^{Phe}$ , on the other hand, it seems clear that  $\alpha$ ,  $\beta$  anomerism explains the appearance of chromatographically separable isomeric compounds.

The different chromatographic behaviour of the isomeric tRNA phe-dye compounds most likely reflects differences of the extent to which the hydrophobic dyes are exposed at the surface of the tRNA molecule. The most stable configuration is probably determined by the interactions between the positively charged ring nitrogens of the dyes and a phosphate group of the backbone and between the aromatic systems of dyes and neighboring bases.

The existence of stacking interactions is suggested by comparison of the spectra of the tRNA hete-dye compounds (Table 2) with those of the dyes intercalated in double-stranded DNA. Accordingly, the absorption and emission spectra were shifted towards the ones of the free dyes when the tRNA-dye compounds are enzymatically digested to the nucleoside level (Table 3). Evidence for stacking interactions of ethidium in the tRNA hete-Etd derivatives has also been obtained by measurements of the rotational relaxation times which have shown that the dye in both the anticodon and hU loops has little or no freedom to move relative to the tRNA-molecule [9, 30].

The spectroscopic properties of the tRNA<sup>Phe</sup>-dye compounds are found to be dependent on the position of the dye in the tRNA-molecule (Table 2). The differences are most clearly expressed in the fluorescence properties: the quantum yields of both dyes are higher when the adjacent base is an adenine (anticodon loop) than when it is a guanine (hU loop). These results are in keeping with similar observations which have been reported for the DNA complexes of both proflavine [31, 32] and ethidium [33].

From the data for intercalated ethidium the rather high fluorescence of species C of tRNAEd37 is expected. The comparably low fluorescence of species B may be explained on the basis of a recent hypothesis concerning the fluorescence of intercalated ethidium [34], in which the high fluorescence is ascribed to a shielding of the 2-amino group of ethidium against access of water. Thus the fluorescence data of Table 2 indicate that in the highly fluorescent C isomer the ethidium is bound via the 2-amino group whereas in the much less fluorescent B isomer the 7-amino group has reacted, leaving the 2-amino group free for the access of water. The equally low fluorescence of the ethidium ribosides (Table 3) is consistent with the

interpretation. Thus, the same conclusions with respect to the chemical structure of the tRNA<sup>Phe</sup>-Etd compounds emerge from the interpretation of the fluorescence and the analytical data.

Variation of the Mg<sup>2+</sup> concentration in the mM range strongly influence the fluorescence properties of the tRNA<sup>Phe</sup>-Etd derivatives (Table 2); more detailed investigations [9,30] have shown that the effects are probably due to Mg<sup>2+</sup>-induced changes of the equilibrium between different conformations of the tRNAPhe molecule. In the same range of Mg<sup>2+</sup> concentrations the rate of the aminoacylation reaction is found to be maximal (Fig. 6). The observation that modification of the tRNA slightly changes the Mg2+ optimum of the reaction suggests that it is influenced by Mg2+ binding to the tRNA. Thus the simultaneous occurrence of optimal charging and high flexibility indicates that the ability to exist in alternative conformations is an important feature of the functional design of the tRNA molecule.

We wish to thank H. Aquila and A. Lohmann for expent technical assistance, R. Kientsch and J. Engel for performing some of the digestion experiments, and Prof. A. Böck for repeated generous gifts of phenylalanyl-tRNA synthetase from E. coli. The work was supported by Deutsche Forschungsgemeinschaft, Sonderforschungsbereich 51, and Fonds der Chemischen Industrie.

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MUGRESCENT DERIVATIVES OF YEAST tRNA Phe GLICONUCLEOTIDE ANALYSES OF HU-LOOP LABELED tRNA Phe-DYE DERIVATIVES:

W. Wintermeyer and H.G. Zachau

analyses of tRNAPhe

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It dat NU positions 16 and 17. Upon column chromatography of the TI RNAse digestion products of tRNAPES 6/17 (Fig. 2B), the major (1 and 2) and two minor (3 and 4) peaks of Etd fluorescence were observed. According to the quantitative determination on the basis of Etd absorbance (not shown in Fig. 2B), peaks 1 and 2 together amounted to 85-90 % off the UNAPES (6/17, prepared using [3HNABHS in the reduction step, though the theorem of the transportation of the peaks 1 and 2 contained 3H-labeled, reduced that both peaks 1 and 2 contained 3H-labeled, reduced from peak 1 on DEAE-cellulose in bicarbonate buffer, pH 8, resolved a non-fluorescent, non-radioactive oligonucleotide, presumably C-m/G(2',3')p (1'), and two fluorescent, radioactive into two fluorescent, radioactive compounds upon settive oligonucleotides. Similarly, the material from peak 2 was resolved into two fluorescent, radioactive compounds upon techromatography (data not shown). From these results we conclude that peaks 1 and 2 (Fig. 2B) represent the oligo-uncleotides (E|B), hU(red))-Gp and (E(C), hU(red))-Gp, respectively, which are the products of replacing the reduced thydrouracils in position 16 or 17 wth Etd.

Mistribution of Etd between hU positions 16 and 17 as

respectively, which are the products of replacing the reduced dihydrouracils in position 16 or 17 with Etd.

Mistibution of Etd between hU positions 16 and 17 as stermined by pancreatic RNAase digestion. Pancreatic RNAase discussion of the 5 phosphoester bond of a nucleotide sont cleave the 5 phosphoester bond of a nucleotide indianing hexanucleotide upon digestion of tRNAEP837 statistics was shown by digestion experiments with NaBM4 reduced MRAPhe, which yielded the same gel electrophoretic oligor Roducts of pancreatic RNAase digestion of tRNAEP816/17 were subjected by polyacrylamide gel electrophoresis, it was found that instead of the expected Etd-containing nonanucleotides, but of these poorly resolved, longer, fluorescent oligor-body-A-G-A-G-Op from the dihydrouridine region (Fig. 4). The same for the Etd-containing dodecanucleotides from the anti-body states and for the Etd-containing dodecanucleotides from the anti-body states and for the Etd-containing dodecanucleotides from the anti-body states and for the Etd-containing dodecanucleotides from the anti-body states and for the Etd-containing dodecanucleotides from the anti-body states and for the Etd-containing dodecanucleotides from the anti-body states and the formation of the B- and C-form of a dodecanucleotide attrying Etd at position 17 (III in Fig. 4) in addition to the same reduced dihydrouridine and an Etd riboside. This leads attrying Etd at position 17 (III in Fig. 4) in addition to the same the same than the same and the products separated on DEAE-body and the formation of the B- and C-form of a dodecanucleotide allowed the same and the products separated on DEAE-body and the same and the products separated on DEAE-body and the same and the products separated on DEAE-body and the same and the products separated on DEAE-body and the same and the products separated on DEAE-body and the same and the products separated on DEAE-body and the same and the products separated on DEAE-body and the same and the products separated on DEAE-body and the sa

Fig. 2B. We conclude that peaks 6 and 7 (Fig. 5) represent the Etd containing dodecanucleotides from tRNAEE317. From the comparison of dye content and specific radioactivity of peaks 6 and 7, we conclude further that the dodecanucleotide containing Etd at both positions 16 and 17 is also present in peak 6. The smaller Etd containing oligonucleotides of Fig. 5 were rechromatographed on DEAZ cellulose in bicarbonate buffer. The elution profiles showed that peaks 1 and 2 each represented one oligonucleotide containing both Etd and radioactive, reduced dihydrouracil. According to this finding and the elution position, peaks 1 and 2 represent the B- and C-form of the Etd containing tetranucleotide A-G-E-hU[red]p expected from pancreatic RNAase digestion of tRNAEE816 (I in Fig. 4).

The Etd-labeled oligonucleotide from peak ], which according to rechromatography contained radioactivity, cannot be assigned unambiguously. Probably it is formed by some nonspecific cleavage in the sequence G-G-G-A-G-A-G-C-D of the dodecanucleotides found in peaks 6 or 7. Preferential nonspecific cleavage in this sequence during digestion of tRNAPhe with pancreatic RNAAse has been reported (1).

pancreatic KNAASE has been reported (1).

Etd at the m<sup>7</sup>G position. Peaks 3 and 4 (Fig. 2B) and peaks 4 and 5 (Fig. 5), which contained 10-15 % of the total Etd absorbance present in the respective elution profile, have not been analyzed further because of the low amount of material. They are eluted as expected for the species B and C of the hexanucleotides E-U-C-m<sup>5</sup>C-U-Gp (peaks 3 and 4, Fig. 2B) and G-G-A-G-E-Up (peaks 4 and 5, Fig. 5), respectively, which result from the replacement of reduced 7-methylguanine with Etd. The assignment is supported by the observation that the two oligonucleotides did not contain radioactivity when isolated from JH-labeled tRNAPGU-17. In addition we found that tRNAPhe, which had been reduced with NaBH4 under conditions in which only 7-methylguanosine in tRNAPhe was affected, accepted approximately O.1 mole of Etd per mole of tRNA per hour under the conditions of Fig. 3. This amount compares well with the amount of Etd found in peaks 3 plus 4.

Wybutine is not replaced by Frd. No Etd fluorescence was detected near the elution position of the dodecanucleotide from the anticodon region (last peak in Fig. 2B). A replacement of wybutine with Etd under the conditions of Fig. 3 is there-

Analysis of tRNA Phe rt RNA Pref 16/17. The analytical data obtained for tRNA Pref 16/17 are similar to the ones described for Etd and will be summarized only briefly. The oligonucleotide pattern after digestion with T1 RNAsse was comparable to the profile shown in Fig. 2B. Prf was found in one prominent, heterogeneous peak eluting somewhat later than peak 2 in Fig. 2B and in a rather small peak near the position of peak 1 of Fig. 2B. In addition, two very small peaks near the positions of peaks 1 and 4 were observed, one of which probably represents the product of 7-methylguanine replacement.

product of /-methylguanine replacement.

The disc electrophoretic analysis of the pancreatic RNAase digestion products showed that a Prf containing dodecanucleotide was formed, the amount of which corresponded to a loss of the octanucleotide from the dihydrouridine region as found for tRNAEDS16/17. Based on these observations and by analogy with the interpretation given for tRNAEDS16/17, we conclude that tRNAEDS16/17 is a mixture of comparable amounts of tRNAEDS16 and tRNAEDS17. The exact distribution of the Prf label between positions 16 and 17 has not been determined.

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## [7] A New Method for Attachment of Fluorescent Probes to tRNA 1

By Scott A. Reines and LaDonne H. Schulman

nus, 8-11 through pyrophosphate linkage to the 5' terminus, 12 by reaction specific minor bases, 2-7 by coupling to the periodate-oxidized 3' termiwith the primary amino group of aminoacyl-tRNA, 13,14 by replacement of Fluorescent probes have been attached to tRNAs by modification of the 3'-terminal adenosine with formycin, 15-17 and by modification of guanosine residues. 18 In the present report, we describe a new method for attachment of fluorescent dyes to cytidine residues in tRNA.

## Principle

Cytidine and uridine residues in single-stranded regions of nucleic acids are readily modified by addition of sodium bisulfite to the 5,6 cytidine derivatives by transamination with an appropriate amine. We double bond of the pyrimidine base. 19 Cytidine-bisulfite adducts undergo deamination by reaction with water and are converted to N\*substituted

' This research was supported by grants from the National Institutes of Health (GM 16995) and the American Cancer Society (NP-19). L. H. S. is recipient of an American Cancer Society Faculty Research Award (FRA 129).

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ATTACHMENT OF FLUORESCENT PROBES TO IRNA

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amine carbohydrazide in the presence of bisulfite, leading to formation. have found that cytidine undergoes a rapid reaction with the bifunctional of a 4-carbohydrazidocytidine derivative. 20 This intermediate is reactive with a variety of amine-specific reagents. The procedure described below is used to attach the intensely fluorescent fluorescein moiety to tRNA by the scheme outlined in Fig. 1.

Escherichia coli tRNA met, purified as described before 21 to a specific activity of 1.9 nmol/A260 unit

Yeast IRNA Phe, specific activity 0.95 nmol/A 260 unit, from Boehrine

Crude E. coli K12 IRNA, from General Biochemicals

Poly(C), from Miles Laboratories

Fluorescein isothiocyanate (96%) from Aldrich Chemical Co., used without further purification

Carbohydrazide, from Aldrich Chemical Co.

Sodium metabisulfite, grade I, from Sigma Chemical Co.

Sodium sulfite, from Fisher Scientific Co.

Sodium sulfite, 35S-labeled, under nitrogen, 50-200 mCi/mmol, from New England Nuclear Corp.

Dimethyl sulfoxide (DMSO), spectro grade, from Mallinckrodt

## Procedures

Modification of tRNAs and Poly(C) with Carbohydrazide in the Presence of Sodium Bisulfite

10 mM MgCl<sub>2</sub> is prepared by dissolving 0.63 g of Na<sub>2</sub>SO<sub>3</sub>, 1.43 g of Na<sub>2</sub>S<sub>2</sub>O<sub>5</sub>, and 0.90 g of carbohydrazide in 10 ml of 10 mW MgCl<sub>2</sub>. An ethanol precipitate of RNA is dissolved in this solution to give a final concentration of 20  $A_{260}$ /ml. The reaction mixture is incubated at 25° for a given amount of time and the reaction is essentially stopped by addition of 10 volumes of water. The sample is dialyzed overnight at 4° vs 1000 volumes of 0.15 M NaCl, 10 mM Tris HCl, pH 7.0, and then for 3 hr at 4° vs the same volume of 50 mM NaCl, 10 mM Tris-HCl, pH 7.0. The sample is evaporated at room temperature to a concentration of 20  $A_{260}\prime$ A solution of 2 M sodium bisulfite, pH 6.0, 1 M carbohydrazide, a. ml and precipitated by addition of 2 volumes of 95% ethanol.

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[2]

Fig. 1. Sequence of reactions leading to covalent attachment of fluorescein to cytosine derivatives in the presence of bisulfite and carbohydrazide.

A similar procedure is used for modification of poly(C), except that the carbohydrate concentration is reduced to 0.5 M. Modification of RNAs with [35S]bisulfite is carried out as described above using [35S]Na<sub>2</sub>SO<sub>3</sub> instead of unlabeled sodium sulfite.

## Determination of the Yield of CarbohydrazidelBisulfite Adduct II in Poly(C)

Cytidine-bisulfite adducts (I) are unstable and rapidly revert to free cytidine following removal of excess bisulfite. The carbohydrazide-modified adduct (II) is stable for several days at 4°, pH 7, in the absence of free bisulfite. The yield of carbohydrazide/bisulfite adducts can therefore be determined by incorporation of radioactivity into poly(C) from [38]bisulfite in the presence of carbohydrazide after removal of excess reagents (Fig. 2). This value gives the number of groups in the polymer that can potentially be labeled with dye. There is little or no deamination of cytidine residues under the reaction conditions used.

Uridine-bisulfite adducts are stable at pH 6 and only slowly revert to uridine at neutral pH under the conditions described above, Since many tRNAs contain one or more exposed uridine residues in looped-out regions of the structure, the incorporation of 385 into tRNAs reflects the amount of uridine-bisulfite adduct formation plus the yield of adduct (II). Uridine adducts in tRNAs can be reversed after dye labeling (see Remarks).



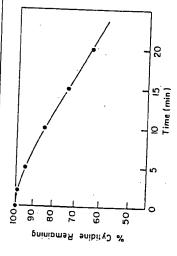


Fig. 2. Rate of modification of cytidine residues in poly(C) in the presence of 2 [<sup>135</sup>]bisulfite, pH 6.0, and 0.5 M carbohydrazide at 25°. Formation of adduct (II) w determined by incorporation of <sup>335</sup> into poly(C) after removal of excess reagents as described in the text. The short lag period corresponds to the time required for formation of an equilibrium concentration of adduct (I).

# Labeling of CarboltydrazidelBisulfite-Modified tRNA and Poly(C) with FITC<sup>22</sup>

Conditions for quantitative dye-labeling have been determined using carbohydrazide/bisulfite-modified poly(C) by correlating 35S incorporation with the yield of covalently attached fluorescein.

The ethanol precipitate of carbohydrazide/bisulfite-modified RNA is before use to give a concentration of 10 mg/ml. Equal volumes of the RNA and FITC solutions are mixed to give a final reaction mixture dissolved in 0.2 M Tris HCl, pH 7.0. FITC is dissolved in DMSO just containing 20 A<sub>260</sub> per milliliter of RNA and 5 mg/ml of FITC in 50% owing to hydrolysis of free FITC. A larger excess of dye should not be One-tenth volume of 4 M NaCl and 3 volumes of 95% ethanol are added to the reaction mixture, the solution is chilled at -20° for 10 min, and DMSO, 0.1 M Tris pH 7.0. The solution is incubated in the dark at 37° used, since the pH may drop below 5 and little or no labeling will occur. the precipitate is collected by centrifugation. The supernatant is discarded, and the RNA is reprecipitated four times from a solution containing 0.1 M Tris-HCl, pH 7.0, 0.5 M NaCl, and 5 mM MgCl<sub>2</sub> by addition from the reaction mixture, as indicated by negligible absorption of the of 3 volumes of ethanol. The precipitation procedure removes free FITC for 2 hr. during which time the pH of the solution drops from 7.0 to 5.

<sup>\*\*</sup> Abbreviations: FITC, fluorescein isothiocyanate; FI-tRNA, fluorescein-labeled tRNA; FI, fluoresceinthiocarbamyl-; DMSO, dimethyl sulfoxide.

[2]

final supernatant solution at 495 nm. The free dye is not completely removed by exhaustive dialysis.

the addition of a large excess of FITC. The solubility of the dye is Labeling of carbohydrazide/bisulfite-modified RNA is carried out in 50% DMSO in order to drive the reaction to completion within 2 hr by 7.0 containing 10% DMSO. Under these conditions, the maximum conis substantially reduced (Fig. 3). The reaction fails to go to completion because of hydrolysis of FITC during the incubation. In order to obtain dependent on the final concentration of both DMSO and buffer in the reaction mixture. Labeling can also be carried out in 0.1 M Tris·HCl, pH centration of FITC that can be used is 2 mg/ml and the rate of labeling quantitative labeling at low DMSO concentrations, the modified RNA is incubated in the dark at 37° for 6 hr. precipitated and treated with fresh FITC as before. After three 6-hr incubations at 37° in 0.1 M Tris·HCI, pH 7.0, containing 10% DMSO, 2 mg of FITC per milliliter, the labeling using 0.5 M sodium acetate, pH 6.0, containing 50% DMSO and 5 mg of FITC per milliliter. Under these conditions the pH of the reaction is constant during the incubation and labeling is complete within 3 hr is complete (Fig. 3). If desired, labeling can be carried out at a lower pH

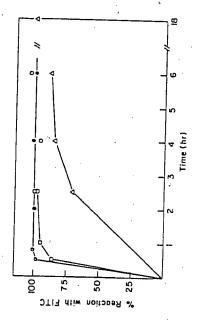


Fig. 3. Rate of reaction of fluorescein isothiocyanate (FITC) with carbohydrazide/ pH 7.0, 10% DMSO, 2 mg of FITC/ml. Eschericlina coli tRNA met containing 1.2 mol of adduct (II) per mole of tRNA: ———, in 0.1 M Tris HCl, pH 7.0, 50% DMSO, 5 mg of bisulfite-modified RNAs. Poly(C) containing 0.1 mol of adduct (II) per mole of CMP: Oin 0.5 M sodium acetate, pH 6.0, 50% DMSO, 5 mg FITC/ml; \$\int \times \triangle \text{in 0.1 M Tris HCl,}\$ —O, in 0.1 M Tris HCI, pH 7.0, 50% dimethyl sulfoxide (DMSO), 5 mg FITC/ml; 🗀— 🗇, FITC/ml.

## ATTACHMENT OF FLUORESCENT PROBES TO IRNA

The state of 151

Calculation of Moles of Dye per Mole of RNA

The absorption of RNA-bound fluorescein is lower than that of free dye and is dependent on the number of molecules of dye per molecule of release of free dye by treatment with 0.3 N KOH at 37° for 18 hr. The extinction coefficient of fluorescein varies significantly with pH and dye RNA. The exact amount of fluorescein covalently bound to a given RNA is determined after hydrolysis of the sample to mononucleotides and an  $\epsilon_{495}$  of  $5.03 \times 10^4 M^{-1}$  cm<sup>-1</sup> is used to calculate the yield of free dye.<sup>23</sup> concentration. After adjusting the solution to pH 7 and 0.1-0.5 A495/ml, The absorption of fluorescein at 260 nm is subtracted from the total  $A_{z_{60}}$ in order to obtain the absorption of the hydrolyzed RNA alone.

rescein at 495 nm determined by the above procedure is 4.25 imes 10 $^4$   $M^{-1}$ mole of tRNA, the average extinction coefficient of tRNA-bound fluocm-1 in 0.1 M Tris·HCl, pH 7.0, 5 mM MgCl2. An extinction coefficient of 5  $\times$  10<sup>5</sup>  $M^{-1}$  cm<sup>-1</sup> is used for intact tRNA at 260 nm in this buffer. Under these conditions the amount of dye per tRNA is calculated from At low levels of dye labeling, e.g., approximately 1 mol of dye t

Moles of fluorescein per mole of tRNA

 $= (A_{495}^{FI-IRNA}/\epsilon_{495}^{FI-IRNA})/(A_{260}^{IRNA}/\epsilon_{260}^{IRNA})$ 

 $= (A_{495}^{FI-1RNA}/A_{260}^{IRNA}) \times 11.8$ 

where  $A_{260}^{1RNA}=A_{260}^{FI-1RNA}-0.37\times A_{495}^{FI-1RNA}$ . The ratio of experimentally observed A405/A260 is linear with concentration of FI-tRNA up to 0.5 A495/ ml, and absorbance measurements are made after adjusting the concentration of tRNA to 0.1-0.5 A485/ml.

Yield of Dye per Mole of IRNA

The yield of dye per mole of tRNA using the procedures described here depends on the rate of modification of a given tRNA with carbobility of cytidine residues in exposed regions of the structure. The yields hydrazide/bisulfite. This rate is determined by the number and accessiof dye for several tRNAs under similar reaction conditions are compared in Table I. E. coli tRNA<sup>thet</sup> contains six potentially reactive cytidine residues<sup>24</sup> that are modified at different rates. Yeast tRNA<sup>phe</sup> contains only two exposed cytidines in the 3'-terminal CCA sequence25 and re-

<sup>&</sup>lt;sup>23</sup> R. P. Tengerdy and C.-A. Chang, Anal. Biochem. 16, 377 (1966).

<sup>11.</sup> P. Goddard and L. H. Schulman, J. Biol. Chem. 247, 3864 (1972). D. Rhodes, J. Mol. Biol. 94, 449 (1975).

22.40 etc., 153

| Reaction time" Fluorescein/mole (min) tRNA | 10 0.99 20 1.74 30 1.57 10 1.62                                   |
|--|---|
| Sample                                     | Escherichia coli 1RNA Met<br>Yeast 1RNA Phe<br>Crude E. coli 1RNA |

<sup>&</sup>quot; Time of reaction at 25° in 2 M sodium bisulfite, pH 6.0, 1 M carbohydrazide, 10 mM MgCl<sub>3</sub>.

quires a longer reaction time to achieve the same extent of dye labeling. An average E. coli tRNA is labeled with one dye per mole of tRNA following 10 min of reaction with carbohydrazide/bisulfite as described above.

## Optical Properties of Fluorescein-Labeled RNA

The absorption and fluorescence spectra of *E.coli* (RNA<sup>IMINI</sup> labeled with 1.5 mol of fluorescein per mole of tRNA are illustrated in Fig. 4. An absorption maximum of 495 nm and fluorescence excitation and emission maxima of 490 nm and 525 nm have been observed at all dye concentrations examined. Increasing the amount of dye per mole of RNA results in a significant decrease in the extinction coefficient at 495 nm and in an increase in the A<sub>410</sub>:A<sub>480</sub> ratio of Fl-RNA. In addition, a dramatic decrease in fluorescence intensity due to fluorescence quenching from dye-dye interactions is observed, as illustrated in Fig. 5 for fluorescein-labeled poly(C).

# Effect of Modifications on Amino Acid Acceptor Activity of tRNAs

The effect of the modification procedures described here on the amino acid acceptor activity of tRNAs depends on the sensitivity of the cognate aminoacyl-tRNA synthetases to structural alterations of exposed cytidine residues in the tRNAs. Such modifications are known to reduce the biological activity of E. coli tRNAs, we have found that carbohy.



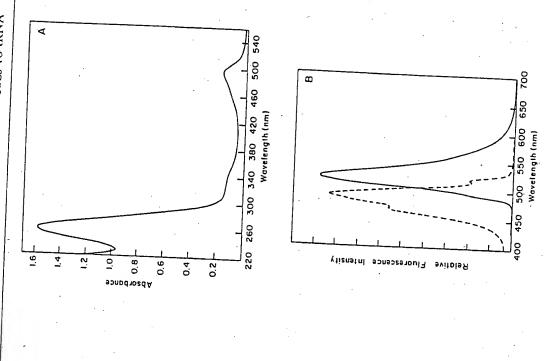


Fig. 4. Optical properties of fluorescein-labeled *Escherichia coli* tRNA<sup>met</sup>. (A) Absorption spectrum of *E. coli* tRNA<sup>met</sup> containing 1.5 mol of fluorescein per mole of tRNA in 0.1 M Tris-HCl, pH 7.0, 5 mM MgCl<sub>2</sub>. (B) ---, Technical fluorescence excitation spectrum (emission at 525 nm); ——, emission spectrum (excitation at 490 nm) of the same sample.

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<sup>&</sup>lt;sup>11</sup> L. H. Schulman and H. Pelka, Biochemistry 16, 4256 (1977).

[2]

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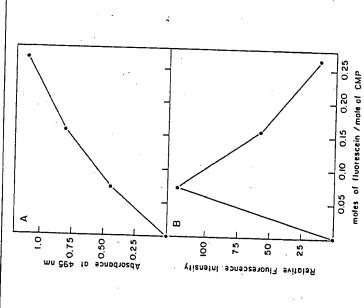


Fig. 5. Effect of dye concentration on the absorption and fluorescence properties of fluorescein-labeled poly(C). (A) Absorbance at 495 nm. (B) Fluorescence emission at 525 nm (excitation at 490 nm). The solvent is 0.1 M Tris HCl., pH 7.0, 5 mM MgCl.. Fluorescence units are arbitrary.

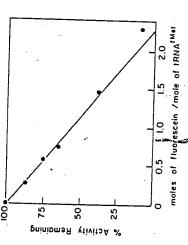


Fig. 6. Effect of the extent of Augrescein labeling of Escherichia coli tRNA met on methionine acceptor activity. Methionine acceptance was measured as described by L. H. Schulman, J. Mol. Biol. 58, 117 (1971).

ATTACHMENT OF FLUORESCENT PROBES TO IRNA

drazide/bisulfite modification of an average of one cytidine per molecule exhibited by the unmodified tRNA. Attachment of fluorescein has little of this tRNA reduces methionine acceptor activity to about 60% of that or no further effect on the activity, and methionine acceptance is a linear function of the number of dye molecules per molecule of tRNA (Fig. 6).

## Remarks

Carbohydrazide/bisulfite adduct (II) is less stable than the fluoresceinmodified derivative (III). The presence of the unblocked primary amino These side reactions occur rapidly in the presence of acid. After incubation at 4° for 3 hr in acetate buffer, pH 3.5, only 10% of the reactive group in adduct (II) allows this cytidine derivative to undergo intramo side chains in carbohydrazide/bisulfite-modified tRNA اللهود remain avail. lecular rearrangements that prevent subsequent reaction with FITC able for reaction with FITC. A rapid loss of FITC-reactive groups is also observed above pH 9; however, adduct (II) is relatively stable at neutral pH. Carbohydrazide/bisulfite-modified poly(C) shows a 25% loss of reactivity with FITC following incubation at 25° in 10 mM Tris HCI, pH 7.0, for I month. Slow rearrangement of adduct (II) also occurs when car-It is therefore recommended that FITC labeling be carried out on freshly prepared samples of modified RNAs. It should also be noted that carbohydrazide/bisulfite-modified RNAs are stored as precipitates at -20°. bohydrazide/bisulfite-modified tRNAs are potentially capable of forming covalent cross-links to proteins by attack of the  $\epsilon$ -NHz groups of lysine residues at C-4 of the modified pyrimidine base, with displacement of the carbohydrazide side chain.

fluorescein is observed following incubation of dye-labeled poly(C) at 25° tion of the modified RNAs at 37° for 6 hr at pH 5-8. A 10% loss or in 10 mM Tris-HCl, pH 7.0, for 2 months. Fluorescein-labeled tRNA med The fluorescein moiety of dye-labeled RNAs is stable during incuba shows no loss of dye after storage as a precipitate for 6 months at  $-20^\circ$ ,

The procedures used for carbohydrazide/bisulfite modification of tRNAs lead to formation of uridine-bisulfite adducts in regions of the structure that contain exposed uridine residues. Bisulfite addition to uridine occurs 3-10 times more slowly than formation of adduct (II), reversed to unmodified uridine by incubation of the dye-labeled tRNAs Uridine-bisulfite adducts in fluorescein-labeled tRNAs can be completely in 0.1 M Tris HCl, pH 9.0, at 37° for 8 hr. These conditions result in a 20% release of fluorescein and a 40% release of free bisulfite from adduct and samples can probably be stored indefinitely in this manner.

The state of 157

> Other types of amine-specific reagents can be used to attach a variety of fluorescent probes to carbohydrazide/bisulfite-modified tRNAs. For example, the carbohydrazide side chain of adduct (II) reacts rapidly with N-hydroxysuccinimide esters (see this volume [8]), and we have covalently attached the naphthoxy moiety to tRNA using the activated ester of naphthoxyacetic acid. 28 It is expected that the N-hydroxysuccinimide esters of dansylglycine and N-methylanthranilic acid' can be used to attach these fluorescent probes to the modified tRNAs in a similar man-

28 L. H. Schulman, unpublished results.

## [8] Attachment of Cross-Linking Reagents to tRNA for Protein Affinity Labeling Studies<sup>1</sup>

By Asok K. Sarkar and LaDonne H. Schulman

A variety of protein affinity-labeling reagents have been attached to tRNAs. These peptidyl-tRNA and aminoacyl-tRNA analogs have been tRNAs by covalent linkage to the amino acid moiety of aminoacylused to probe the structure of tRNA binding sites on ribosomes2 and to cross-link tRNAs to aminoacyl-tRNA synthetases. 3-10 A photoreactive group has also been attached to the periodate-oxidized 3' terminus of tRNA." Few methods are presently available for attachment of affinity labels to other regions of tRNA structure. Photolabile azido derivatives have been coupled to the 4-thiouridine residue in several Escherichia coli

and the American Cancer Society (NP-19). L. H. S. is recipient of an American Cancer ' This research was supported by grants from the National Institutes of Health (GM 16993) Society Faculty Research Award (FRA 129).

\* For a recent review, see A. E. Johnson, R. H. Fairclough, and C. R. Cantor, in "Nucleic

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ATTACHMENT OF CROSS-LINKING REAGENTS TO IRNA

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tRNAs, 11-15 and a chemical affinity labeling group has been attached to tRNA Phe. 16 Described herein is a method for coupling a variety of protein modified cytidine residues in the 3'-terminal CCA sequence of yeast affinity-labeling reagents to internal sites in tRNAs.

## Principle

modified in the presence of carbohydrazide and sodium bisulfite to give 4-carbohydrazidocytidine derivatives. 17 The primary amino group of the . Cytidine residues in exposed regions of tRNA structure are chemically couple several types of protein affinity labeling groups to tRNAs by this carbohydrazide side chain of the modified cytidine residues reacts with sponding amides (Fig. 1). The procedures described below are used N-hydroxysuccinimide esters under mild conditions to yield the cor general method.

Escherichia coli tRNA, met, purified as described before 18 to a specific activity of 1.9 nmol/A260 unit.

Crude E. coli K12 (RNA, from General Biochemicals Poly (C), from Miles Laboratories

Bromoacetic acid, from Aldrich Chemical Co.

Succinic acid, from Mallinckrodt

Dicyclohexylcarbodiimide, from Eastman Kodak Co. N-Hydroxysuccinimide, from Eastman Kodak Co.

Dithiobis (succinimidyl propionate), from Pierce Chemical Co. Carbohydrazide, from Aldrich Chemical Co.

Sodium metabisulfite, grade I, from Sigma Chemical Co.

Fluorescein isothiocyanate, 96%, from Aldrich Chemical Co., used Sodium sulfite, from Fisher Scientific Co.

Dimethyl sulfoxide, spectro grade, from Mallinckrodt without further purification

N.N.-Dimethylformamide, spectro grade, from Aldrich Chemical Co.

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## Synthesis of Modified Nucleoside 3/, 5/. Bisphosphates and Their Incorporation into Oligoribonucleotides with T4 RNA Ligase†

· 福祉大學 (100 / 100 ) (100 )

Jorge R. Barrio, Maria del Carmen G. Barrio, Nelson J. Leonard, Thomas E. England, and Olke C. Uhlenbeck\*

ABSTRACT: A simple procedure is described to prepare nucleoside 3'(2'),5'-bisphosphates from the corresponding nucleosides with the use of pyrophosphoryl chloride. This method is rapid, gives nearly quantitative yields and, most importantly, can be used for a variety of nucleosides with base and sugar modifications. Since 3',5'-bisphosphates are donors in the T4 RNA ligase reaction, a single residue can be enzymatically attached to the 3' end of oligoribonucleotides. By these procedures, five different ring-modified nucleosides and one

sugar-modified nucleoside were incorporated onto the 3' end of (Ap)<sub>3</sub>C. In two cases, an additional step of synthesis with RNA ligase resulted in the modified nucleotide being located in an internal position in the oligonucleotide. Thus, a general method for the synthesis of oligoribonucleotides containing modified nucleosides is outlined. Since many of the modified nucleosides are fluorescent, oligomers containing them should be useful in a variety of physical and biochemical studies.

The finding that nucleoside 3',5'-bisphosphates are efficient donors in the intermolecular T4 RNA ligase reaction (England & Uhlenbeck, 1978) suggests a convenient method to introduce modified or hypermodified nucleotides into a synthetic oligonucleotide. If a method for the synthesis of the modified 3',5'-bisphosphates were available, RNA ligase could join these molecules onto the 3' end of an oligonucleotide acceptor. After removal of the 3' phosphate the product oligomer containing the modified nucleotide could be used as an acceptor in a subsequent RNA ligase reaction, resulting in a modified residue being placed in an internal position in the sequence. It is likely that substitutions on the base portion of the nucleoside 3',5'-bisphosphate will not affect its efficiency as a donor in the RNA ligase reaction, since RNA ligase shows very little substrate specificity when donors of the type A5'ppX are used in the ATP-independent reaction (England et al., 1977).

We report here that pyrophosphoryl chloride (tetrachloropyrophosphate) (Crofts et al., 1960) can be used for the efficient and general bisphosphorylation of both naturally occurring and highly modified nucleosides to their 3'(2'),5'-bisphosphates and confirm that modified residues can be inserted into the sequence of synthetic oligoribonucleotide with T4 RNA ligase.

Solvents play a critical role in selective phosphorylation with pyrophosphoryl chloride. The reagent has been used successfully in *m*-cresol and in other solvents for the direct and selective phosphorylation of the 5'-hydroxyl group of unprotected nucleosides (Imai et al., 1969; Yoshikawa et al., 1969; Sowa & Ouchi, 1975). Previously, several attempts to phosphorylate primary hydroxyl groups selectively without blocking secondary alcohol functions of nucleosides had not been successful (Barker & Foll, 1957; Ikehara et al., 1963). It was reported that with pyrophosphoryl chloride in the absence of solvent 3'(2'),5'-bisphosphates could be obtained quantitatively (Imai et al., 1969), but the reaction was illustrated only for pGp and

pIp (Honjo et al., 1963). Products that have been obtained using pyrophosphoryl chloride, depending largely upon the experimental conditions, include unsubstituted nucleoside monophosphates (Imai et al., 1969), nucleoside 3'(2'),5'-bisphosphates (Honjo et al., 1963; Simonesits & Tomasz, 1974; Simonesits et al., 1975), nucleoside cyclic 2',3'-phosphate 5'phosphate (Simonesits & Tomasz, 1975), and highly phosphorylated compounds (Tomasz & Simoncsits, 1975). We have developed conditions for obtaining various 3'(2'),5'-bisphosphates under which the pyrophosphoryl chloride reaction, followed by an extremely simple work-up, becomes both efficient and generally applicable. Moreover, the mixtures of pure bisphosphates can be used directly with the T4-induced RNA ligase since the 3',5' component of the mixture is the substrate and the 2', 5' component is neither a substrate nor an inhibitor (England & Uhlenbeck, 1978).

## Experimental Section

Chemicals. Adenosine, AMP, pA2'p, pA3'p, and 2'deoxycytidine were obtained from Sigma Chemical Co.; 2'O-methylcytidine, 3'-O-methylcytidine, and pG3'p were from P-L Biochemicals. lin-Benzoadenosine was prepared by the method of Leonard et al. (1976), except that the deblocking of the sugar group after ribosidation was better carried out with ethanolic ammonia at room temperature for 24 h. Displacement of the methylthio by an amino group to afford lin-benzoadenosine is best accomplished at 150 °C during 24 h. The

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Abbreviations used: one letter abbreviations for oligonucleotides and nucleoside 3',5'-bisphosphates will be used (such as: pA3'p, adenosine 3',5'-bisphosphate; pA2'p, adenosine 2',5'-bisphosphate; pAp, a mixture of the two isomers); methylation of the ribose hydroxyl is indicated by the suffix "m" (so pC3'm2'p is 3'-O-methylcytidine 2',5'-bisphosphate);  $\epsilon$ , etheno (so that  $\epsilon$ C is 3. $N^4$ -ethenocytidine or 5,6-dihydro-5-oxo-6- $\beta$ -D-ribofuranosylimidazo[1,2-c]pyrimidine (Secrist et al., 1972; Barrio et al., 1972);  $\epsilon$ A is 1. $N^6$ -ethenoadenosine or 3- $\beta$ -D-ribofuranosyl[2,1-i]purine (Secrist et al., 1972);  $\epsilon$ G is 1. $N^2$ -ethenoguanosine or 5,9-dihydro-9-oxo-1. $N^2$ -(2-methylallylidene)guanosine; linA, lin-benzoadenosine, which is the trivial name for 1-( $\beta$ -D-ribofuranosyl)-8-aminoimidazo[4,5-g]-ine- $N^2$ -2-ethanesulfonic acid; Tris, tris(hydroxymethyl)appin

The property of the property of the second second otal-yield after these two steps was nearly quantitative 3.N4 Ethenocytidine was prepared by the method of Barrio et al. (1972, 1976). lin-Benzo-AMP was prepared by the method of Scopes et al. (1977); 1,N2-ethenoguanosine, by the inthereafter, a chilled solution of 0.5 M triethylammonium bi method of Sattsangi et al. (1977). Pyrophosphoryl chloride was best prepared by reaction of phosphoric oxide, phosphorus trichloride, and chlorine in carbon tetrachloride following the method of Crofts et al. (1960). The compound is stable for at 1 least 1 year when stored desiccated at -20 °C. Thin-layer chromatography was performed on Brinkman cellulose F plates using isobutyric acid:NH<sub>4</sub>OH:H<sub>2</sub>O, 75:1:24. Nucleotides were visualized with ultraviolet light.

Apparatus. Ultraviolet absorption spectra were obtained on a Beckman Acta M VI spectrophotometer. 31P nuclear magnetic resonance spectra were obtained on a Varian Associates XL-100-15 NMR system equipped with a Digital NMR-3 data system, operating at 40.5 MHz for <sup>31</sup>P and 100 MHz for <sup>1</sup>H. Broadband proton decoupling centered at about  $\delta$  4.0 was used for proton decoupled phosphorus spectra. Deuterium from the D<sub>2</sub>O solvent was used for field/frequency stabilization. Phosphoric acid (85%) in a concentric capillary (2-mm O.D.) was used as primary <sup>31</sup>P reference. All spectra were obtained by the Fourier transform technique using 16K data points and a 2500-Hz bandwidth.

Enzymes and Enzyme Assays. The source of materials, including the <sup>3</sup>H-labeled oligonucleotide acceptor. (Ap)<sub>3</sub>C. and the T4 RNA ligase as well as the procedures for running the reaction and analyzing the products are described in detail in the preceding paper (England & Uhlenbeck, 1978). For the evaluation of a modified pNp as a donor, the 30-µL reaction mixture contained 0.1 mM [Cyd-3H](Ap)<sub>3</sub>C (330 Ci/mol), 0.2 mM pNp, 0.5 mM ATP, 50 mM Hepes (pH 8.3), 20 mM MgCl<sub>2</sub>, 3.3 mM dithiothreitol,  $10 \mu g/mL$  serum albumin, and various concentrations of T4 RNA ligase. After 60 min at 37 °C, the reaction mixtures were spotted on Whatman 3MM paper and a decending chromatogram was run in 60:40 (v/v) 1 M ammonium acetate:ethanol. The yield of the slower moving (Ap)<sub>3</sub>CpNp product spot was calculated as the percentage of <sup>3</sup>H label migrating at that position.

The products were characterized as having had a single modified nucleotide added to (Ap)<sub>3</sub>C by the series of enzymatic degradations used to identify (Ap)3CpAp in the preceding paper (England & Uhlenbeck, 1978). Since no <sup>32</sup>P label was present in the donor, only the <sup>3</sup>H label in the cytidine can be detected. However, in many cases the nucleotide added is fluorescent and can be seen on the paper chromatogram, thus aiding identification.

(Ap)<sub>3</sub>CpeA and (Ap)<sub>3</sub>CpC2'm were prepared in slightly larger amounts in order to test their effectiveness as acceptors. Each reaction contained 0.25 mM [Cyd-3H](Ap)<sub>3</sub>C (285 Ci/mol), 0.5 mM pNp, 0.5 mM ATP, and 250 U/mL RNA ligase in the same buffer used above. After 60 min at 37 °C, the products (Ap)3CpNp were purified by paper chromatography as above (yield 96% in both cases). After elution from the paper, a portion of each (Ap)<sub>3</sub>CpNp was treated with alkaline phosphatase to form the (Ap)3CpN, repurified by paper chromatography, eluted, and desalted on Bio-Gel P2 (England & Uhlenbeck, 1978).

The additions of pAp to (Ap)3CpeA and to (Ap)3CpC2'm were carried out in an analogous manner. Each reaction contained  $10-20 \mu M [Cyd-^3H](Ap)_3CpN (285 Ci/mol), 90 \mu M$  $[5'-^{32}P]pA3'p$  (3 Ci/mol), 330  $\mu$ M ATP, and 250 U/mL RNA ligase in the same buffer used above. After 18 h at 4 °C, the reaction mixtures were analyzed by paper chromatography as

oside (0.2 mmol) and pyrophosphoryl chloride (504 mg mmol) was stirred at -10 to -15 °C. After 4-5 h the reaction was quenched by the rapid addition of ice and, immediately carbonate, pH 8.0. The colorless solution was evaporated to dryness under vacuum at 20 °C. The residue was dissolved and evaporated several times with 10-mL portions of methanol to remove the excess triethylammonium bicarbonate and was then chromatographed on a column of DEAE-cellulose (2) × 40 cm) with a linear gradient of 0.05 to 0.4 M triethylam monium bicarbonate, pH 8.0. The fractions containing the nucleoside bisphosphate were pooled and evaporated to dryness at 20 °C as indicated above, giving 85-95% of an unresolved mixture of pure nucleoside 2',5'- and 3',5'-bisphosphates as judged by <sup>31</sup>P NMR.

 $1,N^6$ -Ethenoadenosine 3',5'-bisphosphate (p $\epsilon$ A3'p) was prepared by chloroacetaldehyde modification of pA3'p (Barrio) et al., 1972). When the reaction was complete, as judged by thin-layer chromatography, the solvent was evaporated to dryness under vacuum at 20 °C. The residue was chromatographed as indicated above in the general preparation of pNp's

1,N<sup>2</sup>-(2-Methylallylidene)guanosine 3',5'-bisphosphate (pμG3'p) was prepared by modification of pG3'p with methylmalonaldehyde at pH 4.2 (0.1 M NaOAc buffer) (Moschel & Leonard, 1976). Chromatographic purification was carried out as indicated above for other pNp's (yield: 50%). Thus, in these representative cases, it was satisfactory to modify the 3',5'-bisphosphates rather than to phosphorylate the modified nucleosides.

## Results and Discussion

Chemistry. Nucleoside 3'(2'),5'-bisphosphates were obtained selectively by reaction of each unprotected nucleoside with pyrophosphoryl chloride at -10 to -15 °C for several hours, rapid hydrolysis by means of ice and triethylammonium bicarbonate, and column chromatography on DEAE-cellulose with a linear gradient of triethylammonium bicarbonate. The nucleoside 3'(2'),5'-bisphosphates were produced almost exclusively under the conditions described. They were identified by their spectroscopic, chromatographic, and enzymatic properties, and in representative cases by comparison with authentic samples. Under the conditions we employed, byproducts were limited to very small amounts of either 5'monophosphates or higher phosphorylated products. At least two conditions proved to be critical for efficient reaction: (a) purity of the pyrophosphoryl chloride, which decomposes readily when maintained at room temperature for several days; and (b) temperature of the reaction (Honjo et al., 1963; Tomasz & Simonesits, 1975).

It is unclear why the pyrophosphoryl chloride method of bisphosphorylation, which was introduced in 1963 for the synthesis of pGp and plp, has not received more attention. Limited cognizance of the first report (Honjo et al., 1963) or the multiple manipulations described for purification may have contributed. In any case, the presently described directions have considerably simplified and generalized the procedure. For example, 2'-deoxycytidine could be phosphorylated to pdCp in high yield and with little hydrolysis of the glycosidic bond. Other 3'(2'),5'-bisphosphates produced by this method are included in Table I, and one may safely predict extension of the method for obtaining additional deoxyribonucleoside-3',5'-bisphosphates and modified ribonucleoside 3'(2'),5'bisphosphates as well. The procedure also overcomes the

| The state of the s | hemical shif |                | _ |
|--|--------------|----------------|---|
| Compound Translation (2)   | 24:P         |                |   |
| pA3′p4 (4.175<br>pA2′p4 (4.175   |              | 3.902          | _ |
| plinA3′p 4.077   | 3.696        | 3.962          |   |
| plinA2'p   | 2 476        | 3.865          |   |
| pdCp. 3.287  | 3.475        | 3.865          |   |
| pC2′m3′p   |              | 3.837          |   |
| pC3′m2′p   | 3.475        | 3.762<br>3.837 |   |
| pεC2′p 4.049   | in was       | 3.827          |   |
| peG3′p 4.180   | 3.721        | 3.916          |   |
| peG2'p   |              | 3.878          |   |
| AMP  | 3.566        | 3.924          |   |
| lin-BenzoAMP   |              | 3.882          |   |
|  | <u> </u>     | 3.954          |   |

<sup>a</sup> Spectra recorded in D<sub>2</sub>O, EDTA (0.002 M), adjusted to pH ~10 by addition of Me<sub>4</sub>N+OH<sup>-</sup>. <sup>b</sup> Chemical shifts for proton-decoupled phosphorus signals are expressed in ppm downfield from external 85% H<sub>3</sub>PO<sub>4</sub> capillary. In the nondecoupled <sup>31</sup>P NMR spectra the 5'-phosphate <sup>31</sup>P resonance displays a very characteristic split triplet. The two H<sub>5'</sub> protons account for the triplet structure of the phosphate <sup>31</sup>P signal. A long-range <sup>4</sup>J<sub>PH</sub> coupling to the sugar ring H<sub>4</sub> proton is also observed. <sup>3</sup>- and 2'-phosphates <sup>31</sup>P show characteristic doublets due to couplings of phosphorus to vicinal protons (<sup>3</sup>J<sub>PH3'</sub> and <sup>3</sup>J<sub>PH2'</sub>, respectively). Long-range <sup>3</sup>J<sub>PH</sub> coupling constants are also detected (Cozzone & Jardetzky, 1976b). <sup>c</sup> In the nondecoupled <sup>31</sup>P spectra of pA3'p recorded at 40.48 MHz in the Fourier mode, 5'-P is reported as 0.212 ppm upfield from 3'-P (Lee & Sarma, 1975).

preparation of nucleoside 3'(2'),5'-bisphosphates. These include direct phosphorylation of nucleosides with either dibenzyl phosphorochloridate (Moffatt & Khorana, 1961; Dekker et al., 1953; Cramer et al., 1957), phosphorous oxychloride in triethyl phosphate (Morelli & Benatti, 1974), or N-phosphoryl-N'-methylimidazolinium salts (Takaku et al., 1973). The available enzymatic methods for preparation of 3',5'-bisphosphates include hydrolysis of RNA with venom exonuclease (Richards & Laskowski, 1969) or phosphorylation of 3'monophosphates by polynucleotide kinase (Richardson, 1971). However, the enzymatic production of various modified pNp's could be limited either by their availability in RNA or the substrate specificity of polynucleotide kinase. Thus, the use of pyrophosphoryl chloride for the preparation of unmodified and modified nucleoside 3'(2'),5'-bisphosphates is presently the most convenient available procedure. The method as described here has also demonstrated promise for the bisphosphorylation of unprotected dinucleoside 3',5'-phosphates. When these are subjected to reaction with pyrophosphoryl chloride at -20 °C, 3'(2'),5'-bisphosphorylation is the main reaction, and the products are obtained in acceptable yields. Investigation of this route to pNpNp compounds is continuing.

Mechanisms for the bisphosphorylation reaction with pyrophosphoryl chloride have been established and nucleoside cyclic 2',3'-chlorophosphate 5'-dichlorophosphates have been implicated as intermediates (Tomasz & Simoncsits, 1975). We observed a 65 ± 5% to 35 ± 5% ratio of pN3'p to pN2'p in all cases where unsubstituted ribonucleosides were submitted to phosphorylation and work-up conditions described in the Experimental Section. The composition of such a mixture is dictated either by stereoselective nucleophilic attack by water on a cyclic 2',3'-phosphate intermediate (Westheimer, 1968; Tomasz & Simoncsits, 1975) or by a regioselective phosphorylation step (Lypper 1056).

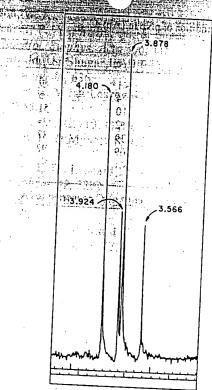


FIGURE 1: Representative proton-decoupled <sup>31</sup>P NMR of a mixture of pure nucleoside 3',5'- and 2',5'-bisphosphates (in this case, 1,N<sup>2</sup>-ethenoguanosine 3'(2'),5'-bisphosphate) obtained after phosphorylation and chromatographic purification of the products (see Experimental Section and Table I for full details).

<sup>31</sup>P NMR spectroscopy is a simple and powerful tool for the characterization of nucleoside 3'(2'),5'-bisphosphates (Table I). Examination of the proton undecoupled <sup>31</sup>P spectra of nucleoside 5'-monophosphates and nucleoside 3'(2'),5'-bisphosphates reveals the striking feature that the 5'-P signals experience very little change from an average value of  $\delta$  3.88, downfield from 85% H<sub>3</sub>PO<sub>4</sub>, among the compounds listed. Accumulated evidence indicates that the most favored conformation of a ribonucleoside 5'-phosphate is anti at N-C(1')and gauche-gauche along C(4')-C(5'). These are little affected by the addition of a 3'-phosphate, except that this group exhibits greater flexibility than the 5'-phosphate. The ribofuranose ring is in rapid equilibrium between <sup>2</sup>E [C(2')-endo] and <sup>3</sup>E [C(3')-endo] conformations (Sundaralingam, 1969; Remin & Shugar, 1972; Olson & Flory, 1972; Sarma & Mynott, 1973; Sundaralingam, 1973; Yathindra & Sundaralingam, 1973a,b; Altona & Sundaralingam, 1973; Lee & Sarma, 1974, 1975; Prusiner et al., 1974; Davies & Danyluk, 1974, 1975; Lapper & Smith, 1975; Evans et al., 1975).

With the signal responsible for the 5'-P nearly constant, the 3'-P and 2'-P signals can be assigned readily when the ribonucleoside 3',5'- and 2',5'-bisphosphates are present in different proportions (Figure 1). The 2'-P signals are consistently upfield from the 5'-P resonance. The 3'-P signals are shifted downfield by ca. 0.20 ppm from the 5'-P average position, a result of the 3'-P environment and the equilibrium among the rotamers, <sup>2</sup>E = <sup>3</sup>E: trans, gauche (g<sup>-</sup>) and gauche (g<sup>+</sup>) about C(3')-O(3'), available to the 3'-phosphate group (Lee & Sarma, 1975). Methylation of the 2'-hydroxyl (pC2'm3'p) or its elimination (pdCp) results in a shielding effect on the 3'-phosphate <sup>31</sup>P resonance (Table 1). In both cases, 2'-OH interaction is removed (Cozzone & Jardetzky, 1976a) and shifts in the equi-

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| ABLE II: Sing | gle Addit | ion of | pNp to       | (Ap) <sub>3</sub> ( |                                       |
|---------------|-----------|--------|--------------|---------------------|---------------------------------------|
| mark statio   | ញ្ញវៀតក្រ |        | 54 <u>5.</u> |                     | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 |

|                  |             | THE STATE RNAIL                               | gase concentration          | 4. 7.    | 17.2   |
|------------------|-------------|---|-----------------------------|----------|--|
| pNp              | 7 U/mL      | 35 U/mL 70 U/mE                               | 105 U/mL                    | 245 U/mL | 350 U/mL   |
| 1 μG3′p<br>peGp/ | <1 a·       | 30 45   | 54                          | 72       | 75.15 F. (2)   |
| peGp<br>peA3'p   | 4<br>10     | 5 9 2 5 79 1 79 1 79 1 79 1 79 1 79 1 79 1 79 | 14<br>38 88                 | 94       | 40 Aq 34<br>94 Aq 31   |
| plinAp<br>peCp   | 12<br>39    | 34.0 - 1.1. 54.2.2.3<br>8761012 1312 945116   |                             | 91<br>96 | 94   |
| pC2'm3'p         | 69          | 927 13 13 13 95 15 2                          | 95                          | 95       | 95 32  |
| pC3'm2'p         | <1<br>- 25  | 1. The large of Shape                         | <b>&lt;</b> 1<br>  <b>6</b> | <1<br>98 | 98   |
| 19.54            | <del></del> |   | (to c                       |          | The state of the s |

Yields are given as percent of (Ap)3C converted to (Ap)3CpNp.

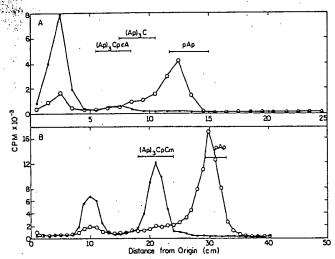


FIGURE 2: Paper chromatographic analysis of the addition of  $[5'^{-32}P]pAp$  to  $[Cyd^{-3}H](Ap)_3C\epsilon A$  (A) and  $(Ap)_3CpC2'm$  (B). Open circles are  $^{32}P$  radioactivity; closed circles are  $^{3}H$  radioactivity.

laxation of the phosphorus signal is allowed, the <sup>31</sup>P relative chemical shifts are useful in structure assignment and in the quantitative estimation of proportions in mixtures such as those encountered in the present synthesis of 3'(2'),5'-bisphosphates.

Enzymology. Seven modified nucleoside 3'(2'),5'-bisphosphates were tested for their ability to act as donors with [Cyd-3H](Ap)<sub>3</sub>C acceptor and T4 RNA ligase (Table II). A twofold excess of donor to acceptor was maintained in each reaction in order to ensure that the 3' isomer was present in sufficient quantity. The nucleoside 2',5'-bisphosphates do not affect the ligation reaction as they are neither substrates nor competitive inhibitors of the RNA ligase reaction (England & Uhlenbeck, 1978). The lack of activity observed for pC3'm2'p in Table II supports this conclusion. As can also be seen in Table II, all six of the modified pNp's with a 3' phosphate were active donors and modified oligomers of the type (Ap)3-CpNp were obtained in excellent yields. The identity of these slower moving products was confirmed by digestion of each product with ribonuclease A to give radiolabel which comigrated with (Ap)<sub>3</sub>Cp upon analysis by descending paper chromatography and ribonuclease A plus alkaline phosphatase to convert the product back to <sup>3</sup>H-labeled (Ap)<sub>3</sub>C. Also, in most cases the fluorescence of the modified oligonucleotide could be detected by examining the paper chromatogram in

Although the varying proportions of 3' and 2' isomers prevent detailed quantitative comparisons, it is evident that the modified nucleoside bisphosphates are nearly as good sub-

beck, 1978). This observation is consistent with the remarkable lack of specificity of RNA ligase that was noted when adenylylated pyrophosphates were used as donors (England et al., 1977).

Examples of the addition of pAp to oligonucleotides containing modified nucleosides are shown in Figure 2. In the upper panel the reaction with (Ap)3CpeA as the acceptor is analyzed. Nearly 85% of the <sup>3</sup>H label in the acceptor is converted to a doubly labeled slower moving product, (Ap)3-CpeApAp. Since the donor [5'-32P]pAp is in excess, a lesser fraction of the <sup>32</sup>P label is found in the product. In the lower panel, (Ap)<sub>3</sub>CpC2'mpAp is obtained in 36% yield under identical reaction conditions for the acceptor (Ap)<sub>3</sub>CpC2'm. The lower yield in the latter case strengthens the observation that the reactivity of an acceptor in the RNA ligase reaction is determined by its base composition near the 3' end (England & Uhlenbeck, 1978). In each case, the products were identified by the resistance of 32P radiolabel to alkaline phosphatase and the production of the expected nucleoside monophosphates  $[Cyd-{}^{3}H]$ Cp and  $[3'-{}^{32}P]\epsilon$ Ap or  $[3'-{}^{32}P]$ C2'm3'p, respectively, upon hydrolysis with spleen phosphodiesterase. Thus, modified nucleosides can be inserted effectively into internal positions in an oligonucleotide sequence.

Our preparation of nucleoside 3'(2'),5'-bisphosphates from the corresponding nucleosides is sufficiently general that a wide variety of modified and hypermodified nucleotides can be obtained. Since the modified bisphosphates are substrates in the RNA ligase reaction, a general method is now available for the synthesis of oligoribonucleotides containing modified bases at specific positions in the sequences and experiments can be designed to clarify the structural and functional roles of modified nucleotides in RNA.

## Acknowledgments

We thank Ms. Monique Hinterberger for the preparation of  $p\mu Gp$ .

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## Pyrene Derivatives as Fluorescent Probes of Conformation Near the 3' Termini of Polyribonucleotides

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## **Synopsis**

When pyrene butyric acid hydrazide or pyrene acetic acid hydrazide is attached to single-strand RNA 3' termini a red shift in absorbance and substantial hypochromicity are observed. A strong induced CD is seen and the fluorescence intensity is quenched by an order of magnitude. In double-stranded samples, a further 10-fold quenching of fluorescence is seen. Several lines of evidence suggest that the residual fluorescence of pyrene butyric acid hydrazide-duplex conjugates arises from a minor species. The most likely possibility is dye reacted at a site other than the 3' end. Some indication exists that 3'-attached pyrene may perturb the relative stability of nearby duplex. Within the limits of this reservation, it appears that 3'-pyrene conjugates may be rather useful for detecting the existence of duplex regions accessible to a dye at the 3' end of complex RNAs.

## INTRODUCTION

There has been considerable interest in the use of pyrene derivatives as fluorescent probes of proteins or nucleic acids. The unusually long singlet lifetime of pyrene is potentially a great advantage for fluorescence anisotropy measurements on large systems, for dynamic quenching studies, and for accurate energy-transfer measurements. Great experimental sensitivity is afforded by the large extinction coefficient and high quantum yield of pyrene and some derivatives. These advantages are sometimes offset by the tendency of pyrene to form excimers, its poor solubility in aqueous systems, and occasional observations of apparent nonexponential fluorescence decay.

In the past the pyrene fluorophore has been used directly through non-covalent binding<sup>3</sup> and as a covalent probe of proteins by the use of pyrene butyric acid anhydrides<sup>4</sup> and a pyrene maleimide.<sup>5</sup> Some time ago we reported the preparation of pyrene butyric acid hydrazide (PBH), a probe capable of reacting with aldehydes generated by periodate oxidation of RNA 3' termini.<sup>6</sup> Here the properties of a number of PBH-3'-RNA conjugates will be shown. The preparation of a related probe, pyrene acetic acid hydrazide (PAH), will also be described.

## MATERIALS AND METHODS

## Synthesis of PAH

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Pyrene acetic acid was synthesized using a modification of the method of Bachman and Carmack. 6.6 g of AlCl<sub>3</sub> were added to 20 ml of nitrobenzene in a flask in an ice-salt bath (-5°C). To this, 2.6 ml of acetic anhydride were added, and then 5.0 g of finely ground pyrene were slowly added with good stirring. The temperature was raised to 10°C and stirring continued for 7 hr, the last 4 hr at 0°C. Ice was added with shaking, then 20 ml conc HCl were added and the sample was stirred overnight. The mixture was extracted with ether and this extract was evaporated to dryness. The yellow 1-acetyl pyrene was recrystallized from methanol and dried under vacuum.

The Friedel-Crafts acetyl product was converted to an amide by the Willgerodt reaction. 1 g of sulfur powder was added to 10 ml of concentrated aqueous NH<sub>3</sub> and then H<sub>2</sub>S was bubbled through the mixture until the sulfur dissolved. This solution was then added to a glass bomb along with 8 ml dioxane and 2 g of 1-acetyl pyrene. The sealed bomb was heated at 165–180°C for 12 hr, then cooled, and the contents removed and filtered to collect the brown 1-pyrene acetamide crystals.

The amide (0.826 g) was dissolved in 12 ml of glacial acetic acid and refluxed. Then 6 ml of concentrated HCl were slowly added through the condenser. After 75 min of reflux an additional 6 ml of HCl were added and a yellow precipitate formed. After chilling, the yellow crystals of 1-pyrene acetic acid were collected by filtration and recrystallized from chlorobenzene. The product was purified by silica gel thin-layer chromatography using either hexane/ethyl acetate (3:2) with several drops of acetic acid or ethyl acetate/methanol/H<sub>2</sub>O/NH<sub>4</sub>OH (10:2:1:1).

To convert to the hydrazide, 0.10 g of the 1-pyrene acetic acid was added to 30 ml of ethanol along with 20 mg of p-toluene sulfonic acid. The mixture was refluxed and after the first and second hours 10 ml were distilled off and replaced by 10 ml of fresh ethanol. The reflux was continued overnight and then solvent was evaporated under vacuum. The product was put through a silica gel column with CHCl<sub>3</sub>, and fractions containing the ester were pooled and evaporated to yield a brown oil which slowly produced yellow crystals. The crude pyrene acetic acid ethyl ester (0.14 g) was added to 0.6 ml hydrazine hydrate and refluxed for 10 min. Then 8 ml of ethanol were added and the sample was refluxed overnight. The mixture was filtered, cooled, and the resulting yellow crystals of PAH were collected by filtration and dried under vacuum.

## Sources of Homopolynucleotides, tRNA, 16S rRNA

Homopolymers were obtained commercially, poly (U) from Schwarz/Mann, poly (I) from Biopolymers, poly (A) and poly (C) from Miles, and were used without further purification. 16S rRNA was kindly provided by Dr. Nancy Hsiung. E. coli tRNAfMet was provided by Dr. A. D. Kelmers

of Oak Ridge National Laboratory. Unfractionated baker's yeast tRNA (tRNA<sup>UNF</sup>) was obtained from Plenum Scientific Research, Inc. Purified tRNA<sup>Phe</sup> from yeast was obtained from Boehringer-Manheim Biochemicals.

## Preparation of Fluorescent Conjugates

In a typical labeling reaction 5 mg of polymer were dissolved in 1 ml of 6M urea, 0.05M acetate buffer (pH 5.6), along with 10 mg NaIO<sub>4</sub>. The reaction mixture was kept in the dark at room temperature for 45 min. Then 0.1 ml of 2M KCl was added and the solution kept at 4°C for 1 hr, after which the KIO<sub>4</sub> crystals were removed by filtration, and the polymer precipitated by addition of 2 ml ethanol and cooling to -20°C. The polymer was then redissolved in 1 ml of the acetate buffer. To this was added 1 ml of dimethylsulfoxide (Me<sub>2</sub>SO) containing 1 mg PBH. The solution was incubated for 2 hr at 37°C, and then the polymer was precipitated by adding 0.1 ml 2M NaCl and 2 ml ethanol. The precipitate was centrifuged out and redissolved in the buffer 0.01M Tris, 0.003M disodium EDTA, and 0.1M NaCl (abbreviated TeN), pH 7.5. The precipitation procedure was repeated at least five times until the supernatant no longer showed any fluorescence.

## Determination of $\epsilon$

The absorption coefficient of labeled polymer in TeN, pH 7.5, was determined indirectly. Labeled polymer in TeN, pH 7.5, was diluted 10-fold in the same buffer; another identical sample was diluted 10-fold with Me<sub>2</sub>SO. The same dilutions were made on two identical samples of pyrene butyric acid (PBA) in 0.2M borate buffer (pH 8.9). The extinction of PBA in 90% Me<sub>2</sub>SO,  $\epsilon_{346}$  can be computed from the measured absorbances of the PBA samples using the known  $\epsilon_{342}$  of PBA in buffer, 40,500,<sup>4</sup> because both samples have identical concentrations.

$$\epsilon_{346}^{\text{Me2SO}} = \frac{A_{346}^{\text{Me2SO}}}{A_{342}^{\text{buffer}}} \epsilon_{342}^{\text{buffer}}$$

The  $\epsilon_{max}$  of free PBA and PBH on polymers in 90% Me<sub>2</sub>SO were assumed to be equal because Me<sub>2</sub>SO is known to be an efficient disruptor of secondary structure. For example,  $\lambda_{max}$  in both cases has shifted to 346 nm. The assumption about the  $\epsilon_{max}$  of bound PBH in Me<sub>2</sub>SO is likely to be quite accurate, and, in any event, is unlikely to change significantly any of the results in this paper except for the actual quantitative hypochromicity values. Using this assumption, the extinction at  $\lambda_{max}$  of each polymer can be computed from the ratio of the absorbance of the two polymer samples using the fact that their concentrations are equal:

$$\epsilon_{\text{max}}^{\text{buffer}} = \frac{A_{\text{max}}^{\text{buffer}}}{A_{346}^{\text{Me2SO}}} \epsilon_{346}^{\text{Me2SO}}$$

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## Optical Measurements

Circular dichroism (CD) spectra were obtained on a Jasco circular dichroism spectrometer, model J-40, in water-jacketed 0.1-cm or 1.0-cm quartz cells at 25°C. Fluorescence spectra were measured on a Perkin Elmer MFP 2A fluorimeter in 1-cm quartz cells. Fluorescence lifetimes were determined using a single photon counter described previously. Quantum yields were determined as described previously, using quinine sulfate in 0.1 NH<sub>2</sub>SO<sub>4</sub> as a standard.

Absorption spectra were run in matched 1-cm quartz cells in Cary 15 or 118 spectrometers. Titration curves were obtained by adding polymer in different molar ratios to a series of tubes, each containing the same amount of labeled polymer. The tubes were mixed and fluorescence measured after at least 10 min or after any time dependence had terminated.

All optical measurements were done on solutions in TeN, pH 7.5, unless otherwise noted. PBA was measured in 0.2M borate buffer, pH 8.9. For measurements between pH 4.0 and pH 5.6, a buffer of 0.05M NaOAc, 0.05M NaCl was used.

## RESULTS

PBH and PAH were reacted with a variety of 3'-oxidized RNAs (Table I). The length of the synthetic polymers was not determined, but for the tRNA the extent of labeling was 0.83 dye molecules for each molecule of tRNA. In all cases the absorption spectrum of the bound conjugate shows a shift to the red and substantial hypochromicity relative to pyrene butyric acid. The magnitude of the hypochromicity of the different dye polymer conjugates is found to be directly related to the extent of the red shift of  $\lambda_{\text{max}}$ .

Both of these effects are probably due to stacking of the pyrene moiety with the nucleotide bases of the polymers, bringing the pyrene into a hydrophobic environment. The exact nature of the microenvironment is presumably determined by the nature of the polymer. Dimethysulfoxide, a known disrupter of polynucleotide secondary structure, boliterates these differences (Fig. 1) and can be used to determine extinction coefficients of bound pyrene, as explained in "Materials and Methods."

Further evidence of the interaction between 3'-attached pyrene and the nucleotides is shown by circular dichroism studies (Table II and Fig. 2). PBA itself shows no CD. When the pyrene is conjugated to an RNA polymer, however, in virtually all cases optical activity in pyrene absorption bands is observed. The induced CD shown depends in a delicate way on the average orientation of pyrene and nearby nucleotides and the pattern which emerges is complex. The magnitudes of  $[\theta_{\text{max}}]$  vary widely and do not seem to be correlated with the shift in wavelength of the major peak. Poly (A) of pH 7.5 was the only sample examined which failed to show an intense induced CD. However, both absorption and fluorescence data

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TABLE I

Absorbance of Pyrene Conjugatesa

|                         | λ <sub>max</sub><br>(nm) | $\epsilon_{\max} \ (	imes 10^{-4})$ | Hypochromicity (%) | Pyrene/Nucleotide $(M/M \times 10^4)$ |
|-------------------------|--------------------------|-------------------------------------|--------------------|---------------------------------------|
| PBA (pH 8.9)            | 342                      | 4.05                                | _                  | ·<br>—                                |
| PBH-poly (C)            | 342                      | 3.7 <sup>b</sup>                    | 8.9                | 7.30                                  |
| PBH-poly (I)            | 343                      | 3.14                                | 22.7               | 16.2                                  |
| PAH-poly (C)            | 344                      | 3.2b                                | 21.2               | 8.98                                  |
| PBA (benzene)           | 345                      |                                     | · . — .            | J. Sections                           |
| PBH-poly (U)            | 345                      | 2.73                                | 32.8               | 1.70                                  |
| PBH-poly (A)            | 348                      | 2.61                                | 35.7               | 6.23                                  |
| PBH-tRNA <sup>UNF</sup> | 349                      | 2.06                                | 49.2               | 106                                   |

<sup>a</sup> All solutions at pH 7.5 unless otherwise noted.

 $^b$  Interpolated values using the apparent monotomic decrease in  $\epsilon_{max}$  with increasing  $\lambda_{max}.$ 

indicate that in the sample pyrene is strongly interacting with nucleic acids. Presumably, the geometry of the complex formed is such that various contributions to the induced CD approximately cancel.

An indication of the sensitivity to precise geometric arrangement of dye and nucleotides is seen by comparing the spectral data of PAH and PBH

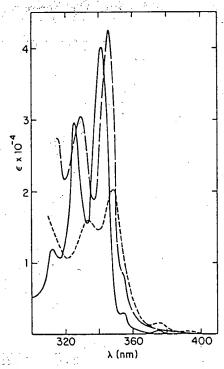


Fig. 1. Absorption spectra of free pyrene butyric acid and PBH-tRNA conjugates. — PBA in borate buffer pH 8.9; —— PBH-tRNA UNF in TeN, pH 7.5; —— PBH-tRNA UNF in 90% Me<sub>2</sub>SO and PBA in 90% Me<sub>2</sub>SO (assuming that the molar extinction coefficients of both are the same).

TABLE II
Circular Dichroism of Pyrene Conjugates

| •  |  |   |
|--|--|---|
| DDU - 1 (II)                                   | $\lambda_{	ext{max}} \left( 	ext{nm}  ight)$ | $\theta_{\text{max}}$ $(\times 10^{-4})^{\text{b}}$ |
| PBH-poly (U)                                   | 347  |   |
| PBH-poly (C)                                   | 351  | 0.41  |
| PAH-poly (C) (pH 8.2)                          | 338  | 2.4   |
| PAH-poly (C) (pH 4.2)                          | 353  | -0.68   |
| PBH-poly (I)                                   | 345  | 1.1   |
| PBH-poly (A)                                   |  | 0.79  |
| PBH-poly (A) (pH 5.6)<br>PAH-poly (A) (pH 4.2) | 354  | 0<br>-2.3   |
| PBH-tRNAMet coli                               | 351  | -2.5  |
| PBH-tRNA UNT                                   | 350  | 0.64  |
| PBH-tRNA Phe yeast                             | 349  | 0.64  |
| PBH-16S RNA                                    | 348  | 0.50  |
| 8 A 11 1 .                                     | 348  | 0.48  |

a All solutions at pH 7.5 unless otherwise noted.

b Pyrene molar ellipticity computed spectrophotometrically using extinction coefficients in Table I or those of closely related species.

on both poly (A) and poly (C). With both polymers the change from a pyrene two-carbon to a pyrene four-carbon chain conjugate produces a large change in CD. Similarly, when the pH is lowered, converting the poly (C) and poly (A) conjugates from single- to double-stranded forms, <sup>10,11</sup> a substantial change in the CD is observed. Furthermore, on the addition of

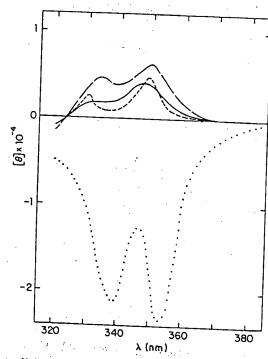


Fig. 2. Circular dichroism of pyrene butyric acid hydrazide conjugates.

— PBH-tRNA<sup>UNF</sup><sub>yeast</sub>, TeN pH 7.5; — PBH-poly (U), TeN pH 7.5; — PBH-poly (A) +
2-fold excess of poly (U), 0.01M Tris, 0.03M EDTA, 0.1M NaCl, pH 7.4; .... PBH-Poly (A),
0.5M acetate, 0.5M NaCl, pH 5.6.

TABLE III

Fluorescence of Pyrene Conjugates

| And the second second | $\lambda_{\max}^{em} (nm)$ | Quantum Yield         |  |
|-----------------------|----------------------------|-----------------------|--|
| PBA (pH 8.9)          | 377                        | 0.50                  |  |
| PBH-poly (C)          | 377                        | 0.053                 |  |
| PBH-poly (I)          | 378                        | 0.073                 |  |
| PBH-poly (U)          | 379                        | 0.016                 |  |
| PBH poly (A)          | 379                        | 0.084                 |  |
| PBH-tRNA UNF          | 378                        | 0.023                 |  |
| PBH-poly (A) (pH 4.5) | 378                        | . 0.0049 <sup>b</sup> |  |
| PBH-poly (C) (pH 4.0) | 378                        | 0.0059b               |  |
| PBH-poly (A) poly (U) | c                          | 0.017b                |  |
| PBH-poly (U) poly (A) | c ·                        | 0.045 <sup>b</sup>    |  |
| PBH-poly (I)-poly (C) | · c -                      | 0.030b                |  |
| PBH-poly (C)-poly (I) | . <b>c</b>                 | 0.026 <sup>b</sup>    |  |

All solutions are pH 7.5 unless otherwise noted.

<sup>b</sup> Relative values calculated from single-wavelength fluorescence changes.

c Not determined.

Me<sub>2</sub>SO (to destroy the secondary structure), the CD is abolished, showing that the CD is the result of specific interactions between dye and nucleotides and not merely the result of chemical conjugation.

Changes in fluorescence (Table III) are the most dramatic indication that pyrene attached to RNA 3' termini senses some aspects of local structure there. The pyrene fluorescence is quenched approximately tenfold on

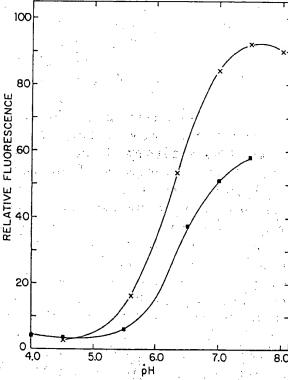


Fig. 3. Fluorescence of PBH-poly (A) (X) and PBH-poly (C) ( ) as a function of pH.

0.79 0 -2.3 -2.5 0.64 0.50 0.48

 $\frac{\theta_{\text{max}}}{10^{-4})^{\text{b}}}$ 0.41
2.4
-0.68
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H-Poly (A),

conjugation to a single strand, and another tenfold when single strands are converted to double-stranded forms. Whatever the exact molecular mechanism, PBH is obviously a sensitive probe of secondary structure. For example, the pH-dependent single-strand to double-strand conversion of poly (A) and poly (C) was monitored by following PBH fluorescence (Fig. 3). The quenching on going from single- to double-stranded forms appears to result from a more efficient integration of the dye into the ordered double-stranded structure. Dimethylsulfoxide has a dramatic effect on the fluorescence of all double-stranded PBH-conjugates. By 50–60% Me<sub>2</sub>SO the fluorescence is increased up to the levels seen in single strands under similar conditions.

By extrapolation one might speculate that if in double-stranded structures pyrene were inserted into a perfectly ordered double strand the fluorescence would be totally quenched. The small amount of fluorescence remaining in the double-stranded structures might then represent the fluorescence of a minor species. This could be due to some polymer molecules with 3' termini not base paired, occasional pyrenes attached at places other than the 3' end or simple equilibrium between intercalculated dyes and those in contact with solvent.

The idea that the pyrene fluorescence in double strands comes from only a subset of the attached probes is supported by evidence that the probe population which yields the absorption spectrum is not identical to the fluorescing population. Corrected excitation spectra do not match corresponding absorption spectra, but resemble rather the absorption of free PBA (Table IV). The fluorescence lifetimes of PBH conjugates are not shortened to the same extent as the quantum yields. One component of the conjugate lifetime spectrum is nearly as long as the lifetime of free PBA (Fig. 4). In addition, I<sup>-</sup> quenching experiments show that the fluorescent species is quenched with a collisional rate constant similar to that of free PBA (Table V), implying that the fluorescing species is at least partially exposed.

These results suggest that the residual pyrene fluorescence in doublestranded samples is due to a minor species, but they do not distinguish among the three possibilities raised above. Indirect evidence tends to favor the idea of occasional pyrene side reactions. There is precedent for the direct reaction of hydrazines with nucleic acid bases. 12 Indeed, RNA samples which have not been periodate oxidized typically react covalent with PBH at about 1% the level of oxidized samples.<sup>6</sup> The apparent relative quantum yields of pyrene in double-stranded structures are always less than single strands, but absolute magnitudes are not reproducible. This could be explained by variability in the extent of such nonspecific hydrazine reaction. Because of the almost total quenching of 3'-attached PBH even 1% side reaction would be sufficient to explain the residual fluorescence in double strands. This implies that when pyrene fluorescence is used to monitor conformation changes the most accurate procedure may be to subtract any observed duplex fluorescence and use only the remainder as an indicator of the fraction of molecules with unpaired ends.

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Corrected Excitation of Pyrene Conjugates

|  | Absorption $\lambda_{max}$ (nm) | Excitation $\lambda_{max}$ (nm) |
|--|---------------------------------|---------------------------------|
| PBA (0.2 <i>M</i> borate, pH 8.9)          | 342                             | 342                             |
| PBA (90% Me <sub>2</sub> SO)               | 346                             | 346                             |
| PBH-tRNAUNF (90% Me <sub>2</sub> SO)       | 346                             | 346                             |
| PBH-tRNA unf (TeN, pH 7.5)                 | 348                             | 343                             |
| PBH-tRNAUNF (TeN, pH 7.5)<br>PBA (benzene) | 345                             | 344                             |

A large fluorescent probe like PBH has the inherent risk of inducing significant structural perturbations. Usually it is difficult to obtain direct evidence for or against this possibility. In the case of homopolymer duplexes, however, we have seen some indications that 3'-attached PBH alters the relative stability of duplex regions near the site of dye attachment. When PBH is attached to poly (A) or poly (I), subsequent addition of poly (U) or poly (C), respectively, yields fluorescence changes which parallel a normal titration (Fig. 5). However, when PBH labeled poly (C) is titrated with poly (I), the fluorescence indicates completion of duplex formation at a stoichiometry significantly less than 1 poly (I) = 1 poly (C). Presumably poly (I) prefers to bind to 3' ends of the poly (C) in the vicinity of the dye.

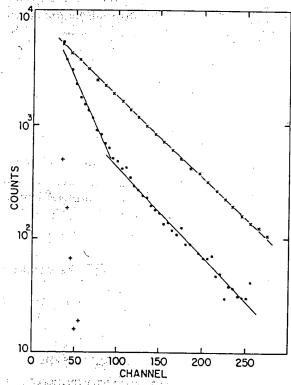


Fig. 4. Fluorescence decay of free PBA in borate buffer, pH 8.9 (×) and PBH-tRNA in 0.01M Tris, 0.01M MgOAc, pH 7.5 (·). The tail of the exciting pulse is also indicated (+). Straight lines through sections of the decay correspond to lifetimes of 45 and 82 nsec for PBH-tRNA and 94 nsec for PBA. One channel is equal to 1.55 nsec.

TABLE V

Iodide Quenching of Pyrene and Pyrene Conjugates\*

| <u> </u>                              | PBA (pH 8.9)                                | PBH-tRNAUNF                                   | PBH-Poly (U)                              |
|---------------------------------------|---|---|---|
| $	au_0$ (sec) $K_q(L/\text{mol sec})$ | $118.6 \times 10^{-9}$ $4.33 \times 10^{8}$ | $84.2 \times 10^{-9}$<br>$2.67 \times 10^{8}$ | $106 \times 10^{-9}$ $1.77 \times 10^{8}$ |

\* For collisional quenching  $F_0/F = 1 + K_q \tau_0 [Q]$ , where  $F_0$  and  $\tau_0$  are the fluorescence and fluorescent lifetimes, respectively, in the absence of quencher. F is the fluorescence at a given concentration of quencher [Q].  $K_q$  is the apparent collisional rate constant.

In contrast, titration of labeled poly (U) with poly (A) is surprisingly complicated, as shown in Fig. 5. The low level of fluorescence of PBH-poly (U) in the absence of poly (A) suggests an ordered structure. One possible explanation is that PBH induces a poly (U)-poly (U) duplex structure 13

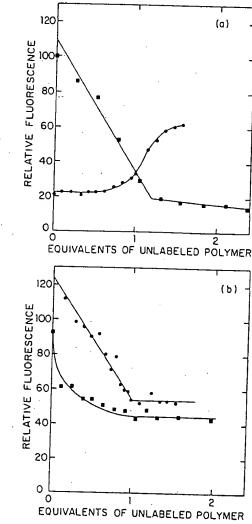


Fig. 5. Effect of complementary polynucleotides on the fluorescence of PBH-conjugates. In each case the fluorescent species was held at constant concentration and increasing amounts of complementary polymer were added successively. (a) •, poly (A) added to PBH-poly (U); =, poly (U) added to PBH-poly (A); (b) •, poly (C) added to PBH-poly (I); =, poly (I) added to PBH-poly (C).

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singly 1-poly ssible ture<sup>13</sup> near its site of attachment. There is appreciable hypochromism and CD induced in PBH bound to poly (U) in spite of the fact that this polymer is believed to have little or no base stacking at room temperature in dilute salt. This may reflect a pyrene-induced formation of stacked or duplex structures. Further evidence comes from the marked temperature dependence of the CD of PBH-poly (U).  $[\theta_{max}]$  is halved when the temperature is raised from 2 to 27°C. Under the conditions used for the experiment shown in Fig. 5(a), poly (A)-poly (U) mixtures will form triple-strand poly (A)-2 poly (U) as long as the poly (U):poly (A) ratio is greater than 1:1. Apparently this proceeds without significant change in the fluorescence of PBH at the 3' end of poly (U). As more poly (A) is added, the 2:1 complex will convert to poly (A)-poly (U). This is accompanied by an increase in pyrene fluorescence. It appears that 3'-PBH poly (U) derivatives may be very useful in discriminating between triple- and double-stranded poly (U)-containing structures.

#### DISCUSSION

The results presented above indicate that 3'-attached PBH or PAH can detect the presence of nearby duplex structure in polynucleotide complexes. A Watson-Crick duplex is not required, since acid double-helices of poly (A) and poly (C) show similar quenching. It is not necessary that the actual residue to which the PBH is attached be involved in duplex. PBH-3'-tRNA conjugates show a comparable quenching. There is every indication in the crystal 16,17 and in solution 18,19 that the 3'-CCA end is not base-paired in tRNA. Our suspicion is that PBH attached at the 3' end can bend back and intercalate into the adjacent stem duplex of the tRNA. Since PAH and PBH show similar effects, there is apparently some flexibility in the approach of the dye to the site which causes its quenching. Since PBH-3'-16S rRNA conjugates show the characteristic duplex quenching, there must be a double-strand region accessible to a dye on the 3' end. This may be the hairpin loop suggested to exist near the 3' end<sup>20,21</sup> or it may be any nearby double-stranded region.

It appears that PBH and PAH conjugates may be useful for exploring conformation near the 3' ends of various RNAs. The large fluorescence changes and great intensity of the free chromophore encourages experiments on viral or mRNAs and fragments which may be difficult to prepare in sufficient quantities for more conventional techniques.

The authors are grateful to Mr. R. H. Fairclough and Drs. R. G. Langlois, and P. Solomon for their helpful comments.

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On the Phe-tRNA induced binding of fluorescent oligonucleotides to the ribosomal decoding site

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# ABSTRACT

Fluorescent oligonucleotides were prepared by dansylation trinucleoside diphosphate, they stimulated the binding of the tinucleoside diphosphate, they stimulated the binding of phetany to 70S E. coli ribosomes as efficiently as underivatised bosomal complex [70S x Phe-tRNA x dansyl-n [5] ((pu) 4] was separated from excess oligonucleotide and its fluorescence spectra were measured. The quantum yield of the dansylated pentauricylate was enhanced 2.5 fold when bound to the ribosomal deserved. The ribosomal complex is considered useful for topographic investigations by singlet energy transfer, using the functionally defined decoding site as reference point.

# INTRODUCTION

Due to its sensitivity and versatility fluorescence techniques have been successfully used to investigate the structure of ribosomes (1 - 11). Random labelling with fluorescent probes determination of overall properties of ribosomes, which permit the ribosomal proteins, which were labelled at random, the kinetics were determined (10, 11). The latter results were obtained by proteins to be globular in the ribosomal RNA-protein complex, which does not hold for all proteins (12). Nevertheless, the techniques.

In contrast to randomly labelled ribosomes, the introduction of fluorescent probes at distinct, functionally defined sites has the advantage of making the interpretation of the results less ambiguous. This goal has been achieved by Cantor et

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al. (13) with the aid of fluorescent antibiotics, which permitted the determination of the distance between the erythromycin binding site and the proteins L7, L12 (14). Wintermeyer et al. (15) were able to form ribosomal complexes with a macromolecular ligand, trny Phe and Phe-trny Phe from yeast, which was specifically derivatised with ethidium bromide in the dihydrouridine loop or the anticodon loop. Despite of these successes, alternative ways for the specific labelling of ribosomes are warranted, because thereby the possibilities for both topographic and functional studies are enlarged.

Encouraged by the finding, that derivatised oligonucleotides retain their ability to function as messenger analogues (16, 17), I considered the specific introduction of a fluorescent label into the ribosomal decoding site as feasable. The present paper demonstrates, that this is possible and that the the use of dansylated oligonucleotides has two advantages: Due to the separation of products, quantitatively labelled messenger to ribosomes of high purity can be synthesised, and their binding nate aminoacyl-tRNA.

# MATERIALS AND METHODS

Preparation of dansyl-n<sup>5'</sup>U(pU)<sub>x</sub>:

Introduction of the dansyl group was always the last step, ethyl acetate and dansylic acid was precipitated with acetic acstarting from the corresponding 5'-amino uridylates, which were dium bicarbonate buffer (pH 9.2), which contained 50\$ (v/v) acnium bicarbonate (pH 7.4) and chromatographed on a DEAE cellulothe potassium salt of  ${
m n}^5$ 'u(pU) $_{
m x}$  was dissolved in 2 ml 50 mM soetone and 50 µmol of 1-dimethylamino-5-naphtalenesulfonyl chlo-Prepared by standard procedures (18 - 21). Usually 0.5 μmol of id. The supernatant was diluted with 20 ml 0.1 M triethyl ammoride (Aldrich Europe), After stirring for 9 hours at room tem-0.4 M). The reaction products chromatographed well ahead of the impurities. Homogeneity and composition of dansyl-n $^5$ 'U(pU) $_{\rm X}$  was triethyl ammonium bicarbonate gradient (250 ml 0.0 M to 250 ml starting oligonucleotides and were separated from fluorescent se column (1.5 cm  $\times$  6 cm), which was developed with a linear perature in the dark, the clear solution was extracted with

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determined by paper electrophoresis, pH 2.5 and pH 7.5, paper chromatography in n-butanol:acetic acid:water 5:2:3, and by nucleoside analysis (22). The products used for fluorescence measurements were free of fluorescent impurities.

Ribosomes and Phe-tRNA, Formation of Complexes:

(23). Crude tRNA from E. coli (Boehringer, Mannheim) was chargin 0.1 ml 39 pmol 70s ribosomes, 44 pmol  $^{[3]}$ H]Phe-tRNA, and vary-10 $^3$  C1/mol, according to Traub (24), the degree of charging was ed with  $[^3\mathrm{H}]$ phenylalanine (Amersham Buchler), specific activity oligonucleotide concentration. The incubation mixture contained phase E. coll MRE 600 (E. Merck, Darmstadt) according to Noll er A (fig. 2a) under the conditions described above. In the connmol [3H]Phe-tRNA and 75 nmol dansyl n<sup>5'</sup>U(PU)<sub>4</sub> in 0.15 ml buff- $^{
m MgCl}_2$ , 150 mM NH $_4$ Cl. The incubation time was 10 minutes at 37 $^{
m o}$ followed by chilling in ice and millipore filtration according 2%. The stimulation of Phe-tRNA binding to 70s ribosomes was determined in absence of supernatant factors as a function of identical to that in buffer A: 50 mM Tris-HCl (pH 7.4), 20 mM Plex was done by incubation of 1.4 nmol 70s ribosomes with 1.3 incubation at  $37^{\circ}$ . The kinetics of the ternary ribosomal complex 70S ribosomes tight couples were prepared  $f\mathbf{r}\dot{o}$ m mid log stracted in each case. Formation of the ternary ribosomal comtrol experiment Phe-tRNA was omitted (fig. 2b). Gel filtration absence of oligonucleotide and amounted to 20% of the optimal indicated the fraction which was used for the kinetic and specdissociation (fig. 3) was analysed by plotting in Y as function Was performed by use of a P-60 (Bio-Rad, München) column (1 cm ing amounts of oligonucleotides. The salt concentration was to Nirenberg and Leder (25). Blank values were determined in tration, while subscripts refer to time. For Fg the value after tensity of emission at 520 nm or CPM found after millipore filof time, where  $Y=(F_{t}-F_{w})/(F_{30}-F_{w})$ . F is the relative invalue found at high messenger concentration. They were subcollection. This was 30 minutes after the termination of the troscopic experiments. It contained 0.46 µM 70S ribosomes and 0.09  $\mu M$  [ $^3H$ ]Phe-tRNA bound to ribosomes immediately after its x 30 cm) at 4<sup>0</sup> with buffer A as eluant. The arrow In fig. 2a

# Recording of spectra:

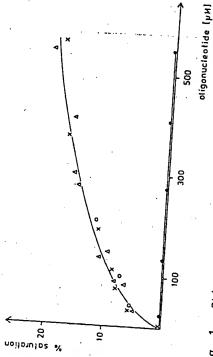
were at 10 nm and the emitted light was filtered through a F 39 effects was made due to the short pathlength (5 mm) of the rectfor by use of an equally concentrated solution of 70S ribosomes. recorded at room temperature by use of a Cary 118C spectrophofilter. Fluorescence spectra of the ribosomal complex were obtained by use of a Schoeffel RRS 1000 fluorescence spectrophowise mentioned, the excitation wavelength was always 340 nm and maxima at 558, 590 and 635 nm when excited at 340 nm. With the Absorption spectra of dansyl- $n^{5}$ 'U (pU)<sub>x</sub> in buffer A were tometer. Excitation and emission spectra were obtained under flushed with dry air. Emitted light was filtered through a GG spectrophotometer operating in the ratio mode. Slit settings 435 filter, because otherwise the solutions containing 0.5  $_{
m UM}$ The optical density at 340 nm, the excitation wavelength, was the same conditions using a Perkin Elmer MPF-3 fluorescence tometer. Slit settings were at 6 nm for excitation and 15 nm below 0.05 in case of the ribosomal complex and much lower in case of free oligonucleotides. No correction for inner filter angular cuvettes (Hellma GMBH, Müllheim/Baden). If not otherfilter inserted, the background was small, but was corrected for emission. The cuvette holder was thermostated at  $\mathsf{0}^\mathsf{O}$  and 70S ribosomes showed very high fluorescence background with the emission wavelength 520 nm.

# Coding properties of dansyl-n<sup>5</sup>'U(pu)<sub>x</sub>

Stimulation of Phe-tRNA binding to 70S E. coli ribosomes in assay. The same results were found when the stimulation of Phe-  $^\circ$ greater than two, the curves are indistinguishable from that obtained for  $\mathrm{U}(\mathrm{pU})_3$ , which was used as a reference in each binding of Phe-tRNA binding is observed, when x was equal to two. For x tRNA to 30S ribosomal subunits was investigated at  $\mathrm{o}^\mathrm{o}$  (data not  $^5$ 'U(pŭ) $_{
m x}$  concentration. As shown in figure 1, no stimulation absence of factor  $\mathtt{EF} extsf{-T}_\mathtt{U}$  was determined as function of dansylshown).

Phe-tRNA binding, the isolation of the ribosomal complex is nec-Due to the high excess of oligonucleotide needed to induce

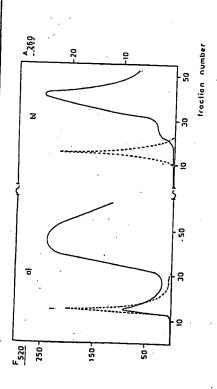
Nucleic Acids Research



(x)  $U(pU)_3$ , (•) dansyl- $n^5$ ,  $U(pU)_2$ , (0) dansyl- $n^5$ ,  $U(pU)_3$ , Stimulation of the Phe-tRNA binding to 70S ribosomes by and (A) dansyl- $n^5$ 'U(pU)<sub>4</sub> F19. 1

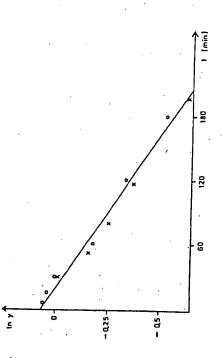
essary in order to investigate its spectral properties. Figure taining the ribosomes (fig. 2b). The same finding was made when tritium labelled hexauridylate was used as messenger analogue 2a shows, that this can be achieved by gel filtration over a P-60 column. Omission of Phe-tRNA in the incubation mixture leads to the loss of dansyl- $n^5$ 'U(pU) $_4$  in the fractions conin the presence and absence of Phe-tRNA (data not shown).

tRNA and dansyl $^{-15}$ 'U(pU) $_4$  were correlated, the time dependence of the complex stability was followed by radioactivity and flutration and recording of the spectra. At appropriate times, the complex concentration was determined in this solution by milliorescence intensity. For this purpose, an aliquot of the incubation mixture was diluted 1:300 with buffer A, and kept under sion intensity at 520 nm in the fraction 15 (see figure 2a). A the same conditions as the incubation mixture during gel fil-Pore filtration (25) and by measurement of the relative emisminutes, yields the same straight line for the dissociation of Phe-tRNA and dansyl- ${\tt n}^5$ 'u(pU) $_4$  from the ribosomal complex (fig. 3). The value chosen for infinite time was that obtained after semilogarithmic plot, which was normalised to the value at 30 In order to determine, whether the dissociation of [



(f1g.2b) of Phe-tRNA.  $m A_{260}$  (---) is absorbance and  $m F_{520}$ Elution profile during gel filtration of 70S ribosomes and dansyl- $^{5}$ 'U(pU) $_{4}$  in presence (fig.2a) and absence (--) is intensity of emission (in arbitrary units) at the respective wavelength Fig.

addition of EDTA in equal concentration to  ${
m Mg}^{2+}$  . This treatment was observed to dissociate Phe-tRNA from ribosomes within less than three minutes at  $0^{
m o}.$  As shown in figure 3, the dissocia-



Time course of the ribosomal complex dissociation. (X) refers to the intensity of emission at 520 nm and (O) refers to radioactivity of bound  $|^3H|_{\rm Phe-tRNA}$ Fig. 3

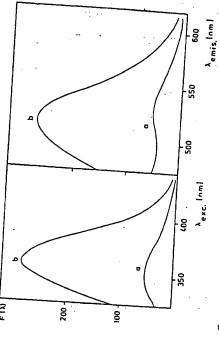
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Nucleic Acids Research

half life time of about 210 minutes, and follows first order tion of the ternary ribosomal complex is slow at  $\mathbf{0}^{\mathbf{0}}$ , with a , U (PU) 4 kinetics with respect to both Phe-tRNA and dansyl-h5'

Spectral properties of dansyl- $n^5$ 'U(pU), $_4$  and the ternary riboso-

solvent. In aqueous solution, buffer A, the excitation spectrum exhibits a broad maximum around 335 nm, which does not exactly match the absorption spectrum, which has a shoulder at 330 nm. value is somewhat higher than that found for comparable dansyl derivatives (26). Upon transfer of dansyl- $n^5$ 'U(pU), from aqueous to methanolic solvent, the relative fluorescence intensity shifted to shorter wavelengths(fig. 6). No change of the emission spectrum was observed for dansyl-n<sup>5</sup> U(pU)<sub>4</sub> at 0<sup>0</sup> in buf-Excitation and emission spectra of dansyl-n  $^{5}$   $^{\circ}$   $^{\circ}$   $^{\circ}$  at room temperature are shown in figure 4 as a function of the increases considerably (fig. 4) and the emission spectrum is The extinction coefficient in 0.1 M sodium phosphate buffer (pH 7) at room temperature was determined to  $c_{330} = 6 \times 10^3$  $| ext{cm}^2$ mol $^{-1} |$  by use of tritium labelled oligonucleotide. This fer A upon variation of the  ${
m Mg}^{2+}$  concentration between O and



Corrected excitation spectra and uncorrected emission spectra of 1.2  $\mu M$  dansyl- $n^5$   $U(pU)_4$  in buffer  $\lambda$  (a) and methanol (b) at room temperature. For details see Fig.

20 mM, or upon addition of EDTA (data not shown). Furthermore, the fluorescence intensity at 440, 480 and 520 nm of the oligonucleotide in buffer A decreases linearly with increasing temperature between 0° and 32° by about 1% per centigrade (data not shown).

Uncorrected emission spectra of the isolated complex are given in figure 5. The fluorescence intensity decreases slowly emission spectrum. In contrast to free dansyl- $^{5}$  U(pU)<sub>4</sub>, addition of EDTA in equal amounts to Mg<sup>2+</sup>, leads to an instantaneous drop of the emission intensity and then remains constant with time. In order to determine whether the dissociation of appetrum, the ratio of relative intensities at each wavelength spectrum, the ratio of relative intensities at each wavelength before (fig. 5a) and after (fig. 5d) dissociation was plotted as a function of wavelength (fig. 6). This procedure is preferred to the comparison of corrected emission spectra, because it is more sensitive to small changes and completely independent ation of the ternary ribosomal complex by EDTA, the relative

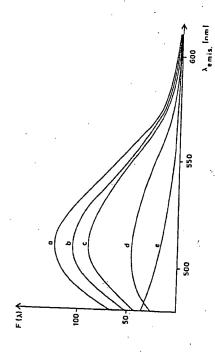
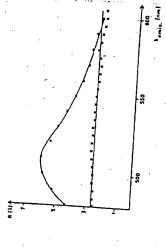


Fig. 5 Uncorrected emission spectra of the isolated ribosomal complex at 00 after (a) 30 minutes, (b) 80 minutes, (c) 200 minutes, and (d) after addition of EDTA. The lower curve (e) is the background due to ribosomes, for which all spectra are corrected





6 Ratio of relative emission intensities of (•) a 1.2 μM solution of dansyl-n<sup>5</sup> U(pU) 4 in methanol/buffer A at dissociated complex) at (τibosomal complex)/(EDTA

Fig.

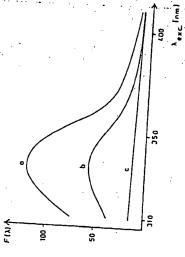


Fig. 7 Corrected excitation spectra of (a) the ribosomal complex (as in fig.5a) and (b) the EDTA dissociated complex (as in fig.5d) at 00 in buffer A. The spectra are corrected for the background (c) due to ribosomes

fluorescence intensity drops by a factor of 2.5, which is virtually independent from the wavelength.

The corrected excitation spectra of the ternary ribosomal ure 7. As was found for the emission spectrum, the decrease in fluorescence intensity upon dissociation is independent from the excitation wavelength. This indicates, that no shift of the abgonucleotide is bound to the ribosomal decoding site.

decoding site, rests on the following findings: (a)  $dansyl-n^5$  UtRNA binding, the binding curves had to be shifted to much high- $_{
m u}^{\prime}$  independent binding of Phe-tRNA to 70S and 30S ribosomes, if the chainlength x is greater than two. The observed stimula-If the cognate macromolecular ligand Phe-tank is omitted in the Incubation mixture, no binding of dansyl- $\mathfrak{n}^{\mathsf{S'}}\mathsf{U}\left(\mathsf{pU}\right)_{\mathsf{4}}$  to ribosomes  $(p0)_{x}$  fully substitutes  $0\left(p0\right)_{3}$  as a messenger analogue in the tion of Phe-tRNA binding cannot be explained by contaminating or much weaker than binding to the decoding site in presence of together it strongly indicates, that the ribosomal complex, for-The assumption, that the isolated ribosomal complex conis observed. This indicates, that unspecific binding is absent  ${\tt U(pU)}_4$  dissociation from the complex is identical, which is Although none of this evidence by itself can be taken as proof, were present in undetectable amounts and responsible for Phein perfect agreement with the assumption, that dissociation is tains the fluorescent oligonucleotide bound to the ribosomal er oligonucleotide concentrations, which is not the case. (b) med according to the given procedure, contains the fluorescent analogue. (d) The fast dissociation of both Phe-tRNA and dan $syl^{-n}$  U(pU), from the complex by EDTA addition also confirms the interrelationship between the binding of the two ligands. underivatised oligonucleotides, which were removed. If they an all or none process for both aminoacyl-tRNA and messenger Phe-tRNA. (c) The time dependence of Phe-tRNA and dansyloligonucleotide bound to the decoding site.

The lack of codon activity found for dansyl- $^{5}$ ' $^{(\mathrm{pU})}_{2}$  per- $^{5}$ 'U(pU) $_{
m x}$  binding to the decoding site. While little difference uridine is unavailable for base pairing with the anticodon loop. though halfsaturation points and plateau values determined for exists between  $\mathrm{U}(\mathrm{pU})_2$  and  $\mathrm{U}(\mathrm{pU})_3$  with respect to the stimulaoligonucleotide induced binding of Phe-tRNA do not yield molethe dansylated uridylates suggests, that here the 5' terminal In the higher homologues, the dansyl residue therefore should be separated from this loop by at least one uridine base. Altion of Phe-tRNA binding, the observed difference in case of mits some assumptions to be made about the mode of dansylcular parameters, the idendity of the binding curves for

Nucleic Acids Research

 $^{\mathrm{U}(\mathrm{pU})_3}$  and dansyl $^{-\mathrm{n}^5}$ ' $^{\mathrm{U}}(\mathrm{pU})_{\mathbf{x}}$  (with x greater than two) suggests, that these parameters are identical as well.

The solvent dependence of the quantum yield and the fluocounted for by a two state model (28). For dansyl-tryptophane Chen (27) reported a sixteenfold increase of fluorescence emmethanol. Qualitatively the same finding is made for free danemission spectrum, when the solvent was changed from water to sulfonyl derivatives has been reported (26, 27) and were acission intensity at 510 nm and a pronounced blueshift of the pronounced as for dansyl-tryptophane. On dissociation of danrescence emission spectrum of 1-dimethylamino-5-naphtalene spectrum is observed. From this it can be concluded, that the polarity in the close vicinity of the decoding site is higher  $^{\mathrm{U}\left(\mathrm{pU}\right)_{4}}$  (flg. 6), although the differences are not as yield is much less affected and no blueshift of the emission  $syl_{-n}^{5}$ ' $u\left(pu
ight)_4$  from the ribosomal decoding site, the quantum

dansyl- $n^5$ 'u(pU) $_4$  x Phe-tRNA] offers an alternative route to introduce a fluorescent label specifically into the close viciniquantum yield upon binding to the decoding site, makes investinucleotide, the complex is considered useful for the investitransfer. Furthermore, the small, but significant increase of ty of the ribosomal decoding site. Despite such drawbacks as The formation of the ternary ribosomal complex [705 x translocation, and for topographic studies by singlet energy gation of elementary steps of protein biosynthesis, such as lack of stability and the necessity to remove excess oligogation of the codon - ribosome interaction by fast kinetic than that of methanol and very close to that of water.

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Nucleic Acids Research

Photoreactivation and dark repair of ultraviolet light-induced pyrimidine dimers in chloroplast

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# ABSTRACT

A UV-specific endonuclease was used to detect ultraviolet light-induced Pyrimidine dimers in chloroplast DNA of *Chlomydomonas reinhardi* that was specifically labeled with tritiated thymidine. All of the dimers induced by 100 J/m² of 254 nm light are removed by photoreaction. Wild-type cells exposed to 50 J/m² of UV light removed over 80% of the dimers from chloroplast DNA after 24 h of incubation in growth medium in the dark. A UV-sensitive mutant, UVS 1, defective in the excision of pyrimidine dimers from nuclear DNA is capable of removing pyrimidine dimers from chloroplast DNA nearly as well as wild-type, suggesting that nuclear and chloroplast DNA dark-repair systems are under separate genetic control.

# INTRODUCTION

The presence and biological importance of DNA in organelles of eukaryotic cells has been well documented<sup>1</sup>. The existence in practically all cells of Doda and Friedberg<sup>2</sup> failed to find evidence for any of these repair mechanisms. have been elucidated primarily from work with bacteria; these are (1) photoreactivation, (2) excision-repair, and (3) postreplication repair. Clayton, repair systems for coping with ultraviolet light-induced pyrimidine dimers operating on pyrimidine dimers induced in mitochondrial DNA of mammalian cells. Pyrimidine dimers can be removed from yeast mitochondrial DNA by mechanisms for the repair of damaged DNA is also well established. photoreactivation although excision-repair is apparently absent<sup>3,4</sup>.

The only previous study of chloroplast DNA repair failed to find evidence plasts. However, these workers also reported a lack of excision of pyrimidine pyrimidine dimers, thus permitting a smaller fluence of irradiation, we found chloroplast DNA. We find evidence for repair of pyrimidine dimers in chlorofor excision of dimers<sup>5</sup> or for repair replication<sup>6</sup> in *Chlamydomonas* chlorodimers from nuclear DNA of *Chlamydomonas*. Using a more sensitive assay for plast DNA both by photoreactivation and by a dark-repair process which may that *Chlamydomonas* does remove pyrimidine dimers from nuclear DNA in the dark<sup>'</sup>. We have extended the study of DNA repair in *Chlamydomom*as to

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f comment on the conformation of the are biologically active.

side analogs that have been studied are changes are introduced at the carbohyive done there is change the stereochne hydroxyl group from the "down"
base. Conformation analysis of all the
1 diffraction show that they prefer the
1 ve like normal nucleosides and nucleo1 the hydroxyl group up, you hinder
1 vel, the C-2' endo hydroxyl group can
2 5'-hydroxyl function. To what extent
1 smpound to a given enzyme, we do not

# FLUORESCENT NUCLEOSIDES AND NUCLEOTIDES\*

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#### INTRODUCTION

In investigating the three-dimensional structure of several biologically important macromolecules, various spectroscopic methods have been employed in gaining information concerning the correlation between molecular structure and biological function. In this regard, applications of fluorescence spectroscopy in the field of protein chemistry have been overwhelmingly successful, and many valuable structural and dynamic properties of macromolecular proteins have been obtained. Reviews outlining the many uses and advantages of fluorescence spectroscopy in the study of biologically important macromolecules are available. 1-4 Although similar experiments using fluorescence techniques in investigating the three-dimensional structure of nucleic acids are feasible, fluorescence spectroscopy has had limited use in nucleic acid chemistry because of the infrequent occurrence in nature of fluorescent nucleosides.4 Because of the attractive advantages that fluorescence techniques afford in studying the tertiary structure of molecules, we have endeavored to develop chemical means by which fluorescent probes can be introduced selectively into transfer RNA and into the dinucleotide coenzymes such as NAD+ and FAD so that the versatile and highly sensitive techniques of fluorescent spectroscopy can be used to help in understanding the structure-function relationships operating in these impor-

#### NATURAL FLUORESCENT TRNA COMPONENTS

The class of highly substituted guanosine derivatives called "Y bases" are the only indigenous nucleosides that have shown utility as fluorescent probes of tRNA tertiary structure. The fluorescence emission of the common nucleosides adenosine, guanosine, cytidine, and uridine are almost undetectable at neutral pH and ambient temperature. Among the modified nucleosides isolated from tRNA. the Y bases. 7-methylguanosine, 4-thiouridine, and N<sup>4</sup>-acetylcytidine are fluorescent. Fluorescence studies on dinucleotides and polynucleotides containing 7-methylguanosine and N<sup>4</sup>-acetylcytidine and on the polynucleotide composed of 4-thiouridine to have been published, but the weak emission of these modified nucleosides has discouraged their further use as fluorescent probes of tRNA tertiary structure. By contrast, upon excitation at 315 nm, Y base shows strong fluorescence emission at 450 nm with a quantum efficiency of 7% 4 As a result of these fluorescence properties, Y base has been exploited in a variety of experiments designed to gain information concerning tRNA tertiary structure. These experiments will be discussed briefly for histori-

<sup>\*</sup>This work was supported by Research Grant GM-05829 from the National Institutes of Health, United States Public Health Service.

cal background and to illustrate possible uses of fluorescence techniques in the investigation of tRNA tertiary structure.

Located on the 3'-end of the anticodon of the phenylalanine-specific tRNAs from several sources, the structures of the Y bases found in baker's yeast, 5 torula yeast, 6 and bovine liver? have been reported. That the Y base resides in a hydrophobic or stacked environment within the anticodon loop is indicated by the 15-nm blue shift witnessed for the emission maximum of Y in yeast tRNAPhe. 13 From the results of fluorescence depolarization studies,14 the orientation of Y in the anticodon loop is not rigidly fixed in yeast tRNAPhe. However, changes in the degree of polarization of fluorescence with increased temperature show that Y base is held within certain limits in the anticodon loop and that these limits disappear as the tRNA structure is disrupted.15 Fluorescence studies of oligonucleotides excised from yeast tRNAPhe have further aided in understanding the molecular environment of the Y base in the anticodon loop.15 In investigating the mechanism of tRNA action, Y fluorescence was monitored in the presence of the complementary codon of yeast tRNA<sup>Phe, 17</sup> The small blue shifts observed were used to calculate association constants for codonanticodon binding at several temperatures. 17 In addition to investigations probing the structural and dynamic properties of the anticodon region, the fluorescence of Y base has been used to monitor changes in tRNA tertiary structure. Romer et al., 18 combining several experimental techniques including fluorescence, have identified some five conformational transitions in the melting of yeast tRNAPhe. Changes in Y fluorescence have also been found to be a sensitive means of monitoring tRNA conformational changes induced by the presence or absence of magnesium. 19-21 A fourfold enhancement of fluorescence in beef liver tRNAPhe 19, and yeast tRNAPhe 20 was observed over the magnesium concentration range of 0-0.4 mM. Concomitant changes in the ORD<sup>19</sup> and CD<sup>20</sup> spectra as well as hypochromicity changes<sup>18,19</sup> lend support to the proposal that this enhancement is in response to conformational changes in the tRNA. Additional studies involving the dependence of aminoacylation of yeast tRNAPhe on the presence of magnesium21 further confirm the fact that the fluorescence enhancement represents the conversion of the tRNA Phe to its biologically active form principally through the formation of a tertiary structure. That the binding of yeast Phe-tRNA synthetase to purified yeast tRNAPhe in the absence of magnesium produces an enhancement of fluorescence intensity similar to that observed in the tRNAPhe in the presence of magnesium also confirms the proposed conclusion.22 Similar observations involving enhancement of fluorescence in the presence of magnesium have been made by other groups. 13.14 Still further evidence of the dependence of tRNA tertiary structure on the presence of magnesium is found in observations noted in the binding of fluorescent dyes to tRNA. Ethidium bromide was found to bind close to Y in the absence of magnesium and considerably farther away in its presence. 23 This dependence of Y fluorescence on magnesium concentration should be remembered if the fluorescence assay reported by Yoshikami et al. 24 is used. Finally, attachment of three different fluorescent dyes to the periodate-oxidized 3'-end of yeast tRNA Phe has made possible the use of resonant energy transfer in determining the distance from the Y base in the anticodon loop to the -CCA 3'-terminus of yeast tRNAPhe. Using Y base as the energy donor and either acriflavine, proflavinyl acetic acid hydrazide, or 9-hydrazoacridine as the energy acceptor, Beardsley and Cantor were able to determine that the fluorophores are at least 40 Å apart in solution.25

#### FLUORESCENT ANALOGS OF NUCLEOSIDES

In the absence of naturally occurring fluorescent nucleosides, fluorescent analogs of nucleosides, because they are substrates for many nucleic acid metabolic enzymes,

have been used as fluorescent pr. containing 7-methylinosine, 19 riboside,<sup>27</sup> formycin,<sup>28</sup> and 7-dea the weak fluorescence emission of their usefulness as fluorescent prol poration of formycin into the 3'-t examination of the anion fluoresce temperature led them to conclude parts of the tRNA molecule.26 Rect tion of formycin (F) into the 3'-te nucleotidyl transferase. 30 On comp observed in the tRNAPhe with the excised from the tRNAPhe, it was fo tions in the terminal oligonucleotid tRNA molecule as previously proj cleavage of the 3'-terminal ribose mi of the incorporated formycin. 30 Sil deazanebularin into the 3'-terminal and Reich.31

#### CHEMICAL INTRO

Chemical methods designed to la been used to link fluorescent group attaching fluorescent markers beari tRNA molecule involves the formation choice and the periodate-oxidized 3' reported this procedure, bound acri used the labeled tRNA in fluorescenc the size and shape of the tRNA molelabel crude E. coli tRNA with acrif degree of fluorescence polarization. induced helix-coil transition of unfra with mercurials, 35 formaldehyde, 36 a periments using Y base fluorescence proflavinyl acetic acid hydrazide, and yeast tRNA Phe using this procedure. 25 methods with proflavine and ethidium loop of tRNAs, so that the interactio synthestases could be studied by me: The major drawback of the perioda into tRNA is that oxidized tRNAs c back, Lynch and Schimmel 39,40 hav attaching 2-naphthoxyacetic acid to th fluorescence emission of this probe r changes of the tRNA ne and was used i -CCA terminus and the binding of r reported a general method for preparin involves modification of the 5'-phospha of some fluorescent dyes. 41 Prelimina derivatives of anthranilic acid and showed that the labeled tRNA [Met C

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es of fluorescence techniques in the

ne phenylalanine-specific tRNAs from ound in baker's yeast,5 torula yeast,6 e Y base resides in a hydrophobic or p is indicated by the 15-nm blue shift yeast tRNAPhe. 13 From the results of ntation of Y in the anticodon loop is langes in the degree of polarization of v that Y base is held within certain its disappear as the tRNA structure is leotides excised from yeast tRNAPhe ular environment of the Y base in the nism of tRNA action, Y fluorescence entary codon of yeast tRNAPhe, 17 The late association constants for codon-In addition to investigations probing iticodon region, the fluorescence of Y VA tertiary structure. Romer et al., 18 icluding fluorescence, have identified elting of yeast tRNAPhe. Changes in Y ensitive means of monitoring tRNA ice or absence of magnesium. 19-21 A iver tRNAPhe 19 and yeast tRNAPhe 20 on r of 0-0.4 mM. Concomitant well hypochromicity changes 18,19 ment is in response to conformational ing the dependence of aminoacylation im21 further confirm the fact that the ersion of the tRNAPhe to its biologation of a tertiary structure. That the ified yeast tRNAPhe in the absence of rescence intensity similar to that obignesium also confirms the proposed enhancement of fluorescence in the ther groups. 13,14 Still further evidence on the presence of magnesium is found cent dyes to tRNA. Ethidium bromide f magnesium and considerably farther luorescence on magnesium concentraassay reported by Yoshikami et al.24 is rescent dyes to the periodate-oxidized he use of resonant energy transfer in in the anticodon loop to the -CCA se as the energy donor and either , or 9-hydrazoacridine as the energy determine that the fluorophores are at

#### OF NUCLEOSIDES

escent nucleosides, fluorescent analogs many nucleic acid metabolic enzymes,

# Leonard & Tolman: Fluorescent Nucleosides and Nucleotides

have been used as fluorescent probes of nucleic acids. Oligo- and polynucleotides containing 7-methylinosine, <sup>19</sup> 2-aminopurine riboside, <sup>26</sup> 2,6-diaminopurine riboside, <sup>27</sup> formycin, <sup>28</sup> and 7-deazanebularin<sup>27,28</sup> have been synthesized; however, the weak fluorescence emission of some analogs in polynucleotides has diminished their usefulness as fluorescent probes. Ward et al. have reported the enzymatic incorporation of formycin into the 3'-terminal position of rabbit liver tRNA. 26.29 Their examination of the anion fluorescence pK and changes in fluorescence intensity with temperature led them to conclude that the terminal formycin interacts with other parts of the tRNA molecule. 26 Recently, Maelicke, et al. have reported the incorporation of formycin (F) into the 3'-terminal position of purified yeast tRNA<sup>Phe</sup> using nucleotidyl transferase. 30 On comparison of the quenching of formycin fluorescence observed in the tRNA<sup>Phe</sup> with that observed in the oligonucleotide CpApCpCpF excised from the tRNA<sup>Phe</sup>, it was found that the quenching is due to stacking interactions in the terminal oligonucleotide and not to interactions with other regions of the tRNA molecule as previously proposed. 30 In support of this conclusion, periodate cleavage of the 3'-terminal ribose moiety of the tRNA Phe restored the full fluorescence of the incorporated formycin. 30 Similar incorporation of the fluorescent analog 7deazanebularin into the 3'-terminal position of tRNA has been carried out by Brdar

#### CHEMICAL INTRODUCTION OF FLUORESCENCE

Chemical methods designed to label specifically the 3'- and 5'-ends of tRNA have been used to link fluorescent groups covalently to tRNAs. A general method for attaching fluorescent markers bearing primary amino groups to the 3'-end of the tRNA molecule involves the formation of a Schiff base between the fluorescent dye of choice and the periodate-oxidized 3'-terminal ribose unit. 32-37 Churchich, who first reported this procedure, bound acriflavine to the 3'-end of crude yeast tRNA and used the labeled tRNA in fluorescence polarization studies to obtain data concerning the size and shape of the tRNA molecule in solution. 32 Using a similar procedure to label crude E. coli tRNA with acriflavine, 33 Millar and coworkers monitored the degree of fluorescence polarization of the labeled tRNA to follow the thermallyinduced helix-coil transition of unfractionated tRNA before<sup>34</sup> and after treatment with mercurials, 35 formaldehyde, 36 and acrylonitrile, 37 In previously described experiments using Y base fluorescence in resonant energy transfer studies, acriflavine, proflavinyl acetic acid hydrazide, and 9-hydrazoacridine were bound to the 3'-end of yeast tRNA Phe using this procedure. 25 Pachmann, et al. have also applied fluorescence methods with proflavine and ethidium bromide placed in the D loop or the anticodon loop of tRNAs, so that the interaction of these purified tRNAs with their cognate synthestases could be studied by means of fluorescence polarization techniques.<sup>38</sup> The major drawback of the periodate method in introducing fluorescent probes into tRNA is that oxidized tRNAs cannot be aminocylated. To avoid this drawback, Lynch and Schimmel 39.40 have prepared fluorescently labeled tRNA by attaching 2-naphthoxyacetic acid to the amino group of yeast isoleucyl-tRNA<sup>III</sup>. The fluorescence emission of this probe proved to be very sensitive to the structural changes of the tRNA and was used to study the kinetics of base ionization in the -CCA terminus and the binding of magnesium to tRNA". Yang and Söll have reported a general method for preparing tRNAs specifically labeled at the 5'-end that involves modification of the 5'-phosphate with the phosphomorpholidate derivatives of some fluorescent dyes. 41 Preliminary studies of E. coli tRNA<sup>fMet</sup> labeled with derivatives of anthranilic acid and of dimethylaminonaphthalenesulfonic acid showed that the labeled tRNA<sup>(Met)</sup> could be aminoacylated and that there is

significant interaction between the fluorescent probes and the tRNA macromolecule.  $^{41}$ 

Another general chemical method for introducing fluorescent probes into tRNA involves the insertion of fluorescent dyes bearing primary amino groups into the positions of selectively removed modified bases. Dihydrouracil, 7-methylguanine, and Y base can be specifically excised from tRNA, leaving the aldehyde at the C<sub>1</sub> carbon of the ribese available for Schiff base formation with the fluorescent dye. Wintermeyer and Zachau have reported the insertion of proflavine and ethidium bromide into various positions of tRNA had had tRNA from yeast using this procedure. The main drawback of this method is that insertion of dye into every available tRNA molecule is difficult to achieve. Friest et al. have utilized this method to insert 3-methyl-2-benzothiazolone hydrazone into Y-excised yeast tRNA however, the product was only weakly fluorescent. The labeled tRNAs can be aminoacylated so that they can be used in studying tRNA-synthetase interactions.

Fluorescent groups have also been introduced into tRNAs by reaction with the purine and pyrimidine bases. Chemical modification of tRNA with N-acetoxy-2-acetylaminofluorene, which reacts with guanosine at position 8, has been carried out, 44-47 but the fluorescence emission of the fluorophore in the modified tRNA is too weak to be useful as such. The reaction of 4-bromomethyl-7-methoxy-2-oxo-2H-benzopyran (BMB)† with 4-thiouridine produces a fluorescent derivative (I,

R = ribosyl) with the properties shown. 48 The fluorescence is caused by emission from the excited coumarin ring, and the pyrimidine ring has little, if any, effect on the fluorescence. This example from our laboratory of a fluorescent reagent giving a product having the same unit responsible for the fluorescence typifies the case in which it is obviously necessary to remove unreacted reagent completely in order to be assured that the fluorescence properties recorded are alone due to the product and its environment. A second and possibly "cleaner" approach to the introduction of fluorescence has been used in our laboratory that involves the use of a nonfluorescent reagent, usually a small molecule. This approach is exemplified by the reaction of chloroacetaldehyde specifically and quantitatively with adenosine and cytidine to form fluorescent derivatives (II, III, R = ribosyl) having different emission characteristics, as shown, making them easily distinguishable. In these cases, the chloroacetal-

† Abbreviations following the IUPAC-IUB Commission on Biochemical Nomenclature 1971 recommendations (J. Mol. Biol. 55: 299) are used throughout. BMB reagent is 4-bromomethyl-7-methoxy-2-oxo-2H-benzopyran. The abbreviation " $\varepsilon$ " stands for etheno, so that  $\varepsilon$ -adenosine ( $\varepsilon$ Ado) is 3- $\beta$ -D-ribofuranosylimidazo[2,1-i]purine (1, $N^6$ -ethenoadenosine), and  $\varepsilon$ -cytidine ( $\varepsilon$ Cyd) is 5.6-dihydro-5-oxo-6- $\beta$ -D-ribofuranosylimidazo[2,1- $\epsilon$ ]pyrimidine (3, $N^4$ -ethenocytidine). The corresponding nucleotide derivatives are abbreviated by adding " $\varepsilon$ " before the approved abbreviations, i.e.,  $\varepsilon$ ATP,  $\varepsilon$ CTP,  $\varepsilon$ ADP,  $\varepsilon$ AMP,  $\varepsilon$ CAMP, etc.

dehyde initial reagent does no scavenging. Products related nonfluorescent, isonicotinic specifically to produce a zwitte emission maxima shown.49 Th emission maximum which may energy transfer from I to IV, if suitable distance in a tRNA, it 400 nm, and excitation of IV b reaction of BMB with E. coli tR modification does not affect t tRNA synthetase.50 Another 2-thio-5-(N-methylaminomethy tRNA Giv, is reported to react all dine reacts more slowly, at modification reaction with che specifically labeling the 3'-end a cent probes have been prepared transfer determinations of intrai for the apparent distances betw (38 Å), pseudouridine to 3' end in E. coli tRNAIMet and between 3' end (> 65 Å) of E. coli tRNA has been its use in the conve thioguanine) to the correspondi

FLUORESCENT DEI

fluorescent tagging of the parer following their cellular fate. In act to tRNA tertiary structure, fluo studying the intramolecular intenicotinamide-adenine dinucleotid Exploiting the fluorescence of the flavin coenzyme (FAD) and of the the nicotinamide coenzyme (NAD been demonstrated in these two confluorescence lifetimes, quantum ef

#### FLUORESCI

In incorporating fluorescence coenzymes NAD\* and FAD, the r with adenosine and cytidine to g dimension for studies of these I

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dehyde initial reagent does not pose the problem that a fluorescent reagent does for scavenging. Products related to II and III are considered in detail below. Also nonfluorescent, isonicotinic acid hydrazide methiodide reacts with cytidine specifically to produce a zwitterionic fluorescent product IV with the absorption and emission maxima shown. 49 The environment has an effect on the wavelength of the emission maximum which may be of advantage. Also a possibility are applications of energy transfer from I to IV, if such derivatives of U and C would be located within suitable distance in a tRNA, in keeping with excitation of I at 313 nm, emission at 400 nm, and excitation of IV by this emission, with ultimate emission at 550 nm. The reaction of BMB with E. coli tRNA feet specifically modifies the 4-thiouridine, and the modification does not affect the aminoacylation by the homologous aminoacyl-1RNA synthetase. 50 Another thionucleoside, but a 2-thiouridine type, namely 2-thio-5-(N-methylaminomethyl)uridine, which occurs in the anticodon of E. coli tRNA Gis, is reported to react almost quantitatively with BMB, 50-52 while pseudouridine reacts more slowly, at N-1, with the reagent 51.52 Combining the BMB modification reaction with chemical methods for replacing dihydrouracil and for specifically labeling the 3'-end and 5'-end, five tRNAs bearing two different fluorescent probes have been prepared by Yang and Söll<sup>52</sup> for use in singlet-singlet energy transfer determinations of intramolecular distances. Values could then be calculated for the apparent distances between the 3' and 5' end (24 Å), 4-thiouridine to 3' end (38 Å), pseudouridine to 3' end (55 Å), and pseudouridine to dihydrouridine (36 Å) in E. coli tRNA<sup>fMel</sup> and between the 2-thiouridine derivative in the anticodon and the 3' end (> 65 Å) of E. coli  $(RNA^{Gla})^{52}$  Another application for the BMB reagent (V) has been its use in the conversion of 6-MP (6-mercaptopurine) and 6-TG (6thioguanine) to the corresponding fluorescent derivatives VI and VII. We hope this

#### FLUORESCENT DERIVATIVES OF MERCAPTOPURINE & THIOGUANINE

fluorescent tagging of the parent anticancer compounds may be of some use in following their cellular sate. In addition to their usefulness in investigations relating to tRNA tertiary structure, fluorescence techniques have been of great value in studying the intramolecular interactions present in the dinucleotide coenzymes nicotinamide-adenine dinucleotide (NAD+) and flavin-adenine dinucleotide (FAD). Exploiting the fluorescence of the isoalloxazine moiety in the oxidized form of the flavin coenzyme (FAD) and of the dihydropyridinium moiety in the reduced form of the nicotinamide coenzyme (NADH), the existence of intramolecular complexes has been demonstrated in these two oxidation-reduction dinucleotide coenzymes using fluorescence lisetimes, quantum efficiencies, and polarization studies. 53-60

#### FLUORESCENT A AND C DERIVATIVES

In incorporating fluorescence into specific nucleic acid bases in tRNA and the coenzymes NAD<sup>+</sup> and FAD, the reaction of chloroacetaldehyde in aqueous solution with adenosine and cytidine to give fluorescent products (II, III) affords another dimension for studies of these biological systems. Kochetkov et al.61 initially

reported the reaction of chloroacetaldehyde with 9-methyladenine and 1-methylcytosine, and this led us to examine further the reaction of chloroacetaldehyde with the nucleosides adenosine and cytidine with the hope and subsequent realization that the products would be fluorescent. While  $3,N^4$ -ethenocytidine (III,  $R = ribosyl)^{62}$  has had limited use as a fluorescent probe because it is fluorescent only in the protonated form, the fluorescence properties of  $1,N^6$ -ethenoadenosine and its nucleotide derivatives have been exploited in numerous investigations of biological systems.

The chloroacetaldehyde modification reaction offers great advantages in rendering nucleic acid bases in biological systems fluorescent, since the reaction meets the major goal of being capable of being carried out in aqueous media under mild conditions of pH and temperature.  $^{62.63}$  The reaction at 37° proceeded rapidly at the optimum pH of 4.5 for adenosine and 3.5 for cytidine.  $^{62.64}$  The formation of the etheno bridge, since it is symmetrical, does not establish the direction of its incorporation, although the formal mechanism involving reaction of the  $\alpha$ -carbon with N-1 and the aldehyde carbon with N<sup>6</sup> envisaged in the case of adenosine was corroborated by deuterium-labeling studies and nmr analysis.  $^{63}$  X-ray analysis of the product of the reaction of  $\alpha$ -chlorobutyraldehyde established its structure as 7-ethyl-3- $\beta$ -D-ribofuranosylimidazo[2,1-i]purine hydrochloride (VIII), which did establish

that the N-1 of adenosine had displaced the chlorine from the  $\alpha$ -carbon of the aldehyde. The crystal structure also showed the excellent "stacking" properties of the  $\varepsilon$ -adenine rings, for in the nonpolar region of the monoclinic monohydrate there are infinite stacks of overlapping  $\varepsilon$ -adenine rings with alternate ring separations of 3.344 and 3.324 Å. The bare ring system, imidazo [2,1-i] purine, was first formed by acid treatment of 6-formylmethyladenine, and its pyrimidine ring opening and reclosure have been effected by Shaw and Smallwood. My pand Tsou modified the  $1,N^6$ -ethenoadenosine structure (II, R = ribosyl) by basic hydrolysis to remove the original C-2 of the adenine moiety followed by treatment with nitrous acid to give 2-aza- $1,N^6$ -ethenoadenosine (IX, R' = H). The sequential treatments with base and nitrous acid are too harsh to make this further modification of  $\varepsilon$ -adenosine applicable to intact RNAs, but the structures realized at the nucleoside and nucleotide level are of interest because of the long wavelength of fluorescence emission (494 nm) of compounds of type IX.

Other  $\alpha$ -haloaldehydes in general are less water-soluble and react more slowly than chloroacetaldehyde with adenosine and its derivatives. These include  $\alpha$ -chloropropionaldehyde,  $^{64}$   $\alpha$ -chlorobutyraldehyde,  $^{68}$  and 2-bromo-2-phenylacetaldehyde,  $^{68}$  leading to 7-methyl, 7-ethyl-, 7-propyl-, and 7-phenyl-1,  $N^6$ -ethenoadenosine derivatives, respectively. The chloroacetaldehyde reaction has also been applied to analogs of cAMP having CH<sub>2</sub> in place of the 5'-O (X). Another source of 7-alkyl-1,  $N^6$ -ethenoadenosine derivatives is in the mild oxidative cyclization of  $N^6$ -( $\Delta^2$ -isopentenyl)adenosine.  $^{69}$  8-Phenyl-1,  $N^6$ -ethenoadenosine derivatives have been synthesized using  $\alpha$ -bromoacetophenone.  $^{64,68,70}$  7,8-Dimethylimidazo[2,1-i]purine, with double substitution on the new ring, was prepared from  $\beta$ -acetylvinyltriphenylphosphonium bromide and adenine.  $^{71}$ 

The chloroacetaldehyde react ple, the deoxyribosyl derivatives arabinosyl- $\varepsilon$ -cytosine (XII), in cc the National Cancer Institute, wi resistant to deaminases. Indeed, deaminase and XII to cytidine d protection did not lead to the detumor system. The Among the man the chloroacetaldehyde reaction w cofuranine (XIII  $\rightarrow$  XIV). decoyir

one of the products of formycin reported the formation of  $\varepsilon$ -tuberci side antibiotics toyocamycin and s:  $1,N^6$ -etheno derivatives. The flu these " $\varepsilon$ " derivatives were found to in neutral aqueous solution.  $^{62.63}$ 

#### SPECIES RESPONSIBLE FOR THE

In an examination of the sp  $1,N^6$ -ethenoadenine moiety in all o obtained the fluorescence lifetimes

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ion offers great advantages in renderorescent, since the reaction meets the I out in aqueous media under mild action at 37° proceeded rapidly at the for cytidine. 62,64 The formation of es not establish the direction of its n involving reaction of the  $\alpha$ -carbon visaged in the case of adenosine was I nmr analysis. 63 X-ray analysis of the de established its structure as 7-ethylchloride (VIII), which did establish

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water-soluble and react more slowly nd its derivatives. These include :hyde,64.65 α-bromovaleraldehyde,68 to 7-methyl, 7-ethyl-, 7-propyl-, and espectively. The chloroacetaldehyde MP having CH2 in place of the 5'-O iosine derivatives is in the mild oxidane. 69 8-Phenyl-1, N6-ethenoadenosine α-bromoacetophenone. 64.68.70 7,8ubstitution on the new ring, was ium bromide and adenine.71

Leonard & Tolman: Fluorescent Nucleosides and Nucleotides

The chloroacetaldehyde reaction has been used with other nucleosides, for example, the deoxyribosyl derivatives. 72 We have made arabinosyl- $\varepsilon$ -adenine (XI) and arabinosyl-ε-cytosine (XII), in cooperation with the Drug Development Branch of the National Cancer Institute, with the expectation that these compounds would be resistant to deaminases. Indeed, they were resistant, XI to calf duodenal adenosine deaminase and XII to cytidine deaminase of E. coli; 3 however, this etheno bridge protection did not lead to the development of any appreciable activity in the L1210 tumor system. 74 Among the many adenine-related antibiotics, we have obtained by the chloroacetaldehyde reaction well-characterized etheno-bridged derivatives of psicofuranine (XIII  $\rightarrow$  XIV), decoyinine (XV  $\rightarrow$  XVI), tubercidin (XVII  $\rightarrow$  XVIII), and

one of the products of formycin  $(XIX \rightarrow XX)$ . Schramm and Townsend have reported the formation of e-tubercidin (XVIII) and, from the closely related nucleoside antibiotics toyocamycin and sangivamycin, the formation of the corresponding 1,N<sup>6</sup>-etheno derivatives. 75 The fluorescence emission characteristics of all three of these " $\epsilon$ " derivatives were found to be similar to those of 1,  $N^6$ -ethenoadenosine (II)

# Species Responsible for the Fluorescence of 1,N6-Ethenoadenosine

In an examination of the species responsible for the fluorescence of the I,N6-ethenoadenine moiety in all of its chloroacetaldehyde-modified derivatives, we obtained the fluorescence lifetimes, quantum efficiencies, and emission spectra of

εAMP in aqueous solution over the pH range 1.5-12.0, which were indicative that only one fluorescent emitting species exists, namely, the unprotonated form. 76 The loss at low pH of fluorescence emission at 415 nm from the neutral  $1,N^6$ -ethenoadenine fluorophore is due to the conversion of the fluorescent unprotonated form to the nonfluorescent protonated form by protonation at N-9 (see VIII for numbering). The observation that the fluorescence quantum efficiency for ε-9propyladenine (II, R = propyl) in anhydrous dioxane, where it cannot acquire a proton in the excited state, is 86% that of EAMP at pH 6.8 in aqueous solution provided direct evidence that the unprotonated form of the ε-adenine fluorophore is responsible for the fluorescence emission. 76 The useful fluorescence properties of  $1.N^6$ -ethenoadenosine have been summarized as: 1) long wavelength uv absorption which allows excitation outside the range of absorption of proteins and most nucleic acids; 2) intense fluorescence at 415 nm which allows its detection in the presence of protein; 3) a quantum yield of about 0.6 which allows ready detection at concentrations below 10<sup>-8</sup> M; 4) long fluorescence lifetime (23 nsec for  $\varepsilon$ AMP) which allows depolarization studies of 1, N<sup>6</sup>-ethenoadenosine fluorescence from nucleotide derivatives bound to molecules as large as 250,000 Daltons, and 5) small structural change to adenosine which allows the biological activity of modified coenzymes to be preserved to a considerable extent with some enzymes.<sup>63</sup>

#### ACTIVITY OF $1,N^6$ -ETHENOADENOSINE NUCLEOTIDES IN BIOLOGICAL SYSTEMS

In ascertaining the activity of  $1.N^6$ -ethenoadenosine nucleotides in biological systems, EAMP, EADP, EATP, and ECAMP (II, R variant) have been substituted for the corresponding adenosine nucleotides in various enzyme systems. 63.68.70.77-87 The ability of the  $1,N^6$ -ethenoadenosine nucleotides to act as substrates in these systems was found to depend on the specificity of the enzyme-binding site and varied from no activity in some cases (EATP with firefly luciferase 78) to full activity in others (EATP with myosin ATPase<sup>77</sup>). Binding studies of EADP to pyruvate kinase, 88 to myosin, H-meromyosin, and subfragment one, 89 and to a mitochondrial ATPase, 90 and of eATP to pyruvate kinase,88 H-meromyosin,91 and aspartate transcarbamylase92 have exploited the useful fluorescent properties of  $1,N^6$ -ethenoadenosine nucleotide derivatives in gaining more detailed information concerning these enzyme systems. It should be recognized that in cases where the ε-nucleotide shows appreciable activity with a particular enzyme system, it can be concluded that the  $1,N^6$  region is not required for binding. Conversely, when activity is absent, the  $1,N^6$  region may be required for binding or at least there must be no molecular protrusion in this part of the molecule. At all times, great care must be taken to obtain pure ε-nucleotide, so that the activity of any trace of the normal cofactor still present will not be mistaken for possible activity of the chloroacetaldehyde product. In further utilization of the intense fluorescence of  $1,N^6$ -ethenoadenosine, fluorometric assays  $^{93.94}$  and a spray reagent  $^{95}$  have been developed for the detection of adenosine derivatives.

#### ETHENO DERIVATIVES AT THE OLIGO-, POLY- AND DINUCLEOTIDE LEVELS

The properties of  $1,N^6$ -ethenoadenosine and  $3,N^4$ -ethenocytidine have also been investigated at the oligo- and polynucleotide levels. Cytidylyl(3'  $\rightarrow$  5')uridine (CpU) has been modified with chloroacetaldehyde by Kochetkov and coworkers, 64 who found that no hydrolysis of the phosphidiester linkage occurred during the course of

the reaction. The modified dinucl the action of the endonucleas poly(1,N6-ethenoadenylic acid) (poly(εC)) have been synthesized f cleotide phosphorylase, 96.97 and t nuclease action than their unmodi nor 3,N4-ethenocytidine can form hydrogen bonding sites are maske complex with poly(U), and poly( substitution of 20% or less of the chloroacetaldehyde-treated poly(A and trihelical species characterist: formation of poly(A) with poly(U). than one-seventh that of EAMP, wh Concerning the quenching of the 1 tions between bases in poly(EA), S ching in poly(A) containing 80% 1 degrees of substitution and hav 1,N6-ethenoadenosine are more adenosine-1,N6-ethenoadenosine in chloroacetaldehyde, and the proj investigated. 99 To introduce 1,1 Zachau<sup>100,101</sup> utilized tRNA nuclec EAMP moiety from EATP into the tRNA<sup>ser</sup> from baker's yeast, but la unfortunately was not actually inco

Nicotinamide 1,N6-ethenoaden 1,N6-ethenoadenine dinucleotide (El tion of chloroacetaldehyde with NA reasonable range of activity as subst enzymes. &FAD shows extremely eff to the isoalloxazine moiety. Determ provided data that can be used to closed (intramolecularly complexed neutral aqueous solution, it was four stacked form.

#### CHLOROACETALDEHYDE-M

The twelve possible dinucleoside with adenosine, cytidine, guanosine  $1,N^6$ -ethenoadenosine and  $3,N^4$ -ethe acetaldehyde. 107 Those dinucleoside are fluorescent in neutral solution, w not, since 3,N4-ethenocytidine is fluo acetaldehyde modification in genera resistant to nucleolytic cleavage. 64.96 were completely resistant to the action εApN and εCpN were highly resistant quenching parameters were determincence lifetimes and quantum efficien

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#### EOTIDES IN BIOLOGÍCAL SYSTEMS

adenosine nucleotides in biological R variant) have been substituted for rious enzyme systems. 63.68.70.77-87 otide as substrates in these if the syme-binding site and varied luciferase 78) to full activity in others s of eADP to pyruvate kinase,88 to <sup>9</sup> and to a mitochondrial ATPase, <sup>90</sup> H-meromyosin,91 and aspartate useful fluorescent properties of gaining more detailed information recognized that in cases where the particular enzyme system, it can be or binding. Conversely, when activity binding or at least there must be no ule. At all times, great care must be activity of any trace of the normal possible activity of the chloroacetof the intense fluorescence of <sup>4</sup> and a spray reagent<sup>95</sup> have been itives.

#### Y- AND DINUCLEOTIDE LEVELS

13,N4-ethenocytidine have also been vels. Cytidylyl(3' → 5')uridine (CpU) y Kochetkov and coworkers, 64 who linkage occurred during the course of

# Leonard & Tolman: Fluorescent Nucleosides and Nucleotides

the reaction. The modified dinucleoside phosphate  $\epsilon CpU$  proved to be resistant to the action of the endonuclease pancreatic RNase A. The polynucleotides  $poly(1,N^6-ethenoadenylic\ acid)\ (poly(\epsilon A))\ and\ poly(3,N^4-ethenocytidylic\ acid)$ (poly(εC)) have been synthesized from εADP and εCDP, respectively, using polynucleotide phosphorylase, 96.97 and both polymers were found to be more resistant to nuclease action than their unmodified counterparts. Neither 1,N<sup>6</sup>-Ethenoadenosine nor 3,N<sup>4</sup>-ethenocytidine can form Watson-Crick base pairs, since the necessary hydrogen bonding sites are masked by the etheno bridge. Thus, poly( $\varepsilon A$ ) does not complex with poly(U), and poly( $\varepsilon$ C) does not complex with poly(I). 96 However, substitution of 20% or less of the adenosine residues with 1,N<sup>6</sup>-ethenoadenosine in chloroacetaldehyde-treated poly(A) was found not to block the formation of the biand trihelical species characteristic of the acid form of poly(A) or the complex formation of poly(A) with poly(U). 98 The fluorescence intensity of poly( $\varepsilon$ A) was less than one-seventh that of  $\varepsilon AMP$ , while poly( $\varepsilon C$ ) showed no significant fluorescence. 96 Concerning the quenching of the 1,N<sup>6</sup>-ethenoadenosine fluorescence by the interactions between bases in poly(EA), Steiner and coworkers 98 have noted more quenching in poly(A) containing 80% 1,N<sup>6</sup>-ethenoadenosine than in poly(A) with lower degrees of substitution and have suggested that homologous interactions of  $1,N^6$ -ethenoadenosine are more efficient in quenching fluorescence than adenosine-I, N<sup>6</sup>-ethenoadenosine interactions. 98 DNA has also been treated with chloroacetaldehyde, and the properties of the fluorescent product have been investigated. To introduce 1, N<sup>6</sup>-ethenoadenosine into tRNA, Hertz and Zachau 100.101 utilized tRNA nucleotidyltransferase in an attempt to incorporate the εAMP moiety from εATP into the 3'-terminal position of purified tRNA<sup>Phe</sup> and tRNA<sup>ser</sup> from baker's yeast, but later concluded that the EAMP moiety of EATP unfortunately was not actually incorporated into these tRNAs. 102

Nicotinamide  $1,N^6$ -ethenoadenine dinucleotide  $(\varepsilon NAD^+)^{103,104}$  and flavin Incommander 1,14 - ethenoadenine dinucleotide ( $\epsilon$ IAD) and navin 1, $N^{5}$ -ethenoadenine dinucleotide ( $\epsilon$ FAD) have been synthesized by the reaction of chloroacetaldehyde with NAD+ and FAD, respectively, and both showed a reasonable range of activity as substitutes for the normal coenzymes with a variety of enzymes.  $\varepsilon$ FAD shows extremely efficient energy transfer from the  $\varepsilon$ -adenine moiety to the isoalloxazine moiety. Determination of the fluorescence yields and lifetimes provided data that can be used to generate the relative proportions of open and closed (intramolecularly complexed) conformations, 55 and, in the case of &FAD in neutral aqueous solution, it was found to exist ca. 90% as an internally complexed or

## Chloroacetaldehyde-Modified Dinucleoside Phosphates

The twelve possible dinucleoside phosphates combining adenosine and cytidine with adenosine, cytidine, guanosine, and uridine have been converted to the I,N<sup>6</sup>-ethenoadenosine and 3,N<sup>4</sup>-ethenocytidine analogs by reaction with chloroacetaldehyde. 107 Those dinucleoside phosphates containing 1, N6-ethenoadenosine are fluorescent in neutral solution, while those containing 3,N<sup>4</sup>-ethenocytidine are not, since 3,N<sup>4</sup>-ethenocytidine is fluorescent only in the protonated form. Chloroacetaldehyde modification in general renders the dinucleoside phosphates more resistant to nucleolytic cleavage. 64.96 Dinucleoside phosphates of the form ECPN were completely resistant to the action of pancreatic R Nase A, and those of the form  $\epsilon$ ApN and  $\epsilon$ CpN were highly resistant to the action of RNase T  $_2$  . Static and dynamic quenching parameters were determined from the values measured for the fluorescence lifetimes and quantum efficiences of the seven 1,N<sup>6</sup>-ethenoadenosine dinu-

cleoside phosphates. From the fluorescence quenching parameters, it was possible to determine the proportion of internally complexed or folded conformations versus open or unfolded conformations at 25°, as had been done in the case of FAD. 106 The figures obtained for the degree of internal association, in  $5 \times 10^{-5} M$  aqueous solution, were as follows: EApEA, 68%; EApG, 62%; GpEA, 72%; EApEC, 58%; ECpEA, 15%;  $\varepsilon$ ApU, 44%; Up $\varepsilon$ A, 28% (all  $\pm$ 5%). Guanosine and 1,N<sup>6</sup>-ethenoadenosine participate equally well in stacking interactions in the dinucleoside phosphates, and in general greater intramolecular association was observed in the dinucleoside phosphates containing purines than those containing pyrimidines. The sequence effects on intramolecular association observed in the  $1,N^6$ -ethenoadenosine dinucleoside phosphates are identical with those observed for their unmodified counterparts. 108 Thus, the fluorescence-quenching parameters of UpeA and eCpeA indicate a lower degree of base-base interaction than in their EApU and EApEC partners. In order to investigate further the intramolecular interactions of ECpEA in comparison with those in eApeC, we determined the circular dichroic spectra in neutral solution of εΑρεC, έCpεA, and a mixture of the component nucleosides. The CD spectrum of EADEC indicates a large change from the summation of the curves for the individual components and thus indicative of stacking. 108 By contrast, the CD spectrum

GP&A

«ApG

Apach

Apac

FIGURE 1. Conformations of the seven  $1.N^6$ -ethenoadenosine dinucleoside phosphates as viewed normal to the planes of the bases and drawn as though they were part of an RNA-11 helix. (By permission of the publishers of Biochemistry.<sup>107</sup>)

of ECpEA is closer to that of the effect and indicating that the c to &Cp&A in buffered solution patterns of base-base interactic of the dinucleoside phosphate, phosphates is pictured in Figur phosphate as if it were a section The conformations shown for based on the RNA-11 helix 110 these compounds in solution, as phosphates have greater freedor or out of the helical conform FIGURE 1, the ribose-phosphate the 1,N6-ethenoadenosine and : in εCpεA and UpεA, respectivel for the internal association obse solution is not helical but inste: which the two rings do not over in εCpεA, which is only about 0 helical conformation according be invoked to account for the n

Although extension of the reside phosphates to predict the unmodified counterparts is not a seven 1,N<sup>6</sup>-ethenoadenosine dir limit of the extent of complex pounds. Moreover, the fluoresc obtained for extremely dilute a directly in studies involving chlo The quenching of the 1,N<sup>6</sup>-ethetions in the chloroacetaldehydethe disruption of tertiary structuration of the chloroacetaldehyde n

FLUOR

We mentioned earlier the fluc derivatives of guanosine, albeit w We have sought to provide synt would not require additional alky tion, we have confined our nonfluorescent molecules that w methylguanosine under mild condicent modification of G in RNAs, I

Malondialdehyde reacts with altered structure which emit at a suitable controls were applied to a suitable controls were applied to a condialdehyde alone produces produces emission at 455 nm, and exhone obtains when any of the followers.

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inching parameters, it was possible to exed or folded conformations versus been done in the case of FAD. 106 The : iation, in  $5 \times 10^{-5} M$  aqueous solu-'ς; GpεA, 72%; εΑρεC, 58%; εCρεΑ, iuanosine and 1,N6-ethenoadenosine s in the dinucleoside phosphates, and vas observed in the dinucleoside phoing pyrimidines. The sequence effects ≥ 1,N6-ethenoadenosine dinucleoside for their unmodified counterparts. 108 of UpeA and eCpeA indicate a lower ApU and &Ap&C partners. In order to tions of ECpEA in comparison with ichroic spectra in neutral solution of ent nucleosides. The CD spectrum of nation of the curves for the individual .108 By contrast, the CD spectrum

nenoadenosine dinucleoside phosphates as in as though they were part of an RNA-II istry. 107)

## Leonard & Tolman: Fluorescent Nucleosides and Nucleotides

of  $\varepsilon Cp\varepsilon A$  is closer to that of the summed component parts, showing no induced CD effect and indicating that the contribution of dissymmetrical stacked conformations to eCpeA in buffered solution is very small. For greater insight concerning the patterns of base-base interactions allowed by the ribose-phosphate-ribose backbone of the dinucleoside phosphate, each of the seven 1, No-ethenoadenosine dinucleoside phosphates is pictured in FIGURE 1 in the proposed conformation of the dinucleoside phosphate as if it were a section of the 11-fold RNA helix described by Arnott. 109 The conformations shown for the 1,N<sup>6</sup>-ethenoadenosine dinucleoside phosphates based on the RNA-11 helix 110 are taken as reasonable working approximations for these compounds in solution, at the same time bearing in mind that the dinucleoside phosphates have greater freedom of orientation either toward more extensive overlap or out of the helical conformations pictured in FIGURE 1. As is suggested in FIGURE 1, the ribose-phosphate-ribose backbone allows greater interaction between the  $1,N^6$ -ethenoadenosine and its neighboring nucleoside in  $\varepsilon A p \varepsilon C$  and  $\varepsilon A p U$  than in ECpEA and UpEA, respectively, and this greater allowed interaction would account for the internal association observed. Possibly the conformation of ¿CpɛA in aqueous solution is not helical but instead is similar to those observed in crystalline UpA in which the two rings do not overlap. 111.112 The adoption of this type of conformation in εCpεA, which is only about 0.5 kcal/mole higher in energy than the lowest-energy helical conformation according to potential energy calculations (for UpA 113), may be invoked to account for the minimal interaction between the bases in &Cp&A.

Although extension of the results obtained for the modified fluorescent dinucleoside phosphates to predict the degree of internal association present in their unmodified counterparts is not strictly valid, the degrees of association found for the seven 1,N<sup>6</sup>-ethenoadenosine dinucleoside phosphates probably represent an upper limit of the extent of complex formation in the corresponding unmodified compounds. Moreover, the fluorescence technique has permitted these results to be obtained for extremely dilute solutions (5  $\times$  10<sup>-5</sup> M). The results can be used directly in studies involving chloroacetaldehyde-treated oligonucleotides and tRNA. The quenching of the  $1,N^6$ -ethenoadenosine fluorescence due to stacking interactions in the chloroacetaldehyde-treated tRNA promises to be useful in monitoring the disruption of tertiary structure. These results are valuable in our further application of the chloroacetaldehyde modification reaction to tRNAs.

#### FLUORESCENT G DERIVATIVES

We mentioned earlier the fluorescence of the natural Y bases. 48.11.12 which are derivatives of guanosine, albeit with an extraneous methyl group at the 3-nitrogen. We have sought to provide synthetic G derivatives that would be fluorescent yet would not require additional alkylation at N-3 to produce the fluorescence. In addition, we have confined our search for fluorescence-inducing reagents to nonfluorescent molecules that would react selectively with guanosine and/or 7methylguanosine under mild conditions in aqueous media, compatible to the fluorescent modification of G in RNAs, DNAs, nucleotides, coenzymes, etc.

Malondialdehyde reacts with DNA to form fluorescent products of unknown altered structure which emit at 460 nm upon excitation at 390 nm. 114.115 When suitable controls were applied to the malondialdehyde reaction, we found that malondialdehyde alone produces products absorbing at 345 and 263 nm, with fluorescence emission at 455 nm, and exhibits the same new spots on cellulose tlc plates as one obtains when any of the following is present: adenosine, cytidine, guanosine,

uridine, and ammonium chloride. Moreover, the fluorescence produced by malon-dialdehyde and ammonium chloride emits strongly at 455 nm, providing a superrendition of the "diagnostic" curves reported 115 for adenine and guanine. The three-carbon moiety is a hopeful one, nevertheless, and if it is served up in water-soluble, reactive, cyclization-directed form, fluorescent entities should result from G specifically. Turning from malondialdehyde itself (XXII, X = H), Dr. Robert C. Moschel in our laboratory has been successful in obtaining well-characterized products from the selective reaction of guanine, guanosine, and the corresponding nucleotides (XXI) with variously substituted malondialdehydes (XXII,  $X \neq H$ ), as

Exemples 
$$\begin{cases} x \cdot [-M] - CH_1 , & - \bigcirc \\ R \cdot [-M] - Ribosyl, & Ribosyl & 3' phosphate, Erc. \end{cases}$$

indicated in the accompanying general equation. The reaction is carried out in aqueous solution at pH 4-5 at 45° in the presence of excess substituted malondial-dehyde, and the reaction is usually complete after 24 hours but is advisably followed by tlc. The product, for example, from guanine (XXI, R = H) and methylmalondial-dehyde (XXII, K = H) is  $1.N^2$ -(2-methylallylidene)guanine (XXIII, K = H, K = H, as shown by analysis, mass spectrum (base peak at  $201(M^+)$ ), and nmr spectrum (three aromatic C-H's and K = H). The compound exhibited ultraviolet

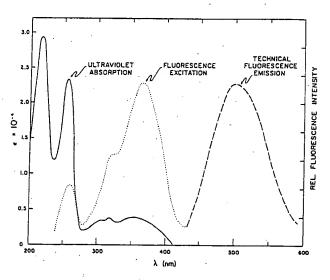


FIGURE 2. Ultraviolet absorption, fluorescence excitation, and technical fluorescence emission spectra of  $1.N^2$ -(2-methylallylidene)guanine in aqueous solution buffered at pH 6.8.

#### Leonard & Tolman:

maxima, at pH 6.8 in aqueous long wavelength region of the a that of the Y base. Upon excita fluorescence emission of 1.7 (TABLE 1). Other product 1, N<sup>2</sup>-(methylallylidene)guanosi

|  | Тесн |
|--|------|
| Compound   |      |
| 1. $N^2$ -(2-methylallylidene)guanine (XXIII,<br>R = H, X = CH <sub>3</sub> )                  | 0.1  |
| Disodium 1,N <sup>2</sup> -(2-methyl-<br>allylidene)guanosine 5'-<br>monophosphate (dihydrate) | 0.1  |

\* Fluorescence emission spectra we excitation maximum.

† Excitation spectra were measured: ‡ Intensity of the emission maximu

characterized in the same mann interesting and useful region of the characterization of guanosine der have also employed methylmalo spray reagent for this purpose. The tives corresponding to XXIII are cent G coenzyme surrogates with not will be dependent, inter alia. Coemands that the 1-NH and 2-NF is also being investigated. The rataqueous solution (ca. 0.7 nsec), we these derivatives, encourages us to tural modification in order to obtage the same and the coefficients.

Finally, we are also in the pro hopefully, site-specific, reactions ar and the important modified nuclei caveat, reagents like chloroacetaldeh be handled carefully since they are i

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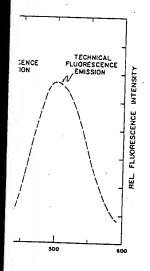
We wish to thank our colleagu tributed to the researches described, Leslie H. Kirkegaard, Robert C. Mc Prem D. Sattsangi. We owe special t D. Spencer for their contributions in

#### my of Sciences

he fluorescence produced by malongly at 455 nm, providing a superrenfor adenine and guanine. The less, and if it is served up in waterescent entities should result from G self (XXII, X = H), Dr. Robert C. in obtaining well-characterized proguanosine, and the corresponding alondialdehydes (XXII,  $X \neq H$ ), as



on. The reaction is carried out in ace of excess substituted malondialer 24 hours but is advisably followed XXI, R = H) and methylmalondialillylidene)guanine (XXIII, R = H, n (base peak at 201(M+)), and nmr The compound exhibited ultraviolet



itation, and technical fluorescence emisqueous solution buffered at pH 6.8.

Leonard & Tolman: Fluorescent Nucleosides and Nucleotides

maxima, at pH 6.8 in aqueous solution, at 355, 319, 309(sh), 256, and 218 nm. The long wavelength region of the absorption spectrum (Figure 2) bears resemblance to that of the Y base. Upon excitation at the long wavelength absorption maximum, the fluorescence emission of  $1,N^2$ -(2-methylallylidene)guanine occurs at 548 nm (TABLE 1). Other products, for example, the disodium salt of L,N<sup>2</sup>-(methylallylidene)guanosine 5'-monophosphate, as the dihydrate, have been

TABLE I TECHNICAL FLUORESCENCE DATA

|   | TECHNICAL FL       | UORESC     | ENCE DA             | TA                |   |
|---|--------------------|------------|---------------------|-------------------|---|
| Compound  | рН                 |            | Fluoresc<br>mission | 9200              | Fluorescence  |
| $1.N^2$ -(2-methylally)-<br>idene)guanine (XXIII,<br>R = H, X = CH <sub>3</sub> ) | 6.8<br>0.1 N HCI   | 500<br>500 | 548<br>550          | _                 | 360,325(sh) 260                                       |
| Disodium 1,N <sup>2</sup> -(2-methyl-<br>allylidene)guanosine 5'-                 | 6.8                | 470<br>500 | 538                 | 425               | 345,318(sh),295<br>(sh),250<br>360,325(sh),255        |
| monophosphate (dihydrate)  * Fluorescence emission spectre excitation maximum     | 0.1 N HCl<br>10.1; | 500        | 550<br>550<br>552   | 455<br>455<br>455 | 360,325(sh),260<br>360,315(sh),250<br>360,325(sh),260 |

- Fluorescence emission spectra were measured with excitation at the longest wavelength excitation maximum.
- † Excitation spectra were measured by holding the fluorescence emission at 500 nm.
- Intensity of the emission maximum decreases with time, suggesting instability at this pH.

characterized in the same manner. The fluorescence emission for these lies in an interesting and useful region of the spectrum and thus should prove effective for the characterization of guanosine derivatives having an unsubstituted 2-NH<sub>2</sub> group. We have also employed methylmalondialdehyde (XXII,  $X = CH_3$ ) qualitatively as a spray reagent for this purpose. The substituted 1,N<sup>2</sup>-(allylidene)guanylic acid derivatives corresponding to XXIII are currently under investigation as possible fluorescent G coenzyme surrogates with various enzymes. Whether they show activity or not will be dependent, inter alia, on whether the structural requirement for activity demands that the 1-NH and 2-NH<sub>2</sub> be free. Selectivity of reaction with G's in tRNA is also being investigated. The rather short fluorescent lifetimes thus far observed in aqueous solution (ca. 0.7 nsec), which may limit the fluorescence applications of these derivatives, encourages us to experiment further with substitution and struc-

Finally, we are also in the process of developing fluorescent base-specific, and, hopefully, site-specific, reactions and spray reagents for the other major nucleosides and the important modified nucleosides such as those found in the tRNAs. As a caveat, reagents like chloroacetaldehyde that react readily with nucleic acid bases must be handled carefully since they are potential mutagens. 117.118

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#### DISCUSSION OF THE PAPER

DR. CHHEDA: We have studied, in rats, the metabolic fate of ethenoadenosine labeled in the 2-position of the heterocycle. We did not find any significant radioactivity in transfer RNA, but approximately 80% of the administered radioactivity was excreted in the urine, 50% of that representing unchanged ethenoadenosine.

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One of the most recent develop agent 1- $\beta$ -D-arabinofuranosylcytosi that the 2,2'-anhydro derivative (3 experimental neoplasms in mice that effective when administered on do: with ara-C.4 This is an important re complex and precise dosage schedu the inactivation of ara-C by enzyn (2), a metabolite with no antitumor deamination, 1.5 and studies on its n results from a slow, nonenzymic Anhydro-ara-C may therefore be cl.

A large number of analogs and patent and chemical literature. Amo the past several years at Sloan-Kett pound that is highly active against active than ara-C against cell-lines r to deamination by human-liver and ing ara-FU (5) unlike ara-U, is a c fourfold dose levels ara-FU shows a leukemia as does 5-fluoro-2'-deoxyu is akin to that of 5-fluorouracil, whe of ara-C.7-10 The 2,2'-anhydro de premise that slow hydrolysis would form ara-FU. Anhydro-ara-FC mig each of which would exert its effect l lion of DNA polymerase or of thyn

Anhydro-ara-FC has indeed pro mouse leukemias.11 At approximate FC was more effective than ara-C, a: a single dose both when administere tion, its activity as a single dose i leukemia compares favorably wi chloroethyl)-1-nitrosourea. Anhydro an antileukemic agent.

Before we consider some aspects

<sup>\*</sup>Supported in part by the National Ca can Cancer Society (grant C1-65N).

### Immunofluorescent Demonstration of Double-Stranded RNA and Virus Antigen in RNA Virus-Infected Cells

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The indirect immunofluorescence procedure has been used for demonstration of double-stranded RNA in cells infected with reovirus, poliomyelitis, and tick-borne encephalitis (TBE) viruses. Rabbit sera against poly(A)-poly(U) and poly(I)-poly(C) react specifically with double-stranded RNA. Double-stranded RNA is found in the cytoplasm of the cells infected with high multiplicities of poliomyelitis and tick-borne encephalitis viruses 3 hr postinoculation. In parallel, preparations were stained with sera against viral proteins. During one cycle of reproduction the dynamics of accumulation of double-stranded RNA and virus protein was synchronous both for poliomyelitis and tick-borne encephalitis viruses. When poliovirus-infected cells degenerated, the number of cells containing TBE virus double-stranded RNA decreased markedly while the proportion of cells containing virus protein remained high.

The high sensitivity and specificity of immunologic methods makes them useful for demonstration of nucleic acids in places where they are present in such low amounts that they are undetectable by other methods.

As has been demonstrated by Stollar et al. (1-4), animals immunized with MBSA<sup>1</sup>—double-stranded synthetic polyribonucleotide complexes—develop antibody reacting specifically with double-stranded RNA. These data were confirmed in our study (5).

Recently, antisera for synthetic doublestranded polyribonucleotide complexes began to be used for demonstration of double-stranded RNA in cells infected with RNA viruses. For this purpose the double-

Abbreviations used: MBSA, methylated bovine serum albumin; poly(A), polyadenylic acid; poly(U), polyuridylic acid; poly(AU), copolymer of riboadenylate and ribouridylate; poly(I), polyinosinic acid; poly(C), polycytidylic acid; poly(A)-poly(U), polyadenylic acid-polyuridylic acid complex; poly(I)-poly(C), polyinosinic acid-polycytidylic acid complex.

stranded RNA is either preextracted from the cells and then identified by means of the antiserum in an appropriate immunologic test or demonstrated in the cells by the direct or indirect immunofluorescence test (1, 2). ir

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The present paper reports the use of the indirect immunofluorescence test with antibody against double-stranded polyribonucleotide complexes in order to determine the dynamics of accumulation of double-stranded RNA in the cytoplasm of the cells infected with poliomyelitis virus (three types), tick-borne encephalitis virus, and to demonstrate Reo virus. In parallel, the dynamics of virus protein accumulation was determined by immunofluorescence.

HeLa cells were grown on slides and infected with the prototype strains of poliomyelitis virus: type I (Mahoney), II (Neva), and III (Saukett). The multiplicity of infection was about 1000 PFU/cell. The virus yield reached maximum by 4 hr and was 1000-3000 PFU/cell.

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Hipr, Bars strains) was propagated in pig embryo kidney cells (SPEV). The input multiplicity was approximately 10 TCD so/cell. The maximum accumulation of virus in the culture fluid was observed by 48 hr and the virus yield was 10-50 TCD so/cell.

At intervals the slides were removed, fixed with cold acetone, and stained by the indirect immunofluorescence technique (6)

The sera from two rabbits immunized with MBSA-poly(A)-poly(U) complex (serum No. 21) and MBSA-poly(I)-poly-(C) complex (serum No. 22) were used in the study in 1:16 dilutions. The immunization schedule was reported elsewhere (5, 7).

The sera against poliomyelitis and tick-borne encephalitis viruses were prepared by two to four inoculations of rabbits. Their titers were 1:1280 in neutralization tests for poliovirus of the three types and 1:1280 in hemagglutination-inhibition tests and 1:64 in immunodiffusion tests by the method of Ouchterlony for tick-borne encephalitis virus and were used in 1:8 dilution.

Fluorescein-conjugated donkey anti-rabbit gamma globulin was obtained from the Gamaleya Institute of Epidemiology and Microbiology and used in 1:8 dilution. Horse serum albumin conjugated with rhodamine sulfofluoride (8) was added as a counterstain.

The controls consisted of (a) noninfected cells; (b) cells fixed immediately after inoculation, (c) cells stained with rabbit serum collected before immunization, and (d) cells stained with a heterologous conjugate (against mouse globulins). All controls gave negative results.

The specificity of the sera against double-stranded polyribonucleotides was demonstrated in passive hemagglutination and in antibody neutralization tests (9). Thus, sera Nos. 21 and 22 reacted to titers 1:2560 and 1:5120, respectively, with erythrocytes loaded with poly(A)-poly(U) and did not react with erythrocytes loaded with poly(A), ribosomal RNA, single-stranded DNA. The passive hemagglutination test could be inhibited by preincubation with 0.3 µg poly(A)-poly(U) or poly(I)-poly(C) and failed to be inhibited by preincubation

with poly(I)-poly(C), poly(A), poly(U), or ribosomal RNA used in 10-µg amounts. The specificity of the test was confirmed by the data obtained by radioimmunoassay (Table 1). It will be seen in Table 1 that antibody for double-stranded polyribonucleotide complexes reacts only with double-stranded polyribonucleotides and not with single-stranded polyribonucleotides.

In green monkey kidney cells infected with reovirus I and stained with sera against double-stranded polyribonucleotides, fluorescence was observed at all stages of infection.

To test the specificity of fluorescence, 0.2 ml of undiluted serum No. 22 was incubated with 10 µg poly(I)-poly(C) at 37°C for 1 hr followed by centrifugation at 10,000 rpm for 10 min. Serum was also incubated with 500 mg poly(A); 500 mg poly(U); 500 mg native thymus DNA; or phosphate buffer solution (control).

Subsequent staining of cells infected with Reo I and TBE (Sophyin) viruses showed that serum No. 22 after treatment

TABLE 1

RELATIVE ABILITIES OF NONLABELED DOUBLE-STRANDED AND SINGLE-STRANDED POLYRIBONUCLEOTIDES IN COMPETITION FOR ANTIBODY TO POLY(A)-POLY(U) (SERUM No. 21) WITH <sup>3</sup>H DOUBLE-STRANDED RNA<sup>a</sup>

|                              | 20022201 | ICANOLD TELV     |
|------------------------------|----------|------------------|
| Competing polyribonucleotide | (μg)     | Binding<br>(cpm) |
| Poly(A)-poly(U)              | 5        | 53               |
| Poly(A)                      | 50       | 918              |
| Poly(U)                      | 50       | 958              |
| Poly(AU)                     | 50       | 923              |
|                              | 50       | 962              |
|                              |          |                  |

<sup>a</sup> The incubation mixture consisted of 2.5  $\mu$ l undiluted test serum to which 0.1 ml SSC (0.15 M sodium chloride and 0.015 M sodium citrate) unlabeled polyribonucleotides (5 or 50  $\mu$ g) was added. After incubation at room temperature for 30 min, 1  $\mu$ g <sup>3</sup>H-double-stranded RNA (approximately 1200 cpm) in 0.1 ml SSC was added. After incubation for 30 min at room temperature the mixture was passed through a membrane filter (Synpor 8, CSSR) at a flow rate of approximately 1 ml/min. The filter was washed two times with SSC, dried, and the nucleic acid-antibody complex was measured by determination of radioactivity on the filter in the Ansitron scintillation spectrometer. Similar results were obtained with serum No. 22.

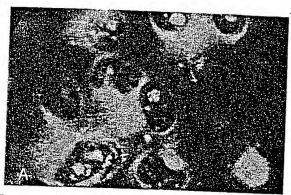




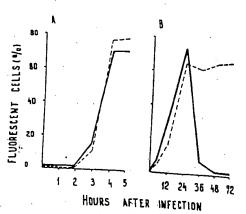
Fig. 1. Fluorescent antibody staining of tick-borne encephalitis virus-infected SPEV cells. The staining was done using rabbit anti-poly(A)-poly(U) (1/16) serum No. 21 and fluorescein-labeled donkey anti-rabbit gamma globulin (1/16). Magnification 90 × 5. A. Six hours after infection. B. Twenty-four hours after infection.

with poly(I)-poly(C) lost its capacity to detect double-stranded RNA, whereas the control serum retained its reactivity completely.

The foregoing permits a conclusion that by means of the indirect immunofluorescence procedure we have demonstrated specific reaction of antibody against double-stranded polyribonucleotide complexes in the cytoplasm of the infected cells.

Specific fluorescence in the cytoplasm of the infected cells was detectable beginning at 3 hr after inoculation with tick-borne encephalitis virus and poliovirus. The character and localization of fluorescence were identical after infection of the cells with poliomyelitis or tick-borne encephalitis virus. At early stages of infection fluorescence was observed in the perinuclear zone (Fig. 1A), then it filled the cytoplasm in the form of large granules. At late stages fluorescence was diffuse throughout the cytoplasm. In some cells, fluorescence of nucleoli was also observed (Fig. 1B). Identical fluorescence was observed after staining with antiserum Nos. 21 and 22.

The dynamics of accumulation of double-stranded RNA and proteins of poliomyelitis and tick-borne encephalitis viruses in the cytoplasm of infected cells is shown in Fig. 2 which presents the results of one out of four analogous experiments. It will be seen in Fig. 2A that accumulation of double-stranded RNA and protein of poliomyelitis virus type 1 occurs simultaneously. The maximum number of fluorescent cells (70–76%) was observed 4 hr



postinfection after which time the portion of cells containing viral protein and double-stranded RNA remained unchanged till the end of the virus reproduction cycle. Double-stranded RNA of poliomyelitis virus persisted in the cell until its complete destruction. Similar results were obtained with all three types of poliomyelitis virus.

The portion of the cells containing double-stranded RNA and protein of tick-borne encephalitis virus (Sophyin strain) was similar during one reproduction cycle

ably and after 48 hr fluorescence was found in only 2-3% of cells, whereas viral protein was observed in the cytoplasm of 60% of cells at all intervals of the observation period. Similar results were obtained also with the Absettarov and Hipr strains.

Thus, during one reproduction cycle the dynamics of accumulation of double-stranded RNA and viral protein is synchronous with poliomyelitis and tick-borne encephalitis viruses. Then, at high multiplicities of infection with poliomyelitis virus the cells degenerate and with TBE virus the number of cells containing double-stranded RNA decreases.

quently the portion of cells containing

double-stranded RNA decreased consider-

This study has demonstrated that detection of double-stranded RNA by the immunofluorescence method is a reliable test both for entero- and arbovirus infection of cells and may be used for study of the virus-cell interaction.

#### ACKNOWLEDGMENT

We are grateful to Dr. G. A. Shirman for his comments and suggestions.

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## PEPTIDYL-tRNA WITH A FLUORESCENT LABEL: RIBOSOME SUBSTRATES IN PEPTIDE BOND FORMATION

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(Received 7 June, 1974)

#### I. INTRODUCTION

Fluorescent label introduced in ribosomes may help elucidate some questions of its functioning and structure. There are both direct and indirect methods of introduction of labels, fluorescing in the long-wave region, to ribosome. The former may be examplified by reactions involving fluoresceinylisothiocyanate [1], the latter is based on modification of tRNA and its binding to ribosome. Among these modifications are substitution of some bases in tRNA by ethidium bromide or proflavin [2], addition of acryflavin and 9-hydrazino acrydine to the oxidized end of tRNA [3], addition of the dansyl and anthanoyl residues to the 5'-phosphate end of tRNA [4] and formation of a fluorescing component by irradiating tRNA<sup>Val</sup> from *E. coli* with UV light [5].

This paper reports a method of introduction of fluorescent residues (dansyl, anthracene-2-sulphonyl and fluoresceinyl) which bind to the amino acid moiety of aminoacyl-tRNA. Such peptidyl-tRNA preserve the ability of being specifically bound to ribosomes in the presence of a template and of being peptide donors in ribosome in the reaction with pyromycin (Pu).

#### II. MATERIALS AND METHODS

Use was made of tRNA from *E. coli* containing 20% of the phenylalanine accepting fractions. [<sup>14</sup>C]Phenylalanine, specific activity 225 Ci mole<sup>-1</sup>, was the product of UVVVR, Czechoslovakia; enzymatic aminoacylation was performed as described elsewhere [6]. Radioactivity was measured in an ABAC SL-30 scintillating spectrophotometer (Intertechnique). Dansyl (DNS) dipeptidyltRNA was prepared as previously described [7]. The starting anthracenylsulphochloride (ANTCl) was condensed with glycin similarly to a DNS-amino acid synthesis [8]; ANT-Gly (m.p. 198°) was then converted into non-crystalline ANT-Gly-OSu (where OSu is N-hydroxysuccinimide residue) [7]. This substance was condensed with [<sup>14</sup>C] Phe-tRNA into ANT-Gly-[<sup>14</sup>C]Phe-tRNA. Glycine was added to fluoresceinylisothiocyanate (Reachim, U.S.S.R.) and converted into fluoresceinylaminothiocarbonyl glycine, FLU-Gly [9], m.p. above 320°, which with HOSu and dicyclohexylcarbodiimide (30 min at 0° and 1 hr at 20°) was converted into FLU-Gly-OSu, which was then condensed without further purification with [<sup>14</sup>C]Phe-tRNA into FLU-Gly-[<sup>14</sup>C]Phe-tRNA according to Alexandrova *et al.* [7], but the reaction was only run for 2.5 hr. All the peptidyl-tRNAs were isolated and purified by means of chromatography on Sephadex G-25 as

TABLE I

Synthesis of peptidyl-tRNA (III) containing a fluorescent label

| Taken    |                            |  |                                       | III Synthesized |  | Yield of<br>III per<br>II (%) |
|----------|----------------------------|--|---------------------------------------|-----------------|--|-------------------------------|
| X in I   | II, A <sub>260</sub> units | II, radio-<br>activity<br>cpm × 10 <sup>-3</sup> | activity units cpm × 10 <sup>-3</sup> |                 | Content of III in preparation calculated by radioactivity, % |                               |
| DNS-Gly- | 4                          | 216  | 2.55                                  | 151             | 100  | 70.0                          |
| DNS-Ala- | 4                          | 216  | 2.15                                  | 147             | 93 -   | 63.2                          |
| DNS-Val- | 4                          | 216  | 2.12                                  | 165             | 93   | 71.0                          |
| DNS-Phe- | 4                          | 216  | 2.05                                  | 169             | 90   | 70.4                          |
| ANT-Gly- | 26.1                       | 240  | 22.6                                  | 151             | 95   | 59.7                          |
| FLU-Gly- | 22.0                       | 163  | 20.5                                  | 112             | 94   | 64.5                          |

<sup>&</sup>lt;sup>a</sup> Experiments were performed with Phe-tRNA in total tRNA preparations.

TABLE II

Poly U-stimulated binding of X-[14C] Phe-tRNA (III) by ribosomes

| No. X in III |          | Quantity      | Binding, cpm |         |                  | Stimulation of binding |                |
|--------------|----------|---------------|--------------|---------|------------------|------------------------|----------------|
|              |          | of III<br>cpm | + poly U     | -poly U | + poly A         | + poly U               | + poly U       |
|              | - Opin   |               |              |         | – poly A         | + poly A               |                |
| 1            | DNS-Gly  | 6500          | 3400         | 2500    | <del>-</del>     | 2.3                    | _              |
| 2            | DNS-Gly  | 6500          | 2400         | 1230    | -                | 1.95                   | -              |
| 3            | DNS-Ala- | 11330         | 6360         | 3630    | <b>-</b> · ·     | 1.75                   | -              |
| 3            | DNS-Ala- | 12700         | 6960         | 3630    | _ `              | 1.9                    | -              |
| 4            | DNS-Val- | 10000         | 4050         | 2680    | _`               | 1.5                    | - 59           |
| 4            | DNS-Val- | 11600         | 5600         | 3900    | 1660             | 1.45                   | 3.4            |
| 5            | DNS-Val- | 10000         | 3480         | 2340    | <u> </u>         | 1.53                   | ; <del>-</del> |
| 6            | DNS-Phe- | 10560         | 5000         | 5100    | ·                | 1                      | _              |
| 6            | DNS-Phe- | 7500          | 7400         | 6910    | 4880             | 1.07                   | 1.5            |
| 7            | DNS-Phe  | 10560         | 3435         | 2730    | _                | 1.27                   |                |
| 8            | ANT-Gly- | 12720         | 4110         |         | 2740             | <del>-</del> ·         | 1.5            |
| 9.           | Ac-      | 11150         | 5200         | 865     | _                | 6.0                    | <u> </u>       |
| 10           | Ac-      | 5040          | 3020         | 565     | <del>-</del> . · | 5.3                    | ·              |

Experimental conditions: The incubation mixture contained 3  $A_{260}$  units ribosomes, 0.8  $A_{260}$  units poly U (or 2.0  $A_{260}$  units poly A), 5 µmol Tris-HCl pH 7.5, 1 µmol MgCl<sub>2</sub>, 16 µmol NH<sub>4</sub>Cl in 0.1 ml. Time of incubation was 20 min at 30°. In cases No. 2, 5, 7 and 10 the reaction mixture was placed in a column 1 × 19 cm with Sephadex G-100 (Buffer: 0.01 M Tris-HCl, 0.01 M MgCl<sub>2</sub>, pH 7.6). After separation optical density at 260 nm and radioactivity were determined. Radioactivity (measured by means of dioxane scintillator) was calculated for 3  $A_{260}$  units of solution. In other cases the reaction was stopped by adding 2 ml the same cold buffer, the mixture was filtered through VUFS nitro-cellulose filters (Chemapol, Czechoslovakia) and washed with 25 ml of the buffer. The radioactivity was determined in a toluence scintillator.

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\_ יע described earlier [7]. The quantity of non-acylated Phe-tRNA in the preparations synthesized was determined by hydrolyzing of aliquots of substances in 0.25 N NaOH (20°, 2 hr); the hydrolysate was then chromatographed on FN-16 paper (D.D.R.) using BuOH-AcOH-water (78:5:17 v/v). The radioactive zone was determined. In this system phenylalanine has an  $R_f$  value of 0.38 and its fluorescing peptide derivatives - 0.8-0.9. The purity of all intermediate low molecular weight compounds was determined by TLC on silica gel or silicic acid.

#### III. RESULTS AND DISCUSSION

The scheme of synthesis of peptidyl-tRNA containing a fluorescent label is based on condensation of N-hydroxysuccinimide exters of N-acylated amino acids and [14C] Phe-tRNA by the method of Lapidot [10]:

$$X-OSu + [^{14}C]Phe-tRNA$$
  $X-[^{14}C]Phe-tRNA$  I III

where X is DNS-Gly-, DNS-Ala-, DNS-Val-, DNS-Phe-, ANT-Gly- or FLU-Gly-. The yield and the content of peptidyl-tRNA in the preparations obtained are listed in Table I, which shows that the amount of Phe-tRNA in them does not exceed 10%.

All the preparations of peptidyl-tRNA (III) were investigated in a cell-free system with ribosomes from E. coli MRE-600 in the presence of poly U and referred to the same system being bound without the template or with poly A. As is seen from Table II, all preparations of type III

TABLE III

Donor activity of peptidyl-tRNA X-[14C]Phe-tRNA (III)

| N/ | III         |                                    | Extracted | Extracted into ethylacetate, cpm $\times 10^{-3}$ |         |               |  |
|----|-------------|------------------------------------|-----------|---|---------|---------------|--|
|    | X in<br>III | Content,<br>cpm × 10 <sup>-3</sup> | +Pu       | – Pu  | +Pu -Pu | activity<br>% |  |
| 1  | Ac          | 13.3                               | 11.4      | 3.0   | 8.4     | 63            |  |
|    | DNS-Gly     | 5.8                                | 4.0       | 2.1   | 1.9     | 33            |  |
|    | DNS-Ala     | 8.4                                | 6.85      | 2.9   | 3.95    | 47            |  |
|    | DNS-Val     | 7.5                                | 4.95      | 2.2   | 2.75    | 55.5          |  |
|    | DNS-Phe     | 8.7                                | 7.9       | 4.5   | 3.4     | 37            |  |
|    | ANT-Gly     | 12.7                               | 3.55      | 2.1   | 1.45    | 11            |  |
|    | ANT-Gry     | 12.7                               | 4.53      | 3.4   | 2.13    | 9             |  |
|    | FLU-Gly     | 17.6                               | 11.98     | 6.7   | 5.28    | 30            |  |
| ı  | FLU-Glyb    | 17.6                               | 9.76      | 6.4   | 3.35    | 19            |  |
| a  | FLU-Gly c   | 17.6                               | 8.53      | 5.5   | 2.83    | 16            |  |

In experiments No. 8-10 radioactivity was determined by the precipitate of III in 10% trichloroacetic acid. The content of ethanol in incubation mixture - 39 and 45% respectively.

Experimental conditions: The incubation mixture contained in 0.1 ml 3  $A_{260}$  units ribosomes, 38 mM KCl, 2 mM MgCl<sub>2</sub> and 5.7 mM Tris-HCl, pH 7.8 at 20°. The reaction was initiated by adding 50  $\mu$ l ethanol, performed for 1 hr and stopped by adding 0.1 ml 0.01 M Tris-HCl buffer pH 7.0 and 3 ml ethylacetate. After extraction the radioactivity was determined in 2 ml of organic phase in 15 ml of the solution containing toluene scintillator and methylcellosolve 1:1  $\nu/\nu$ .

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ading y U+ displayed an ability of binding with ribosomes, but, unlike AcPhe-tRNA used as a reference, their binding in the absence of the template is anomalously high. However, it decreases with lower hydrophobicity of the peptide on the one hand, and with poly A as a template on the other. These facts prompt one to the suggestion that an increase in the hydrophobicity of the peptide sharply increases the ability of peptidyl-tRNA to bind to the peptidyltransferase centre (PTC) of ribosomes even if no template is present. This is supported by the data on the more efficient binding between the donor site of PTC and acylaminoacyl oligonucleotides as compared to aminoacylnucleotide [11] and peptidyl-tRNA as compared with aminoacyl-tRNA [12]. There are reasons for believing that the peptide moiety of peptidyl-tRNA in ribosomes has a hydrophobic environment.

Table III shows the results of determination of the activity of III as peptide donors in a templateless system containing 31% of ethanol; Pu was used as peptide acceptor. All the peptidyltRNA synthesized (III) were able to serve as peptide donors, and in the case of DNS-derivatives the peptide-donating activity is sufficiently high. These data show that ribosomes are capable of binding with peptidyl-tRNA in the PTC donor site with rather bulky, and highly hydrophobic groups. A study of the peptide-donating activity of III in the ribosome-poly U system showed this ability not to be very high. One may think that this phenomenon is due to the ability of III to occupy simultaneously the donor and the acceptor sites of PTC even with 10 mmoles of Mg<sup>2+</sup>, which precludes the reaction with Pu [13].

Summarizing, one may say that peptidyl-tRNA having a fluorescent label of sufficient size and hydrophobicity in the peptide moiety of the molecule may be specifically bound to ribosomes and may act as peptide donors in the reaction with Pu. It should be added that the ability of peptidyl-tRNA to become attached to the PTC of ribosomes increases as does hydrophobicity and is rather high even if the template is absent.

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Effects of Abnormal Base Ionizations on Mg<sup>2+</sup> Binding to Transfer Ribonucleic Acid as Studied by a Fluorescent Probe<sup>†</sup>

Dennis C. Lynch‡ and Paul R. Schimmel\*

ABSTRACT: The naphthoxyl probe attached to the 3'-end of isoleucyl-tRNA<sup>IIe</sup> (see Lynch, D. C., and Schimmel, P. R. (1974), Biochemistry 13, 1841) has been used to study the pH dependence of Mg<sup>2+</sup> binding at "cooperative" sites. The apparent Mg<sup>2+</sup> affinity is strongly pH dependent; e.g., it is ca. tenfold and 100-fold weaker at pH 6 and pH 4.7, respectively, than at pH 7.5. This effect is due to abnormally high base pK's in the "aberrant" structure(s) formed in low salt, Mg<sup>2+</sup>-free solutions. The emission of the probe is sensitive to the ionization of one of these sites, probably a cyticylic acid moiety near the 3'-end. Addition of sufficient Mg<sup>2+</sup> sharply lowers the abnormal pK's to more typical values. The kinetics of Mg<sup>2+</sup> addition at pH 6 appears to follow essentially the

same mechanism as at pH 7.5—two slow unimolecular changes coupled to rapid Mg<sup>2+</sup> binding steps. However, the Mg<sup>2+</sup> induced structural changes are slower, have somewhat higher activation energies, and are thermodynamically less favored at pH 6. These effects apparently arise from the greater stability of aberrant form(s) brought about by base protonations, and they largely account for the weaker apparent binding of Mg<sup>2+</sup> observed by fluorescence at pH 6 as opposed to pH 7.5. Ultraviolet absorption data corroborate many of the findings. Preliminary results with tRNA<sup>Ala</sup> (Escherichia coli) labeled with the probe are similar to those obtained with tRNA<sup>II</sup> thus suggesting that the results obtained may be rather general.

In the preceding paper (Lynch and Schimmel, 1974), it was shown that the fluorescence emission of a naphthoxyl group attached to the 3'-end of tRNA<sup>IIe</sup> is sensitive to the binding of Mg<sup>2+</sup> to "interacting" or "cooperative" sites on the nucleic acid. Two slow unimolecular structural changes occur as Mg<sup>2+</sup> is bound to these sites; these changes have large activation energies and are probably due to the breakdown of aberrant structures formed in the absence of Mg<sup>2+</sup> (see Cole et al.,

1972). Since the structural changes induced by Mg<sup>2+</sup> binding are thermodynamically favorable, they serve to increase the apparent strength of binding of Mg<sup>2+</sup>. This accounts for the high affinity of Mg<sup>2+</sup> binding to these sites.

At pH 6 we were surprised to learn that the binding of Mg<sup>2+</sup> observed by fluorescence is significantly weaker than that observed at pH 7.5 (Lynch and Schimmel, 1974), even though there are no obvious base or phosphodiester ionizations in this pH range. The results presented below demonstrate that abnormal pK's (on bases) are present on tRNA and that protonation of these sites leads to an increased stabilization of the "aberrant" structure(s) formed in low salt. Addition of Mg<sup>2+</sup> sharply lowers the abnormal pK's and encourages proper folding of the tRNA, although the folding processitself is somewhat slower and goes with higher activation energies at the more acid pH (pH 6) than at pH 7.5. The decreased thermodynamic preference for the Mg<sup>2+</sup>-induced

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structural changes at pH 6 later accounts for the weaker apparent binding at this pH as opposed to pH 7.5.

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Materials and Methods

Many of the details of the preparation of materials, treatment of data, instrumentation, and etc., are given in the preceding paper (Lynch and Schimmel, 1974). Additional details pertinent to the present paper are given below.

Derivatized isoleucyl-tRNAIIe in which the 5'-phosphate is removed was prepared by incubating IV1 for 1 hr at 53° in 0.05 м Tris-0.1 mm MgCl<sub>2</sub> (pH 7.5) with ca. 1 µg of bacterial alkaline phosphatase (Worthington BAPF grade) per nmole of tRNA. These conditions, which are slightly milder than lisual phosphatase conditions, were chosen in order to minimize hydrolysis of the label. Under these conditions, <15% of the label was lost. Phosphatase was removed via phenol extraction and an aliquot of the tRNA was digested for 5 hr at 37° in 0.01 M (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> (pH 8) with ca. 2 µg of RNase A (Worthington) per nmole of tRNA. This digest and a control not treated with phosphatase were applied to separate 20 X 20 cm cellulose thin-layer plates (E. Merck) and chromatographed in two dimensions (first dimension: 1-propanolconcentrated ammonia-water, 55:10:35 by volume; second dimension: isobutyric acid-concentrated ammonia-water, 66:1:33 by volume; U. L. RajBhandary and M. Simsek, personal communication; see also Saneyoshi et al. (1969)). The resultant patterns were examined under an ultraviolet lamp and found to be identical except that a slow moving oligonucleotide spot present in the control was absent in the phosphatase treated material. We attribute the missing spot to the 5'-terminal fragment pApGpGpCp which would be converted to ApGpGpCp by successful phosphatase removal of the tRNA 5'-phosphate. As there is another expected RNase A fragment of this identity (see Yarus and Barrell, 1971), a new spot is not expected in the phosphate treated pattern. Thus the chromatograms provide fair, although not rigorous, evidence that the 5'-phosphate was removed.

Polarization of fluorescence was measured with a Farrand Optical Company Mark I spectrofluorometer employing films supplied by the manufacturer. The measured values were corrected for apparent polarization introduced by the monochromator by the method of Azumi and McGlynn (1962; see also, Chen and Bowman (1965)). Fluorescence stopped-flow experiments were performed with a Durrum-Gibson stoppedflow equipped with a 75-W xenon lamp (General Electric). The apparatus has a dead time of less than 5 msec. (We gratefully acknowledge the use of this instrument at the Peter Bent Brigham Hospital, in the laboratory of Dr. B. Vallee. The helpful assistance of Dr. D. Auld is also acknowledged.) Fluorescence lifetimes were measured with the single photon counting system designed by Ortec in the laboratory of Dr. Renata Cathou at Tufts University Medical Center (see Lynch (1973), for additional details). The permission granted by Dr. Cathou to use the instrument, and the extensive help given by Dr. James Bunting are gratefully acknowledged.

The pH measurements made in fluorescence pH titrations were performed on thermostated samples not containing tRNA. The pH was measured directly with a Radiometer pH meter equipped with a GK2021C electrode; titrations were generally reproducible to within  $\pm 0.03$  pH unit. The samples

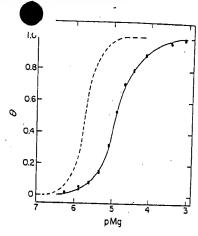


FIGURE 1: Relative change in fluorescence ( $\theta$ ) vs. pMg. Solid curve with points applies to data at pH 6.0, 10 mm Na<sup>+</sup>, 1 mm EDTA, 20 mm cacodylate, and Cl<sup>-</sup> counterion. Dashed curve applies to data at pH 7.5, 10 mm Na<sup>+</sup>.

containing tRNA which were used for optical measurements were then titrated in the same way, but without directly measuring the pH. This procedure proved to be more efficient and also necessary because of fluorescence contamination introduced by the electrode. Fluorescence and uv titration were on occasion checked for reversibility. These titrations were generally found to be reversible over most of the pH range studied. Difficulty was sometimes encountered at acid pH values which promote incipient precipitation of tRNA.

EDTA was used to buffer Mg<sup>2+</sup> concentrations as was done in the preceding study (Lynch and Schimmel, 1974). The stability constant of the Mg<sup>2+</sup>-EDTA complex increases with increasing pH (see Laitinen, 1960). Fortunately, this pH dependence is in the same direction as the pH dependence of Mg<sup>2+</sup> binding to tRNA. This allowed the use of EDTA at several different pH values and concentrations to buffer Mg<sup>2+</sup> in different concentration ranges.

Organic liquids used in fluorescence experiments were distilled when they contained obvious optical impurities.

#### Results and Treatment of Data

pH Dependence of  $Mg^{2+}$  Binding. Fluorescence  $Mg^{2+}$  titration of the derivatized tRNA at pH 6 gave strikingly different results than those obtained at pH 7.5. Figure 1 gives a plot of the fractional change in fluorescence  $\theta$  vs. pMg at pH 6. For comparison, the results obtained at pH 7.5 are shown by a dashed line. It is clear from this figure that the  $Mg^{2+}$  binding observed by fluorescence is substantially weaker and less cooperative at pH 6.0 than at pH 7.5. This result is somewhat surprising since a priori it is not immediately apparent that there are any groups on tRNA which ionize in this region, except for the 5'-terminal phosphate. Any involvement of this group was eliminated, however, by the finding that the  $Mg^{2+}$  binding followed by fluorescence was not altered by apparent removal of this group with bacterial alkaline phosphatase.

The pH dependence of the Mg<sup>2+</sup> binding was further pursued by performing fluorescence titrations at pH 4.7. The data obtained at the various pH values are tabulated in Table I in terms of the apparent dissociation constant  $K_{app}$  and the empirical Hill coefficient n. These parameters were obtained as described in the preceding paper (Lynch and Schimmel, 1974). In addition, some data on Na<sup>+</sup> titrations are given also.

These data show a remarkable pH dependence of the Mg<sup>2+</sup> affinity for tRNA. The affinity changes somewhat less than an

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<sup>&</sup>lt;sup>1</sup> Abbreviation used is:  $A_{\lambda}$ , the absorbance at wavelength  $\lambda$  of a solution in a 1-cm path-length cell. Structures I-IV are defined in Lynch and Schimmel (1974).

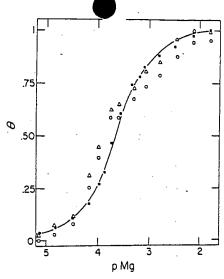
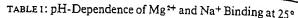


FIGURE 2: Plot of  $\theta$  os. pMg at pH 4.7, 25° with a buffer of 70 mm Na<sup>+</sup>, 0.1 m acetate, 10 mm EDTA, and Cl<sup>-</sup> counterion: ( $\blacksquare$ )  $\theta$  for fluorescence; (O)  $\theta$  for  $A_{260}$ ; ( $\Delta$ )  $\theta$  for  $A_{280}$ . Curve is drawn for fluorescence points.

order of magnitude for each pH unit. Furthermore, the cooperativity is most pronounced at pH 7.5 and is significantly less at the other pH values. The binding of Na<sup>+</sup> is also dependent on pH, although less markedly than Mg<sup>2+</sup>. However, at all pH values Na<sup>+</sup> exerts relatively little influence on the apparent Mg<sup>2+</sup> dissociation constant although it does depress the cooperativity.

At this point it is worth asking whether the remarkable pH effects are due to general effects of hydrogen ion on tRNA structure or if they might rather be due to a highly localized phenomenon sensed by the fluorescence probe. To answer this question, the small uv absorbance changes accompanying Mg<sup>2+</sup> binding were also studied at each of the pH values. Figure 2 gives results obtained at pH 4.7 where  $\theta$  (=fractional change in absorbance or fluorescence) vs. pMg is given. The points for fluorescence ( $\blacksquare$ ) and absorbance ( $A_{260} = -0$ ;  $A_{280} = \Delta$ ) fall about the same curve. An approximately similar correlation was found at pH 6.0. This indicates that the emission and absorbance changes occur in the same general region

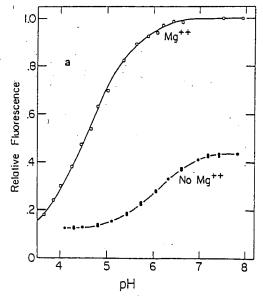


| pН         | [Na+] (mм)              | $pK_{app}$   | n            |
|------------|-------------------------|--------------|--------------|
|            | Mg <sup>2+</sup> Titrat | ions         |              |
| $7.5^{a}$  | 10<br>37                | 5.73<br>5.79 | 2.30         |
| 6,0        | 100                     | 4.84         | 1.52<br>1.26 |
| 4.7        | 45°<br>10 <sup>d</sup>  | 4.74<br>3.9' | 0.99         |
|            | 70°<br>100°             | 3.66<br>3.70 | 1.14<br>1.06 |
|            | Na+ Titratio            | ons ·        | -,           |
| 7.5<br>6.0 |                         | 1.52         | 2.10         |
| 4.7        |                         | 0.82         | 1.76         |

<sup>a</sup> See Lynch and Schimmel (1974) for details. <sup>b</sup> 20 mm cacodylate, 1 mm EDTA, and Cl<sup>-</sup> counterion. <sup>c</sup> 100 mm cacodylate, 1 mm EDTA, and Cl<sup>-</sup> counterion. <sup>d</sup> 10 mm acetate, 1 mm EDTA, and Cl<sup>-</sup> counterion. <sup>c</sup> 100 mm acetate, 10 mm EDTA, and Cl<sup>-</sup> counterion. <sup>c</sup> Curve is very unsymmetric.

and that the pH induced effects are doubtless associated with the overall tRNA structure and not just a localized area.

pH Dependence of Fluorescence. The magnitudes of the emission changes associated with the Mg<sup>2+</sup> binding discussed above are dependent on the pH. This indicates, of course, that the probe's emission is sensitive to hydrogen ion as well as metal ions. This is clearly seen in Figure 3a where the relative fluorescence at 350 nm is plotted vs. pH for two different conditions. The upper curve was obtained in 10 mm Mg<sup>2+</sup> the lower curve was obtained in Mg<sup>2+</sup>-free solutions containing 10 mm Na<sup>+</sup>. It is clear that under both conditions the emission is strongly pH dependent and appears to follow a simple titration curve. The magnitude of the emission changes and the apparent midpoints of the titration curves are very different, however. In both cases, the high pH plateau is achieved by pH 7.5, so that the Mg<sup>2+</sup> titrations discussed in



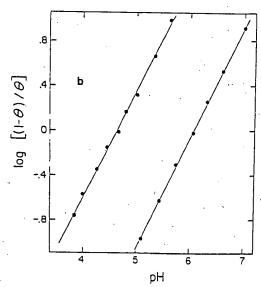


FIGURE 3: (a) Relative fluorescence emission vs. pH. The upper curve was obtained in 10 mm Mg<sup>2+</sup> and the lower curve in 10 mm Na<sup>+</sup>. Details of experimental procedure are given in Table II. Points on the upper curve are averages of three experiments; those on the lower curve are from two experiments with scatter as shown (where there is only one point, observed values coincided). (b) Plot of  $\log (1 - \theta)/\theta$  vs. pH for data derived from curves in Figure 3a.

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TABLE II: Fluorescence pH Titrations of Labeled tRNA at 25°.

| Cation<br>(concn, mм)  | Method      | l p <i>K</i> H  | n                                  | Quench-<br>ing       |
|--|-------------|---|------------------------------------|----------------------|
| Na <sup>+</sup> (10)<br>Na <sup>+</sup> (0.55 M)<br>Mg <sup>2+</sup> (10)<br>Mn <sup>2+</sup> (10)<br>Spermidine <sup>3+</sup> (1) | b<br>c<br>d | $6.04 \pm 0.03$ $4.57 \pm 0.06$ $4.63 \pm 0.05$ $4.64 \pm 0.04$ $4.74 \pm 0.05$ | $0.99 \pm 0.04$<br>$0.95 \pm 0.03$ | 0.11<br>0.12<br>0.19 |

<sup>6</sup> Buffer contained 3 mm EDTA, 10 mm Na<sup>+</sup>, and Cl-counterion. Titration was from high pH to low pH with 0.1 and 1.0 m acetic acid. <sup>6</sup> Buffer contained 5 mm EDTA, 5 mm acetate, 10 mm NaCl, and 10 mm cacodylate, titrated from low pH to high pH with 0.1 m NaOH. <sup>6</sup> Buffer contained 10 mm cacodylate, 5 mm acetate, and 10 mm NaCl, titrated from low pH to high pH with 0.1 m NaOH. <sup>4</sup> Buffer contained 10 mm cacodylate and 10 mm NaCl; titration with 0.1 and 1.0 m acetic acid was from high pH to low pH. <sup>6</sup> Ratio of emission at low pH plateau to that at high pH plateau.

the preceding paper (Lynch and Schimmel, 1974) monitor the transition from one plateau to the other. There is clearly a low pH plateau on the low salt curve, and the high Mg<sup>2+</sup> curve is apparently heading toward one. (Experiments below pH 3.5 could not be carried out because the tRNA precipitates at acid pH.)

The curves in Figure 3a were replotted as  $\log [(1-\theta)/\theta]$  persus pH according to the simple ligand binding scheme of the preceding paper (Lynch and Schimmel, 1974). The results are given in Figure 3b which shows that the data are very linear over the range  $0.1 < \theta < 0.9$  and conform well to a single pK. Similar titrations were performed in the presence of large amounts of  $Mn^{2+}$  and spermidine as well. Table II summarizes the hydrogen ion p $K_H$  and n values obtained. In every instance the data fit that for a single site with the cation stabilized form of the tRNA having its p $K_H$  shifted about 1.3–1.4 units below that of the low salt form. The fact that the data fit that for a single pK under a variety of conditions indicates that the probe is probably monitoring just one ionization site on the tRNA, although the changes in  $Mg^{2+}$  affinity with pH may be brought about by ionizations at several sites.

What is the identity of these ionization sites? Although the pH dependence of tRNA structure has previously not been well studied, DNA and several synthetic polyribonucleotide systems have been well characterized with respect to pH dependent behavior. For example, strongly salt dependent  $pK_H$ values have been observed for protonations of the bases in DNA; in general, the lower the salt concentration, the higher the p $K_{\rm H}$ . Values between p $K_{\rm H}=4.0$  and 6.1 for deoxycytidylic acid residues, and between  $pK_{H} = 3.5$  and 5.4 for deoxyadenylic acid residues in DNA have been reported (Cavalieri and Stone, 1955; Jordan, et al., 1956; Cox and Peacocke, 1957; see Jordan, 1960, for an extensive review), whereas the monomers have approximately salt independent  $pK_H$  values of 4.2 and 3.6, respectively (Cavalieri and Stone, 1955). Protonation of the adenine bases in poly(A) leads to the formation of a double helix in which each adenine forms three hydrogen bonds (Rich et al., 1961). The protonation occurs about p $K_{\rm H} = 5.9$  in 0.1 M K+ and is "severely depressed" by 2 m<sub>M</sub> Ca<sup>2+</sup> (Beers and Steiner, 1957; Steiner and Beers, 1959). Poly(C) can form a double helical, triple hydrogen bonded structure which exhibits  $pK_H$ 's in solution of 5.7 and 3.0,

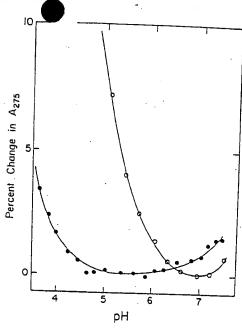


FIGURE 4: Per cent change in  $A_{715}$  vs. pH at 25°. Buffers are as for corresponding experiments in Figure 3a: ( $\bullet$ ) data obtained in the presence of 10 mm Mg<sup>2+</sup>; (O) data obtained in the presence of 10 mm Na<sup>+</sup>, no Mg<sup>2+</sup>. Details as for corresponding fluorescence titrations given in Table II.

compared with a  $pK_H$  of 4.2 for cytidylic acid (Langridge and Rich, 1963; Hartman and Rich, 1965). Each protonation corresponds to the addition of one proton per base pair. The abnormal  $pK_H$  values are interpreted as being a result of the first proton binding more readily ( $pK_H = 5.7$ ) to allow formation of a particular hydrogen bond and subsequent helix formation, and the second proton binding weakly ( $pK_H = 3.0$ ) because its presence destroys the helix.

In each of these examples, protonation at the elevated  $pK_H$  leads to the formation of additional structure in the polynucleotide. Therefore, it is plausible that protonation of specific groups on tRNA leads to additional structure, although not necessarily the same kinds of structures observed in cases mentioned above.

The ultraviolet absorption of unacylated tRNAIIe was studied as a function of pH in order to assess further the pH dependent structural effects. Since A and C residues are likely to cause a pH effect, particular attention was directed to measurements at 275 nm where the protonation of C has its largest change (protonation of A causes almost no change in its spectrum (Cavalieri and Stone, 1955; Hartman and Rich, 1965)). Figure 4 shows the pH titration monitored at 275 nm of unacylated tRNA  $^{\rm Ilo}$  in 10 mm Mg  $^{\rm 2+}$  and in 10 mm Na  $^{\rm +}$ The changes are rather small. The data definitely show, however, that the uv absorption at 275 nm is pH dependent. The titration curves do not appear to have a simple structure, presumably because the uv absorption change is made up of the overlapping contributions of many bases. However, the relative positions of the titration curves are markedly shifted by Mg2+ in a way which qualitatively resembles the effects followed by emission changes (Figure 3a). It seems likely from the uv absorption data that more than one C is being protonated in the region where the probe shows a pH dependence of its fluorescence. Although no specific data were obtained, it is reasonable to suspect that one or more A residues is also protonated in this region.

As mentioned above, the fluorescence data suggest that the probe is sensitive to only one of these "abnormal" ionizations. To check on the effects of nearby ionizations on the naph-

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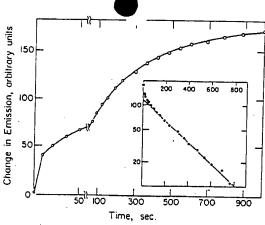


FIGURE 5: Change in fluorescence emission intensity with time following the addition of Mg2+ at pH 6.0, 25° with a buffer of 20 mm cacodylate, 1 mм EDTA, 10 mм Na+, and Cl- counterion. Addition of Mg<sup>2+</sup> resulted in a final free Mg<sup>2+</sup> concentration of  $6.3 \times 10^{-6}$  M. The inset gives a semilogarithmic plot of the final signal minus the current signal vs. time.

thoxyl group's fluorescence, the pH dependence of the emission of the parent compound I and the fragments II and III was studied. All of these molecules display pH-dependent emission changes which follow a single  $pK_H$ . These titrations were different in two major respects from that of the label attached to intact tRNA, however. First, the quenching observed as the pH is lowered is significantly less than that found with intact tRNA (Lynch, 1973). Second, the titrations of I, II, and III are independent of Mg2+ which markedly contrasts with the results shown in Figure 3a for IV. In the case of I a  $pK_H = 2.4$  was found. The  $pK_H$  apparently corresponds to ionization of the carboxyl group (phenoxyacetic acid has a carboxyl p $K_{\rm H}=3.15$  (Hayes and Branch, 1943)). The observed fluorescence level and pH dependence of I in the pH 3.5-8 range are not changed if unmodified tRNA is added to the solution in fourfold greater concentration than is normally used in titrations of IV. This indicates that the fluorescence of the naphthoxyl moiety is not greatly influenced by the tRNA if they are not covalently bonded together.

A p $K_{\rm H}$  = 3.8 was observed for II. This p $K_{\rm H}$  closely corresponds to a p $K_{\rm H}$  of adenosine (Alberty et al., 1951). This result suggests that the fluorescence of the naphthoxyl group is able to monitor protonations in its vicinity.

In the case of III, almost all of the signal change conforms to a smooth titration curve centered at pH 4.8; there was slight (<5% of the initial signal) additional quenching below pH 3.5 which was not studied. The p $K_{\rm H}$  value of 4.8 is about 1.2 units above that for the adenine base of AMP (Alberty, et al., 1951) and 0.6 unit above that for the cytosine base of CMP (Hartman and Rich, 1965). Thus, it seems likely that a C rather than an A is responsible for the changes in fluorescence seen in III. If a cytidine(s) is responsible, the results indicate that the probe is sensitive to a protonation at a nonadjacent base in the primary structure.

The salt independent  $pK_H = 4.8$  for III is very close to that observed in the high salt form of IV, which raises the possibility that the same group is causing the fluorescence changes in III and in IV. Of the four C residues in III, the two closest to the probe are not base paired in the tRNA cloverleaf structure. Either of them are plausible candidates for the locus of the protonation causing the fluorescence changes in IV.

Kinetic Studies at pH 6. The kinetic studies of Mg2+ binding reported in the preceding paper (Lynch and Schimmel, 1974) were done at pH 7.5 where the emission exhibits no pH dependence. To investigate further the mechanism of coupling

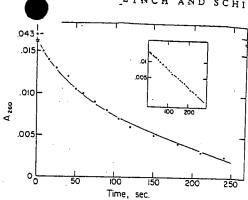


FIGURE 6: Change in  $A_{260}$  with time following the addition of MgH pH 6.0, 25° with buffer as in Figure 5. Inset is  $\Delta A_{260}$  on logarithmic scale. Addition resulted in a free [Mg<sup>2+</sup>] =  $9.5 \times 10^{-5}$  M.

of the "abnormal" hydorgen ion equilibria with the cooperative binding of Mg2+, kinetic studies were carried out at pH6 At this pH (in 10 mm Na<sup>+</sup>), the cooperativity index n is reduced about twofold and the apparent Mg2+ dissociation constant almost tenfold from the values observed at pH 7.5 (see Table

Figure 5 gives a plot of the time course of the fluorescence increase  $\Delta F$  following Mg2+ addition at 25°. The kinetics clearly appear to involve at least three phases-a rapid jump followed by two slower phases. The inset in the figure gives log  $\Delta F$  vs. time. When the longer time portion of the semilogarithmic plot is subtracted from the total signal, the early time portion also yields a straight line when the data are replotted. However, unlike the case at pH 7.5, when the straight lines are extrapolated back to zero time, they do not account for all of the intensity change that occurs. This is a consequence of the rapid initial jump in fluorescence.

Similar rates for Mg2+ induced optical density changes were observed by monitoring the absorbance of unacylated tRNAII at 260 nm (see Figure 6). Although the changes are quite small ( $\sim$ 5%), the time course may be resolved into two straight line sections on a semilogarithmic plot with the same rates as observed in the fluorescence experiments. The changes in optical density are observed with derivatized tRNA as well as the unacylated species. Since the uv changes are in general agreement with the fluorescence results, and since the same rates are observed for unacylated as well as derivatized tRNA<sup>11e</sup>, it is concluded that at pH 6, as at pH 7.5, the probe is monitoring, but not influencing, a general tRNA conformational change.

The linearity of the semilogarithmic plots indicates that first-order, or pseudo-first-order, kinetic processes are causing the fluorescence changes. However, since the two observed processes do not account for all of the signal change, one (or more) additional rapid process is necessary to describe the observed overall change  $\Delta F$  to give

$$\Delta F = \Delta F' + A_1 e^{-\lambda_1 t} + A_2 e^{-\lambda_2 t} \tag{1}$$

where  $\lambda_1 > \lambda_2$ ,  $\Delta F'$  is the rapid initial change in fluorescence that occurs on  $Mg^{2+}$  addition,  $A_1$  and  $A_2$  are amplitude parameters, and  $\lambda_1$  and  $\lambda_2$  are time constants. The parameters  $\lambda_1$  and  $\lambda_2$  are plotted as functions of the Mg<sup>2+</sup> concentration (at 10 mм Na+) in Figures 7a and b. Each exhibits a hyperbolic Mg2+ dependence. The lines in the figures are theoretical curves which are derived below. This behavior of  $\lambda_1$  and  $\lambda_2$ implies that these two rate processes represent slow unimolecular changes coupled to rapid bimolecular step(s). Thus, the slower time portions of the kinetics are qualitatively similar at pH 6 and pH 7.5.

(Mg<sup>2+</sup>), mM FIGURE 7: (a) Plot of  $\lambda_1$  vs. [Mg<sup>2+</sup>] at pH 6.0, 25°. Points are averages of several experiments, the error bars are ±20%. All experiments were done in 10 mm Na<sup>+</sup>, 20 mm cacodylate, 1 mm EDTA, and Cl<sup>-</sup> counterion. The line is a theoretical curve calculated as described in the text with the parameters listed in Table III. (b) Plot of  $\lambda_2$  vs. [Mg<sup>2+</sup>]; the error bars are ±10%. See legend to Figure 7a for further details.

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In order to resolve the rate of the initial jump  $\Delta F'$ , fluorescence stopped-flow experiments were performed. It was observed that for Mg<sup>2+</sup> additions giving Mg<sup>2+</sup> concentrations of  $10^{-3}$  and  $10^{-4}$  M, the portion of the signal change corresponding to  $\Delta F'$  was completely over within the dead time of the instrument ( $\sim 5 \times 10^{-3}$  sec). This indicates that any bimolecular Mg<sup>2+</sup> binding step(s) involved in generating  $\Delta F'$  must have a rate constant(s) greater than ca.  $2 \times 10^{6}$  M<sup>-1</sup> sec<sup>-1</sup>. This is of the order seen for reaction between Mg<sup>2+</sup> and ADP in a temperature-jump study (see Eigen and Hammes, 1960).

(Mg<sup>2+</sup>), mM

Despite the inability to measure a rate for  $\Delta F'$ , valuable information may be obtained from the Mg2+ dependence of its magnitude. Figure 8a gives a plot of  $\theta_J$  vs. pMg where  $\theta_J$ is the fractional change (of its maximal change) of  $\Delta F'$ , and Figure 8b gives log ((1  $-\theta_J$ )/ $\theta_J$ ) vs. pMg. These data yield n = 1.0 and p $K_{app} = 3.6$  suggesting that the  $\Delta F'$  phase of the fluorescence change might be due to binding a single Mg2+. A comparison of the high pMg plateau level of  $\Delta F'$ ,  $\Delta F'_{\text{max}}$ , with the lower titration curve in Figure 3a shows that  $\Delta F'_{max}$ is of the same size as expected for deprotonation of IV at pH 6. That the rapidity of the ionization effects was presumably associated with  $\Delta F'$  was confirmed by the finding that the emission changes accompanying a pH jump in the absence of Mg2+ are too rapid to follow by manual techniques. These facts lead to the speculation that the rapid portion of the signal change is due to a deprotonation of IV resulting from an initial Mg2+ association. This postulation also explains why no rapid phase is observed at pH 7.5, since at that pH all of IV is deprotonated even in the absence of Mg2+.

Kinetic Mechanism and Analysis. In spite of the marked differences in the equilibrium binding curves between pH 6.0 and 7.5, the kinetic data are quite similar. In each case two slow processes are observed, although the  $Mg^{2+} \rightarrow \infty$  rates at pH 6 are about a factor of 3 lower than the equivalent rates at pH 7.5. All of the  $\lambda$  vs.  $[Mg^{2+}]$  plots show hyperbolic dependence on  $Mg^{2+}$  concentration, although the midpoints of the curves at pH 6 fall at somewhat lower  $Mg^{2+}$  concentrations. The only qualitative difference in the two sets of observations is the appearance of the rapid phase  $(\Delta F')$  at pH 6, and this difference is reasonably accounted for in terms of the protonation sensed by the probe. In light of these similarities, it is not unreasonable to suppose that a very similar mechanism is operating at pH 6 as at pH 7.5.

In order to extend to pH 6 the mechanism derived for pH 7.5, it is first necessary to reconsider the cause of  $\Delta F'$ . It has

been pointed out that  $\Delta F'$  is probably caused by a deprotonation step of the type

$$RH \Longrightarrow R + H^+ \tag{2}$$

where the RH  $\rightleftharpoons$  R equilibrium refers to the ionization monitored by the probe. Since the rapid fluorescence change upon Mg<sup>2+</sup> addition obviously precedes either of the unimolecular processes, it is logical to have eq 2 as the first step in the mech-

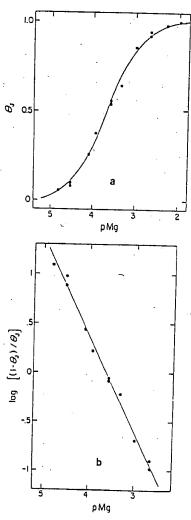


FIGURE 8: (a) Plot of  $\theta_J$  vs. pMg, at pH 6.0, 25° with a buffer containing 10 mm Na<sup>+</sup>, 20 mm cacodylate, 1 mm EDTA, and Cl-counterion. See text for details. (b) Plot of  $\log (1 - \theta_J)/\theta_J$  vs. pMg. Data are derived from the curve in Figure 8a. See text for details.

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TABLE III: Kinetic Parameters at pH 6.0, 25°.

| Rate Co  | nstant (sec-1) | Equilibrium             | Constant (M) |
|----------|----------------|-------------------------|--------------|
| $k_2$    | 0.115          | <i>K</i> <sub>1</sub>   | 1.1 × 10-4   |
| $k_{-2}$ | 0.005          | $K_3$                   | 8.0 × 10-4   |
| $k_{4}$  | 0.030          | $K_{\rm H} = K_{\rm 0}$ | 10-6.0       |
| k_4      | 0.0005         | <b>-</b> _              |              |

<sup>a</sup> All kinetic parameters were derived from data obtained at pH 6.0, 25° with solutions containing 1 mm EDTA, 20 mm cacodylate, 10 mm Na<sup>+</sup>, and Cl<sup>-</sup> counterion.

anism. This will happen if Mg<sup>2+</sup> preferentially binds to R and thus promotes dissociation of HR. Therefore, the overall scheme may be written as

$$RH \xrightarrow{k_{-0}} R + H^{+}$$

$$R + Mg^{2+} \xrightarrow{k_{1}} X_{1} \xrightarrow{k_{2}} X_{2}$$

$$X_{2} + Mg^{2+} \xrightarrow{k_{1}} X_{3} \xrightarrow{k_{4}} X_{4}$$

$$(3)$$

It is again assumed that bimolecular steps in the mechanism are rapid compared to the  $X_1 \rightleftharpoons X_2$  and  $X_3 \rightleftharpoons X_4$  steps. Above ca. pH 7, eq 3 reduces to the mechanism studied at pH 7.5 (Lynch and Schimmel, 1974) since dissociation of RH to R is complete at that pH. Other mechanisms may be eliminated, as pointed out elsewhere (Lynch, 1973; Lynch and Schimmel, 1974).

The rate equations for the above mechanism may be derived in a manner analogous to that described in the preceding paper (Lynch and Schimmel, 1974). Some details are given in Appendix I. The solution of these equations gives expressions for  $\lambda_1$  and  $\lambda_2$  which may be used to extract equilibrium and kinetic parameters for the mechanism in the same manner as described in Lynch and Schimmel (1974). Results of this analysis are tabulated in Table III (where the equilibrium constant  $K_1 = k_{-1}/k_1$ ). The curves in Figures 7a and b were calculated on the basis of these parameters. It is seen that agreement of calculated with observed behavior is very good.

Two additional sets of data were tested for their compliance to the proposed mechanism. The first was the  $\theta$  vs. pMg plot in Figure 1. Relative fluorescences were assigned to each of the species in the mechanism based on the amplitudes of three kinetic phases. An expression was derived for  $\theta$  vs. [Mg<sup>2+</sup>] by using these relative fluorescence assignments together with the parameters in Table III. Appendix II gives additional details. The calculated values of the parameters characterizing the titration curve are n = 1.32,  $pK_{app} = 4.73$ ; the observed values of these parameters are n = 1.26,  $pK_{app} = 4.84$ .

The second additional item of data tested against the mechanism was the amplitude  $\Delta F'$  of the initial jump phase of the kinetics. According to our interpretation, this arises from deprotonation of RH caused by binding of Mg<sup>2+</sup> to R. This involves the first two steps of the mechanism and the entire fluorescence change comes from the RH  $\rightarrow$  R + X<sub>1</sub> conversion since the emissions of R and X<sub>1</sub> are the same. We can therefore use the  $\theta_J$  vs. pMg plot (Figures 8a and b) to calculate  $K_1$ . This calculation is given in Appendix II. The value so calculated is  $1.2 \times 10^{-4}$  M. The value obtained from the kinetic data (the  $\lambda_1$  vs. [Mg<sup>2+</sup>] plot in Figure 7a) is  $1.1 \times 10^{-4}$  M (see Table III). It is clear that all available data conform extremely well to the proposed mechanism.

TABLE IV: Comparison of Equilibrium Constants at pH and pH 6.0.

|                                  | рН 7.5<br>20°, 15 mм Na+ | рН 6.0<br>25°, 10 mм Na+  |
|----------------------------------|--------------------------|---|
| $K_{app.M}$                      | $2.3 \times 10^{-6}$     | 1.4 × 10 <sup>-6</sup>  |
| n                                | 1.8                      | 1.3   |
| $K_1$ , M                        | $4.2 \times 10^{-4}$     | 2.2 × 10-4 a  |
| $K_2$                            | $1.0 \times 10^{-2}$     | $4.4 \times 10^{-2}$  |
| $K_3$ , M                        | $17 \times 10^{-4}$      | 8.0 × 10-4  |
| $K_4$                            | $1.0 \times 10^{-3}$     | $17 \times 10^{-3}$   |
| $K_{\mathfrak{s}}$ , M           | $8 \times 10^{-6}$       | ,   |
| $K_{1}$ , $b$ M                  | $4 \times 10^{-6}$       | $1 \times 10^{-5}$  |
| K <sub>II</sub> , <sup>b</sup> M | 2 × 10 <sup>-6</sup>     | $4.4 \times 10^{-2}$ $8.0 \times 10^{-4}$ $17 \times 10^{-3}$ $1 \times 10^{-5}$ $1 \times 10^{-5}$ |

 $^a K_{1 \text{ app}} = [\text{Mg}^{2+}]_{1/2, \lambda_1} = K_1/(1 + [\text{H}^+]/K_{\text{H}}). [\text{Mg}^{2+}]_{1/1 \text{ int}}$  is the midpoint of the  $\tau_1$  vs.  $[\text{Mg}^{2+}]$  plot.  $^b K_1 = K_1/(1 + 1/K_1).$   $K_{11} = K_2/(1 + 1/K_4).$ 

It is of considerable interest to compare the numerical values of the various equilibrium constants obtained at pH 6 with those at pH 7.5. Such a comparison should permit identification of the step(s) responsible for the tenfold drop in MgH binding affinity and the large decrease in apparent cooperativity observed at pH 6. The conditions for the two sets of kinetic experiments were slightly different: the pH 6 data were obtained at 25°, 10 mm Na+, while data at pH 7.5 were obtained at 20°, 15 mm Na+. The use of identical temperature and Na+ concentrations would further accentuate the differences between the data at pH 6 and pH 7.5, as may be seen by the greater cooperativity and Mg2+ affinity evident in the Mg2+ titration at pH 7.5, 10 mm Na+, 25° as opposed to one at pH 7.5, 15 mm Na+, 20° (see Lynch and Schimmel, 1974).

The comparative data are listed in Table IV; the constants  $K_1$  and  $K_{11}$  are the apparent overall binding constants for each  $Mg^{2+}$  ion in the mechanism and are defined in the table legend. All of the parameters vary somewhat between the two physical values with the  $Mg^{2+}$  association constants  $K_1$  and  $K_3$  being stronger at pH 6. However, each of the isomerization constants  $K_2$  and  $K_4$  decreases in going from pH 7.5 to pH 6, with the most outstanding change coming in the  $X_3 \rightleftharpoons X_4$  isomerization. The net effect of these changes is to make weaker the overall association of  $Mg^{2+}$  ions monitored by the probe.

It is also evident why the apparent cooperativity decreases at pH 6. The apparent dissociation constants change their relative values between pH 7.5 and pH 6; at pH 7.5,  $K_{\rm II} < K_{\rm I}$  but at pH 6,  $K_{\rm II} = K_{\rm I}$ . The weakening of  $K_{\rm II}$  relative to  $K_{\rm II}$  has the effect of spreading the fluorescence change over larger range of Mg<sup>2+</sup> values, thereby lowering the apparent cooperativity. In addition, the Mg<sup>2+</sup> induced conversion of RH to R gives a contribution to the overall fluorescence change at pH 6 which is not present at pH 7.5. This gives greater weight, at pH 6, to the fluorescence change associated with the first Mg<sup>2+</sup> binding, which in turn manifests itself in a greater first power Mg<sup>2+</sup> component in the log  $(1 - \theta)/\theta$  wip pMg plots.

Finally, the temperature dependence of  $k_2$  and  $k_4$  was measured at pH 6 (for procedure see Lynch, 1973; Lynch and Schimmel, 1974). Values of 30 and 39 kcal mol<sup>-1</sup> were obtained for the activation energies for the  $X_1 \rightarrow X_2$  and  $X_1 \rightarrow X_4$  conversions, respectively. Essentially the same values for each rate constant and activation energy were obtained both 10 mm Na<sup>+</sup> and 45 mm Na<sup>+</sup>. At pH 7.5 the two activations

1858 BIOCHEMISTRY VOL 13 NO 0 107

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nerical values at pH 6 with nit identifica Irop in Mg rent cooperae two sets of I 6 data were 7.5 were ob temperatures ite the differ may be seen evide in the ) one po( nel, 1-74). the constants tants for each table legend the two pH and  $K_3$  being tion constants H 6, with the X<sub>4</sub> isomeriza e weaker the e probe. vity decreases change their 7.5,  $K_{II} < K_{II}$ relative to Ki hange over the apparent conversion of l fluorescence .5. This gives age associated ests itself in a  $(1 - \theta)/\theta$  os

d  $k_4$  was mea-3; Lynch and ol<sup>-1</sup> re ob- $X_2$   $X_3$  me values for e obtained in the two activations. tion energies are less—27 and cal mol<sup>-1</sup>, respectively (Lynch and Schimmel, 1974). The tendency for the activation energies to be somewhat higher at pH 6 is consistent with the notion that the presumed aberrant structure which is formed in low salt and the absence of Mg<sup>2+</sup> (Cole et al., 1972; Lynch and Schimmel, 1974) is somewhat more stable at pH 6 than at pH 7.5 due to the "abnormal" protonations. Since  $X_1 \rightarrow X_2$  and  $X_3 \rightarrow X_4$  conversions are believed to represent the breakdown of aberrant structures on the pathway to the native form (Lynch and Schimmel, 1974), it is reasonable that activation energies for these steps should be higher at pH 6 where the aberrant forms have greater stability.

Finally, the question was also raised as to the effect of other cations in facilitating the folding of tRNA. Both Na<sup>+</sup> and spermidine<sup>3+</sup> were tried as alternatives to Mg<sup>2+</sup>. It was found that addition of these ions gave rise to rate process and activation energies similar to those observed with Mg<sup>2+</sup>.

Environment of Probe. It is of interest to attempt to determine the environmental states of the probe which bring about the remarkable emission changes induced by varying pH and metal ion concentration. This is perhaps best accomplished by first comparing the emissions of the labeled tRNA and its fragments on the same absolute scale, and by fluorescence lifetime and polarization measurements on the derivatized tRNA under various conditions.

Figure 9 places the fluorescence pH titrations of intact tRNA (IV) and fragment II on the same scale. The relative positions of the curves were assigned on the basis of an experiment in which IV and II were obtained at the same concentration by directly converting IV in solution to II with RNase A. It is apparent from this figure that the conformational change induced in the tRNA by the addition of Mg2+ at pH 7.5 has the effect of elevating the probe's quantum yield to almost its value on II. This suggests that the conformational change induced by the addition of Mg2+ concludes with the 3'-terminus of the tRNA in a conformation similar to that of II, i.e., exposed to the solution and not interacting with the tRNA structure to any great extent. Therefore, the probe (and the 3'-terminus) in the low salt form is probably undergoing some interaction with the tRNA which results in fluorescence quenching.

Further support for the conclusion that in the high salt, high pH form of IV the probe is freely exposed to solution comes from fluorescence lifetime and polarization data. The fluorescence lifetimes of both I and IV were measured at pH 7.5 and 10 mm Mg<sup>2+</sup>. The apparent lifetimes for I and IV are  $13 \pm 1$  and  $10 \pm 1$  nsec, respectively. The similarity in lifetime for I and IV implies a similar quantum yield, which suggests a similar environment for each species.

The fluorescence polarizations of I, II, and the high salt, high pH form of IV are indistinguishable from background (i.e., zero polarization). Since all three have similar quantum yields (and lifetimes) this implies that the probe attached to the 3'-end of IV is rotating in solution independently of the whole tRNA molecule. In contrast, IV has a polarization of ca. 0.06 at pH 7.5 in 15 mm Na+, and a polarization of ca. 0.15 at pH 3.7 in 10 mm Na+. However, it is difficult to measure directly the lifetimes or polarized decays of the low salt forms, because at the concentrations required for sufficient emission, scattering causes serious interference. The lifetimes of the low salt species can be estimated from the lifetime of the high salt, high pH form (10 nsec) and the relative emission intensities of the different species (see Becker, 1969). From these data, it is estimated that the rotational unit associated with the probe in the low salt forms is significantly greater

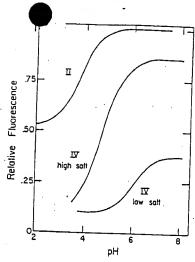


FIGURE 9: Sketch of titration curves of II and IV with and without Mg<sup>2+</sup>. There is no difference in the curves for II. The curves for IV are from Figure 3a.

than the volume of the fluorphor itself (Lynch, 1973; also, unpublished calculation). Hence, the probe is at least somewhat immobilized in the low salt species. Finally, it is not possible to reach the low pH fluorescence plateau at high [Mg²+] because of tRNA precipitation, and definitive polarization data on the low pH form were not obtained.

If the probe in its highly quenched state is somewhat immobilized on the tRNA, what is its environment? This question was investigated by studying the emission of I in various nonaqueous solvents. With the exception of 95% ethanol (which caused no quenching), all of the solvents used showed some quenching relative to an aqueous solution. Isopropyl alcohol, 1-butanol, dioxane, tetrahydrofuran, and ethyl ether all caused a moderate quenching of 15-30%. A chloroformethanol mixture (2:1 by volume) quenched two-thirds of the fluorescence, and a CCl<sub>4</sub>-ethanol mixture (1:1 by volume) quenched 99 % of the fluorescence. In all cases, the emission maximum remained substantially unchanged. Thus, it would appear that while a general quenching occurs in nonaqueous environments, the specific effects of the chlorocarbon solvents cause the more drastic quenching similar to that found in titrating tRNA from a high salt, high pH state to a low salt, low pH form. On the basis of these data, it is not possible to assign a probable location to the probe's interaction with the tRNA structure, other than to suggest that the quenching might result from a specific interaction (such as with a phosphate group) rather than a general effect caused by a nonaqueous environment.

### Discussion

The data obtained by the fluorescence probe have indicated a remarkable effect, hitherto unrecognized, of pH upon the cooperative binding of  $Mg^{2+}$  to tRNA near neutral pH. Earlier studies which demonstrated cooperative  $Mg^{2+}$  binding were done above pH 7 where the pH effects are not evident (Cohn et al., 1969; Danchin and Guéron, 1970; Danchin, 1972; Schreier and Schimmel, 1974). The single salt dependent p $K_H$  detected by fluorescence ranges from p $K_H$  = 6 (low salt) to p $K_H$  = 4.6 (high salt). It is probably due to a cytidine residue near the probe and is doubtless typical of other "abnormal" ionizations on the tRNA. The effects of pH on the  $Mg^{2+}$  binding arise because protonation lends increased stability to the low salt,  $Mg^{2+}$ -free form of tRNA. A prime result of these protonations is to make the  $Mg^{2+}$ -induced uni-

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molecular steps  $(X_1 \rightarrow X_2 \rightarrow X_4)$ , see eq 3) associated with breakdown of the low salt structure less facile at pH 6 as opposed to pH 7.5. The net effect is to reduce both the cooperativity and affinity of  $Mg^{2+}$  binding and to slow down the rate of folding of tRNA into its native structure, when  $Mg^{2+}$  is added to a low salt form.

It is of interest to draw a more concrete picture of the mode of action of the probe and its sensitivity to structural changes in the tRNA. A logical possibility is that it intercalates into the tRNA under certain conditions, since it is known that certain planar aromatic molecules have a tendency to intercalate into nucleic acid helices. The binding of proflavine to DNA has been extensively studied by Li and Crothers (1969) and the binding of ethidium to tRNA has been characterized by several workers (Bittman, 1969; Tao et al., 1970; Tritton and Mohr, 1973). These molecules bind quite well to the nucleic acids (with dissociation constants of 10<sup>-5</sup>-10<sup>-6</sup> M), but both are positively charged, a fact which accounts for much of their binding strength. For the binding of proflavine to DNA, Li and Crothers (1969) were able to resolve a twostep mechanism in which the first step represents binding of the proflavine to the outside of the DNA helix, and the second step represents the intercalation of proflavine into the helix. For the intercalation step they found an equilibrium constant of about 10 in favor of intercalation. It can be envisioned that in low salt the probe, in the present study, is involved in an intercalation which results in fluorescence quenching and polarization. This intercalation might occur in the amino acid acceptor helix. The way in which the probe is expelled could be quite subtle. Folding of the tRNA into a tertiary structure could, for example, cause a slight change in the pitch of the acceptor helix which might in turn cause decreased intercalation of the probe. Thus, the degree of emission of transient intermediates such as X2 could be determined by the distribution of the probe between its free and bound states. In any event, one of the reasons for the great utility of the naphthoxyl probe in this work must lie in a gentle and easily altered mode of interaction with the tRNA.

Finally, the question of the generalization of these results to other tRNAs is of interest since all results were obtained with a specific species, tRNA<sup>11e</sup>. To answer this question, some experiments were carried out with derivatized tRNA<sup>11a</sup> (E. coli). Preliminary experiments showed that the emission of the probe attached to this tRNA is also sensitive to Mg<sup>2+</sup> and pH in a manner analogous to that found with tRNA<sup>11e</sup> (Lynch, 1973). This suggests that the results reported in this and the preceding paper (Lynch and Schimmel, 1974), could be rather general.

### Appendix I

Derivation of Rate Equations for Eq 3. The rate equations for the two slow steps of eq 3 may be derived by the procedure outlined in Lynch and Schimmel (1974). It is assumed that the free Mg<sup>2+</sup> concentration is constant during the kinetic events (see Lynch and Schimmel, 1974). The two rate equations are

$$-d(\Delta HR + \Delta R + \Delta X_1)/dt = k_2 \Delta X_1 - k_{-2} \Delta X_2$$
 (I-1)

$$-d\Delta X_4/dt = -k_4\Delta X_3 + k_{-4}\Delta X_4 \qquad (I-2)$$

and the conservation equation among tRNA species is

$$\Delta HR + \Delta R + \Delta X_1 + \Delta X_2 + \Delta X_3 + \Delta X_4 = 0 \quad (I-3)$$

where  $\Delta X_i$  is the deviation of the concentration of  $X_i$  from its final equilibrium value. The various equilibrium constant

relations. for the steps which are rapid compared to the two slow ones, may be differentiated to give relationships among the various species. (For example,  $K_H = [R][H^+]/[RH]$  and  $\Delta RH = ([H^+]/K_H)\Delta R$ , where it is assumed  $\Delta HH = ([H^+]/K_H)\Delta R$  owing to buffering.) These relationships together with eq. 1.3 enable elimination of all terms except  $\Delta X_1$  and  $\Delta X_4$  from eq. 1-1 and 1-2 with the result

$$-d\Delta X_1/dt = a_{11}\Delta X_1 + a_{12}\Delta X_4$$
 (I-4a)

$$-d\Delta X_4/dt = a_{21}\Delta X_1 + a_{22}\Delta X_4$$
 (J-4b)

where

$$a_{11} = \frac{k_2}{1 + K_1/[Mg^{2+}][1 + [H^+]/K_H]} + \frac{k_{-2}}{1 + [Mg^{2+}]/K_3}$$
(I-5a)

$$a_{12} = \frac{\kappa_{-2}}{[1 + (K_1/[Mg^{2+}])(1 + [H^+]/K_H)][1 + [Mg^{2+}]/K_{\delta}]}$$

$$a_{21} = \frac{k_4[1 + (K_1/[Mg^{2+}])(1 + [H^+]/K_H)]}{(1 + K_3/[Mg^{2+}])}$$
(I-

$$a_{22} = \frac{k_4}{1 + K_3/[Mg^{2+}]} + k_{-4}$$
 (I-5)

The solution to eq I-4a,b involves finding the  $\lambda_i$ 's of eq (of the text) which are the eigenvalues of the determinant formed from the  $a_{ij}$ 's of eq I-4a,b. These are

$$\lambda_{1,2} = \frac{(a_{11} + a_{22}) \pm \sqrt{(a_{11} + a_{22})^2 + 4(a_{12}a_{21} - a_{11}a_{22})}}{2} \quad (I$$

where  $\lambda_1$  corresponds to the top sign and  $\lambda_2$  to the bottom sign. Rate constants may be extracted from the various limiting forms of the  $\lambda_1$ 's as discussed in Lynch and Schimmel (1974).

### Appendix II

Derivation of  $\theta$  in Terms of Fluorescent Species. Relative fluorescence values may be assigned to all of the species in eq 3 by the same procedure as used in Lynch and Schimmer (1974). The values at pH 6 (10 mm Na<sup>+</sup>) are

$$f_{HR} = 0.33f_{R}$$

$$f_{X_{1}} = f_{R}$$

$$f_{X_{2}} = 1.16f_{R}$$

$$f_{X_{3}} = 1.16f_{R}$$

$$f_{X_{4}} = 2f_{R}$$
(II-

The initial fluorescence  $F_i$  and final fluorescence  $F_i$  are given by

$$F_i = [HR]_i f_{HR} + [R]_i f_R \qquad (II-2)$$

$$F_1 = [R_0] f_{X_0} = 2[R_0] f_{R}$$
 (II-3)

where  $[R_0] = [RH] + [R] + [X_1] + [X_2] + [X_3] + [X_4]$ . The fluorescence F at any concentration of  $Mg^{2+}$  is

$$F = [HR]f_{HR} + [R]f_{R} + [X_{1}]f_{X_{1}} + [X_{2}]f_{X_{2}} + [X_{3}]f_{X_{3}} + [X_{4}]f_{X_{4}}$$

$$[X_{3}]f_{X_{3}} + [X_{4}]f_{X_{4}}$$

$$[X_{1}]f_{X_{3}} + [X_{4}]f_{X_{4}}$$

Using eq II-1 and the definition of  $\theta$  we obtain

$$\theta = \frac{F - F_i}{F_t - F_i} = \frac{2[X_1] + 3[X_2] + 3[X_3] + 8[X_4]}{8[R_0]}$$
 (II-s)

1860 BIOCHEMISTRY, VOL. 13, NO. 9, 1974

mpared to : relationsh  $[R][H^+]/[R]$ ied  $\Delta H^+$ ier with eq.

lΔ

 $\frac{2[Mg^{z+}]}{K_1} + \frac{3[Mg^{z+}]}{K_1K_2} + \frac{3[Mg^{z+}]^2}{K_1K_2K_3} + \frac{8[Mg^{z+}]^2}{K_1K_2K_3K_4}$   $8\left(2 + \frac{[Mg^{z+}]}{K_1} + \frac{[Mg^{z+}]}{K_1K_2} + \frac{[Mg^{z+}]^2}{K_1K_2K_3} + \frac{[Mg^{z+}]^2}{K_1K_2K_3K_4}\right)$ 

A log  $((1 - \theta)/\theta)$  vs. pMg line may be generated with eq II-6, by using the values of  $K_1$ ,  $K_2$ ,  $K_3$ , and  $K_4$  obtained from the kinetic curves. The line so generated is linear over the considering it.

 $g^{2+}]/K_{3}$ 

 $(K_{\rm H})$ 

: λ<sub>i</sub>'s of eq

 $a_{11}a_{22}$ 

imel (1974).

cies. Relativ the species in

and Schimmel

€ F1 are given

(II-2)

(11-3)

 $] + [X_4]$ . The

- 8[X4] (II-5)

where the fact that [R] = [RH] a 6 has been used. Dividing through by [R] gives

(II-6)

range of  $0.05 < \theta < 0.95$ . The *n* and p $K_{app}$  values obtained are 1.32 and 4.73, respectively, which agrees well with the observed values of 1.26 and 4.84, respectively. Although additional binding beyond the X4 stage could be taken into account, the calculated behavior agrees satisfactorily enough without

The amplitude of the rapid jump in fluorescence,  $\Delta F'$ , shows a sigmoidal dependence on pMg. It is possible to derive an expression for the fractional values of this fluorescence jump,  $\theta_I = \Delta F'/\Delta F'_{\rm max}$ . This may be done by considering the steps

$$RH \xrightarrow{K_H} R + H^+$$

$$R + Mg^{2+} \xrightarrow{K_1} X_1$$
(II-7)

Using the partial fluorescence of eq II-1 and the equality of [R] and [RH] at pH 6, we obtain

$$F_{i} = f_{HR}[HR]_{i} + f_{R}[R]_{i} = 2f_{HR}[R'_{0}]$$

$$F_{t} = f_{X_{t}}[X_{t}]_{t} = 3f_{HR}[R'_{0}]$$
(II-8)

 $F = f_{HR}[HR] + f_{R}[R] + f_{X_1}[X_1] = 4f_{HR}[HR] + 3f_{HR}[X_1]$ 

where  $[R'_0] = [RH] + [R] + [X_1]$ . The expression for  $\theta_J$  is

$$\theta_J = \frac{F - F_i}{F_i - F_i} = \frac{[X_i]}{[RH] + [R] + [X_i]}$$
 (II-9)

 $\theta_{\rm J} = \frac{1}{(K_1/[{\rm Mg}^{2+}])[1+([H^+]/K_{\rm H})]+1}$ (II-10)

where  $K_{\rm H}=10^{-6}=[{\rm H^+}]$  at pH 6. It is clear from eq II-10 that it is possible to obtain  $K_1$  directly from the observed midpoint of the  $\Delta F'$  vs. [Mg<sup>2+</sup>] curve. See text for further discussion.

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29) Corrected for destruction during acid hydrolysis.

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ratios of diagnostic amino tragment A/fragment C (Cys. (SO<sub>2</sub>H)/Leu) = 3.0; fragment agment D (Cys. (SO<sub>2</sub>H)/Lys.) = 3.0; fragment B contains to diagnostic residues.

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Structure of the Borohydride Reduction Product of Photolinked 4-Thiouracil and Cytosine. Fluorescent Probe of Transfer Ribonucleic Acid Tertiary Structure

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Contribution from the School of Chemical Sciences, University of Illinois, Urbana, Illinois 61801. Received February 24, 1972

Abstract: 5-(4-Pyrimidin-2-one)cytosine, Pyo(4-5)Cyt (2), a photoproduct which can be isolated from the irradiation (335 nm) of certain *E. coli* transfer RNAs and irradiation (254 nm) of polycytidylic acid, deoxycytidine, and cytidine, is reduced by sodium borohydride to a fluorescent compound, 5-(4-pyrimidin-2-one)-3,6-dihydrocytosine, Pyo(4-5)hCyt (3). Catalytic oxygenation (Pt, O<sub>2</sub>) converted Pyo(4-5)hCyt back to Pyo(4-5)Cyt. Treatment of Pyo(4-5)hCyt with aqueous acid gave 5-(4-pyrimidin-2-one)-3,6-dihydrouracil, Pyo(4-5)hUra (8), which could also be obtained by the treatment of 5-(4-pyrimidin-2-one)uracil, Pyo(4-5)Ura (7), with sodium borohydride. 5-(4-pyrimidin-2-one)-4-thiouracil, Pyo(4-5)Sur (9), a photoproduct from irradiation (335 nm) of 4-thiouracil in aqueous The fluorescent nature of Pyo(4-5)hCyt provides a useful monitor of the photoreaction of tRNAs containing proximate 4-thiouridine and cytidine moieties.

 $\lambda$  specific intramolecular photoreaction has been shown to occur between 4-thiouridine and a cytidine in E. coli tRNA<sub>1</sub>val on irradiation at 335 nm. 1 Only those E. coli tRNAs known to possess a 4-thiouridine moiety in nucleoside position 8 and a cytidine in position 13 from the 5'-terminal end yield a photoproduct under these conditions. Evidence for the covalent crosslinking between the two nucleosides after photolysis of the intact E. coli tRNA<sub>1</sub><sup>Val</sup> at 335 nm was provided by enzymic fragmentation sequence studies. Subsequently the photochemically cross-linked binucleotide unit was isolated by the complete enzymic digestion of the irradiated tRNA.16. The structure of the corresponding binucleoside photoproduct has recently been determined as 1.24 Compound 1, 5-(1-β-D-ribofuranosyl-4-pyrimidin-2-one)cytidine, was obtained in fair yield by irradiating 4-thiouridine and cytidine in aqueous solution at 4° at 335 nm. The corresponding bipyrimidine product, 5-(4-pyrimidin-2-one)cytosine, Pyo(4-5)Cyt (2),3 resulted on photolysis (335 nm) of 4thiouracil in the presence of cytosine.2

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(3) Abbreviations following the IUPAC-IUB Commission on Biochemical Nomenclature recommendations (J. Mol. Biol., 55, 299 (1971)) to used throughout. The photochemistry symbolism employed in refuse been modified and improved after discussions with Dr. Waldo Cohn, Director of the Office of Biochemical Nomenclature. For example, the earlier abbreviation, Cyt-Sur, for the photoproduct 2, which indicated the source of the two fragments, has been replaced by Pyo(4-5)Cyt, which represents the actual structure, now that it is known. Pyo stands for pyrimidin-2-one and 4-5 indicates that it is

The nature of the photoreaction and the structure of the photoproduct may lead to the acquisition of important structural and functional information about tRNA. It has been shown that the photolytically cross-linked E. coli tRNA<sub>1</sub><sup>val</sup> can be charged with valine in the presence of its corresponding aminoacyl synthetase, although the affinity for the synthetase is decreased. The Val-tRNA<sub>1</sub><sup>val</sup> functions normally in a reconstructed in vitro protein-synthesizing system. Qualitatively similar results have been obtained in experiments with E. coli tRNA<sup>rg</sup> and tRNA<sub>1</sub><sup>Phe, 5</sup>

The susceptibility of 4-thiouridine in tRNA to borohydride reduction apparently led to an attempted borohydride reduction of the photolytically cross-linked tRNA. When the photoproduct was treated with sodium borohydride it was converted to a new, highly fluorescent compound with emission maximum 440 nm and excitation maximum 386 nm.7 The degree and

attached by covalent linkage from the 4 position to the 5 position of cytosine. Based on the bipyrimidine system of nomenclature, which is less indicative of the biochemical connotation and interest, 5-(4-pyrimidin-2-one)cytosine is 4-amino-4',5-bipyrimidine-2,2'(1H,1'H)-clione. Other abbreviations follow the new photochemistry symbolism, e.g., Pdo(4-5)Cyd (in place of Cyd-Srd²a) for 5-(1- $\beta$ -D-ribofuranosyl-4-pyrimidin-2-one)cytidine (1); Pyo(4-5)Ura for 5-(4-pyrimidin-2-one)-uracil (7); Pyo(4-5)Sur for 5-(4-pyrimidin-2-one)-4-thiouracil (9). The corresponding dihydro products obtained by treatment of 2, 7, and 9 with sodium borohydride are designated, respectively, as Pyo(4-5)-hCyt (3) (rather than Cyt-Sur<sub>red</sub>), Pyo(4-5)hUra (8), and Pyo(4-5)hSur (10).

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d F rate of photochemical cross-linking nabe followed conveniently by treating the irradiate NA with so-dium borohydride and measuring the native fluorescent intensity at 440 nm.

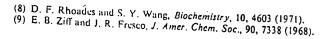
The reduced photoproduct takes on added significance with the recent discovery that Pdo(4-5)Cyd(1) is major photoproduct of the irradiation of polycydylic acid at pH 4.8 Although the importance of the formation of the photoproduct in RNA, or of the related deoxyribose photoproduct in DNA, has yet to be determined, borohydride treatment of photolyzed RNA and DNA may allow detection of the cross-linked photoproduct at very low concentration levels.

We are now able to report the synthesis and structure of a fluorescent compound 3 identical with the product formed on borohydride reduction of cross-linked tRNA. The reduced photoproduct 3 was obtained by treatment of Pyo(4-5)Cyt (2) with sodium borohydride. In addition, the structures of the products formed on borohydride reduction of 5-(4-pyrimidin-2-one)uracil, Pyo(4-5)Ura (7), and 5-(4-pyrimidin-2-one)-4-thioacil, Pyo(4-5)Sur (9), have been determined. The Inthesis of Pyo(4-5)Ura by irradiation of a dilute aqueous solution of uracil and 4-thiouracil at 4° and of Pyo(4-5)Sur by irradiation (335 nm) of 4-thiouracil at 4° has been described.2b Although neither of these bipyrimidine photoproducts has yet been found in irradiated tRNA, they have served as valuable analogs in the study of Pyo(4-5)Cyt (2).

### Results

Sufficient quantities of Pyo(4-5)Cyt (2), Pyo(4-5)-Ura (7), and Pyo(4-5)Sur (9) were necessary in order to characterize their borohydride reduction products. Pyo(4-5)Cyt can be prepared in low yield by direct photolysis of an aqueous solution of cytosine and 4thiouracil; however, it was more efficiently prepared (70% yield) by treatment of Pyo(4-5)Sur with sodium metaperiodate in an aqueous ammonium ion buffer solution at pH 9.8. If the periodate reaction was attempted in the normal two steps, as for the conversion of 2'-deoxy-4-thiouridine to 2'-deoxycytidine via an intermediate sulfonate, 4 it failed. Pyo(4-5)Sur (9) was easily obtained by photolysis of 4-thiouracil as previously described.2b When 4-thiouracil was photolyzed in aqueous solution with a twofold excess of uracil the major product was Pyo(4-5)Ura (7).26

When Pyo(4-5)Cyt (2) was reduced with excess soium borohydride in aqueous solution a single major oduct was isolated ( $\lambda_{max}^{H10}$  374 nm) (Figure 1). On



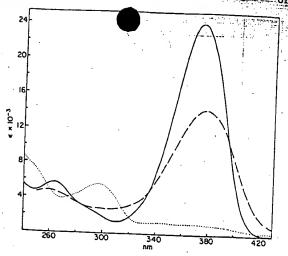


Figure 1. Ultraviolet spectrum of Pyo(4-5)hCyt (3) in 0.04 M HCl-10% DMSO-H<sub>2</sub>O (---), 10% DMSO-H<sub>2</sub>O (---), and 0.005M NaOH-10% DMSO-H<sub>2</sub>O (···); cf. Figure 1a in ref 7.

the basis of spectral data and elemental analysis the product was assigned structure 3. If one can extrapolate from the stable tautomeric forms of cytosine and 2-pyrimidinone, either one, or both, of the tautomeric forms 3a and 3b can be present. This point could not be clarified readily from the first spectral data. The reduced product, Pyo(4-5)hCyt(3), was found to have the composition  $C_8H_9N_5O_2$  by elemental analysis, confirmed by the molecular ion M+ at m/e 207 observed in the low-resolution mass spectrum.

The nmr spectrum of 3 in trifluoroacetic acid was complex and unrewarding. However, the spectrum was somewhat simplified in trifluoroacetic acid- $d_1$ , and peaks were observed which apparently arise from the presence of two different protonated forms of 3. The minor component showed a pair of doublets at \$ 7.19 and 8.43 (J = 6.5 Hz) assignable to the C-5 and C-6 protons of the 2-pyrimidinone moiety, and a pair of doublets at  $\delta$  4.04 and 4.24 (J = 14.5 Hz) indicative of nonequivalent geminal protons. The major component showed a singlet at  $\delta$  4.37, a doublet at 7.45 (J=7.5 Hz), and an unresolved multiplet at 6.76. For comparison, the nmr spectrum of the unreduced photoproduct, Pyo(4-5)Cyt, showed a singlet at  $\delta$  8.99 due to the C-6 proton of the cytosine moiety and two doublets at 7.37 and 8.38 (J = 7.5 Hz) assignable to the C-5 and C-6 protons of the 2-pyrimidinone moiety. The disappearance of the C-6 proton resonance at \$ 8.99, retention of low-field doublets 10 between 6.5 and 8.5, and

(10) Although the peak at  $\delta$  6.76 appeared as a broad singlet in the 220-MHz spectrum, it sometimes appeared as a broad doublet (J=7.5 Hz), especially in 60-MHz spectra. Such peak broadening has often been observed for the C-5 proton of cytosine derivatives. We have observed that the C-5 and C-6 protons of 4-methyl-2-pyrimidinone are

Figure 2. Technical fluorescence excitation (---) ( $\lambda_{em}$  440 nm) and emission spectra (——) ( $\lambda_{ex}$  386 nm) of Pyo(4-5)hCyt (3) in 1,2-propanediol at 20°. The peaks resulting from light scattering by the solvent are shown  $(\cdots)$ ; cf. Figure 2 in ref 7.

the appearance of new signals at high field, integrating for two protons, suggested that hydride had added at C-6. The nmr spectrum of Pyo(4-5)hCyt in fluorosulfonic acid clarified the nature of the two components observed in the trifluoroacetic acid- $d_1$  spectrum. In fluorosulfonic acid two sharp doublets at  $\delta$  7.78 and 8.91 (J = 6.5 Hz) were assignable to the C-5 and C-6 protons, a broad singlet at 9.41 was assumed to be due of to the two N-4 protons, and a broad singlet at 8.47 was assignable to the N-1 proton. An apparent doublet of doublets at & 5.14 (J uncertain) had been observed previously in the nmr spectrum of Pyo(4-5)hCyt in triporoacetic acid as an unresolved multiplet at 4.91 hich integrated for a single proton of the minor component. This peak was not observed in the nmr spectrum of Pyo(4-5)hCyt in trifluoroacetic acid- $d_1$ . The final peak in the fluorosulfonic acid spectrum was a complex multiplet at  $\delta$  4.40, integrating for two protons, which is explicable as part of an ABX pattern assignable to the two C-6 protons.11 Wec onclude that Pyo(4-5)hCyt has the doubly protonated structure 5 in fluorosulfonic acid and that the singly protonated structure 4 is the major component of an equilibrium mixture of 4 and 5 in trifluoroacetic acid. Consistent with the singly protonated Pyo(4-5)hCyt structure 4, which would exist in a time-averaged planar conformation with the positive charge distributed over both rings (one contributor to the resonance hybrid is shown), is the singlet in the nmr spectrum for nondifferentiated protons at C-6. By contrast, the nonequivalence of the C-6 protons in the doubly protonated form requires a structure (5, one contributor to the resonance hybrid shown) in which the two rings are noncoplanar.

The nmr spectroscopic assignments were checked by the reduction of Pyo(4-5)Cyt (2) with sodium borodeuteride, which yielded a product 6 that gave a molecular ion  $M^+$  at m/e 208 in the low-resolution mass spectrum. Whereas the borohydride reduction product 3 showed, inter alia, two doublets in the nmr spectrum taken in trifluoroacetic acid- $d_1$  ( $\delta$  4.04 and 4.24, J = 14.5

larp doublets in (CH2)2SO-da, but in CF2COOH are broadened to the extent that they appear as broad singlets similar to the  $\delta$  6.76 resonance for compound 3.

(11) Resolution of the multiplet was too poor to obtain accurate coupling constants.

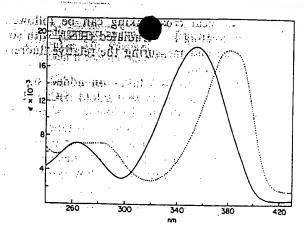


Figure 3. Ultraviolet spectrum of Pyo(4-5)hUra (8) in 0.04 M HCl-10% DMSO-H<sub>2</sub>O and 10% DMSO-H<sub>2</sub>O (-----), and 0.005 M NaOH-10% DMSO-H<sub>2</sub>O (···).

Hz), corresponding to the doubly protonated species with deuterium at C-5, the borodeuteride reduction product 6 showed two singlets under the same conditions.

Compounds Pyo(4-5)Cyt and Pyo(4-5)hCyt were found to be interconvertible. Thus, compound 3 could be oxidized back to 2 by platinum and oxygen in aqueous solution. Precedent for the oxidation was found in the catalytic oxygenation of the borohydridereduced cis-syn-thymine photodimer back to the cis-synthymine dimer: 12

Many of the difficulties encountered in studying the spectroscopic properties of Pyo(4-5)hCyt arose because of its low solubility in solvents in which it was stable. A neutral solvent for the observation of the nmr spectrum would have been especially desirable, but, for instance, the low solubility (0.5 mg/ml) of Pyo(4-5)hCyt in dimethyl sulfoxide was only sufficient to allow preparation of solutions for quantitative uv spectra. Although compound 3 was readily soluble in aqueous base or acid, decomposition was evident in both media. The product resulting from treatment of 3 with 1 N hydrochloric acid was characterized as 5-(4-pyrimidin-2-one)-3,6-dihydrouracil, Pyo(4-5)hUra (8), and was identical with the product obtained on borohydride reduction of Pyo(4-5)Ura (7).

The fluorescence emission of Pyo(4-5)hCyt at 440 nm was examined in different solvents and was found to increase in the order: aqueous solution at neutral and basic pH, 4% dimethyl sulfoxide-ethanol, 1,2-propanediol. In aqueous acid, Pyo(4-5)hCyt was not fluorescent. Even in 1,2-propanediol the intensity of the fluorescence was slight in comparison with the intensity of the solvent scattering at the wavelength of excitation (374 nm) (Figure 2). The absolute quantum efficiencies of Pyo(4-5)hCyt in 1,2-propanediol and water were determined to be 0.013 and approximately 0.001, respectively, by integration and comparison of the peak areas of the corrected emission spectra with the area of the emission peak for quinine sulfate13 obtained with the same instrument settings.

Treatment of Pyo(4-5)Ura (7) with sodium borohydride in aqueous solution gave a white solid with  $\lambda_{max}^{(120)}$ 355 nm (Figure 3) and composition C<sub>8</sub>H<sub>8</sub>N<sub>4</sub>O<sub>3</sub> by ele-

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<sup>(12)</sup> T. Kunicda and B. Witkop, J. Amer. Chem. Soc., 93, 3493

<sup>(13)</sup> T. G. Scott, R. D. Spencer, N. J. Leonard, and G. Weber, ibid., 92, 687 (1970).

mental analysis. A low-re rion masso spectrum. showed a molecular ion M+ & 208 Which was also the base peak in the 9-eV mass spectrum. These data, along with an unambiguous nmr spectrum (CF, COOH), which showed a singlet at δ 4.36 for the C-6 methylene protons, doublets at 5.96 and 7.38 (J=17.5 Hz) assignable to the C-5 and C-6 protons at the 2-pyrimidinone moiety, and two broad singlets at 7.40 and 9.32 assignable to the N-1 and N-3 protons of the uracil portion of the molecule, 14 led us to assign structure 8 to the borohydride reduction product of Pyo(4-5)Ura.

Reduction of Pyo(4-5)Sur (9) with sodium borohydride gave 5-(4-pyrimidin-2-one)-4-thio-3,6-dihydrouracil, Pyo(4-5)hSur (10). The assigned structure followed from spectral data and elemental analysis. Neither Pyo(4-5)hUra nor Pyo(4-5)hSur was fluorescent in aqueous solution. Although we have not distinguished between the two most likely tautomeric forms for Pyo(4-5)hUra (8a and 8b) and Pyo(4-5)hSur (10a and 10b) by spectroscopic means, tautomers 8a and 10a are favored for two reasons. First, on the basis of past experience, the keto and thioketo forms of the oxygenand sulfur-substituted pyrimidines are favored over the enol and thioenol forms. Second, the large hypsochromic shift (61 nm) in the uv maximum of Pyo(4-5)hSur ( $\lambda_{max}$  427.5 nm, Figure 4) when it is oxidized to a disulfide by aqueous iodine suggests that thioketone conjugation was responsible for the long-wavelength absorption of 10. In analogy the uv maxima of 4thiouridine and its disulfide are 328 and 309 nm, respectively. 15 1,4-Dithiothreitol (Cleland's reagent) regenerates 10 from its disulfide.

### Discussion

5-(4-Pyrimidin-2-one)cytosine, Pyo(4-5)Cyt (2), has been identified as the major photoproduct (at the base level) from photolysis of E. coli tRNA at 335 nm1.2 and irradiation of polycytidylic acid, deoxycytidine, and cytidine at pH 4 and 254 nm.8 The formation of 1, and of 2 by subsequent hydrolysis, by a photoreaction between the 4-thiouridine in position 8 and the cytidine in position 13 from the 5'-terminal end of  $\hat{E}$ . coli  $tRNA_{1}^{Val},\ tRNA_{2A}^{Val},\ tRNA_{2B}^{Val},\ tRNA^{Phe},\ tRNA_{m}^{Met},$ tRNA<sub>1</sub>Met, 1 and tRNAArg 5 is of interest because of the detailed information it provides concerning the tertiary structure in the dihydrouridine-arm region of the molecule.26 Moreover, an added dividend is the facility with which Pyo(4-5)Cyt can be converted to a fluorescent derivative, Pyo(4-5)hCyt (3), by treatment with sodium borohydride. The structure proof of 5-(4pyrimidin-2-one)-3,6-dihydrocytosine (3) implies a similar structure, ribose-substituted at both 1 positions, for the sodium borohydride reduction product of 5-(1- $\beta$ -Dribofuranosyl-4-pyrimidin-2-one)cytidine, Pdo(4-5)Cyd (1), and thus settles the question of the structure of the reduced cross-linked moiety in tRNA. We recognize, of course, that the reactivity of Pyo(4-5)Cyt may be altered by change in environment from base to nucleoside to polynucleotide levels.

The introduction of a covalently bonded fluorescent probe in tRNA is clearly of interest for studying tRNA

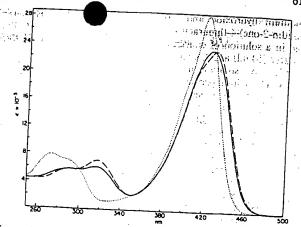


Figure 4. Ultraviolet spectrum of Pyo(4-5)hSur (10) in 0.04 M HCI-10% DMSO-H<sub>2</sub>O (---), 10% DMSO-H<sub>2</sub>O (----), and 0.005 M NaOH-10% DMSO-H<sub>2</sub>O (···).

conformation and interaction.7 In addition, the borohydride reduction of Pdo(4-5)Cyd (1) or Pyo(4-5)Cyt (2) provides a sensitive detection method for the photoproduct. The excitation and emission spectra of reduced photoproduct in tRNA, Val have been reported? and the quantum yield and fluorescent lifetime measured (22  $\pm$  5% and <5 nsec). When the photoproduct was first isolated from tRNA, Val as a binucleotide and then reduced, the fluorescence quantum yield in aqueous solution was estimated to have decreased by a factor of 400.16.7 The absolute quantum efficiencies of 0.013 and approximately 0.001 for Pyo(4-5)hCyt which we observed in 1,2-propanediol and water, respectively, indicating that the fluorescence intensity of Pyo(4-5)hCyt increases with decreasing solvent polarity, are consistent with Favre and Yaniv's conclusion, that Pyo(4-5)hCyt must lie within a hydrophobic region in the transfer RNA. The fluorescence of Pyo(4-5)hCyt spotted on cellulose tlc plates and viewed under long-wavelength uv light is intense enough to allow ready visual detection of as little as 2-5 ng (10 pmol).

Sodium borotritide reduction has been used for the quantitative determination of dihydrouridine, 4-thiouridine, and N4-acetylcytidine in tRNA,6 and of cyclobutane photodimers in polynucleotides and deoxyribonucleic acid. 16 For the detection of small amounts of Pyo(4-5)Cyt quantitatively, reduction with sodium borotritide would give Pyo(4-5)hCyt tritiated at C-6. One may speculate that the amount of photoproduct could then be determined from the 3H activity of any of a number of isolated products: Pyo(4-5)hUra by direct degradation of the irradiated nucleic acid with 1 N HCl, Pdo(4-5)hCyd by an enzymic isolation procedure, or Pyo(4-5) Cyt by the catalytic oxygenation (O2,Pt) of Pyo(4-5)hCyt in the nucleic acid followed by acid degradation. Since it is of further interest to determine the importance of Pyo(4-5)Cyt to the photobiology of DNA,8 the fluorescent product of borohydride reduction should also aid in these studies.

### Experimental Section 17

5-(4-Pyrimidin-2-one)cytosine, Pyo(4-5)Cyt (2). A buffer solution, pH 9.8, was prepared by combining equal volumes of 7.4 M

<sup>(14)</sup> For comparison, the N-I and N-3 protons of dihydrouracil (CF<sub>2</sub>COOH) fall at 6 7.34 and 9.36, respectively. (15) J. J. Fox, D. Van Praag, I. Wempen, I. L. Doerr, L. Cheong.

J. E. Knoll, M. L. Eidinoff, A. Bendich, and G. B. Brown, J. Amer. Chem. Soc., 81, 178 (1959).

<sup>(16) (</sup>a) T. Kunieda and B. Witkop, ibid., 89, 4232 (1967); (b) T. Kuneida, L. Grossman, and B. Witkop, Biochem. Biophys. Res. Commun., 33, 453 (1968); (c) B. Witkop, Photochem. Photobiol., 7, 813 (1968).

<sup>(17)</sup> Melting points, determined using a Büchi melting point apparatus, are uncorrected. Ultraviolet spectra were taken in dimethyl sulfoxide-water (1:9, v/v) with a Cary 15 spectrophotometer and technical

ammonium hydroxide and 8.0 M ami Pyrimidin-2-one)-4-thiouracil (9) (58.5 mg 1 mmol) was dis-solved in a solution of concentrated ammonium hydroxide (1 ml) and water (10 ml) and the solution was diluted with pH 9.8 buffer (100 ml). To a solution of pH 9.8 buffer (100 ml), water (25 ml), and 0.3 M sodium periodate (10 ml), the buffered solution of Pyo(4+5)Sur (9) was added dropwise over a period of 1 hr. After stirring an additional hour at room temperature the reaction mixture was stored overnight at 5° and filtered, and the solid product was collected and washed thoroughly with water. The crude product was dissolved in 0.1 M HCl (18 ml), filtered, and reprecipitated by neutralization of the solution with ammonium hydroxide. Filtration and drying in vacuo gave 41.1 mg of Pyo(4-5)Cyt · H<sub>2</sub>O (70%). The identity of Pyo(4-5)Cyt (2) prepared in this way with that obtained photochemically from cytosine and 4-thiouracil<sup>2</sup> was shown by thin-layer chromatography in three different solvent systems, ultraviolet spectra in acidic, basic, and neutral aqueous solution, and direct comparison of physical properties and solubility behavior.

5-(4-Pyrimidin-2-one)-3,6-dihydrocytosine, Pyo(4-5)hCyt (3). (4-Pyrimidin-2-one)cytosine (2) (16.8 mg, 0.075 mmol) was dissolved in 0.14 N HCl (7 ml) and diluted to 150 ml with water (distilled under nitrogen). To the vigorously stirred solution under nitrogen was added 1 M NaOH (1.0 ml) followed immediately by aqueous 1 M NaBH<sub>4</sub> (1.0 ml). The reaction mixture was stirred for 45 min at room temperature and cooled for 30 min in an ice bath, and 1 ml of acetone was added to decompose the unreacted borohydride. The cold reaction mixture was filtered, and the pale yellow precipitate was washed thoroughly with cold water to give, after drying, 11.8 mg of 3 (76%): mp >340° dec; nmr (CF<sub>3</sub>COOD) showed two components to be present, minor with  $\delta$  4.04 (d 1, J = 14.5 Hz), 4.24(d, 1, J = 14.5 Hz), 7.19(d, 1, J = 6.5 Hz), 8.43(d, 1, J = 6.5)Hz); major with  $\delta$  4.37 (s, 2), 6.76 (br m, 1), 7.45 (d, 1, J = 7.5 Hz); nmr (FSO<sub>3</sub>H) δ 4.40 (m, 2), 5.14 (d of d, 1, J uncertain), 7.78 (d, 1, J = 6.5 Hz), 8.47 (br s, 1), 8.91 (d 1, J = 6.5 Hz), 9.41 (br s, 2); tlc.  $R_t$  in system A. 0.20; B. 0.21; C. 0.29;  $\lambda_{\text{max}}$  374 nm ( $\epsilon$  24,300), 264 (5760);  $\lambda_{\text{max}}^{0.04 \text{ M HCl}}$  377 (14,300), 259 (4820);  $\lambda_{\text{max}}^{0.03 \text{ M N N}}$  368 (1260), 344 (1340), 297 (5690). The mass spectrum (70 eV) showed prominent peaks at m/e (rel intensity) 207 (5), 150 (7), 149 (36), 148 (22), 135 (6), 124 (8), 123 (9), 122 (11), 121 (15), 120 (8), 105 (7), 96 (12), 95 (8), 94 (9), 80 (5), 79 (5), 69 (5), 68 (14), 67 (6), 66 (5), 55 (5), 54 (6), 53 (8), 52 (13), 51 (6), 44 (22), 43 (100), 42 (33), 41 (8), 40 (8), 39 (6), 32 (5), 29 (17), 28 (44), 27 (10), 26 (6); (8.7 eV) m/e (rel intensity) 207 (47), 178 (22), 176 (17), 167 (15), 166 (79), 152 (70), 150 Anal.

Calcd for C<sub>8</sub>H<sub>9</sub>N<sub>5</sub>O<sub>2</sub>: C, 46.38; H, 4.38; N, 33.80. Found: C, 46.12; H, 4.43; N, 33.45.

5-(4-Pyrimidin-2-one)-3,6-dihydrocytosine-6- $d_1$  (6). 5-(4-Pyrimidin-2-one)cytosine (2) (34.5 mg, 0.168 mmol) was reduced with sodium borodeuteride (70.2 mg, 1.68 mmol) essentially as described above for the sodium borohydride reduction, to give 6 (23.9 mg, 68% yield): mp >340° dec;  $\lambda_{max}$  374 nm; nmr (CF<sub>3</sub>COOD) showed two components to be present, minor with § 4.04 (s, 0.5), 4.24 (s, 0.5), 7.20 (d, 1, J = 6.5 Hz), 8.44 (d, 1, J = 6.5 Hz); major with  $\delta$  4.36 (s, 1), 6.84 (d, 1, J = 7.5 Hz), 7.46 (d, 1, J = 7.5Hz);  $R_l$  values in three solvent systems were identical with the  $R_l$ values of Pyo(4-5)hCyt (3). The mass spectrum (70 eV) showed prominent peaks at m/e (rel intensity) 208 (3), 152 (5), 151 (20), 150 (41), 149 (32), 148 (14), 125 (8), 124 (10), 123 (14), 122 (15), 121 (12),

fluorescence emission spectra with a Hitachi Perkin-Elmer MPF-2A fluorescence spectrophotometer. Absolute quantum efficiencies were determined by integration of the corrected spectra obtained with a digital spectrofluorometer as previously described. 13 As a reference the absolute quantum efficiency of quinine sulfate was taken as 0.70.13 Proton magnétic resonance (pmr) spectra were determined on a Varian HA-100 or HR-220 spectrometer with tetramethylsilane (TMS) or tetramethylammonium fluoroborate as the internal standard. The tetramethylammonium fluoroborate resonance in fluorosulfonic acid was taken as δ 3.10.18 The low-resolution mass spectral data were obtained on a MAT CH-5 spectrometer. Thin-layer chromatography (tlc) was carried out on 200 imes 40 imes 0.16 mm Eastman Chromagram sheets, cellulose without fluorescent indicator, in the following solvent systems: A. n-propyl alcohol-water (7:3, v/v); B, ethanol-1.0 M ammonium acetate (7:3, v/v), buffered to pH 7.95 with concentrated NH<sub>1</sub>OH; C, n-propyl alcoholconcentrated NH<sub>2</sub>OH-water-formic acid (60:29:10:1), v/v). Spots were visualized by long-wavelength uv light. Elemental microanalyses were performed by Mr. Josef Nemeth and his associates at the University of Illinois and by Midwest Microlab, Inc., Indianapolis, Ind.

(18) (a) N. C. Deno, H. G. Richey, Jr., N. Friedman, J. D. Hodge, J. J. Houser, and C. U. Pittman, Jr., J. Amer. Chem. Soc., 85, 2991 (1963); (b) N. C. Deno, J. S. Liu, J. O. Turner, D. N. Lincoln, and R. E. Fruit, Jr., ibid., 87, 3000 (1965).

m chloride. 107. (5)2106 (6), 97. (6), 9 95 (13), 94 (5), 81 (5), 80 (6), 19 (6) 69 (6), 68 (16), 67 (7), 55 (7), ), 53 (10), 52 (12), 44 (9), 43 (100) An and (20), 41 (8), 40 (10), 29 (14) 20 (23), 27 (5); mass spectrum (8.7) mle (rel intensity) 208 (14), 168 (13), 167 (22), 152 (22), 151 150 (100), 149 (29).

5-(4-Pyrimidin-2-one)-3,6-dihydrouracil, Pyo(4-5)hUra (8) a solution of 5-(4-pyrimidin-2-one)uracil (7) (90.5 mg, 0.44-mmo in 300 ml of 0.007 M NaOH was added sodium borohydride mg, 2.64 mmol). The reaction mixture was buffered to pH 9 0.5 M KH.PO, and stirred for 30 min at room temperature, ar further portion of sodium borohydride (20 mg, 0.53 mmol) was added. After stirring 15 min more at room temperature the reaction tion mixture was buffered to pH 7 with 0.5 M KH<sub>2</sub>PO<sub>4</sub> and the excession NaBH, was decomposed by adding I ml of acetone. The light yellow precipitate which formed on storing the solution overnigh at 5° was collected and dried in vacuo to give 66.4 mg (73%) 018 The compound was obtained analytically pure by recrystallization from CF,COOH-CH,COOH: mp >340°; nmr (CF,COOH) 4.36 (s, 2), 5.96 (d, 1, J = 7.5 Hz), 7.38 (d, 1, J = 7.5 Hz), 7.40 (b) 1), 9.32 (s, 1);  $R_1$  in system A, 0.43; B, 0.41; C, 0.48;  $\lambda_{max}$  355 mm ( $\epsilon$  17,600), 263 (7100);  $\lambda_{max}^{0.04}$  M HCl 355 (18,300), 263 (7100);  $\lambda_{max}^{0.04}$  M NoOH 380 (16,700), 280 (5830), 266 (5830). The mass spectrum (70 eV) showed prominent peaks at m/e (rel intensity) 208] (42), 207 (100), 164 (48), 137 (25), 136 (34), 135 (17), 122 (12), 121<sub>3</sub> (33), 113 (22), 108 (16), 96 (30), 95 (12), 94 (12), 93 (12), 82 (14), 68 (27), 67 (23), 66 (23), 65 (11), 54 (10), 53 (16), 52 (26), 51 (11), 44 (25), 43 (31), 42 (13), 41 (15), 40 (19), 39 (15), 32 (13), 29 (10), 28 (74), 27 (11); mass spectrum (9 eV) m/e (rel intensity) 209 (13), 208 (100), 207 (38), 206 (11), 113 (4), 96 (5).

Anal. Calcd for C<sub>6</sub>H<sub>8</sub>N<sub>4</sub>O<sub>2</sub>: C, 46.16; H, 3.87; N, 26.91.

Found: C, 46.25; H, 3.94; N, 27.10.

5-(4-Pyrimidin-2-one)-4-thio-3,6-dihydrouracil, Pyo(4-5)hSur (10). To a solution of 5-(4-pyrimidin-2-one)-4-thiouracil (9) (41.3 mg, 0.186 mmol) in 20 ml of 0.1 M ammonium bicarbonate (pH 9.1) was added sodium borohydride (32.2 mg, 0.85 mmol). The reaction mixture was stirred for 10 min at room temperature, then quenched by adding consecutively 1 ml of acetone and 5 ml of 0.5 M H<sub>2</sub>PO<sub>4</sub>, and placed in an ice bath for 2 hr. The precipitate was collected and dried to give 29.5 mg (71%) of 10. Analytically pure 10 was obtained by chromatography on Sephadex LH-20 and elution with N,N-dimethylformamide: mp > 340° dec; nmr (CF,-COOH)  $\delta$  4.39 (s, 2), 6.13 (d, 1, J = 7.5 Hz), 7.30 (br s, 1), 7.47 (d, 1, J = 7.5 Hz), 9.32 (s, 1);  $R_1$  in system A. 0.59; B. 0.50; C. 0.59;  $\lambda_{\text{max}}$  427.5 nm ( $\epsilon$  23,500), 316 (6110), 289.5 (5770);  $\lambda_{\text{max}}^{0.04}$   $\lambda_{\text{max}}^{0.04}$  421 (28,400), 273 (28,400), 273 (7860); mass spectrum (70 eV) m/e (rel intensity) 224 (17), 223 (6), 191 (5), 73 (11), 68 (9), 62 (6), 60 (100), 59 (5), 44 (21), 43 (87), 42 (19), 34 (18), 33 (8), 32 (45), 30 (6), 29 (15), 28 (65), 27 (8), 26 (5).

Anal. Calcd for C<sub>8</sub>H<sub>3</sub>N<sub>4</sub>O<sub>2</sub>S: C, 42.85: H, 3.60; N, 24.98. Found: C, 43.13; H, 3.64; N, 24.73.

Oxidation of Pyo(4-5)hCyt (3) to Pyo(4-5)Cyt (2). Active platinum was prepared by reducing platinum oxide (18.6 mg) with H<sub>2</sub> in 25 ml of water. A suspension of the active platinum and compound 3 (3.4 mg, 0.016 mmol) was prepared in 25 ml of water. After sparging with oxygen for 1 min at room temperature, 2.0 ml of I N HCl was added to the reaction mixture. The oxygen sparging was discontinued after 15 min, the reaction mixture was filtered, and the water was removed in vacuo. The white residue was collected, washed thoroughly with ethanol, and dried to give 3.5 mg (89%) of 2 as the hydrochloride salt. The quantitative uv spectra of the product at acidic, neutral and basic pH were identical with those of authentic Pyo(4-5)Cyt.2 The identity of the compound was further established by comparative tlc in three solvent systems and by its

rereduction to Pyo(4-5)hCyt with sodium borohydride. Hydrolysis of Pyo(4-5)hCyt (3) to Pyo(4-5)hUra (8). A solution of Pyo(4-5)hCyt (1.1 mg) in 1 N HCl (0.8 ml) was allowed to stand at room temperature overnight. The white precipitate which formed was collected, washed with water, and dried in vacuo yielding 1.0 mg of Pyo(4-5)hUra. The identity of Pyo(4-5)hUra prepared in this way with that obtained from the sodium borohy-dride reduction of Pyo(4-5)Ura was shown by tlc in three different solvent systems, uv spectra in acidic, basic, and neutral aqueous solution, and by the mass spectrum.

Acknowledgment. We wish to thank Professor Gregorio Weber for valuable guidance and for the use of the digital spectrofluorometer. This work was supported by a research grant (GP-8407X) from the National Science Foundation.

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BUANTITATIVE TECHNIQUE FOR MAPPING OLIGONUCLEOTIDES

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ceived December 1st, 1971)

A procedure for two-dimensional separation of oligonucleotides on thin layers cellulose is described. It implies electrophoretic separation for the first dimension kschromatography for the second. Oligonucleotides of 11P-Jabelled material are lized by autoradiography. The procedure is particularly useful for mapping oliucleotides from digests of RNA with pancreatic ribonuclease, but is also appli-Let hydrolysates obtained with ribonuclease  $T_1$  or  $U_2$ . The method appears also stic fingerprints in which the structure of an oligonucleotide can be deduced licable to non-radioactive nucleotides. It produces well reproducible and characits position on the map in many cases. Oligonucleotides up to chain lengths about 5 can be separated, depending on the complexity of the sample. They can buted quantitatively and counted. Their nucleotide composition is determined electrophoretic separation of the mononucleotides resulting from hydrolysis with kali or better, mixtures of ribonucleases  $\Gamma_1$  and  $T_2$ , and quantization of the monofeotides after elution. Since the results are also quantitatively reproducible, the

DUCTION

hod is suited for compiling quantitative oligonucleotide catalogs of larger RNA

Earlier successes in the sequence analysis of nucleotides in RNA solely dependthe fractionation of oligonucleotides of various digests of RNA on chromato-រដ្ឋិ columns<sup>1.2</sup>. In 1965 two-dimensional fractionation procedures were published initiated remarkable progress in this field, in particular the use of cellulose ace-

and DEAE paper by Sanger and his collaborators", and of DEAE-cellulose thin

Older methods based on chromatography and/or electrophoresis on thin layers fisubstituted celluloses. 6, remained inferior to comparable paper techniques? oution and quantitative recovery of oligonucleotides. The more recent and much iced two-dimensional techniques involve a transfer<sup>3,4,8,9</sup> of material from one (cellulose acetate) to another (DEAE- or PEI-cellulose), which is not al-

Abbreviation: TMV, tobacco mosaic virus RNA

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compilation of quantitative oligonucleotide catalogs sometimes requires other pro ntitative. In spite of the splendid results obtained with these me

We want to report a technique for mapping oligonucleotide mixtúres on  $\overline{ ext{com}}$ 

mercial cellulose thin-layer chromatographic plates, which is greatly improved com pared to methods already existing for this material and which lacks a transferste thus permitting quantitative investigations. In a number of cases the method ha in our hands proven to be easier to handle than the other methods mentioned and to be quantitatively very well reproducible. It has afready been successfully applied to quantitative comparisons of the structure of large G-lacking segments of viral

## MATERIALS AND METHODS

Thin-layer equipment for finger prints

Precoated thin-layer chromatographic plates (o.1 mm layer of microcrystalling Germany, was used. It is a flat-plate apparatus with a water-cooled aluminum block supporting the glass plates. With this apparatus it is necessary to protect the this cellulose on 20 cm×20 cm glass plates, without fluorescence indicator, type 52່າຜູ້ were obtained from Merck, Darmstadt, Germany. An electrophoresis apparatus design very similar to the one commercially available through Desaga, Heidelberg layers against drying during electrophoresis by a 20 cm×20 cm gasket of 1-cm-wid strips of foam rubber of sufficient thickness to provide a sealed moist chamber be tween the layer and the Jucite cover of the apparatus. Chromatography was per formed in thin-layer chromatographic Chromatanks of Shandon, Ltd, London.

Thin-layer equipment for electrophoretic separation of mononneleotides

This was the already mentioned Desaga type of apparatus in case pre-coated chromatographic plastic sheets (o.1 mm layer of fibrous cellulose on 20 cmimes20  $ilde{ ext{cut}}$ glass plates were used (see above). Alternatively, we used pre-coated thin-layer plastic sheets, with fluorescence indicator, type Polygram CEL 300  $\mathrm{UV}_{254}$ ) purchase from Macherey and Nagel, Düren, Germany. Electrophoresis on plastic sheets wa carried out in a custom-made apparatus of proper dimensions and basically similar  $ilde{H}_{2}$ construction to the one described for sheets of filter paper by Rushizky and Knight but filled with varsol and equipped with a copper coil (connected running tap wafe

Chemicals

N.J., and ribonuclease  $\mathrm{T_1}$  from the Sankyo Co., Tokyo. Ribonuclease  $\mathrm{ar{U}_2}$  was a general ous gift of the latter company. 32 plabelled tobacco mosaic virus (TMV) RNA was prepared as described previously of The specific activity was usually between org and 0.35  $\mu {
m Ci}/\mu {
m g}$  of RNA (corresponding roughly to 4.5  $\cdot$  10°-10  $\cdot$  10° Cerenkov coung ophilized, phosphate-free, RAF 6507) was obtained from Worthington, Freehold These were of reagent grade whenever available. Pancreatic ribonuclease 🕼

litoradiographs

Autoradiographs were obtained with Kodak Royal Blue RB 54 X-ray film.

time of 5 h/50 odo dpm per spot can be taken as a guide for proper exposure with \*P-containing material.

Varolysis with ribonneleases

RNA samples (0.1–1.0  $\mu$ g equalling 50 000 dpm or more of  $^{32}\mathrm{P}$ , solved in 0.2 M thium acetate with 0.5 % sodium dodecyl sulphate, and contained in 1.5 ml polythene entrifuge tubes) were precipitated with alcohol, washed several times with 70% alcoiol and dried for 10 min (not more) at room temperature in vacuo. Hydrolysis with jäncreatic ribonuclease was performed by dissolving the dried sample in 7–10  $\mu$ l of ior M Tris–acetate and 1 mM EDTA, pH 7.4, containing 0.2  $\mu$ g of ribonuclease per  $\mu$ l ind incubation for 60 min at 37°C. These conditions have proven to be practically plimal for the RNA quantities mentioned.

320 for 40 min at 37°C in a volume of 5-10  $\mu$ l.  $T_1$  ribonuclease was usually treated Hydrolysis with ribonuclease  $U_{\scriptscriptstyle 2}$  was performed in 0.02 M sodium acetate with ith acid as a precaution against possible contamination with phosphomonoesterase  $oldsymbol{u}_{\cdot}$ InM EDTA, pH 3.8, similar to Adam et al. 12. Since the enzyme is purine-specific inly at low enzyme concentrations<sup>12,13</sup>, we used low concentrations so as to reach inflicient hydrolysis within 4-8 h incubation at 37°C. We did not establish standard conditions for hydrolysis with ribonuclease  $U_2$ , though good results were obtained with 5-10  $\mu$ l of an enzyme solution containing 10 units/ml buffer, and 2 or 4 h hydro-Hydrolysis with ribonuclease T, was performed in 0.04 M Tris-phosr H7.4, containing 1 mM EDTA, at an enzyme to substrate ratio of about Iss at 37°C for an amount of substrate between 0.1 and 1.0  $\mu g$  of RNA.

ingerprint technique

The plates were prepared by removing the cellulose layer about 1.5 cm wide fom two opposite edges (see Figs 1b, 1d and 1f). The plate is then sprayed with a part from two edges and usually parallel to the non-scraped edges of the plate See Figs. 1b, 1d and 1f, and, as an exception, Fig. 2b). Marker spots containing be markers according to Sanger et al. were applied at the center and near the two It aqueous dilution of electrophoresis buffer (see below) and placed horizontally graped edges of the plate in line with the starting band. Electrophoresis buffer is 6% acetic acid with 8% formamide, adjusted with concentrated ammonia to off 3.5. Electrophoresis is started immediately and carried out parallel to the scraped edges at 750 V. The current rises from about 20 mA at the beginning to about 35 mA during the run. Higher currents usually indicate that the plates are too wet. Electrophoresis is discontinued when the front of the blue dye marker (Xylene Cyanol (F.F.)<sup>3</sup> has moved 8.0-8.5 cm in case of pancreatic ribonuclease digests, 7.0-7.5 cm case of  $T_1$  ribonuclease digests, and 6.5–7.0 cm with ribonuclease  $U_2$  hydrolysates. After removing the plates from the apparatus they are dried under a stream l slightly warm air for at least 3 h. Chromatography is performed in thin-layer Several minutes later excess liquid is removed by gently pressing three sheets Kleenex tissue, on top of which one sheet of filter paper, onto the cellulose ' ( The sample (5-10  $\mu$ l) is spotted on the wet plate as a band 5-10 mm long,

hromatographic Chromatanks at 18-20°C, filled with 250 ml of a 1:1 mixture of

electrophoresis buffer with tertiary butanol, adjusted with concentrated ammonia nol content of the developing mixture increase chromatographic movement but to give a pH-meter reading of 4.5 (ref. 7). Higher temperatures and decreased buta generally reduce the sharpness of the spots, also increase their size and thus reduce the general quality of the fingerprints.

after proper drying. Finally the plate is dried and autoradiographed after asymmetric I % solution of Xylene Cyanol blue to which sufficient 32P has been added (see same direction. Chromatographic development may be repeated once more, again application of radioactive marker ink (see Figs. 1 and 2). This is prepared from a When the solvent has reached the top of the plate, the plate is removed from the tank, dried as before, and re-chromatographed under the same conditions in the

RADIOACTIVITY OF AND EXPOSURE TINE FOR NARKER INK Marker spots were about 3-5 mm in diameter.

|        | Time of exposure | exposure |       |       |        |        |         |
|--------|------------------|----------|-------|-------|--------|--------|---------|
|        | 3 4              | 49       | 12 // | I day | 3 days | 6 days | 12 days |
| ր/յաdp | 0009             | 3000     | 1500  | 750   | 300    | 150    |         |
|        |                  |          |       |       |        |        |         |

## Spot elution and quantitative analysis

the autoradiogram with the thin-layer chromatographic plate in front of an illumi Spots are marked with soft pencil on the cellulose layer after superimposing placed into small 1.5 ml polythene centrifuge tubes (see below) and the material nated screen. They are scraped off the plates using a custom-made perspex spatula The cellulose material is collected by sucking it into plastic pipette tips (see below) which are stoppered with cotton and connected to a vacuum pump. The tips are is eluted and hydrolyzed according to one of the following two methods.

and allowed to drain slowly, thus washing the oligonucleotide material into the poly Method A. The tips erc filled with 500  $\mu$ l of 10% piperidine in 0.1 mM EDTA thene tubes. This elution is repeated once and then finally, excess liquid is extruded and collected by centrifugation into fresh polythene tubes. The polythene tubes are then placed in scintillation vials and the Cerenkov activity of the material is deter mined<sup>14</sup> in the preset tritium channel of a Packard Tricarb Scintillation spectromete Model 3375. Complete hydrolysis of the eluted material to mononucleotides takes place within 48 h at 56°C. The samples are then dried for several hours at 56°C under fan. The dried samples still containing appreciable amounts of brown colored basig residue, are dissolved in 5 or 10  $\mu$ l  $\phi$ f an aqueous solution containing 50  $A_{zeo\,nm}$  unit $\phi_{\mu}^{\prime}$ ml of each of the unlabelled nuclestides; Ap, Gp, Cp, and Up.

or are simply dried in an evacuated desiccator at room temperature without previous in the lease of Ap, ApAp, and degassing and freezing. The dry residues (practically invisible small amounts) are associated to a least leasonably low to (as before in Melhod A) the samples are degassed, frozen at —70°C and Iyophilized or are simply dried in an evacuated desiccator at room temperature without previous Method B. Elution is performed as described as Method A but with 0.2 M ammonia instead of the piperidine/EDTA mixture.After measuring the radioactivit

gdissolved in 5 or 10  $\mu$ l of a mixture of ribonuclease  $T_1$  and  $T_2$ . A 5-fold dilution of in  $T_I/T_2$  extract  $^{16}$  with 0.05 M sodium acetate, pH 4.5, is used, containing sufficient unlabelled RNA (5 mg/ml) to give visible mononucleotide bands on fluorescence layers (see below). Hydrolysis is allowed to proceed for 60 min at 37°C.

## Electrophoresis of mononucleotides

layers containing fluorescence indicators, either as done for the first dimension in the Electrophoresis is carried out in the presence of dye markers3 on cellulose mapping procedure on glass plates, or, alternatively and better, on precoated plastic om and a current from 80 to 250 mA can be applied and excellent separation is achieved within 30 min. Not only is this separation procedure faster, but it also produces sheets submersed in varsol during electrophoresis. In the latter case a field of  ${
m roo}~V/$ sharper bands and is more convenient than the one using glass plates (for comp see Fig. 3). Its disadvantage, however, is that quantitative collection of ce from plastic sheets may be cumbersome because of electrostatic effects.

Mononucleotide bands are marked with soft pencil under ultraviolet light at 254 nm. The material is collected, eluted with ammonia or piperidine, and counted as described before, or counted by suspending the dry cellulose material directly in 5 ml of toluene-based scintillation cocktail.

# Identification of nucleoside 2'.3'-cyclic phosphates

This was done via a second hydrolysis of the eluted compound with the same enyme, e.g. with pancreatic ribonuclease for Up and Cp, or with ribonuclease  $T_{\rm I}/T_{\rm 2}$ to Ap and Gp, followed by an electrophoretic comparison of the resulting material

### General remark

During all these procedures components of the Eppendorf Microliter System have been used, in particular plastic pipette tips, polythene centrifuge tubes (x.5 ml) and piston-type "Marburg" pipettes. Some details of the elution technique are ( lar to a technique developed independently by Gassens.

## RESULTS AND DISCUSSION

The methods described in this paper yield two-dimensional separations of oligonucleotides, which are qualitatively and quantitatively reproducible. This is demonstrated with maps obtained from TMV-RNA hydrolyzed with three differ-

The procedure is particularly useful for pancreatic ribonuclease hydrolysis products (Figs 1a and 1b). The conditions of hydrolysis chosen avoid the occurtence of twin spots and clearly result in complete termination of the enzymatic faction with the exception of the two mononucleotides Up and Cp, which still occur invarying amounts as their 2':3'-cyclic phosphodiesters in addition to the phosphomonoester end product. On the other hand, "overhydrolysis" 18-17 resulting in the felease of Ap, ApAp, and also some ApApAp, cannot be avoided completely, but

K. W. MUNDRY, H.

REPRINTING OF OLIGONUCLEOTIDES

Oligonucleotide mixtures derived from RNA with ribonuclease T  $_1$  (Figs. 1c and contain many chains longer than five nucleotides, which can be separated one om another only in special cases. Cyclic monophosphodiesters are absent from most lests as are products of "overhydrolysis".

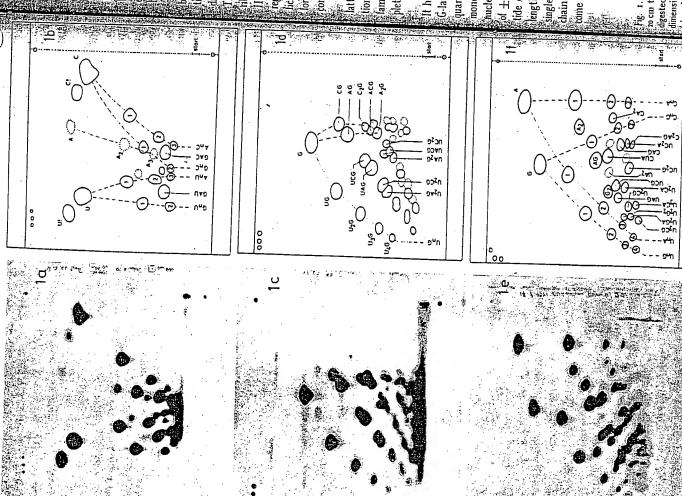
Oligonucleotide mixtures derived from RNA by digestion with ribonuclease  $U_{\mathbf{z}}$ Figs. re and rf) do not contain so many larger products and should, therefore, be father suited for this type of mapping. However, mapping is complicated in this case the small charge differences between A and C, which may lead to incomplete ectrophoretic separation of A and C containing oligonucleotides, and also sometimes

Elution of oligonucleotides is practically quantitative with all spots obtained this procedure and checked so far. Thus the activity remaining in the plastic spot duplication due to the presence of cyclic and open monophospho end groups. bonuclease digests of a very long oligonucleotide with the chain length of 73 (Table he rather integral oligonucleotide mole ratios obtained in analyses from pancreauc !) also demonstrate reasonable quantitative elution of the fingerprint spots. The egularly too low values for Cp cannot be explained so far. They are not due to insuflicient elution of the Cp spots. The reason for the often somewhat too high values of ApUp (Table II) is at least partly due to some unidentified radioactive material ps after elution corresponded to only 0.5 % of the eluted activity in case of mog is, and trinucleotides, to 1.5% in case of A<sub>3</sub>C, and to about 5% for A<sub>4</sub>C and

Hydrolysis of eluted oligonucleotides to mononucleotides and separation of the latter by thin-layer electrophoresis permits qualitative and quantitative determinalions of nucleotide compositions. This is of interest where longer oligonucleotides of families as  $A_n Py$ ,  $Py_m A_n G$ , or  $U_n Pu$ , are to be identified, in particular within incomilete homologous rows (see below).

The procedure is, therefore, applicable to sequence analysis of polynucleotides. if has already enabled us to compile quantitative oligonucleotide catalogs of large G-lacking segments of TMV-RNA, where the main advantage of the method, the nuclease<sup>10</sup>. With an average from quantitative analyses of several maps an accuracy guantitative analysis, was of particular importance because of the large amounts monoucleotides deriving from these segments by the action of pancreatic  ${f r}$ 

fingle (terminal) Gp residue would amount to only 1 % of the activity of the total of ±5% per nucleotide spot is possible. This means that quantitative oligonucleotide catalogs can be compiled for molecules up to about 100 nucleotide residues in ength. For example, in case of ribonuclease  $T_1$  hydrolysis products of this size<sup>10</sup> the diain. Thus chain length determinations based only on the Gp content would bedome less accurate with increasing chain length. However, exact determination of



differention: ascending chromatography. For details of technique see Materials and Methods. [4] and (b) TMV-RNA hydrolyzed with pancreatic ribonuclease. (c) and (d) TMV-RNA hydrolyzed with ribonuclease T<sub>1</sub>. (e) and (f) TMV-RNA hydrolyzed with ribonuclease U<sub>2</sub>. Sequence of the symbols does not always correspond to actual nucleotide sequence in the oligomer. મુંદુ. 1. Autoradiograms and corresponding oligonucleotide identification schemes for 20 cm x focm thin-layer chromatographic cellulose plates with fingerprints from 32P-labelled TMV-RNA idested with various ribonucleases. First dimension: electrophoresis from right to left, second

### TABLE II

CALCULATED OLIGONUCLEOTIDE Moles (M) FOR VARIOUS ASSUMED CHAIN LENGTHS OF A LONG G-LACKING SEGMENT OF TMV-RNA, STRAIN VULGARE, HYDROLYZED WITH PANCREATIC RIBONIE CLEASE Radioactivity of individual fingerprint spots is calculated as a percentage of total activity of 🔃 spots. This value is then divided by the oligonucleotide size n, and reduced in proportion to 🕍 assumed chain length of the total segment. A is the difference between these oligonucleation values and integral mole values.  $\Sigma J_{101}$  is the corresponding sum expressed in moles of phosphates For plot of data see Fig. 4. Data are based on measurements given in ref. 10. The data lift chain length of 73 best, since G must be present at 1 mole/mole RNA segment.

| A   M   A   A   Structure   Size (n)   M   | Oliogonucleotide | eotide    |              | 31   |      | 36   |       | 41   |       | 4    | 46    | 1      |                       |        |         |       | -    |
|--|------------------|-----------|--------------|------|------|------|-------|------|-------|------|-------|--------|-----------------------|--------|---------|-------|------|
| S  | Structure        | Size (11  |              | M    | P    | M    | P     | M    | P .   |      |       | 7      | Uligonine<br>Structur | eotide | 100     | K   2 |      |
| 1.80   | n                | 1         |              | 5.12 | 0.12 | 5.94 | 0.06  | 6.77 |       |      | 9     |        |                       |        | 111 221 |       |      |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$  | AU               | 2         |              | 1.80 | 0.20 | 2.09 | 0.00  | 2.38 |       |      | 62    | 1 2    | 0.00                  | I      |         | Ξ     | .88  |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$  | A.A.U            | ٣         |              | 1.67 | 0.33 | 1.94 | 90.0  | 2,21 |       |      | 0.00  | 870    | N V                   | 2      |         | 4     | 61.  |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$  | د ر              | 7         | •            | 99'0 | 0.34 | 0.76 | 0.24  | 0.87 |       |      | 80    | 0.02   | TAY O                 | 3      |         | •     | .88  |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$  | AC.              | 8         | •            | 2.55 | 0.45 | 2.96 | 0.04  | 3.37 |       |      | 7.8   | 0.22   |                       | 1      |         | -     | .53  |
| $\begin{array}{c ccccccccccccccccccccccccccccccccccc$  | ۸.۴<br>          |           |              | 2.55 | 0.45 | 2.96 | 0.04  | 3.37 |       |      | .78   | 0.22   | AC.                   | 2      |         | i.J   | .92  |
| $\begin{array}{c ccccccccccccccccccccccccccccccccccc$  | A.A.A.C.         | 4         |              | 2.86 | 0.14 | 66.0 | 0.01  | 1.13 |       |      | 27    | 0.27   | AAC                   | 3      |         | נים   | 16.  |
| $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$   | ئ                | I         | J            | 3.45 | 0.55 | 0.52 | 0.48  | 0.60 |       | ,    | .67   | 0.33   | AAAC                  | 7      |         | 1     | .99  |
| $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$   | 2.4,111,         |           |              | 5.21 |      | •    | S.    |      |       |      |       |        | ے<br>ا                |        |         | -     | .05  |
| $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$   |                  |           |              |      |      | •    | .     |      | 4.32  |      | 5.04  |        | "LELAIN               |        |         |       | 1.85 |
| 5I         56         6I         66         7I         Oligomucleotide         76           e (11)         M         A         M         A         M         A         M         A           8-42         0.42         9.24         0.24         10.07         0.07         10.89         0.11         11.72         0.38         0.11         11.72         0.38         0.11         11.72         0.38         0.11         M         A         M         A <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>İ</th> <th></th> <th></th> <th></th> <th>٠.</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>  |                  |           |              |      |      |      | İ     |      |       |      | ٠.    |        |                       |        |         |       |      |
| Size (n) $M$ $\Delta$ $M$ $\Delta$ $M$ $\Delta$ $M$ $\Delta$ $M$ $\Delta$ $M$ $\Delta$ $M$ $\Delta$ $M$ $\Delta$ $M$ $\Delta$ $\Delta$ $M$ $\Delta$   | Oligonuclec      | itide     | 51           |      | 36   |      | 19    |      | 99    |      | 7.1   |        |                       |        |         |       |      |
| Sq.2   O.42   O.24   O.24   O.07   O.09   O.11   II.72   O.28   O.12   O.25   Structure        | Size (11) | 7            |      | 100  | -    | 3.5   | -    |       | -    |       |        | Oligonuc              | eoude  |         | 96    |      |
| 0.42 9.24 0.24 10.07 0.07 10.89 0.11 11.72 0.28 Ultility 12.55 0.03 3.26 0.26 3.55 0.45 3.84 0.16 4.13 0.19 AAU 2 4.42 0.08 1.19 0.19 1.30 0.30 1.40 0.40 1.51 0.49 0.19 1.30 0.30 1.40 0.40 1.51 0.49 0.19 1.30 0.30 1.40 0.40 1.51 0.49 0.10 1.50 0.20 0.40 1.51 0.49 0.10 1.51 0.40 0.40 0.40 0.40 0.40 0.40 0.40 0.4   |                  |           |              | 2    | 74   | 7    | FM.   | 7    |       | 7    | M     | 7      | Structure             |        | (n)     | M     | 7    |
| 0.03 3.26 0.26 3.55 0.45 3.84 0.16 4.13 0.13 AAU 2 1.42 0.25 3.02 0.02 3.29 0.29 3.56 0.44 3.83 0.17 AAU 2 1.42 0.08 1.19 0.19 1.30 0.30 1.40 0.40 1.51 0.49 C 1 1.61 0.19 4.61 0.39 5.02 0.02 5.43 0.43 5.84 0.16 AAU 3 1.00 0.19 4.60 0.40 5.01 0.01 5.42 0.42 5.83 0.17 AAC 3 0.25 0.41 1.55 0.45 1.68 0.32 1.82 0.18 1.96 0.04 1.03 0.01; C 1 1.10 0.26 0.81 0.99 0.11 0.96 0.04 1.03 0.01; C 1 1.10 0.10 4.16 4.98 3.60 5.03 5.03 1.26 1.26 1.20 1.20 1.20 1.20 1.20 1.20 1.20 1.20   | · · · n          | -         | 8.42         | 0.42 | 9.24 | 0.24 | 10.07 | 0.07 | 10.89 | 0.11 | 11.72 | 0 28   |                       |        |         |       |      |
| 0.25 3.02 0.02 3.29 0.29 3.56 0.44 3.83 0.17 AAU 2 4.42 0.08 1.19 0.19 1.30 0.30 1.40 0.40 1.51 0.49 0.75 1.40 0.40 1.51 0.49 0.19 1.30 0.30 1.40 0.40 1.51 0.49 0.60 0.40 5.01 0.01 5.42 0.42 5.83 0.17 AAC 2 6.25 0.41 1.55 0.45 1.68 0.32 1.82 0.18 1.96 0.01 AAAC 4 2.10 0.26 0.34 1.09 0.39 0.11 0.96 0.04 1.03 0.01 0.01 0.39 0.11 0.96 0.04 1.03 0.01 0.01 0.01 0.01 0.01 0.01 0.01   | AC               | . 2       | 2.97         | 0.03 | 3.26 | 0.26 | 3.55  | 0.45 | 3.84  | 0.16 | 4.13  | 0.11   | n.                    | -      |         | 12.55 | 0.4  |
| 0.08 1.19 0.19 1.30 0.30 1.40 0.40 1.51 0.49 AAU 3 4.10 0.10 0.19 4.61 0.39 5.02 0.02 5.43 0.43 5.84 0.16 AAC 2 0.25 0.19 4.60 0.40 5.01 0.01 5.42 0.42 5.83 0.11 AAC 2 0.25 0.24 1.55 0.45 1.68 0.32 1.82 0.18 1.96 0.04 1.03 0.01 0.89 0.11 0.96 0.04 1.03 0.01 0.01 0.89 0.11 0.96 0.04 1.03 0.01 0.01 0.01 0.01 0.01 0.01 0.01   | .4.4 U           | <b>.</b>  | 2.75         | 0.25 | 3.02 | 0.03 | 3.20  | 0.20 | 3.56  | 0.44 | 3.83  | 0 17   | A U                   | ż      |         | 4.43  | 0.4  |
| 0.19 4.61 0.39 5.02 0.02 5.43 0.43 5.84 0.19 4.06 0.40 5.01 0.01 5.42 0.42 5.83 0.17 AAC 2 6.25 0.45 0.49 1.65 0.45 1.68 0.32 1.82 0.18 1.96 0.00 AAAC 4 2.10 0.26 0.81 0.19 0.89 0.11 0.96 0.04 1.03 0.01 C 1 1.10  |                  | 1         | 1.08         | 0.08 | 1.19 | 0.19 | 1.30  | 0.30 | 1.40  | 05.0 | 1.5.1 | OF O   | a A A O               | Ę      |         | 4.10  | 0.1  |
| 0.19 4.60 0.40 5.01 0.01 5.42 0.42 5.83 0.11 AAC 2 6.25 0.41 1.55 0.45 1.68 0.32 1.82 0.18 1.96 0.01 AAAC 3 6.24 0.24 0.26 0.81 0.19 0.89 0.11 0.96 0.04 1.03 0.01 C 1 1.10  | AC.              | 7         | 4.19         | 0.19 | 4.61 | 0.39 | 5.02  | 0.03 | 5.43  | 0.43 | 8     | 0.16   | . د                   | 1      |         | 1.61  | 0    |
| 0.41 1.55 0.45 1.68 0.32 1.82 0.18 1.96 0.01 AAAC 3 6.24 0.26 0.26 0.81 0.19 0.89 0.11 0.96 0.04 1.03 0.01 C 1 1.10 1.10 4.16 4.98 3.60 5.03 2.56 1.26 1.20 1.20 1.20 1.20 1.20 1.20 1.20 1.20   | AAC              | .3        | 4.19         | 0.19 | 4.60 | 0.40 | 5.01  | 10.0 | 5.43  | 0.42 | 5.83  | 0.17   | AC                    | r1     |         | 6.25  | 0.2  |
| 0.26 0.81 0.19 0.89 0.11 0.96 0.04 1.03 0.01 0.01 1 1.10 1.10 1.10 1.10 1.   | A.A.A.C.         | 7         | 1+1          | 0.41 | 1.55 | 0.45 | 1.68  | 0.32 | 1.82  | 0.18 | 1.96  | , PO O | AAC                   | ĸ      |         | 6.24  | 0.2  |
| 3.60 5.03 2.56 10 2.24m 2 2.74   | <b>.</b>         |           | 0.7∜         | 0.26 | 0.81 | 0.19 | 0.89  | 0.11 | 96.0  | 0.04 | 1.03  | 0.01   | AAAC                  | ÷      |         | 2.10  | 0.10 |
| 3.50 5.03 2.56 2.26 2.20 3.00 3.00 3.00 3.00 3.00 3.00 3.00  | 5.d.m.           |           |              | 4.   | •    | 0    | •     | ٠,   |       | •    | •     |        | اد<br>اف              | -      |         | 1.10  | 0.10 |
|  |                  |           | <del>т</del> | 01.  | ÷    | 96   | M     | 90   | Ņ     | 03   | 2     | 56     | u'PZ                  |        |         | ,     | ٥    |

chain lengths is possible within this size range when the quantitative determinations of all oligonucleotides of a map are given equal importance. This is the case when the sum of the deviations from possible integer values of the observed oligonucleotides and the deviations from possible integer values of the observed oligonucleotides. from TMV-RNA strains were found to be 56, 73, and 79 (83) nucleotides long (Tabis) and plotted. Applying this method chain lengths of large G-lacking segments isolate moles is calculated for different chain lengths, expressed in mononucleotide moles II and Fig. 4)10.

The basic principle of mapping oligonucleotides (that is separation according to base composition, namely by charge at pH 3.5 in the first dimension and by oliginal nucleotide chain length through chromatography in the second dimension) is with clearly demonstrated with a fingerprint obtained from a segment of TMV-RNA of the composition (A35U,C13)G hydrolyzed with pancreatic ribonuclease. Homologous

nucleotides has been found (Figs 2a and 2b). It is also evident from this and other ingerprints (Figs 1b and 1f) that Gp-containing oligonucleotides move somewhat more family AnG are to be expected, and a proper systematic distribution of these oligoslowly during chromatography than G-lacking compounds of the same chain length. io some degree this applies similarly to Ap-containing and Ap-free oligonucleotides. he only other exception from the basic principle mentioned is that the 2':3'-cyclic monophosphodiesters tend to migrate in both dimensions faster than their open fionoester isomers.

0.15 0.10 0.12 0.04

5.18

0.09 0.49 0.47 0.07

5.58

5.29 16.1 1.93 7.49 7.47 2.51 1.32

0.00 0.36

5.00

5 1.83 7.07 2.06

15.02

2.04

0.3

2.65

7.90

90.0

0.35 0.28 0.29 0.37 0.34 0.24

2.37

I.18 2.24

0.17 10.07 0.37

1.72 6.65

4.37

ö

1.39

3.9

5.61

3.50

5.21

0.17

6.16 1.09

0.09

0.07 0.00

2.07

0.04

2.04 1.07

2.01

0.01

1.85

0.07

2.82

1.93

96

91 H

86 M

8I

7

J

M

1 h.o

.59 4.04

0.43

1.57 6.08

1.55 6.01 5.99 1.06

> 0.08 0.00 0.03

0.47

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90.0 0.45 0.01 0.01 90.0 0.01

0.

0.12

0.0

75

74

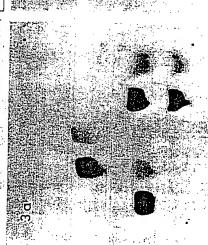
73 Ŋ

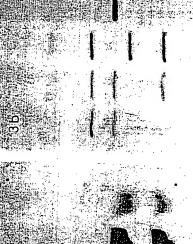
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J

The method described here has several advantages. One is the lack of a transler of material from one carrier to another so that distortions of oligonucleotide ratios are avoided. Another advantage is its simplicity. The chromatographic step is easy to rows for oligonucleotides of the general structure AnU and AnC, and one member of the mental structure AnU and AnC, and one member of the chromatographic development may be regarded as a disadvantage





with pancreatic ribonuclease from a G-lacking segment of TMV-RNA strain Uz with the general 2. Autoradiogram of and oligonucleotide identification scheme for a fingerprint obtained composition (A33U,C13)G showing the homologous rows AnU, AnC, and An (cited from ref. 10) Ul and Cl correspond to the cyclic phosphodiesters.

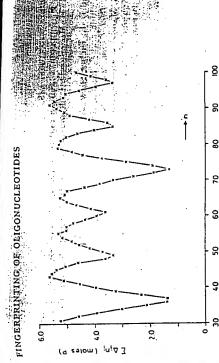
11 40 mg.

alkaline digestion in 10 % piperidine and electrophoresis on thin-layer chromatographic gla 3. Autoradiograms of separations of mononucleotides by electrophoresis on thin layers Method B with substrate hydrolyzed enzymatically with ribonuclease T<sub>1</sub> and T<sub>2</sub> and electroph resis on thin-layer chromatographic plastic sheets submersed in varsol and run for 30 min\* 100 V/cm. The hydrolyzed oligonucleotides are (from left to right): U,G, UCG, UCA, G, and U cellulose at pH 3.5 in 20 % acetic acid with 8 % formamide. (a) According to Method A with CA and CA. Gp and Ap are often only incompletely separated (not shown) (b) According plates for 200 min at 40 V/cm. The bydrolyzed oligonucleotides are (from left to right): Å, Û,A, Ŭ

which so far cannot be circumvented by changes in the butanol content of the deve oping medium. A disadvantage is the limitation of the method for oligonucleotide of rather short chain length.

thin layers appears sufficient to take 4-5 A<sub>260 nm</sub> units of nucleotide material. With the According to preliminary results (K. W. Mundry, unpublished) application of this method is not limited to radioactive material. The capacity of the cellulose

Biochim. Biophys. Acta, 269 (1972) 225-236



1.11

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4. Chain length determination for a long oligonucleotide. The sum of the deviations from possible integer values of oligonucleotide moles is expressed in moles phosphate and is plotted ursus assumed total chain length (for details see Table II).

cleic acid material is clean and free from other ultraviolet-absorbing material and assuming the structure to be analyzed would yield 25 spots, each spot would contain on average 0.2  $A_{260\;\mathrm{nm}}$  unit of nucleic acid material and would, therefore, be easily detectable under ultraviolet light. With advanced techniques of nucleotide quantifluorescence layers, 0.1  $A_{
m 200~nm}$  unit produces a well visible spot. Provided the nuzation18,19 quantitative analyses of eluted material appears possible.

### ACKNOWLEDGEMENTS

assistance during this investigation and Ingrid Slama for help with spot identifications of some of the fingerprints. Programming the laboraty computer by Dr Vlalimir Zarybnicky is cordially acknowledged. The generous gift of a lyophilized sample ribonuclease U2 by courtesy of Mr Okazaki of the Sankyo Co., Tokyo, is very We wish to thank Ulrike Oster and Wolfgang Klemisch for skilful technical anch appreciated, as is support by Prof. W. Zillig, Max-Planck-Institut für Biochemie, Munich, and by the Deutsche Forschungsgemeinschaft, Bad Godesberg.

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MA POLYMERASE ACTIVITIES IN THE CYTOPLASM DIFFERENTIATING CHICK MUSCLE CELLS

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parlment of Biology, Massachusetts Institute of Technology, Cambridge, Mass. 02139 (U.S.A.) Received November 29th, 1971)

### UMMARY

Three distinct activities which catalyze the incorporation of radioactivity from [3H]UTP into acid-precipitable material have been recovered from the cytolasm of embryonic chick muscle cells. On the basis of an analysis of their in vitro products, one of them is due to an enzyme which adds terminally to RNA; however, wo others are DNA-dependent and synthesize RNA heteropolymers. One of the latter two is recovered cosedimenting with polyribosomes.

These activities have properties distinct from nuclear DNA-dependent RNA folymerases described by others and from nuclear RNA polymerases found in chick embryonic muscle cells, and consequently it seems probable that they function in the cytoplasm in vivo. Since the activity found cosedimenting with polyribosomes is sensitive to a-amanitin, it is unlikely to be of mitochondrial origin.

### TRODUCTION

RNA polymerases are known to occur in a variety of adult and embryonic (Eurth and Loh1, Widnell and Tata2, Jacob et al.3.4, Roeder and Rutter5.6 indeli et al.7, Tsai et al.8, Weiss®) but little has been reported on their intracellular bosonial complexes in the cytoplasm or that they have leaked out of the nucleus listribution. Finding RNA polymerase activities in cytoplasmic extracts may mean hat the enzymes are en route to the nucleus after having been synthesized on polyliging preparation of cell fractions or that they actually function in the cytoplasm. the present paper we describe three distinct activities recovered from the cytoplasm d chick embryonic muscle cells which catalyze the incorporation of radioactivity from [3H]UTP into acid-precipitable material but which differ from nuclear RNA polymerase activities. Two of the activities are DNA dependent while the third is in RNA-terminating enzyme. One of the former cosediments with polyribosomes and is not mitochondrial. These activities have properties distinct from nuclear DNAdependent RNA polymerases described by others (Jacob et al.4, Roeder and Rutters) and from nuclear RNA polymerases found in chick embryonic muscle cells, and Econsequently it seems probable that they function in the cytoplasm in vivo. , 34 (1970). (1041). , 1971).

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A FLUORESCENT NUCLEOSIDE FROM
GLUTAMIC ACID tRNA OF ESCHERICHIA COLI K 12

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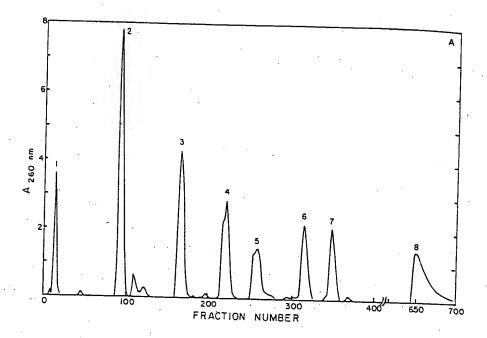
Received January 31, 1972

### Abstract

Glutamic acid tRNA from E. coli K 12 contains three minor nucleosides in the anticodon loop, namely, 2-methyladenosine, 5-methylaminomethyl-2-thioridine and a modified pyrimidine nucleoside, which is highly fluorescent. This tRNA has a chain length of 76 nucleotides and does not contain 7-methylguanosine or dihydrouridine as do the other nine sequenced  $\underline{E}$ . coli tRNAs of chain length 76-77 nucleotides.

In this communication we report the finding of an unusual nucleoside in glutamic acid tRNA from Escherichia coli K 12. E. coli K 12 tRNA glu, purified by reverse phase chromatography, was the kind gift of Dr. A. D. Kelmers of Oak Ridge National Laboratory. The tRNA glu was digested with pancreatic and  $T_1$  ribonucleases and the nucleotide sequences of the fragments were determined by established procedures (1). The elution patterns of the pancreatic and  $T_1$  RNase digestions are shown in Figure 1. The oligonucleotides obtained by enzymatic degradation of glutamic acid tRNA are given in Table 1. A preliminary report of the nucleotide sequence of this tRNA has been presented (2, 3) and is shown in Figure 2.

Ohashi, et al. (4) have reported the sequence of the  $T_1$  anticodon fragment (Peak 11a) as CCCUNUCA  $^{2m}$ GG and identified N as 5-methylaminomethyl-2-thiouridine. We have digested this fragment with pancreatic RNase and obtained the following result: C, 4 moles; U, 1 mole;  $A^{2m}$ C, 1 mole; G, 1



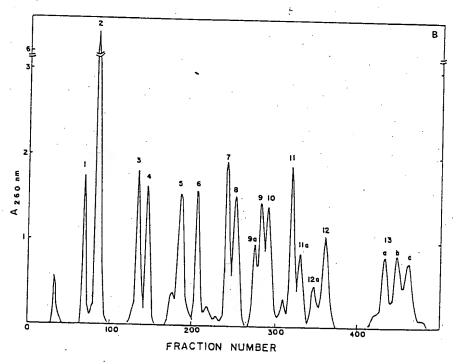


Figure 1 Chromatographic elution patterns of the pancreatic RNase (A) and  $T_1$  RNase (B) digestions of tRNAglu. 550 A<sub>260mm</sub> units of tRNA was digested with 1.5 mg pancreatic RNase (A) or 3500 units  $T_1$  RNase in a volume of 3 ml containing 30mM trisCl pH 7.5 for 36 hours at 37° and applied to a 0.8x90 cm DEAE cellulose column and eluted with a linear salt gradient, 0.0 to 0.45M NaCl in 7M urea, 20mM trisCl pH 7.5, total volume 2.4 liters.

Table 1. Products Formed by Complete Degradation of Glutamic Acid tRNA with

| Pancreatic | RNase |
|------------|-------|
|            |       |

Peak 1 A<sub>OH</sub> Peak 2 18Cp 4Up 2ψp Peak 3 A<sup>2m</sup>Cp Peak 7 AGAGGCp ACp

2GCp GUp NUp

Peak 4 AACp GGCp GGUp Peak 5 pGUp  ${\tt GAAUp}$ Peak 6 AGGACP

Peak 8 AGGGGTp AGGGGACp

T<sub>1</sub> RNase

Peak 1 CCA<sub>OH</sub> Peak 9 UAACAGP Peak 2 10Gp

Peak 10 ACACCGp Peak 3 CGp Peak 11 UCCCCUUCGP

Peak 4 AGp Peak lla CCCUNFCA<sup>2m</sup>CGp or CCCFNUCA<sup>2m</sup>CGp (i) Peak 5 pGp ACGp

Peak 12a trace, not identified Peak 6 TyCGp

Peak 12 AAUCCCCUAGP

Peak 7 UC WAGP Peak 13a, b, c, partial fragments Peak 8 CCCAGp

Peak 9a related to Peak lla

(i) A paper has appeared (4) giving the sequence of this fragment and identifying N as 5-methylaminomethyl-2-thiouridine, but making no mention of a fluorescent nucleoside, F. The exact position of the nucleoside F in this fragment has not been determined unam-

mole; N, 1 mole; and 1 mole of a highly fluorescent nucleoside, F, the UV absorption spectra of which are shown in Figure 3. F does not absorb appreciably at 260 nm, but at neutral pH the nucleoside has an e  $_{\scriptsize max}$  at 294 nm. Its susceptibility to pancreatic RNase indicates that it is a modified

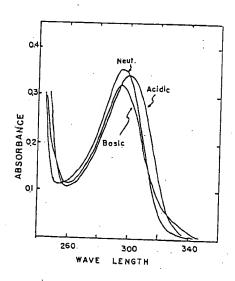
eatic RNase (A) A<sub>260nm</sub> units of e (A) or 3500 30mM trisCl pH 90 cm DEAE t gradient, 0.0 total volume

Figure 2 Cloverleaf model of the nucleotide sequence of glutamic acid tRNA of  $\underline{E}$ .  $\underline{\text{coli}}$  Kl2. Abbreviations: A, C, G, U: adenylic, cytidylic, quanylic and uridylic acids, respectively;  $\underline{A}^{2m}$ , 2-methyladenylic acid; F, N, see text;  $\psi$ , pseudouridylic acid; T, ribothymidylic acid.

pyrimidine. In the pancreatic RNase digestion  $\mathbf{F}_{\mathbf{p}}$  was not observed probably due to masking by the overwhelming amount of other mononucleotides.

The nucleoside F is very hydrophobic and moves almost with the solvent front in both an isopropanol-water-ammonia (70:20:10 V/V) and an isobutyric acid-water-ammonia (66:33:1, V/V) solvent system. After prolonged treatment in either solvent system the nucleoside remains fluorescent, but slight changes in the UV absorbtion spectra and apparent. We have not yet determined unambioguously the position of this fluorescent nucleoside in the anticodon loop.

Also notable is the fact that this tRNA does not contain 7-methylguanosine and dihydrouridine, two nucleosides found in all the nine short chained (76-77 nucleotides long)  $\underline{E}$ .  $\underline{coli}$  tRNAs sequenced thus far, and the fact that the molecule is resistant to complete digestion by  $T_1$ 



UV absorption spectra of F at neutral, acidic, and alkaline Figure 3

RNase. This tRNA could not be fully digested with  $\mathtt{T}_1$  RNase even under conditions of high enzyme concentration or when supplemented with another  $_{
m guanylohydrolase, N}_{
m l}$  RNase. This resistance to T $_{
m l}$  RNase cannot be solely ascribed to the high number (16 of 20) of GC base pairs conferring a tight tertiary structure on the molecule since Holley (5) completely digested tRNA ala of yeast containing 17 GC base pairs with comparative ease. Whether the absence of 7-methylguanosine or dihydrouridine permits the molecule to assume an unusually rigid tertiary conformation remains to be determined.

### Acknowledgements

We thank Dr. A. D. Kelmers of Oak Ridge National Laboratory for supplying us with the purified tRNA glu. This research is partially supported by the National Science Foundation Grant No. GB 17124.

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quence of glutamic acid A, C, G, U: adenylic s, respectively; A2m pseudouridylid

was not observed probably mononucleotides. almost with the solvent 10 V/V) and an isobutyric

After prolonged treatment

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We have not yet de-

rescent nucleoside in the

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RNAs sequenced thus far

mplc digestion by T1

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Accompanies Vol

KAROLINSKA SYMPOSIA ON RESEARCH METHODS IN REPRODUCTIVE ENDOCRINOLOGY Sth Symposium . Gene Transcription in Reproductive Tissue May 29-31, 1972

Departments of Microbiology, Obstetrics and Gynecology and Human Genetics and Development, Columbia University, College of Physicians and Surgeons New York, New York 10032, U.S.A.

NUCLEIC ACID-REACTIVE ANTIBODIES SPECIFIC FOR NUCLEOSIDES AND NUCLEOTIDES

B

B. F. Erlanger, D. Senitzer, O. J. Miller and S. M. Beiser

### ABSTRACT

used in highly specific radioimmunoassays for purine and pyrimidine are presented and shown to be predominantly, if not solely, for the in otherwise native DNA. The sera have also been shown to react with entering and inhibiting transformed Chinese hamster lung cells without periments in which they have been shown to react with nuclear DNA of fixed mouse L-cells harvested during the S phase. Moreover, they have found application in the characterization and identification of human and mouse chromosomes. Finally, initial studies have shown that they can be determinant purine or pyrimidine group presented in the antigen. The antisera react with single-stranded or denatured DNA and, in the case of dependent DNA polymerase system, to detect minor bases such as 6methyladenosine in DNA, and to detect small areas of single-strandedness living cells, inhibiting the development of fertilized sea urchin eggs and affecting normal ones. They have been used in immunofluorescence ex-A method is described for making anti-purine and anti-pyrimidine antibodies by immunization with conjugates of naturally-occurring nucleosides or nucleotides with carrier proteins. The specificities of the antisera anti-adenosine, with RNA preparations. The antisera have been utilized in biochemical systems to inhibit the priming ability of DNA in a DNA-

The utility of immunological procedures in the study of biological systems is analytical procedures, for the localization of components of cells, tissues or systems, and in differentiating among structurally related macromolecules, in Karolinska Symposia of 1969 and 1970 to be impressed by the influence of immunology on reproductive physiology, in particular with respect to radio-immunoassay of steroids and gonadotrophins.

Section 18

The application of immunochemical techniques to problems in genetics and transcription necessarily requires the availability of DNA-reactive antibody. Unfil as recently as 1957 there was no convincing evidence of the existence of simultaneously to nucleic acids. At that time, three laboratories reported almost of some patients with systemic lupus erythematosus (Ceppellini et al. 1957; Seligmann 1957; Seligmann 1957; Seligmann & Milgrom 1957). Three years specific antibodies by the immunization of rabbits with lysates of T-even bacteriophage. However, their antibody was specific only for the glycosylated with other DNA preparations could be demonstrated.

During the latter part of the 1950's, our laboratories had begun a search for methods of stimulating anti-DNA antibody. Because of our experience with anti-steroid antibodies( cf. Lieberman et al. 1959), the problem was approached by preparing hapten-protein conjugates in which the determinant groups were purine and pyrimidine derivatives. Several such conjugates were prepared, but they did not elicit DNA-reactive antibodies. Bendich and Cohen, aware of our interest, then called our attention to a compound they had synthesized, 6-trichloromethyl purine, which was reactive with amino groups (Cohen et al. 1962). This compound could be made to react with the lysine residues of bovine serum albumin (BSA) to yield a conjugate containing 25 purine molecules. The conjugate was immunogenic and yielded purine-specific antibodies that reacted with denatured or single stranded DNA, but not with native DNA. Included were DNA preparations from E. coli, B. subtilis, B. natto, H. influenza, chick embryo, calf thymus, T-even phages and ØX-174 (Butler et al. 1962). Subsequent experiments yielded pyrimidine-specific antibodies which were elicited by immunization with an acetyluracil-protein conjugate (Tanenbaum & Beiser

We had shown, therefore, that it was possible to raise DNA-reactive antibodies experimentally by using suitable hapten-protein conjugates. In order to do so, however, it was necessary to synthesize reactive purine or pyrimidine analogues that could be conjugated with protein. Ideally, one would want to

be able to utilize naturally-occurring nucleosides or nucleotides and, therefore, considerable effort was exerted to find such a method. In 1964, we reported a successful technique (*Erlanger & Beiser* 1964). The starting materials (Fig. 1) were ribonucleosides or ribonucleotides. (The analogous 2'-deoxy compounds cannot be used.) They were allowed to react at room temperature with a slight, excess of periodate which oxidized the vicinal 2' and 3' hydroxyl groups to aldehyde groups. The aldehydic derivatives were coupled to BSA at pH 9-9.5, wia the formation of an addition product with the lysine amino groups of the protein. This product was then stabilized by reduction with NaBH<sub>4</sub> to the tertiary amine.

The steps described in Fig. 1 were carried out sequentially without the isolation of any of the intermediate products. It is thus an extremely simple procedure which can effect the conjugation of as many as 30 haptenic groups per molecule of BSA. Moreover, it is applicable to the conjugation of any haptenic compound having vicinal hydroxyl groups and has been used by others to conjugate the cardiac glycoside, digoxin, to a protein carrier for the elicitation of digoxin-specific antibodies (Butler et al. 1966; Butler & Chen 1967). Presum ably, glycosides or glucuronides of steroids could be handled in the same ward

Shown in Table 1 are the various nucleoside and nucleotide determinant groups to which antibody has been produced in our laboratories. The determinant groups include the five major purine and pyrimidine bases, some so-called minor bases, and dinucleotide phosphates.

P == Purine or Pýrimidine

R'= Protein backbone; i.e. the N is supplied by the lysine residues

Fig. 1. Preparation of nucleoside-protein conjugates.

Table 1.
Anti-nucleoside and anti-nucleotide antibodies.

A. In the state of

| , ,a_             | vererence(s) | Klein et al. (1966)  Erlanger & Beiser (1964)  Erlanger & Beiser (1964)  Klein et al. (1967)  Klein et al. (1967)  Erlanger & Beiser (1964)  Sawicki et al. (1971)  Sawicki et al. (1971)  Sawicki et al. (1971)  Nahon, unpublished, cited in Beiser & Erlanger (1966)  Senitzer, unpublished  Vallace et al. (1971) |
|-------------------|--------------|--|
| Determinant group |              | adenosine adenosine f-phosphate adenosine triphosphate uridine uridine 5'-phosphate guanosine cytidine thymidine (5-methyluridine) NAD 6-methyladenosine 5-iodouridine 5-bromouridine adenylyl cytidine (3'-5') adenylyl adenosine (3'-5') adenylyl adenosine (3'-5') cytidylyl adenosine (3'-5') cytidylyl adenosine (3'-5') cytidylyl guanosine (3'-5') cytidylyl guanosine (3'-5') squanylyl cytidine (3'-5') guanylyl guanosine (3'-5') guanylyl guanosine (3'-5')   |

A number of the antisera have been purified by specific adsorption to egg albumin conjugates followed by elution by hapten or other means (Szafran et al. 1969).

## Specificity of the antisera

The reaction of antigen with antibody and with denatured DNA has been measured by complement fixation, microquantitative precipitation, agar gel diffusion and the double antibody technique. The specificities of the antibodies have been delineated further by hapten inhibition of precipitin or of complesteroid antibodies (Beiser et al. 1959), among which considerable cross reaction occurred, the anti-purine and anti-pyrimidine antisera, in general, exhibited with the immunizing antigen only. An example is shown in Fig. 2, in which is presented a curve of the complement fixation reaction between a globulin pre-

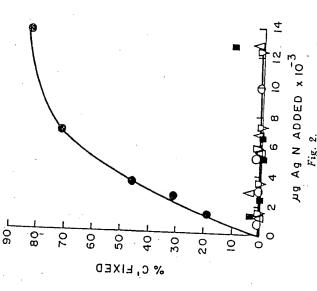
paration of an anticytidine serum and various purine and pyrimidine conjugates, as well as BSA (Garro et al. 1968). In this case, the specificity of the serum was absolute; no cross reactions were observable. Other anti-cytiding serum was worsen, ... serume showed cross reaction with guanosine-BSA but sera, obtained at the same time, showed cross reaction with guanosine-BSA but sera, obtained at the same time, showed cross reaction with guanosine-BSA but sera, obtained at the same time, showed cross reaction with guanosine-BSA but sera, obtained at the same time, showed cross reaction with guanosine-BSA but sera, obtained at the same time, showed cross reaction with guanosine-BSA but sera, obtained at the same time, showed cross reaction with guanosine-BSA but sera, obtained at the same time, showed cross reaction with guanosine-BSA but sera, obtained at the same time, showed cross reaction with guanosine-BSA but sera, obtained at the same time, showed cross reaction with guanosine-BSA but sera, obtained at the same time sera, obtained at the with no other conjugate. Absorption of the latter sera with guanosine-BS yielded antisera specific for the cytidine determinant group only.\*

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Thus, by using the »periodate procedure« described above, it is possible to obtain essentially monospecific anti-purine or anti-pyrimidine sera, either directly from the animal or after appropriate absorption procedures.

rectly from the animal or after appropriate absorption procedures. As with the homologous purine- or pyrimidine-protein conjugates, reaction tion, gel diffusion and double antibody techniques. Precipitation reaction frequently required that DNA be denatured by heat in the presence of forma dehyde although this was not true for all types of antisera. Inhibition by hapter was always greatest by far with the hapten used as the determinant group with denatured DNA could be demonstrated by complement fixation, precipita the antigen.

Recently, we were able to detect a reaction with labelled RNA using the double antibody technique in the presence of 0.2 M Na<sub>2</sub>SO<sub>4</sub>, which inhibits RNass Of interest, and as yet unexplained, was the finding that of the anti-mond Numerous attempts to demonstrate a reaction between RNA and anti nucleoside antisera by precipitation or by complement-fixation were negative denaturation, none of the RNA preparations reacted with antisera having othe nucleoside sera tested, only anti-adenosine reacted with RNA. Of the RMs preparations tested, all but t-RNA reacted with anti-adenosine, but, even after than adenosine specificity (Rosenberg 1970, 1971).



Complement fixation reactions between nucleoside-BSA conjugates and anti-cytidine-BSA globulin fraction. ⊕ cytidine-BSA; ■ thymidine-BSA; △ adenosine-BSA; O guanosine-BSA; ♥ BSA.

## UTILIZATION OF ANTI-PURINE AND ANTI-PYRIMIDINE ANTIBODIES

### In biochemical systems

Anti-guanosine and anti-thymidine antibodies (the latter prepared by immunizing with a BSA conjugate of 5-methyl uridine) were capable of affecting occur with a particular antibody. Thus, for example, in the case of the anti-steroid merase extracted from chick embryos (Wallace et al. 1969). A decrease in rate It is interesting to note that, in Section, in Section in the section is that will the ability of denatured calf thymus DNA to act as a primer for a DNA polyof incorporation of tritiated thymidine was observed but, more significantly, the relative kinetics of tritiated thymidine incorporaton in the presence and purine or anti-pyrimidine antisera. For example, one might have supposed that and absence of antibody resembled those of chromatin and DNA isolated from guanosine anubonies would construct constructions among the pyrimid 1966). In other words, it appeared that the antibody mimicked the action of guanosine antibodies would cross-react with adenosine, at least to some extent. The chromatin in a DNA-dependent RNA polymerase system (Marushige & Bonner

Recently, Sawicki et al. (1971) demonstrated that anti-nucleoside antisera and in transcription. Put in another way, we are looking at another example of hot could be used to detect so-called minor bases in DNA preparations. Antisera to 6-methyladenosine were so highly specific that negligible cross reaction

nes. It appears, therefore, that purines and pyrimidines have special propertion chromatin, i. e. it was masking portions of the DNA, making these portions uncognition. This attribute is probably unique to these classes of compounds and available for copying. 7 It is interesting to note that, in general, an examination of the chemical structual hormones and the androgens, since they share a very similar polycyclic nucled (Beiser et al. 1959). This type of prediction, however, cannot be made with the antiis carried over into other recognition systems, such as those involved in replication purines and pyrimidines can convey exact information.

occurred with adenosine. These antisera could detect as little as 1 % 6-methyl.

A segment

A. Garro, in our laboratory, was able to show that anti-purine and anti-pyrimidine sera could be used to detect small areas of single strandedness in otherwise native DNA (Garro et al. 1968). Utilizing a photooxidation process specific for the destruction of guanine, it was demonstrated that, although native DNA was unreactive, reaction with anti-cytosine became detectable and increased as guanosine residues were oxidized. No reaction with anti-thymidine was observed, confirming that destruction of individual guanine residues exposed only the formerly paired cytosine residues to anti-cytidine. The data indicate that destruction of less than 10% of the guanosine could be detected.

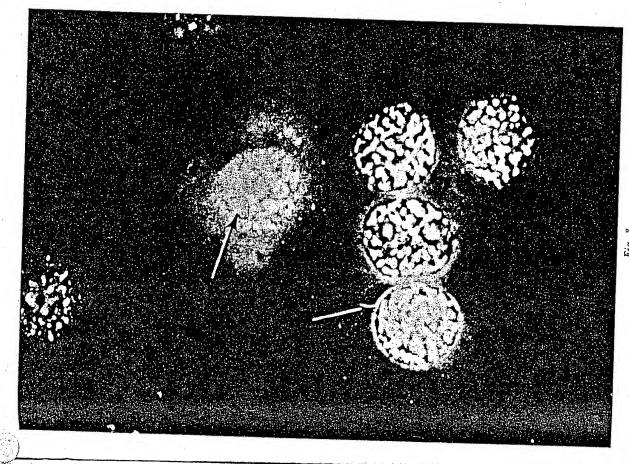
## Reactions in living cells

In early studies, Rosenkranz et al. (1964) demonstrated that anti-purine and anti-pyrimidine antibodies could penetrate fertilized sea urchin eggs and affect the development of the embryos. More recently, Dr. Liebeskind, in our laboratories, found that anti-thymidine antisera entered transformed Chlinese hamster lung cells and inhibited their growth (Liebeskind et al. 1971). Normal globulin also entered the transformed cells but did not inhibit growth. On the other hand, normal Chinese hamster lung cells were penetrated neither by antithymidine nor by normal globulin and hence were unaffected. We are continuing these studies with other cell lines and antisera since they may be of practical therapeutic significance.

## Immunofluorescence experiments

Klein et al. (1967) showed that fluoresceinated anti-nucleoside globuling would react with the the nuclei of fixed mouse L-cells (Fig. 3) but only if these cells were harvested during the period of maximal DNA synthesis at measured by uptake of thymidine. This corresponded to the time when DNA was replicating (the S phase) and was at least partially single-stranded. Free man et al. (1971) pursued these studies further with human diploid fibroblasti examining the nuclear fluorescent properties throughout the entire cell cycle. Their results confirmed Klein's. Moreover, strikingly different patterns were observed during the early, middle and late portions of the S phase. In particular, nucleolar fluorescence occurred very early during the S phase. This was followed by membrane fluorescence and finally by fluorescence within the nucleus.

One of the recent applications of anti-nucleoside antibodies is the investigation of chromosome structure. Standard 3:1 methanol: acetic acid fixed human or mouse metaphase chromosomes were examined. For reaction with antibody



Fluorescence of L cell nuclei using fluorescein-conjugated sheep anti-adenosine. Arrows point to a nucleolus and to a nuclear membrane. (Klein et al. 1967) (Reprinted with permission of Rockefeller University Press).

it was necessary to denature the DNA (Freeman et al. 1971) and this was done-by a one-half to one hour treatment at 65° with 95 % formamide in 0.15 M NaCl – 0.015 M sodium citrate (SSC), with or without 0.25 % formaldehyde. Using the indirect immunofluorescent method, anti-adenosine, anti-guanosine anti-thymidine and anti-cytidine produced a series of light and dark banded chromosomes (Fig. 4). The fluorescent banding followed a consistent pattern that resembled the banding pattern of quinacrine-stained human chromosomes (Caspersson et al. 1970) and the Giemsa banding seen after pretreatment with trypsin (Seabright 1971) or hot SSC (Sumner et al. 1971).



Fig. 4. Raryotype of a cell from normal human male, treated as described in text with rabbit anti-adenosine and fluorescein-tagged sheep anti-rabbit globulin. Zeiss fluorescent microscope, HBO 200 W high pressure lamp, BG12 exciter and 530 nm barrier filter.

After treatment with anti-adenosine, each chromosome in the metaphase cell could be identified by its characteristic banding pattern (Fig. 4). Despite the similarity to the other banding patterns, there are certain characteristic features of the anti-nucleoside-treated chromosomes. The non-staining region at regions of chromosomes 1, 9 and 16 are also larger. The distal end of the Y, which is intensely fluorescent after quanacrine staining, is almost unreactive with anti-adenosine. Preliminary investigations indicate that anti-adenosine and anti-thymidine produce more distinctive patterns than the other antisera. It is interesting to note that, after trypsin or hot SSC treatment, which are used to bring out Giemsa-banding, no anti-adenosine is taken up by the chromosomes. Differential denaturation or renaturation cannot, therefore, be responsible for the Giemsa-banding patterns, as has been suggested by Sumner et al.

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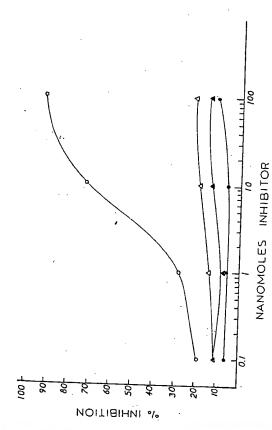


Fig. 5.

Hapten-inhibition of binding of heat-denatured, tritiated E. coli DNA by various nucleosides. 

adenosine; ○ cytidine; ▲ guanosine; △ thymidine. Conditions of experiment: The anti-cytidine dilution was such that 40 % of DNA was bound in absence of hapten. Latter was incubated with 50 \$\lambda\$ antibody for one hour at 4° in 0.01 M Tris, 4° overnight. A quantity of sheep anti-rabbit globulin was added and the mixture kept at more than required to precipitate all anti-cytidine. After remaining overnight at 4°, the precipitate was collected by centrifugation, washed 3 times with TBS, dissolved in Soluene (Packard Instruments) and counted in 10 ml Omnifluor

(New England Nuclear).

Radioimmunoassay

Like other anti-hapten antisera, anti-nucleoside sera should be suitable for use in radioimmunoassays of free nucleosides. A beginning has been made in our laboratories using a somewhat novel approach: measurement of the displacement of tritiated DNA by »cold« nucleoside. As shown in Fig. 5, the assay is extremely specific; displacement of DNA from anti-cytidine is accomplished by cytidine at very low concentrations. The other bases are, for practical purposes, inactive. The same kind of specificity is shown by seral specific for the other purine and pyrimidine bases.

### CONCLUSION

The importance of the tools and techniques of immunology in biological studies has become increasingly recognized within the last decade. In fact, very few fields of biology have not felt the impact of immunology. The development of means of producing nucleic acid-reactive antibodies specific for purine and pyrimidine bases, makes it possible now to expand the utilization of immunochemical techniques into fields hitherto untouched. Most obvious among the fields is genetics; in many respects, however, the problems of genetics and those of reproductive physiology overlap and we can safely predict that nucleic acid-reactive antibodies can be utilized in these areas. There certainly is precedent for saying that the development of new tools usually leads to their application in ways unforescen by those involved in their development. The authors hope that the presentation of our material at this interdisciplinary symposium will lead to unanticipated, original applications to problems in reproductive physiology.

## ACKNOWLEDGMENTS

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### NOISSION

Erlanger: Recent experiments by R. Schreck in our laboratories indicate that chromosomes of human cells in metaphase can be photooxidized by visible light in the presence of methylene blue, as can be done with DNA in solution (Garro et al. 1968). Subsequent staining with anti-cytidine sera followed by fluorescein-labelled sheep anti-rabbit sera (indirect procedure) yielded banded chromosomes whose patterns were, in general, complementary to those shown in Fig. 4. A control with anti-thy-Simon & Van Vimakis (1962) that guanine residues are selectively destroyed by methylene blue-sensitized photooxidation and with the evidence of Weisblum & de Hasell, (1972) that quinacrine fluorescence occurs in regions of DNA rich in A-T base pairs, to investigate the structure of chromosomes.

Cocito: Supposing you make antibodies against a dinucleotide. Let us say A and C. would the antibodies react with A, C and AC with the same efficiency? would the antibodies react with A, C and AC with the same efficiency?

Erlanger: If you make antibody to ApC, let us say, and C is the moiety that is attached to the protein, the antibodies react most with the determinant group of the conjugate used for immunization, that is with ApC. There is also cross reaction with C but not with A C, but not with A.

Cocito: If you now adsorb your antibody preparation on G, what is left, does it reacted only with A? only with A?

Erlanger: There is reaction only with ApC; none with C, and no reaction with A.

Cocito: What about the trinucleotides?

Erlanger: We are working on that now. The trinucleotides, we hope, will be seen as trinucleotides. We are hoping that we will be making antibody specific for a sequence. But the first problem is to make the trinucleotides in a quantity sufficient for immunization of rabbits; that means making 10-15 milligrams of it.

Cocito: Did you obtain antibodies against methylated albumin bound RNA?

Erlanger: We have not tried to do that.

Dixon: What happens to the rabbits which make these antibodies, have they developed: autoimmune problems of any kind? Erlanger: We have never been able to find it. Obviously, they could develop lupus, for example. We don't have any evidence that any rabbits have come down with lupus at a result of immunization.

Bouteille: We have been developing (Leduc et al. 1968) methods of antibody labelling with peroxidase, and our hope, of course, was to label with a high resolution in the purchas histones and eventually nucleic acids. In that way we would be able, for with RNA. We could overcome that problem by digesting on sections with DNAse of RNAse just before the reaction. I think this is a possibility and I would like to have instance, to distinguish between DNA and RNA. I realize that you have difficulties nucleus histones and eventually nucleic acids. In that way we would be able, your opinion.

by electron microscopy you can probably succeed with some type of digestion of DNA or RNA and then look for antibody tagged either with peroxidase or ferritin and Erlanger: I think that in terms of visualizing DNA and RNA complexes with antibody find it. The procedure that we use would be bothered by digestion, because we would then be producing inhibitors of the reaction, haptenic inhibitors. So we couldn't do this. On the other hand, there might be things we can do that would allow us to get better reactions with RNA. But there is no question about this: under conditions thal are identical, in which we get reaction with DNA, we only get reaction of RNA with Cashersson. These applications of immunofluorescence techniques on chromosomes open up really exciting possibilities, and we look forward to seeing more work done with different antibodies, and also comparisons between the resulting patterns. I would like to draw your attention to the TV-based technique for the study of chromosome pattern details which I described in my presentation. That procedure facilitates very

much detailed comparisons between details in patterns produced by different tecnni-

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Erlanger: I would like to point out with respect to peroxidase labelling that there is a into the headlights of an automobile; you can se the headlights but you cannot see the automobile. You can't see the details. It has an advantage in that you can measure it possibility that we'll be able to see something interesting with that type of antibody labelling rather than fluorescent labelling. Fluorescent labelling reminds me of looking accurately because you are measuring something over background noise rather than measuring difference. But in terms of seeing details, fluorescence has disadvantages, and I think that with peroxidase-labelled antibody we'll be able to see some details that we cannot see with fluorescence. And of course, we will be able to do electron microscopy using the same antiserum. Caspersson: I am not sure I agree entirely with your looking into the headlights. That depends very much on the technique you use. You have to use rather subtle techniques to pick out all the details.

pastan: We find that when we make antibodies to nucleotides, such as cyclic AMP and cyclic GMP, then one doesn't end up with such great specificity. This is probably because the chemical method of making the conjugates is different.

The second thing that struck me is your finding that there is no single-stranded DNA in interphase cells. A model has been proposed by Crick for control of DNA expression in animal cells in which single-stranded regions of DNA are present in normal cells during interphase. I think your data are the strongest evidence against

Erlanger: I wouldn't want to get into battle with Crick: But you have to remember that we would be able to find single-stranded regions only if the antibody molecule can get in. This is a molecule of 180 000 molecular weight. So our methods are limited by that fact. Pastan: The cells are transformed cells; are those the cells you have employed in these experiments?

Erlanger: Dr. Kelin's work was done with mouse L cells. Dr. Liebeskind worked with normal and methylcholanthrene-treated (i. e. transformed) Chinese hamster lung cells.

Hubinont: You probably didn't study the species cross reaction possibility?

Erlanger: No. You don't see a species difference as you do with proteins.

Hubinont: I guess that adjuvants added to your pure antigen instead of coupling it with large molecules of bovine albumin cannot be used in these studies because most of these adjuvants contain bacterial material?

Erlanger: Oh, yes, we use adjuvants. Let me make clear first of all that the purine and produce the antibody. These are haptens, groups that react with antibody but are not immunogenic. So it becomes a determinant group and immunogen only when it is pyrimidine are attached covalently to the protein. Pyrimidine or purine does not attached covalently to a carrier. We do use adjuvants. The way in which we immunize is to use adjuvants and to immunize in the foot pad of rabbits. There is no problem

Hubinon, it has no antigenic properties by itself. What happens when you min your preparation with adjuvant and inject it into the rabbit without coupling albumin?

Erlanger: The nucleotides will not induce antibodies if mixed with adjuvant an injected into animals.

Mach: About the difficulty of getting antibodies to RNA: It is not what bothers arimal, but what bothers the cell, which might be crucial. It is interesting that it antibodies are very compartmentalized in the cell. If a cell started producing its own cytoplasm antibodies against ribosomes, for instance, the cell would very likely dies stop growing. Antibodies against DNA are probably well tolerated by the cells be cause the antibody never gets the chance to see the DNA inside the cells.

chemical procedure which Dr. Ch. Faust and I have developed, not to select DNA but to select specific polysomes and thus specific messenger RNAs. This technique involves the purification on a reversed immunoabsorbent column of polysomes specific a given protein. The column contains covalently coupled antibodies directed against the immunogenic nascent polypeptide chains. In our case, we have selected for polysomes specific for the L chain of gamma globulin. One can load the columns with polysomes and the column retains a certain number of these polysomes specifically somes intact. For this the antibiotic puromycin can be used. Puromycin cleaves the nascent chains from the polysomes and releases "nascent chain-free polysomes and releases "nascent chain-free polysomes could be very generally applicable, not only to L chain polysomes, but to other types of polysomes, and therefore to other types of messengers as well.

Erlanger: That is very interesting. I would just like to comment on your first comment and that is to make absolutely clear here that we are not making antibodies to DNA in our procedure. We are making antibodies that cross-react with DNA and we are looking for cross-reactions with RNA.

People have made antibodies to DNA by complexing them to various things, like methylated bovine albumin, for example. People have made antibodies to ribosomed and the fact that it would not be healthy for an animal to make antibodies to ribosomes is not relevant because you can do these things. You can get antibodies just by exposing them to things they will never be exposed to normally and they will frequently make antibodies.

Lewin: I should like to come back to the matter Dr. Pastan raised. Can you say what the resolution of your technique is? How long would a denatured region have to be for you to be able to detect it?

Erlanger: Garro's experiments did not include studies at a very low level of photooxidation. We were able to see reaction of anti-C antibody after 2-3 % of the guanosine was destroyed. We don't know where this guanosine was located. We don't know where this guanosine was located. We don't know if the was concentrated at one locus, or whether we were destroying residues at random. So I cannot give you a direct answer. I can say that since the structure of antibody molecules is known, you can make an estimate as to how big the grouping would have the be, but we have not made any calculations.

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### Chemical Modification of the Fluorescent Base in Phenylalanine Transfer Ribonucleic Acid\*

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ABSTRACT: The tRNA Phe of wheat germ, tRNA Phe, exhibits fluorescence in solution due to the presence of a fluorescent base, Yw, adjacent to the 3' end of the anticodon. When this tRNA was exposed to ammonium carbonate at pH 9, it was converted into  $tRNA_2^{Phe}$  which exhibits the same fluorescence but is chromatographically distinct from tRNA<sub>1</sub><sup>Phe</sup>. The conversion was due to the modification of  $Y^1_{\mathbf{w}}$  to a new fluorescent base,  $Y_w^2$ , which has a free acidic group (p $K_a$  = about 4) not present in Yw. Thus at around neutrality tRNA2 has an acidic group with a net negative charge on the base adjacent to the 3' end of the anticodon; in every other respect it is identical with tRNA<sub>1</sub><sup>Phe</sup>. The specific modification had no effect upon the rate at which the tRNA was esterified by the PhetRNA synthetase, but it reduced the rate of poly(U)-directed polyphenylalanine synthesis. The free base Y<sup>2</sup><sub>w</sub> can be further

degraded by alkaline hydrolysis to  $Y_w^3$  and then to  $Y_w^4$  without any change in the spectrum of the fluorescent chromo-

In the conversion of  $Y_w^3$  into  $Y_w^4$  a blocking group is removed from an aliphatic amino group on a side chain. These results indicate that Y' is similar to the Y base of yeast in having a blocked amino acid side chain on the characteristic Y base chromophore. This paper presents evidence that  $Y_w^1$  differs from the Y base of yeast in the structure of the distal portion of the side chain. The Y base of beef tRNA Phe is indistinguishable from that of wheat germ. A simple procedure was found for purifying wheat germ and yeast tRNA Phe employing two benzoylated DEAE-cellulose columns, one run in the presence of unbuffered MgCl2 and one in the presence of EDTA buffered at pH 4.5.

he major phenylalanine tRNAs (tRNA Phes) isolated so far from eukaryotic organisms have all been distinguished by the presence of an unusually hydrophobic and highly fluorescent base, the Y-type base. A base of this type was first detected in the tRNA Phe of yeast by RajBhandary et al. (1967) and was called Y. It was found to be located contiguous with the 3' end of the anticodon. A related Y base was found in the same position in the structure of the tRNA Phe of wheat germ

by Dudock et al. (1969). A Y-type base is present, presumably in the same location, in the tRNA Phe's from rat liver (Fink et al., 1968), beef liver (Yoshikami et al., 1968), and peas (G. A. Everett, personal communication). The Y base has not been detected in any other species of tRNA other than tRNA Pile (Yoshikami et al., 1968). The Y base thus appears to have a role unique to the function of the tRNA Phes of eukaryotes, yet it is not an essential feature of tRNA Phe in general since it is not present in the tRNA Phe of Escherichia coli (Barrell and Sanger, 1969).

Other tRNAs exhibit, in the same locus adjacent to the 3' end of the anticodon, a wide variety of hypermodified residues (Schweizer et al., 1969) such as 1-methylinosine (Holley et al., 1965), N<sup>6</sup>-isopentenyladenosine (Biemann et al., 1966), N<sup>6</sup>isopentenyl-2-methylthioadenosine (Burrows et al., 1968), and N-(purin-6-ylcarbamoyl)threonine ribonucleoside (Schweizer et al., 1969). It has been found that most of the tRNAs which have an A as the 3' base of the anticodon possess a hyper-

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Peterkofsky and Jesensky, 1969; Rosenberg and Gefter, 1969). Peterkofsky and Jesensky, 1969; Rosenberg and Gefter, 1969). To investigate the role of the hypermodified base adjacent to the anticodon; we undertook a study of the tRNA phe of wheat; beef; and yeast; all of which contain a Y-base in this position. In the course of the study of the tRNA phe of wheat germ it was found that the Y-base can be chemically modified in situ under conditions sufficiently mild that other bases in the tRNA-remain unaffected. This paper presents the conditions for this specific modification, evidence for the nature of the modification, and a study of the effect of this modification upon the functional activity of the tRNA.

### Materials

Commercially processed raw wheat germ (Triticum durum) was purchased from Shiloh Farms, Sherman, N. Y., and was stored at 4° in plastic bags. Active tRNA, enzymes, and ribosomes were obtained from this material even after storage for over 2 years. tRNA was obtained from the wheat germ by the procedure described by Dudock et al. (1969). Yeast tRNA was isolated from baker's yeast (Fleischmann, Saccharomyces cerevisiae) by the procedure of Holley (1964). Beef liver tRNA was prepared according to Brunngraber (1962). The procedures for preparing purified soluble enzymes from wheat germ, yeast, and beef liver and for preparing wheat germ ribosomes are given by Yoshikami (1970) and are only slightly modified from the procedures used by Leis and Keller (1971).

DEAE-cellulose, type No. 70, capacity 0.9 mequiv/g, was purchased from Carl Schleicher and Schuell Co., Keene, N. H. Benzoylated DEAE-cellulose (BD-cellulose), prepared by the method of Gillam et al. (1967), was a gift from Dr. B. S. Dudock. Sephadex G-100 and G-25 were from Pharmacia Fine Chemicals, Inc., Piscataway, N. J. Cellulose thin-layer chromatographic plates (nonfluorescent) were purchased from Analtech, Inc., Wilmington, Del., and Brinkman Instruments, Westbury, N. Y.

RNase T<sub>1</sub> (EC 2.7.7.26) prepared by Sankyo, Ltd., Tokyo, was purchased from Calblochem, Los Angeles, Calif. [¹·C]-Phenylalanine was obtained from Schwarz BioResearch, Inc., Orangeburg, N. Y. Poly(U) with a sedimentation coefficient of 8.4 S or a number-average molecular weight of about 500,000 (Moore, 1966) was purchased from Miles Chemical Co., Elkhart, Ind.

### Methods

Ultraviolet Spectroscopic Analysis. RNA concentrations were measured by absorbance at 260 nm ( $A_{260}$ ) with a 1-cm light path in neutral solutions containing 10 mM MgCl<sub>2</sub>. Amounts of RNA are expressed in  $A_{260}$  units: 1  $A_{260}$  unit of RNA in 1 ml of solution gives an  $A_{260}$  reading of 1. For pure tRNA Phe, 1  $A_{260}$  unit was taken to be equal to 1.83 nmoles of tRNA Phe (Wimmer et al., 1968).

Fluorescence Assays. All fluorescence measurements were conducted at room temperature in an Aminco-Bowman spectrophotofluorimeter fitted with a xenon arc lamp and an RCA IP-21 photodetector. Standard quartz 1 × 1 cm path-length cuvets were used. Excitation at 310 nm was used for all mea-

<sup>1</sup> Abbreviations that are not listed in *Biochemistry 5*, 1445 (1966), are:  $Y_w$ ,  $Y_y$ , and  $Y_b$ , the fluorescent bases from wheat germ, yeast, and beef liver tRNA<sup>Pho</sup>s, respectively; BD-cellulose, benzoylated DEAE-cellulose;  $A_{760}$ , absorbance at 260 nm with a cell path of 1 cm;  $F_{440}$ , fluorescence intensity at 440 nm upon excitation at 310 nm.

surements in order to mainize inner filter effects due to the RNA: A minimum number of collimating slits was used, one 1.55-mm entrance slit and one 0.8-mm exit slit, thus sacrificing spectral resolution for sensitivity. To further maximize sensitivity, two stainless steels mirrors were inserted behind the cuvet. The fluorescence intensity reading obtained under these conditions at an emission, wavelength of 440 nm is called  $F_{440}$ . The  $F_{440}$  values reported here were corrected for solvent blanks.

Column Chromatography. The procedures for chromatography of tRNAs on BD-cellulose columns were adaptations of Gillam et al. (1967). Oligonucleotides were fractionated on DEAE-cellulose columns in the presence of 7 m urea (Tomlinson and Tener, 1962). The columns  $(0.4 \times 60 \text{ cm})$  were equilibrated with 7 m urea-20 mm Tris HCl (pH 8 or 8.5). The sample and developing solutions were pumped through the column with a peristaltic pump at a rate of about 0.5 ml/min. The  $A_{250}$  of the effluent was monitored on a Gilford recorder Model 2000, Gilford Instrument Inc., Oberlin, Ohio.

Cellulose Thin-Layer Chromatography. Chromatography was carried out at room temperature. Solvents used were as follows (all ratios are expressed in volumes): I, isopropyl alcohol-concentrated NH<sub>4</sub>OH-H<sub>2</sub>O (7:1:2); II, isobutyric acid-concentrated NH<sub>4</sub>OH-H<sub>2</sub>O (50:2:28); and III, 1-butanol-concentrated formic acid-H<sub>2</sub>O (7:1:2).

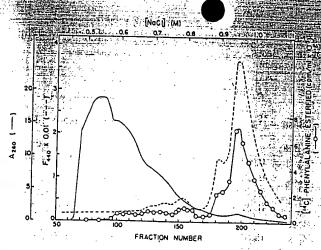
Electrophoresis. Flat-bed paper electrophoresis was carried out on Whatman No. 1 paper. Samples were wet spotted along with standards and subjected to a field of about 40 V/cm for about 30 min at 15 to 20°. Final mobilities were calculated relative to the mobility of cytidine at pH 1-3 after corrections for electroendosmosis. Solutions used were: distilled water adjusted to pH 1 with HCl; 10% acetic acid adjusted to pH 2 with formic acid; 0.5% NH<sub>4</sub>OH adjusted to pH 3 with formic acid; 0.4% NH<sub>4</sub>OH adjusted to pH 4 with formic acid; 0.4% NH<sub>4</sub>OH adjusted to pH 5 with acetic acid; 0.5% acetic acid adjusted to pH 6 with pyridine; 0.2% acetic acid adjusted to pH 6.5 with pyridine; 0.0% acetic acid adjusted to pH 6.5 with pyridine; 0.0% NaHCO<sub>2</sub>, pH 8.5; 0.05 M Na<sub>2</sub>CO<sub>3</sub> adjusted to pH 10 with 0.05 M NaHCO<sub>3</sub>; and 0.05 M Na<sub>2</sub>CO<sub>3</sub>, pH 11.1.

Conversion of  $tRNA_1^{Phe}$  into  $tRNA_2^{Phe}$  by Treatment with Ammonium Carbonate. Each sample (less than 300  $A_{260}$  units) of  $tRNA_1^{Phe}$  was dissolved in 1.5 ml of water. To this was added 1.5 ml of 1 m ammonium carbonate. The final pH was 9. About 20  $\mu$ l of CHCl<sub>3</sub> was added to the solution to prevent bacterial growth, and the mixture was incubated at 42° for the desired time. The reaction was terminated by precipitating the tRNA with 6 ml of absolute ethanol. The precipitate was dissolved in 3 ml of water, 0.5 ml of 2 m NaCl was added, and the tRNA was precipitated with 6 ml of absolute ethanol and desiccated.

Excision of the Y Base. Thiebe and Zachau (1968) were the first to demonstrate the acid catalyzed excision of the Y base from yeast tRNA Phe. We used a procedure slightly different from theirs. Dry tRNA Phe or oligonucleotide containing the Y base was taken up either in water adjusted to pH 2.7 with formic acid or HCl, or in 0.1 M ammonium formate (pH 2.7), and incubated in a sealed glass capillary tube at 60° for 30-60 min. The hydrolysate was then spotted directly on a thin-layer plate for chromatography.

Acceptor Assay for tRNA<sup>17he</sup>. The assay procedure is given by Yoshikami (1970) and is only slightly modified from the procedure used by Leis and Keller (1971).

Poly(U)-Directed Polyphenylalanine Synthesis. The standard 1-ml reaction mixture consisted of the stated amount of tRNA Phe 10 um [14 Claberylalanine (10 City poly) 75



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FIGURE 1: BD-cellulose column chromatography of bulk wheat germ tRNA. Bulk wheat germ tRNA (about 300 mg, previously purified by gel filtration on a Sephadex G-100 column) was dissolved in 30 ml of start solution and applied to a BD-cellulose column (1.23 × 90 cm). The column was then eluted with a linear gradient from 0.3 to 1.2 m NaCl containing 10 mm MgCl<sub>2</sub> (1.2-l. total volume). Fractions of 5 ml were collected at a flow rate of 0.5 ml/min.

of poly(U), 50 mm Tris HCl (pH 7.6), 84 mm KCl, 1 mm EDTA, 10 mm MgCl<sub>2</sub>, 0.6 mm GTP, 1 mm ATP, 5 mm phosphoenolpyruvate, 7  $A_{250}$  units of wheat germ ribosomes, and about 1.2 mg of wheat germ soluble fraction enzyme preparation. The mixture was incubated at 37° for the desired time. The reaction was terminated by raising the pH to 11–12 with 0.1 ml of 1 m NaOH, and the mixture was incubated at 37° for 15 min in order to hydrolyze all esterified tRNAs. The solution was neutralized with 0.1 ml of 1 m HCl and then 2.5 ml of 0.4% NaWO<sub>4</sub>–8% sodium trichloroacetate (pH 1.7) was added to precipitate the polyphenylalanine. The precipitate was collected and rinsed on a Millipore filter and assayed for <sup>14</sup>C as in the acceptor assay. All data were corrected for blank controls which were treated identically as above except no poly(U) was added.

### Results

Fractionation of tRNA Phe's from Wheat Germ. Bulk tRNA from wheat germ was first fractionated on a BD-cellulose column (Gillam et al., 1967) using a linear gradient of NaCl concentration in the presence of 10 mm MgCl<sub>2</sub> (Figure 1). Good resolution was obtained by the use of a slow flow rate and a shallow gradient extending up to 1.2 м NaCl. The column fractions were assayed for fluorescence at 440 nm upon excitation at 310 nm ( $F_{440}$ ) as well as for phenylalanine-acceptor activity. As shown previously (Yoshikami et al., 1968), the  $F_{140}$  pattern coincides almost exactly with the elution pattern of the tRNA Phe's. The pattern in Figure I shows a number of minor tRNA Phe's in addition to the major species, tRNA<sub>1</sub><sup>Phe</sup>, which has its peak at tube 197. The small peak in tubes 145-165 is the tRNA2 which was previously detected (Yoshikami et al., 1968) in variable amounts in different bulk wheat germ tRNA prepara-

A hitherto unreported peak, tRNA<sub>3</sub><sup>Phe</sup>, appears in variable amount on the leading edge of the major peak. tRNA<sub>3</sub><sup>Phe</sup> was found to be monomeric by gel filtration on Sephadex G-100. The fluorescent Y base obtained by mild acid hydrolysis of this tRNA was chromatographically identical with that from tRNA<sub>1</sub><sup>Phe</sup>. tRNA<sub>3</sub><sup>Phe</sup> cochromatographs with tRNA<sub>1</sub><sup>Phe</sup>

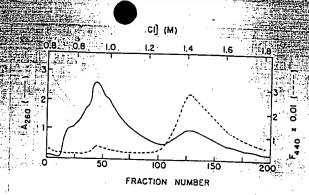


FIGURE 2: Chromatography of partially purified tRNA<sub>1</sub><sup>Pho</sup> on BD-cellulose at pH 4.5 in the presence of EDTA. Partially purified wheat germ tRNA<sub>1</sub><sup>Pho</sup> (864 A<sub>260</sub> units, from chromatography as in Figure 1 of bulk wheat germ soluble RNA not treated by gel filtration) was applied to a BD-cellulose column (1 × 90 cm) and eluted with a linear gradient from 0.8 to 2 m NaCl containing 1 mm EDTA and 10 mm sodium acetate (pH 4.5) (800-ml total volume). Fractions of 4 ml were collected at a flow rate of 1 ml/min. Each tube in the fraction collector contained 0.2 ml of 0.2 m MgCl<sub>2</sub>-1 m Tris·HCl (pH 7.5) in order to raise the Mg<sup>2+</sup> concentration and the pH of the effluent as it emerged from the column.

on BD-cellulose after it has been heated. It therefore appears to be a conformer of  $tRNA_1^{Phe}$ .

Purification of tRNA! from Wheat Germ. The major species,  $tRNA_1^{Phe}$ , is obtained from the BD-cellulose column described above at a purity of about 30%. Chromatography of this material on a second BD-cellulose column, this time using a NaCl gradient containing EDTA at pH 4.5, yields tRNA<sub>1</sub><sup>Phe</sup> that is at least 85% pure as judged by acceptor activity (Figure 2). These two successive column fractionations on BD-cellulose provide a rapid method for obtaining highly purified wheat germ tRNA Phe. This procedure can also be used for obtaining purified rRNA Phe from yeast. A precaution must be observed in using a BD-cellulose column at pH 4.5 with tRNA Phe's which have a Y-type base. As shown by Thiebe and Zachau (1969), the Y base can be excised from the tRNA at low pH. We have noted a very slow excision of Y during chromatography at pH 4.5 at room temperature. To minimize this, a relatively fast flow rate was maintained, and aliquots of Tris buffer at pH 7.5 were placed in the receiving tubes to raise the pH of the effluent (see Figure 2).

Nature of tRNA<sub>2</sub><sup>Phe</sup> from Wheat Germ. This tRNA has the same fluorescence emission spectrum as tRNA<sub>1</sub><sup>Phe</sup> (Yoshikami et al., 1968) showing that a Y-type is present. The amount of tRNA<sub>2</sub><sup>Phe</sup> was variable in different preparations of bulk tRNA suggesting that it was derived from tRNA<sub>1</sub><sup>Phe</sup> during the isolation of the bulk tRNA. tRNA<sub>2</sub><sup>Phe</sup> was not simply a conformer of tRNA<sub>1</sub><sup>Phe</sup> since it was not converted to the latter on heating in solution at 80°. Since tRNA<sub>2</sub><sup>Phe</sup> had a lower affinity for BD-cellulose than tRNA<sub>1</sub><sup>Phe</sup>, and since the Y base is responsible for the high affinity of tRNA<sub>1</sub><sup>Phe</sup> for this resin (cf. Thiebe and Zachau, 1969), it was suspected that tRNA<sub>2</sub><sup>Phe</sup> had been formed by a chemical modification of the Y base.

To test this hypothesis, the Y base from each of the two  $tRNA^{Phc}s$  was excised by mild acid treatment (Thiebe and Zachau, 1969) and the chromatographic and electrophoretic mobilities of the two bases were compared. The Y base excised from  $tRNA_2^{Phc}$  was found to differ from the base excised from  $tRNA_1^{Phc}$ . The former will therefore be designated  $Y_w^2$  and the latter  $Y_w^1$ . In cellulose thin-layer chromatography using organic solvent mixtures,  $Y_w^2$  has a lower  $R_1$  than  $Y_w^1$  (Table I).

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TABLE 1: Chromatographic and Electrophoretic Mobilitie

|   | _    | n Diff<br>olvent |      |        | at Diffe | erent pF | ł's   |
|---|------|------------------|------|--------|----------|----------|-------|
| Base  | Ì    | İI               | III  | 2.7    | 3.5      | 6.5      | 10    |
| Wheat   |      |                  |      |        |          |          |       |
| $Y_{w}^{1}$ $Y_{w}^{2}$ $Y_{w}^{3}$ $Y_{w}^{4}$ | 0.67 | 0.90             |      | +0.5   | +0.3     | 0        | 0     |
| $Y_{\mathbf{w}}^{2}$                            | 0.30 | 0.75             | 0.31 | +0.4   |          |          | -0.5  |
| $Y_{\mathbf{w}}^{3}$                            | 0.40 | 0.55             |      | o−0.1• | ·-0.1    | -0.5     | -0.5c |
| $Y_w^4$   | 0.22 | 0.65             |      | +0.8   | +0.4     | 0        | O¢    |
| Beef  |      |                  |      |        | 7.       |          |       |
| $Y_b^1$<br>$Y_b^2$                              | 0.67 | 0.90             |      |        | +0.3     | 0        |       |
|   | 0.30 | 0.75             | 0.31 | +0.4   |          | -0.5     |       |
| Yeast   |      |                  |      |        |          |          |       |
| $Y_y^1$<br>$Y_y^2$                              | 0.84 | 0.93             |      |        | +0.3     | 0        |       |
| $Y_y^2$   | 0.39 | 0.77             | 0.50 |        |          | -0.5     |       |

of the Y Bases.

<sup>a</sup> Cellulose thin-layer chromatography. <sup>b</sup> μ is the electrophoretic mobility taking the mobility of cytidine at pH 1.0, where it has a net charge of +1, as +1.0. Interpolated values from Figure 9.

0.6 0,4 0.2 MOBILITY 0.2 0.4 0.6 10 pН

FIGURE 3: Electrophoretic titration of  $Y^1_*$  and  $Y^2_*$ ,  $Y^1_*$  and  $Y^2_*$  were obtained by mild acid hydrolysis of wheat germ tRNA, tRNA2, respectively, and subsequent thin-layer chromatography in solvent II. Electrophoresis was performed at the various pH's as detailed in Methods.

More information about the difference between Yw and Yw was obtained by measuring the electrophoretic mobilities of the two over a wide range of pH values (Figure 3). The electrophoretic titration curves of the two bases are quite different. By comparison to standards, Y appears to have a single protonatable group with a p $K_{\mathbf{a}}$  of about 3.3 and a weak acid group with a p $K_a$  near 9. The curve for  $Y_w^1$  is similar to that of guanosine except that the first  $pK_a$  of  $Y_w^1$  is higher. A comparison of the curve for  $Y_w^2$  to that of  $Y_w^1$  shows that the latter has an additional titratable group with  $pK_n =$ about 4 so that in the neutral pH range (5-7.5) it has a net negative charge. (Yw has a zero charge in the neutral region.) The results suggest that Y<sub>w</sub> has a free carboxyl group on a side chain on the fluorescent chromophore. The net negative charge on Y wat neutral pH could contribute to the decreased hydrophobicity exhibited by tRNA2Phe on BD-cellulose columns.

The variable conversion of  $Y_w^1$  into  $Y_w^2$  occurred presumably at some stage during the isolation of the bulk tRNA, possibly during DEAE-cellulose chromatography. The condition of this chromatography may have been inadvertently too alkaline in some cases. RajBhandary et al. (1968) and Katz and Dudock (1969) had found that the Y base in oligonucleotides was labile in ammoniacal solvents. An experiment was therefore performed to see if the free base Yw could be converted to Yw under mild alkaline conditions. It was found that some conversion did occur when  $Y_w^1$  was incubated in 5 N NH<sub>4</sub>OH (pH = about 12) for 12 hr at room temperature. It seems probable that Y'w has an ester group which is hydrolyzed by mild alkaline conditions to yield the free carboxyl group in Yw.

No large molecular weight change is evident in the conversion of Yw to Yw since the electrophoretic mobilities of the two bases are essentially the same at pH 1 where they are both fully protonated (Figure 3). Thus the base-catalyzed conversion could open a lactone ring or release a low molecular weight alcohol.

Conversion of  $tRNA_1^{Phe}$  into  $tRNA_2^{Phe}$ . If these two RNAs differ from each other only in the V base they would to

useful in studying the effect of a specific modification in the hyperon on the function of a tRNA. Conditions were therefore sought to convert  $Y_w^1$  to  $Y_w^2$  in situ in the tRNA by base catalysis where no other changes would occur in the tRNA. There are three other modified residues in tRNA The which are alkali labile, 7-methylguanosine, 1-methyladenosine, and dihydrouridine. Basic conditions can cause the opening of the imidazole ring of the 7-methylguanosine (Lawley and Brookes, 1963), a rearrangement of 1-methyladenosine to No-methyladenosine (Brookes and Lawley, 1960; Macon and Wolfenden, 1968), and a ring opening of dihydrouridine (Green and Cohen, 1957). In each reaction, as is the case for base-catalyzed modification of  $Y_w^1$ , the product has a different charge from the parent compound at neutral pH. These residues appear in different oligonucleotides when the tRNA is digested by RNase T1. Thus the extent of conversion of each base can be determined by examining the RNase T<sub>1</sub> digest products which have been fractionated on a DEAE-cellulose column in 7 м urea.

It was found that specific modification of the Y base in situ could be brought about by treating the tRNA [the with 0.5 M ammonium carbonate (pH 9) at 42° for 12 hr. The conversion to tRNA<sub>2</sub><sup>Phe</sup> under these conditions was about 50%, as is shown in Figure 4.

In order to establish that the sole difference between these two tRNAs resides in the Y base, an RNase T<sub>1</sub> hydrolysate of each of the tRNAs isolated from the column in Figure 4 was fractionated on a DEAE-cellulose column in the presence of 7 m urea (Figure 5). The elution pattern of the digest of the tRNA1 recovered after the ammonium carbonate treatment (Figure 5A) is virtually identical with that of untreated tRNA<sub>1</sub><sup>Phe</sup> (Katz and Dudock, 1969). The fluorescence at 440 nm indicates the presence of the Y base in the dodecanucleotide peak 17. The elution pattern of the digest of the tRNA<sub>2</sub><sup>Phe</sup> (Figure 5B) is identical with that of tRNA<sub>1</sub><sup>Phe</sup> with the sole exception that the fluorescent dodecanucleotide is shifted to peak 18. Katz and Dudock (1969) had previously

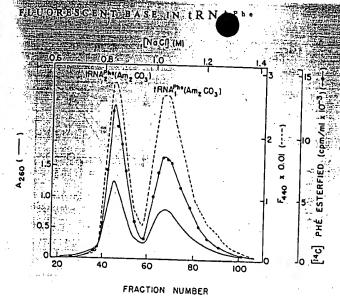


FIGURE 4: BD-cellulose column chromatography of ammonium carbonate treated wheat germ  $tRNA_1^{Phe}$ .  $tRNA_1^{Phe}$  (98  $A_{260}$  units, 85% pure) from fractions 120–145 in Figure 2 was treated with ammonium carbonate (pH 9) for 12 hr (see Methods) and applied in 3 ml of start solution to a BD-cellulose column (0.5 × 108 cm). The column was then eluted with a 500-ml linear gradient from 0.5 to 2.0 m NaCl containing 10 mm MgCl<sub>2</sub> (500-ml total volume). Fractions of 3 ml were collected at a flow rate of 0.6 ml/min.

differed from peak 17 only in the fluorescent residue. The Y base excised from peak 18 was found to be  $Y_w^2$ . The later elution of the dodecanucleotide presumably reflects, in part, the negative charge on  $Y_w^2$ . There was no detectable destruction of dihydrouridine or 7-methylguanosine by the alkaline treatment since there was no perturbation of peaks 8 or 10b, he oligonucleotides containing these residues.

The DEAE-cellulose columns in Figure 5 were run at pH 8.5. At this pH there is no charge difference between 1-methyladenosine and N<sup>6</sup>-methyladenosine, so it was necessary to repeat the chromatographic analysis at pH 8. When this was done, peak 15 was resolved into 15a and b (Katz and Dudock, 1969). Peak 15a contains the oligonucleotide with 1-methyladenosine. It could then be estimated that less than 10% of the 1-methyladenosine in the tRNA Phe had been converted nto N<sup>6</sup>-methyladenosine by the ammonium carbonate treatment. The analysis at pH 8 was also repeated on tRNA Phe treated with ammonium carbonate for 50 hr. Under this condition a significant amount of modification of 1-methyladenosine and 7-methylguanosine could be detected in addition to some hydrolysis of phosphodiester linkages.

Functional Activity of  $tRNA_2^{Phe}$  from Wheat Germ. The phenylalanine-acceptor activity of  $tRNA_2^{Phe}$  was demonstrated when it was first detected (Yoshikami et al., 1968). To see what effect the conversion of  $Y_w^1$  into  $Y_w^2$  has on the interaction of the tRNA with its synthetase, a study was made of the rate of aminoacylation of the two  $tRNA_2^{Phe}$  (Figure 6). No detectable difference in rate was found. In line with this, Igo-Kemenes and Zachau (1969) have been able to reduce the Y base of yeast  $tRNA_2^{Phe}$  with  $tRNA_2$ 

It seemed possible that a modification of the base adjacent to the anticodon could influence the interaction of the tRNA vith its codon on the ribosome in the course of the transfer reaction. Accordingly a comparison of the transfer function of tRNA Phe's 1 and 2 was made. The two tRNAs were tested for their ability to catalyze the poly(U)-dependent synthesis of polyphenylalanine with ribosomes and crude enzymes from

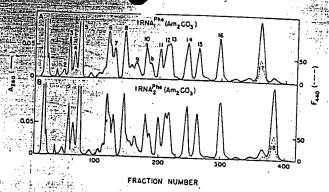


FIGURE 5: Chromatography of RNase T<sub>1</sub> digests of wheat germ tRNA<sub>1</sub><sup>Pho</sup> and tRNA<sub>2</sub><sup>Pho</sup> on DEAE-cellulose. (A) tRNA<sub>1</sub><sup>Pho</sup> (9 A<sub>260</sub> units, Am<sub>2</sub>CO<sub>2</sub> treated) from the second peak in Figure 4, and (B) tRNA<sub>2</sub><sup>Pho</sup> (10 A<sub>260</sub> units, Am<sub>2</sub>CO<sub>2</sub> treated) from the first peak in Figure 4 were each digested with 500 units of RNase T<sub>1</sub> in 0.6 ml of 50 mM Tris·HCl (pH 7.7) for 3 hr at 37°. Each digest was then made 7 M in urea, applied to a DEAE-cellulose column (0.4 × 60 cm), and eluted with linear gradient from 0 to 0.3 M NaCl containing 20 mM Tris·HCl (pH 8.5) and 7 M urea (600-ml total volume). Fractions of 1.3 ml were collected at a flow rate of 0.4 ml/min. The peaks are numbered according to Katz and Dudock, 1969.

wheat germ (Figure 7). Under the conditions of the assay, the rate of polymerization was linearly dependent upon the concentration of added tRNA Phe. The optimum pH, temperature, and MgCl<sub>2</sub> concentration for this reaction was found to be the same for both tRNA Phes. The rate of polymerization catalyzed by tRNA Phe was found to be about 70% of that by tRNA Phes. This difference in activity was maintained at Mg<sup>2+</sup> concentrations from 8 to 15 mm, at pH 6-8.5 and at temperatures from 20 to 45°. Thus, the presence of a free-acid group with a negative charge in the hyperon does not abolish the capacity of the tRNA to participate in the transfer reaction, but it does measureably slow the rate at which it functions.

Other Alkaline Degradation Products from  $Y_w^1$ .  $Y_w^1$  may be hydrolyzed under alkaline conditions to at least two other forms,  $Y_w^3$  and  $Y_w^4$ , in addition to  $Y_w^2$  (Figure 8). All these forms are readily separated by thin-layer chromatography (Table I). On extended hydrolysis, the  $Y_w^2$  first formed is converted to  $Y_w^3$ , and finally the latter is converted into  $Y_w^4$ . All—

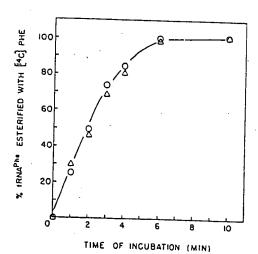


FIGURE 6: Rate of aminoacylation of tRNA! The tRNAs (85% pure, Am<sub>2</sub>CO<sub>3</sub> treated from Figure 4) were compared in the acceptor assay (see Methods). Each assay contained 34 µg of wheat germ soluble fraction enzyme preparation in a volume of 0.2 ml. (Δ) tRNA! (Am<sub>2</sub>CO<sub>3</sub>), 25 pmole/assay; (O) tRNA!

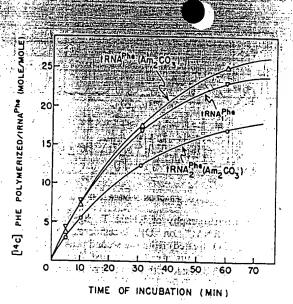


FIGURE 7: Rate of polyphenylalanine synthesis catalyzed by tRNA<sub>1</sub>Phe and tRNA<sub>2</sub>Phe. The two treated tRNA<sub>4</sub>Phe (Figure 4) were compared to untreated tRNA<sub>4</sub>Phe (Figure 2) in the wheat germ system for polyphenylalanine synthesis described in Methods. Each tube contained 90 pmoles of tRNA<sub>4</sub>Phe as determined by acceptor assay.

three alkaline degradation products fluoresce and have ultraviolet absorption spectra similar to that of  $Y_w^1$  (cf. Katz and Dudock, 1968). From this it can be inferred that this alkaline hydrolysis has acted on the structure of side chains and not on the fluorescent chromophore of the Y base itself. When the products from an even more extensive alkaline hydrolysis are chromatographed, a number of new ultraviolet-absorbing compounds can be detected. These have different absorption spectra and have lost the characteristic fluorescence of the Y type base.

The electrophoretic mobility of  $Y_w^3$  over a wide pH range is similar to that of  $Y_w^2$  (Figure 9). There is no detectable molecular weight change in the conversion of  $Y_w^2$  into  $Y_w^3$ , and the hydrolysis or rearrangement does not yield any new titratable group. There is, however, a change in chromatographic properties (Table I).

The conversion of  $Y_w^3$  into  $Y_w^4$  results in a marked difference in the electrophoretic titration curve (Figure 9). The alkaline hydrolysis of  $Y_w^3$  to  $Y_w^4$  has released a basic amino group with a p $K_a$  near 9 not present in the other forms of the Y base. This amino group could be blocked by formaldehyde; when 4% formaldehyde was present in the electrophoresis buffer the mobility of  $Y_w^4$  at pH 8.5 was increased to -0.5. The negative charge indicates that the carboxyl group present in  $Y_w^3$  is still present in  $Y_w^4$ . The mobilities of  $Y_w^1$ ,  $Y_w^2$ , and  $Y_w^3$  were not

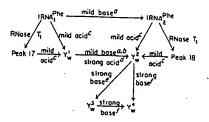


FIGURE 8: Degradation scheme of the Y base of wheat germ tRNA, Pho. Reaction conditions were as follows: ° 0.5 M ammonium carbonate (pH 9), 42°, 12 hr; ° 5 M NH<sub>4</sub>OH, 23° 12 hr; ° 0.1 M ammonium formate (pH 3.0) or dilute HCl (pH 3), 60°, 30 min; ° 0.1 M HCl, 100°, 30 min; ° 0.5 M KOH, 60°, 30 min; ' 0.5 M KOH

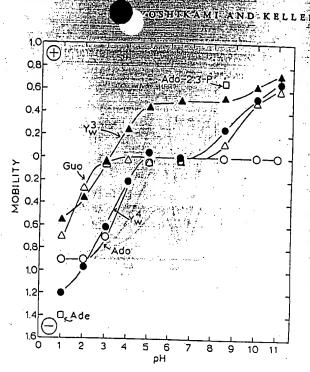


FIGURE 9: Electrophoretic titration of  $Y_2^3$  and  $Y_3^4$ . These bases were obtained by KOH hydrolysis of  $Y_2^2$  (see Figure 8) and subsequent thin-layer chromatography in absolute methanol and then in solvents I and II. Electrophoresis was performed as in Methods.

affected by formaldehyde at pH 8.5. In the absence of formaldehyde,  $Y_w^4$  has a zero mobility at pH's 5-7 where it is a zwitterion. The high mobility of  $Y_w^4$  at pH 1 indicates that it has two positive charges at this pH; one of these charges can be accounted for by the weakly basic group (p $K_w$  = near 3) present also in the other forms of the Y base, the other by the strongly basic group released by hydrolysis. Since the spectrum of the fluorescent chromophore of  $Y_w^4$  is unchanged, the latter group must be on a side chain.

Y Base in  $tRNA^{Phe}$  from Beef Liver. When bulk beef liver tRNA is analyzed on a BD-cellulose column as in Figure 1, the pattern of phenylalanine-acceptor activity and  $F_{440}$  is almost identical with that for wheat germ (cf. Yoshikami et al., 1968). There is a shoulder of  $tRNA_3^{Phe}$  on the leading edge of the major  $tRNA_1^{Phe}$  peak, and this is preceded by a small peak of  $tRNA_2^{Phe}$ . The Y bases excised from these tRNAs,  $Y_b^1$  and  $Y_b^2$ , appear to be identical with those from the corresponding tRNAs of wheat germ since their respective spectroscopic, chromatographic, and electrophoretic properties are all identical (Table I). When  $tRNA_1^{Phe}$  from beef liver (Figure 10A) was incubated in 0.5 M ammonium carbonate (pH 9) for 20 hr at 42° and rechromatographed, about 75% conversion of  $Y_b^1$  into  $Y_b^2$  in situ was obtained (Figure 10B). This is about the same amount of conversion that is found with wheat germ  $tRNA_1^{Phe}$  under the same conditions.

Y Base in  $tRNA^{Phe}$  from Yeast. When bulk yeast tRNA is analyzed on a BD-cellulose column as in Figure 1, the pattern of phenylalanine acceptor activity and  $F_{440}$  which is obtained differs from that of wheat germ. In no instance has a peak corresponding to wheat germ  $tRNA_3^{Phe}$  been observed. Instead, there is a shoulder of  $F_{440}$  and phenylalanine acceptor activity following the major  $tRNA_1^{Phe}$  which, like  $tRNA_3^{Phe}$  from wheat, may represent a stable conformer of  $tRNA_1^{Phe}$ . There is a  $tRNA_2^{Phe}$  peak in some preparations, but it is usually quite small. When partially purified  $tRNA_1^{Phe}$  (Figure 11A) was incubated with 0.5 M ammonium carbonate (oH 9)

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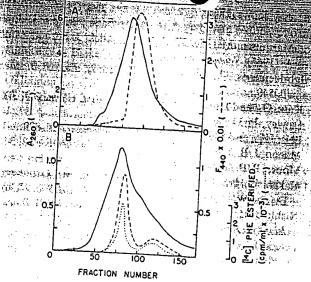


FIGURE 10: BD-cellulose column chromatography of beef liver tRNA Photobero and after treatment with ammonium carbonate. (A) Partially purified beef liver tRNA Photobero (950 A250 units, from a BD-cellulose column as in Figure 1) was dissolved in 10 ml of start solution, applied to a BD-cellulose column (1 × 45 cm), and eluted with a linear gradient from 0.5 to 2.4 M NaCl containing 10 mm MgCl<sub>2</sub> (500-ml total volume). Fractions of 3 ml were collected at a flow rate of 1 ml/min. (B) Half of the RNA recovered from fractions 90 to 116 in part A was treated with ammonium carbonate for 20 hr (see Methods) and chromatographed as in part A.

(Figure 11B). Acid excision of the Y bases from  $tRNA_1^{Phe}$  and  $tRNA_2^{Phe}$  yielded  $Y_y^1$  and  $Y_y^2$ , respectively (Table I). This conversion was slower than in the case of beef and wheat germ. Furthermore, the base  $Y_y^1$  is itself more resistant to basecatalyzed conversion into a  $Y_y^2$  base than is  $Y_w^1$  or  $Y_b^1$ . However, for all Y bases, the conversion to a  $Y_w^2$  base exposes a free acidic group (Table I). It appears then that the susceptible linkage in  $Y_y^1$  is more stable to alkali than that in  $Y_w^1$  or  $Y_b^1$ . Both  $Y_y^1$  and  $Y_y^2$  are chromatographically distinguishable from their counterparts in wheat and beef. This indicates that some substituent on the chromophores of these bases differ though the fluorescent chromophores themselves may be identical as judged by their similar spectroscopic characteristics (RajBhandary et al., 1968; Katz and Dudock, 1969; Yoshikami et al., 1968).

### Discussion

To understand the role of the hypermodified base adjacent to the anticodon of different tRNAs, we chose to study the tRNA<sup>Phe</sup>s which have the fluorescent Y-type base in this locus. We were able to isolate three products of the wheat germ Y base which retain the fluorescent chromophore and represent progressive stages of alkaline hydrolysis. The first stage of hydrolysis, the conversion of  $Y^1_w$  into  $Y^2_w$ , can be effected in situ under a very mild alkaline condition which has no effect on any other part of the tRNA<sup>Phe</sup>. This was used to generate a tRNA<sup>Phe</sup> specifically modified in the anticodon-adjacent base, namely tRNA<sup>Phe</sup>. Our analysis indicates that the modification produces a net negative charge on the Y base.

The acceptor activity of wheat germ  $tRNA_2^{Phe}$  was comparle to that of the naturally occurring  $tRNA_1^{Phe}$ . On the other natural,  $tRNA_2^{Phe}$  was less efficient than  $tRNA_1^{Phe}$  in supporting the polymerization of phenylalanine coded for by poly(U).  $tRNA_2^{Phe}$  catalyzed this reaction at 70% the rate of  $tRNA_1^{Phe}$ .

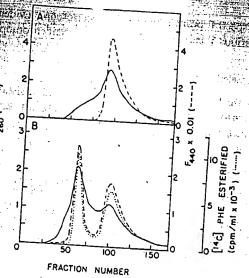


FIGURE 11: BD-cellulose column chromatography of yeast tRNA Phebefore and after ammonium carbonate treatment. (A) Partially purified yeast tRNA Phec (330 A<sub>250</sub> units, from a BD-cellulose column as in Figure 1) was chromatographed on BD-cellulose as in Figure 10A. (B) Another portion of the same tRNA (270 A<sub>250</sub> units) was incubated with ammonium carbonate for 20 hr (see Methods) and chromatographed in the same way.

Thus, although the modification of the tRNA<sup>Phe</sup> does not affect the acceptor activity of the tRNA, it does significantly alter its efficiency in the transfer reaction when tested *in vitro* with poly(U). Further analysis would be required to determine the efficiency of the transfer reaction with a natural mRNA and whether the modification affects the fidelity of translation.

These results are congruent with those obtained by a number of other workers who have demonstrated that the structural integrity of the anticodon-adjacent base is necessary for optimum functioning of the tRNA in the transfer reaction, but that modification of this base does not critically affect acceptor activity (Fittler and Hall, 1966; Thiebe and Zachau, 1968; Gefter and Russell, 1969; Ghosh and Ghosh, 1970; Furuichi et al., 1970).

Nakanishi et al. (1970) have recently proposed a structure for the Y base from yeast  $tRNA^{Phe}$  ( $Y_y^1$ ). In this structure the fluorescent chromophore bears an  $\alpha$ -amino acid side chain. The amino group is blocked with a carbomethoxy moiety, and the carboxyl group is present as a methyl ester. The carboxyl group released in the conversion of  $Y_y^1$  into  $Y_y^2$  is undoubtedly the latter carboxyl group. The release of a carboxyl group in forming  $Y_w^2$  and of a free amino group in forming  $Y_w^4$  indicates that the wheat germ Y base has a blocked  $\alpha$ -amino acid side chain similar to that of yeast Y.

There is a difference, however, both in chromatographic behavior and rate of hydrolysis between the yeast Y and wheat germ Y (and the apparently identical beef liver Y). Furthermore, there appears to be some structural feature in wheat germ Y not present in the proposed structure of Y, which is responsible for the formation of the additional intermediate, Y, That the difference occurs in the distal portion of the side chain is indicated by a low-resolution mass spectral analysis of Y, (Yoshikami, 1970). Prominent peaks at m/e 216 and 230 were observed just as in the analysis of Y, by Nakanishi et al. (1970). The latter authors showed that these two peaks represented the fluorescent chromophore with one and two carbons of the side chain.

mass spectral peaks supports the spectroscopic evidence that the various Y bases have identical fluorescent chromophores.

### Acknowledgments

We thank Mrs. Clara T. Kahn for technical assistance, and Jr. Bernard Dudock and Mr. Gary Katz for generously supplying materials used in this study !

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On the Conformation of Lysozyme and  $\alpha$ -Lactalbumin in Solution\*

Eugene K. Achter† and Ian D. A. Swan

ABSTRACT: Lysozyme and  $\alpha$ -lactal bumin have highly homologous primary sequences but different biological functions. W. R. Krigbaum and F. R. Kügler (1970, Biochemistry 9, 1216) have recently reported small-angle X-ray-scattering studies from both proteins in aqueous solution, and conclude that lysozyme and  $\alpha$ -lactal bumin have quite different conformations in solution. They also present evidence for the

ysozymes cause cell wall lysis of gram-positive bacteria by a mechanism which is now fairly well understood (Phillips, 6), while  $\alpha$ -lactalbumin has been implicated in the lactose

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presence of  $\alpha$ -lactalbumin dimer in solution. We demonstrate that all of the observed differences in small-angle X-ray scattering from  $\alpha$ -lactalbumin and lysozyme in solution can be rigorously accounted for by such dimerization. Thus the experiments of Krigbaum and Kügler strongly suggest that these two proteins have quite similar conformations in solution.

synthetase system (Ebner et al., 1966). The primary sequences of hen egg white lysozyme and bovine  $\alpha$ -lactalbumin have been shown to be strikingly similar, with regard to both residue identity (49 residues out of 123-129) and the positions of the disulfide bridges (Brew et al., 1967, 1970). This homology is somewhat surprising in view of the differences in function,

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RUDKIN et al

## High resolution detection of DNA-RNA hybrids in situ by indirect immunofluorescence

WE describe here a new method for the detection of RNA DNA hybrids in cytological preparations with which we have revealed the locations of hybrid molecules on polytene chromosomes. The critical reagent is an antiserum raised in rabbits against poly(rA)-poly(dT) complexed with methylated bovine serum albumin, originally described by Stollar<sup>1</sup>. The specificity and resolving power of the indirect immunofluorescence procedure are demonstrated using in situ hybridisation of 5S rRNA (ribosomal RNA) to polytene chromosomes of Drosophila melanogaster as a model system. The method has significant advantages over the autoradiographic procedures<sup>2</sup> sused so far.

The procedure for visualising the *in situ* hybrids follows Alfageme *et al.*\* It consists of exposing the cytological preparation to the rabbit anti-hybrid antiserum, then to anti-rabbit IgG prepared in goat and tagged with rhodamine, followed by examination in a fluorescence microscope (see legend to Fig. 1). Our test objects were polytene chromosomes of *Drosophila melanogaster* (giant phenotype) to which 5S rRNA had been hybridised *in situ* (see legend to Fig. 1). The two glands from a single larva either were used as duplicate samples or one gland served as a 'control' for the other. The preparations were not air dried at any time during the procedures. Test slides which were air dried at some stage before the immunological reactions were inferior to their controls either in the morphology of the chromosomes, the background fluorescence levels, the uniformity of the fluorescence staining or a combination of defects.

In situ hybridisation followed, in general, the recipe of Pardue and Gall<sup>3</sup> with modification by Alonzo et al.<sup>5</sup> (see legend to Fig. 1). The reaction was effective with 1.0 µg and with 0.2 µg 5S rRNA per slide. It is likely that much smaller amounts could be used if applied in a smaller volume and, perhaps, for longer times<sup>1,3</sup>.

The specificity of the immunological reagents in the cyto-logical reaction is demonstrated by the confinement of chromosomal fluorescent label to the 56F region when 5S RNA is included in the *in situ* hybridisation medium (Fig. 1) and by the absence of chromosomal fluorescence when 5S rRNA is omitted (not shown; would be black). Further evidence that the anti-hybrid antibodies are responsible for the chromosomal site of the positive fluorescence reaction is provided by the absence of fluorescence in chromosomes to which 5S RNA had been hybridised but which were treated with antiserum absorbed with poly(rA)-poly(dT) (5 µg poly(rA)-poly(dT) per µl serum for 24 h at 4 C, centrifuged for 10 min at 6,000g). Thus the immunological reagents revealed only DNA RNA hybrids within the nuclei of polytene cells prepared for *in situ* hybridisation.

The specificity was demonstrated further by analyses of the immunological properties of the antiserum. As described previously, several-thousand-fold dilutions of serum reacted in complement fixation assays with poly(rA)-poly(dT), poly(1)-poly(dC) or hybrids of natural RNA and DNA (ref. 1). A 1/50 serum dilution did not react with any single-stranded form of RNA or DNA or with double-stranded RNA or native DNA. When serum was assayed undiluted in counterimmunoelectrophoresis, weak reactions were seen with poly(rA) and with denatured DNA. Both these reactions were eliminated when the serum was passed through a poly(rA) Sepharose affinity column prepared as described by Poonian et al. (Fig. 3). With absorbed serum, which gave the same immunolluorescence as unabsorbed

serum, the rid was the only reactive polynucleotide classeven in assection ith undiluted serum.

There is a variable amount of fluorescence in cytoplasm components, the origin of which is not yet known. Experimentally projected to attempt to block it while leaving the activiting ainst hybrid nucleic acids intact. Occasional pale fluorescent observed in nucleoli is attributed to contamination of the SS rRNA probe with fragments of 18S and 28S nucleolar rRNA



Fig. 1 5S rRNA genes revealed in polytene chromosome 2R of Drosophila melanogaster by indirect immunofluorescence detection of RNA-DNA hybrids formed in situ. The two homologous 2R chromosomes are not paired except in their most distal portions. The 5S genes (56F on Bridges' standard map) are in the unpaired portions, those derived from one parent to the right. the other parent to the left. In each homologue at least two fluorescent cross-bands are visible. Arrows indicate the 561 regions in the upper photograph of the same chromosomes taken after staining with aceto-orcein. A salivary gland from a fully grown larva of D. melanoguster (giant phenotype) was fixed in 50", (x/y) aqueous acetic acid and squashed under a siliconed cover glass, then the slide was frozen on solid CO2. After snapping off the cover slip, the slide was post-fixed in 3:1 ethanol acetic acid (v/v), rinsed twice in 95",, aqueous ethanol (v/v) and stored in 95", ethanol until used. Subsequent treatments were carried out in a moist chamber consisting of a 90-mm square culture dish containing a few leaves of bibulous (or filter) paper saturated with the solvent and two plastic strips to raise the slides above the wet paper. The reagent was placed between the slide and a cover slip. For hybridisation in vitu, slides were first treated with pancreatic ribonuclease, 100 µg ml 1 of 2 SSC (SSC is 0.15 M NaCl and 0.015 M sodium citrate (for 2 h at 25 °C, then with 90", formamide in 0.1 SSC for 2 h at 65. C followed by ice cold 0.1 - SSC rinses. The hybridisation reaction was carried out for 22 24 h at 37 C in 50", formamide in 4 - SSC using either 1.0 or 0.2 µg 58 RNA per slide highly purified 55 rRNA. extracted from D. melanoguster Oregon R embryos<sup>11</sup>. After the annealing reaction, the slides were treated with pancreatic ribonuclease (15 µg ml 1) in 2 SSC for 2 h at 25 °C, rinsed with phosphate-buffered saline (PBS, 0.14 M NaCl, 0.01 M phosphate, pH 7.2) and exposed for 2 h at room temperature (21/23 C) to rabbit anti-DNA/RNA hybrid serum reconstituted from a lyophilised state by solution in water and diluted for use in PBS (1:20 for Fig. 1). After thorough rinsing in PBS, the slides were finally exposed to a rhodamine-labelled goat IgCi fraction of anti-rabbit-IgG (Miles-Yeda) reconstituted to approximately its original concentration, then diluted in PBS for use (1: 20 for Fig. 1). The photographs were taken with a microscope equipped with a Zeiss epi-illumination fluorescence module using a 546-nm excitation filter, a 580-nm chromatic splitter and a 580-nm barrier filter for rhodamine fluorescence on 35-mm Eastman Tri X Pan film exposed at ASA 1600 (Diafine developer) at magnifications of approximately 150 ( 40 objective) or 370 ( 100 oil immersion objective). Fluorescence exposures

370 (+100 oil immersion objective). Fluorescence exposures were in the range of 1/8 s and phase contrast illumination was adjusted to require approximately the same exposure time so that a single frame could be exposed in both modes simultaneously (not shown here). In some instances, slides were stained with aceto-orecin after fluorescence photography had been completed, then photographed through a No. 58 filter (green)

on Plus-X-Pan film at ASA 400, Approx. 1,000.



Fig. 2 5S rRNA genes revealed in D. inclamogaster polytene chromosome 2R as in the legend to Fig. 1. The two chromosomes are unpaired in a single nucleus. The upper chromosome (a and h)is associated with the nucleolus (nu) at the locus of the 58 genes, fluorescent in (a). The homologous chromosome (c and d) is not visibly associated with the nucleolus; its 58 locus, indicated by the fluorescence in (c), is less disperse than that in its homologue (a), (b and d) Photomicrographs of the same fields as in (a) and (c), respectively, after staining with aceto-orecin. > 630.

but the possibility that 5S DNA templates occur in nucleoli cannot-be excluded.

The spatial resolving power of the immunofluorescent probe is equal to that of the optical system used to observe it. Autoradiographs can at best reveal a cluster of silver grains adjacent to, or covering, a labelled region which results in a resolving power of the order of 1/3  $\mu m$ . So far the precise localisation of the 58 genes within the 56EF region has been equivocal for  $D_{\epsilon}$  melanogaster using autoradiography  $^{\mathrm{tar}}$ . The images we obtain are of two kinds. In some nuclei, the fluorescence is restricted a relatively narrow transverse 'band' which is often clearly de up of two subunits and is localised in the 56F region, stal to the pull usually present in 56H (Fig. 1). The possibility that the two subunits reflect the organisation of the 5S locus into the two separable sets of repeated sequences recently reported by Procunier and Tartof12 is under investigation. On the other hand, the 5S region of chromosome 2R sometimes sticks to the nucleolus as in Fig. 2 (ref. 9) or is ectopically paired

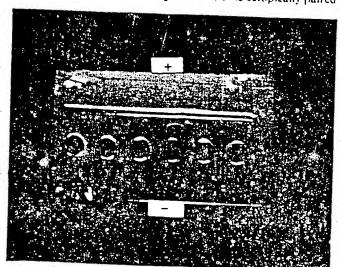


Fig. 3 Specificity of the absorbed antiserum. A sample of 7 ml of anti-poly(rA) poly(dT) antiserum was absorbed by passage brough a 1.2-ml column of poly(rA) Sepharose equilibrated jah 0.1 M NaCl, 0.01 M phosphate, p11-7.2. The undiffited borbed serum (200 µl) was placed in the trough: the wells ontained 0.5 µg polynucleotide in 50 µl running buffer (0.05 M Tris-HCl, pH 8). The polynucleotides were, from left to right: polytrA); denatured DNA; polytrA):polytdT); polytdT); polytdT); polytdT); and polytdA). The gel medium was 0.8%, agar (Difco, purified agar) in running buffer; 7 ml of gel was poured on each 2 3 inch glass plate. Flectrophoresis was run au

to other chromosome regions. In those cases, the fluorescence may be distributed in a network of librils extending longitudinally along a much longer segment of chromosome (Fig. 2a). When that is true, the morphology in phase contrast and/or after post-staining with aceto-orcein is atypical in that the subsections 56E and F cannot be clearly demarcated and the region does not appear to be organised into distinct bands. Such dispersion of the in situ hybrids into fibrils is consistent with the suggestion of Steffensen and Wimber" that the 5S genes may have been active in those chromosomes<sup>13</sup>. But the possibility that our 5S probe contains traces of contaminating nucleolar rRNA fragments that could reveal nucleolar rDNA adhering to chromosome 2 has not been entirely excluded.

The sensitivity of the technique has not yet been fully explored. At serum dilutions of 1:40 for the anti-hybrid rabbit serum and an equivalent concentration for the fluorescent reagent, the fluorescence intensity in the 5S region was very high. Photographic images should still be easily recordable at brightnesses one to two orders of magnitude lower. Thus, the possibility to detect the hybridisation of RNA copies of a unique gene in a polytene chromosome appears to be real. On the other hand, genes present in a size and multiplicity equivalent to the 5S of D. melanogaster may be detectable in uninemic chromosomes. An attempt is in progress to detect the 5S locus in human chromosomes at the pachytene stage of meiosis.

The technique is being used to study the distribution of naturally occurring chromosomal RNA detected as hybrid molecules. Polytene chromosomes mounted out of 50% acetic acid display a pattern of fluorescent regions when treated only with the immunological reagents. The regions do not fluoresce if the anti-hybrid scrum is blocked with poly(rA):poly(dT) or if the chromosomes are treated to remove indigenous chromosomal RNA (as in preparation for in situ hybridisation), indicating that they are sites of hybrid molecules. Since the locations of the sites change during larval and prepupal development, their RNA moiety could be involved with the control of transcription or of replication or both.

We thank Dr L. Cohen for bringing us together, Dr K. D. Tartof for highly purified D. melanogaster 5S RNA, Dr C. R. Alfageme for rhodamine-tagged goat anti-rabbit IgG and for the use of a Zeiss epi-illuminator fluorescence attachment, all three colleagues for useful discussions and technical advice, and Miss D. J. Hazler for technical assistance. This work was supported in part by grants from the NSF and NIH and by an appropriation from the Commonwealth of Pennsylvania.

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INTRODUCTION OF A FLUORESCENT LABEL AT THE 3'-OH END OF DNA AND

THE 3'-OH END OF THE GROWING RNA CHAIN

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UDC 547.963.3

Fluorescent-labeled 3'(2')-O-acyl derivatives of uridine triphosphate were synthesized. The fluorescent component was introduced into the acyl residue. The optical properties of the fluorescent-labeled substrates were investigated. It was shown that calf thymus terminal deoxyribonucleotidyl transferase is capable of the concentration of free 3'-OH ends of DNA decreases exponentially as a function of the time of introduction of the modified substrate. The fluorescent-labeled on the difference of the growing chain of RNA by DNA-dependent RNA polymerase of E. coli. Incorporation of the analog inhibits DNA synthesis and leads to a decrease in the ability to synthesize RNA with time.

To study the mechanism of the action of RNA polymerase it seems necessary for the end of the growing chain of RNA and DNA protected from the action of DNase by RNA polymerase to contain paramagnetic or fluorescent groups. Especially interesting is the case of the gration of energy.

Such a formulation of the problem determines the method of introduction and chemical structure of the label. Fluorescent-labeled 3'-(2')-0-acyl derivatives of uridine triphosphate were selected as the fluorescent label. Such a selection of the fluorescent label permits us to hope to introduce it into the growing chain of RNA using RNA polymerase itself. But protection of the 3'-OH group of ribose should ensure termination of the strand and, consequently, a priori localization of the label close to or within the active site of the enzyme.

The same substrate can be used to introduce a fluorescent label at the 3'-OH end of DNA fragments with the aid of calf thymus terminal deoxyribonucleotidyl transferase. The selection of the fluorescent groups themselves is determined by the value of the critical distance  $R_{\rm o}$  without emissionless migration of energy.

The present work is devoted to a study of the possibility of the incorporation of compounds of this kind by terminal transferase into the 3'-OH end of DNA and by RNA polymerase into the 3'-OH end of the growing RNA strand and to a determination of the kinetic parameters of the corresponding reactions.

### METHODS

The UV spectra were taken on a Specord UV-Vis instrument (German Democratic Republic). The spectra of excitation and fluorescence were measured on a Hitachi spectrophotometer

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(Japan) in a 4 mm cylind. I cuvette at a concentration of the carrier  $\sim 10^{-7}$  M. Chromatography and electrophoresis were conducted on FN18 paper (German Democratic Republic), using the following systems: A: chloroform ethanol—acetic acid (9:1:1.5); B: 1-butanol—water—acetic acid (5:3:2); C: 6% acetic acid, pH 2.5; D: 0.01 M solution of ammonium bicarbonate, pH 8. The electrophoretic mobility  $E_f^X$  was determined relative to compound X: glycine,

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Preparations. Tris-HCl buffer, containing 50 mM Tris-HCl, pH 8.0, 1 mM EDTA, 5% glycering 0.5 mM β-mercaptoethanol, and Tris-acetate buffer, containing 50 mM Tris-CH, COOH, pH 6.5, 30 μM ZnSO<sub>4</sub>, and 0.5 mM β-mercaptoethanol, were used. When necessary, MgCl<sub>2</sub> was added to these buffers to a concentration of 10 mM (Tris-HCl buffer) and 4 mM (Tris-acetate buffer).

The standard incubation solutions (0.25 ml) contained: 50 mM Tris-CH<sub>3</sub>COOH, pH 6.5, 4 mM  $gCl_2$ , 30 uM  $ZnSO_4$ , 1 mM  $GoCl_2$ , 2  $\mu g$  terminal deoxyribonucleotidyl transferase, 5.2  $\mu g$  denatured DNA, 1 mM  $\beta$ -mercaptoethanol, deoxynucleoside triphosphates (solution 1); 50 mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup> mM  $gCl_2$ , 10<sup>-1</sup>

Cattle DNA (Olaine Chemical Reagents Factory, USSR) and  $T_2$  phage were purified by a phenol method [1], treated with RNase and pronase to remove RNA and proteins, and the treatment with phenol was repeated. The molecular weight of DNA was  $5\cdot10^6$  and  $30\cdot10^6$  daltons, respectively; the hyperchromic effect at 260 nm in thermal denaturation was  $\sim27$  and 37%.

Heat-denatured DNA was produced by heating DNA at 100°C for 5 min in the buffer 0.1  $\times$  SSC, pH 7.0, in a concentration of 20  $\mu$ g/ml, followed by pouring the solution out onto a glass surface, cooled to the temperature of liquid nitrogen. The ratio of the volume of the cof the surface did not exceed 0.2 mm. The residual hyperchromism did not exceed 5% of the initial value.

Pronase (Serva, German Federal Republic) was used without additional purification. A solution of pronase (2 mg/ml) in Tris-HCl buffer without MgCl<sub>2</sub> was heated before use at 37° for 2 h. The proteolytic activity in this case dropped by approximately 20-30%. The proteolytic activity of the enzyme was determined according to the rate of decrease in the amplitude (at -10°C) the activity of the enzyme was unchanged in six months.

Deoxyribonucleotidyl transferase was isolated from the calf thymus according to the method of Chang and Bollum [2]. The specific activity of the enzyme was 10,000 units/mg. The incorporation of 1 nmole of the substrate into the acid-insoluble material in 60 min of incubation at 37°C in a standard incubation system was taken as the activity unit. The work of the enzyme was monitored according to the kinetics of the incorporation of deoxyriboadenosine triphosphate, labeled with tritium.

RNA polymerase was isolated from  $E.\ coli$  according to the method described earlier [3]. The specific activity of the enzyme was usually  $0.4\pm0.1$  unit/mg. The incorporation of 1 µmole of the substrate into the acid-insoluble material in 1 min of incubation at 25°C was taken as the activity unit of the enzyme. The radioactivity was measured on an SL-40 liquid scintillation counter (Intertechnique, France). During storage, the activity of the enzyme was practically unchanged.

Both enzymes did not contain determinable impurities of DNase, RNase, and proteolytic activity and were usually stored for 3-6 months at  $-10\,^{\circ}\text{C}$  in a solution containing 30-50% replaced by dithiothreitol in a concentration of  $10^{-3}$  M.

Synthesis of Fluorescent-Labeled Analogs of the Substrate. Fluorescenylaminothio-carbonylglycylglycine (compound I). To 1.5 g (3.4 mmoles) fluorescenyl isothiocyanate, dissolved in 15 ml dimethylformamide, we added 0.95 g (7.2 mmoles) glycylglycine in 50 ml of 0.2 M carbonate-bicarbonate buffer, pH 9.0, mixed the solution for 4 h, acidified to pH 5 with acetic acid, diluted 1:2 with water, removed the precipitate, washed with 30 ml of water, dried, extracted with 50 ml ethyl acetate, and dried over NaOH. Yield 1.5 g (2.8 mmoles), 80%, calculated on the basis of the isothiocyanate. λ pH9 485 nm, Rf 0.3 (system A), Ef Gly 0.17 (buffer C), Ef Picr 1.1 (buffer D).

The dimethyl ester of I was produced by treating a solution of I in dimethylformamide with an ether solution of diazomethane, followed by isolation by electrophoresis on paper in buffer C and reprecipitation from a chloroform ether mixture. Ef Gly 0.8; Ef His 0.77 (buffer Found: N 7.49%. Calculated for C<sub>27</sub>H<sub>33</sub>N<sub>3</sub>O<sub>8</sub>: N 7.7%.

3'(2')-O-Fluorescenylaminothiocarbonylglycylglyluridine-5-triphosphate (compound III). To 450 mg (0.83 mmole) compound I, dissolved in 0.5 ml formamide, we added 162 mg (1 mmole) carbonyldimidazole and mixed for 1.5 h at 20°C until the evolution of CO<sub>2</sub> stopped. The solution of the imidazole I obtained was added to 1.2 mmoles UTP (substance II) in 0.2 ml formamide, mixed for 12 h at 6°C, after which it was diluted with 25 ml of acetone and centrifuged. The precipitate was dissolved in 1 ml of water, precipitated with 30 ml of alcohol, centrifuged, and the precipitate obtained dissolved in water. After separation by electrophoresis in buffer C (voltage gradient 22 V/cm), colored forms with  $E_f^{\rm Picr}$  0.5 and the substance was determined spectrophotometrically.  $\lambda_{\rm max}^{\rm PH9}$  454 nm. Yield 9.9%, calculated for compound II.  $R_f$  0.55 in system B,  $E_f^{\rm Picr}$  0.5 in buffer C.

Hydrolysis of Compound III. Substance III is slowly hydrolyzed in aqueous solutions at pH 7, 8, and 9. Ammonia, ammonium bicarbonate, sodium bicarbonate, and carbonate-bicarbonate buffer were used as the base; aqueous alcohol solutions were also used. The rate of hydrolysis did not change significantly in these variations. When substance III was applied on a column with DEAE-cellulose, hydrolysis occurred rapidly. Eluting the column with an aqueous alcohol solution of ammonium bicarbonate, with variation of the concentration from 0 to 0.5 M, we successively eluted compound I and a mixture of uridine phosphates; the mole ratio of compound I to the mixture of nucleotides was 1:1 (determined spectrophotometrically according to the absorption intensity at 454 nm, pH 9, for substance I and at 260 nm, pH 2, for nucleotides).

Fluorescenylaminothiocarbonyldiglycyl[3H]glycine (compound IV). A 0.3 g portion (0.6 mmole) of compound I was dissolved in 2 ml of formamide, 0.11 g carbonyldimidazole (0.7 mmole) was added, and the mixture mixed intensively for 1.5 h until the evolution of CO<sub>2</sub> ceased. The solution obtained was poured into 30 mg (0.4 nmole)[3H]glycine (5.5 mCi/mmole) 5 ml of water and 0.8 ml 0.5 N NaOH, mixed for 2 h, after which the solution was acidwith acetic acid to pH 5, diluted to 50 ml with water, centrifuged, the precipitate washed with water (3 × 5 ml), and dried. Yield 170 mg, 51%. Rf 0.18 (system A).

3'(2')-O-Fluorescenylaminothiocarbonyldiglycyl[ H]glycyluridine-5'-triphosphate (compound V). Substance V was obtained from compounds II and IV according to a method analogous to the method of production of compound III. Yield 10%.  $\lambda_{max}^{PH9}$  454 nm, Rf 0.5 in system B, Ef<sup>Picr</sup> 0.5 (buffer C).

3'(2')-O-Rhodaminyluridine-5'-triphosphate (compound VI). Rhodamine S was preliminarily evaporated with 10 ml of 10% HCl and dried. A 70 mg portion (0.14 mmole) of rhodamine S was dissolved in 1 ml of formamide, 30 mg carbonyldiimidazole was added, and mixed for 15 min. The solution obtained was poured into 20 mg (0.037 mmole) UTP [compound (II)] in 0.2 ml formamide, mixed for 16 h at 6°C, precipitated with acetone, and centrifuged. The precipitate was dissolved in 1 ml of water, reprecipitated with 50 ml of alcohol, and again centrifuged, then dissolved in water and applied on paper. Electrophoresis was conducted in buffer C for 1.5 h. The colored zone with  $E_f^{\rm Picr}$  0.3 was eluted with 75 ml of water at 6°C. The concentration was determined spectrophotometrically at  $\lambda_{\rm max}^{\rm pH7}$  520 and 550 nm,  $R_f$  0.4 in system B (plates with cellulose).

Hydrolysis of Compound VI. A 0.5 µmole portion of substance VI was dissolved in 10 ml of 0.2 M ammonium bicarbonate solution, evaporated at 30°C after 2 h, dissolved in water, and applied on a column with CM-cellulose. The nucleotides were eluted with water; rhodamine S with 5% acetic acid. The nucleotide concentration was determined spectrophotometrically at 260 nm, pH 2; rhodamine at 555 nm, pH 9. The ratio of nucleotides and rhodamine was 1:1. The time of half-hydrolysis of compound VI  $\tau_1/2$  was determined spectrophotometrically. Hydrolysis was conducted in buffer with pH 8. The value of  $\tau_1/2$  was 1 h. In hydrolysis in buffer with pH 10,  $\tau_1/2$  for triphosphates was equal to 4 min, and for diphosphate 20 min.

Purification from Decomposition Products. In the case of storage even in the lyophilized, fluorescent-labeled 3'(2')-0-acyl derivatives of uridine triphosphate were broken down forming numerous substances, among which uridine mono-, di-, and triphosphates, both containing the fluorescent group and without it, were found. The isolation and purification of the

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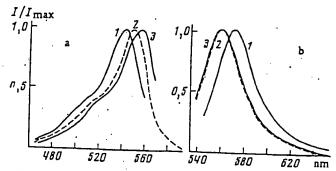


Fig. 1. Excitation (a) and fluorescence (b) spectra: 1) rhodamine S; 2) compound VI; 3) dA<sub>10</sub>, labeled with compound VI.

Nucleotides containing rhodamine were eluted with 0.5 M NaCl in the same buffer, containing 20% dioxane. The eluate was evaporated under vacuum at room temperature and chromatographed on a column with Sephadex G-15 in 0.005 M sodium phosphate buffer, pH 6.0. The first peak, containing the triphosphate, was evaporated under vacuum to a small volume and stored before use at -50°C. The content of di- and monophosphates was monitored by ascending chromatography of the purified preparation on DEAE-paper DE 81 in 0.5 M ammonium formate, pH

## RESULTS AND DISCUSSION

Fluorescent-labeled 3'(2')-0-acyl derivatives of uridine triphosphate were synthesized. The fluorescent component was introduced into the acyl residue. Derivatives of fluorescein and rhodamine S were selected as such a component. In the first case the starting material in the synthesis was fluorescenylisothiocyanate, which was condensed with diglycine; the fluorescenylaminothiocarbonylglycylglycine obtained in this way was converted to an imidazolide (compound I), which was reacted with uridine triphosphate (compound II). Compound III was obtained with a small yield (see Scheme). This is explained to a substantial degree by the partial destruction of triphosphate under the conditions of the reaction. The synthesis was conducted in formamide; substance III was practically not formed in an aqueous-organic

The interaction of the imidazolide (compound I) with [3H]glycine yielded compound IV, the imidazolide of which then acylated substance II with the formation of compound V. In a study of the properties of substances III and V, it was noted that the ester bond in them is rather stable; slow hydrolysis of it occurs in aqueous and aqueous alcohol solutions at pH 7, 8, and 9 at approximately the same rate. In the case of application on DEAE-cellulose, rapid hydrolysis was observed. The hydrolysis products of substances III and V were compounds I and IV, respectively, and a mixture of uridine mono-, di-, and triphosphates. This is an indication of the instability of the triphosphate portion of the molecule. Its partial destruction was also observed during storage of substances III and V.

Uridine triphosphate, acylated with the rhodamine S residue (compound VI), was produced analogously. The ester bond in this compound was already rather rapidly hydrolyzed at pH 7 and 8. The triphosphate component in this compound was also unstable. In one month of storage in the lyophilized state at -20°C, no more than 30% of the triphosphates were preserved. The excitation and fluorescence spectra for the uridine triphosphate analog containing rhodamine S and free rhodamine S are given in Fig. 1.

The excitation and fluorescence spectra for compound VII are shown in Fig. 2. The values of the molar extinction for fluorescent-modified analogs of uridine triphosphate, for free rhodamine S, and for free fluorescein are cited in Table 1.

The molar extinctions at pH 10 were measured in 0.1 M carbonate buffer. The molar extinctions of fluorescein, rhodamine S, and compound III were determined according to a

The molar extinction of compound VI was determined as follows: The absorption of a solution of preparation VI of arbitrary concentration was measured at pH 10 until it decomposed to uranyltriphosphate and free rhodamine S. Then, after complete breakdown of the substance, knowing the molar extinction of free rhodamine S, the concentration of free rhodamine S in the decomposed preparation was determined, i.e., the concentration of compound VI in the solution. Knowing the absorption of a solution of compound VI before its decomposition, we determined the molar extinction of preparation VI.

To determine the molar extinction of preparation V, the following measurements were performed. A radioactively labeled compound VII and compound V radioactively labeled in the same position with the same specific activity and a known value of the molar extinction for preparation VII were taken. An aliquot of a solution of known concentration of compound VII was counted on a liquid scintillation counter to determine the specific activity of the preparation. Knowing the absorption spectrum and specific activity of the radioactively labeled compound V, we determined its concentration in solution and the value of its molar

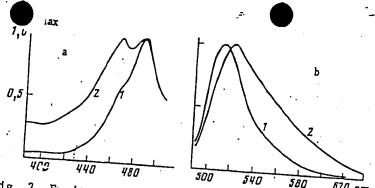


Fig. 2. Excitation (a) and fluorescence (b) spectra: 1) of compound VII; 2) of  $dT_{10}$ , labeled with compound V.

TABLE 1. Molar Extinctions of Fluorescent Analogs of the Substrates

| Compound                                     | 8  | λ <sub>max</sub> , nm           |
|--|--|---------------------------------|
| V<br>III<br>Fluorescein<br>VI<br>Rhodamine S | 19 600<br>37 000<br>85 000<br>65 000<br>66 000 | 452<br>485<br>485<br>549<br>543 |

The absorption spectra of fluorescently labeled analogs and rhodamine S depend greatly on the concentration, beginning with values >10 $^{-6}$  M. At concentrations <10 $^{-6}$  M, this dependence is negligible.

The spectrum of free rhodamine S does not depend on the pH value in the range of 6-10. The change in the shape of the spectrum of the substrate, modified with rhodamine S, and the shift of the maximum at pH 9-10 are related to the decomposition of the modified substrate to uranyl phosphate and rhodamine S, which begins at these pH values.

The spectrum of the substrate modified with fluorescein (compound V) does not depend on the pH in the region of 5-10. The absorption spectra of compounds III and free fluorescein depend greatly on the pH value in the range of 5.0-7.5. The stability of compounds III and V, in contrast to the analog modified with rhodamine S, does not depend on the pH value.

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Incorporation of the Fluorescent Label into the 3'-OH End of DNA by Bollum's Terminal Transferase. Using fluorescent-labeled analogs of nucleotides in the synthesis of DNA with terminal deoxyribonucleotidyl transferase, we expected the formation of a product containing a blocked 3'-OH end, which would be inactive in further synthesis. In the presence of an excess of the enzyme, the rate of the reaction of elongation of DNA is determined by the concentration of free 3'-OH ends of DNA. In this case, if the enzyme is capable of irreversends should show a consistent exponential decrease.

The working ratio of enzyme and DNA concentrations corresponds to the point A of the curve in Fig. 3 (the point A is the maximum value of the linear portion of the curve, where the concentrations of free 3'-OH ends is the factor determining the rate of the reaction of DNA elongation).

To measure the initial concentration of free 3'-OH ends of DNA we used the ability of the terminal transferase to add a limited number of ribonucleotides to the 3'-OH end of a polydeoxyribonucleotide [4, 5]. Under the conditions of the experiments, all the free 3'-deoxyribonucleotides were saturated with labeled ribonucleotides (Fig. 4). The product was subjected to alkaline hydrolysis, so as to exclude the formation of di- and triribonucleotides. The reaction was conducted as follows: To a standard incubation solution 1 we added [3H]UTP reaction product to alkaline hydrolysis at pH 13.5 for 24 h at 35°C. The acid-insoluble

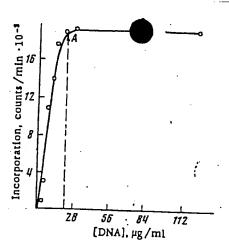


Fig. 3. Dependence of the rate of DNA synthesis on the initial concentration of the DNA primer (incubation solution 1; 0.5·10<sup>-4</sup> M [<sup>3</sup>H]dATP with specific activity 0.1 Ci/mmole; 40 min (37°).

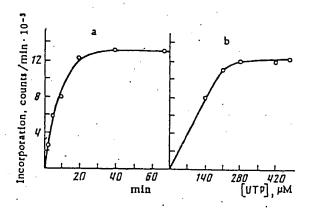


Fig. 4. Determination of the concentration of free 3'-OH groups in DNA:
a) dependence of the incorporation of ['H]UTP on the time; b) dependence of the incorporation of single molecules of UTP at the 3'-OH end of the DNA primer on the substrate concentration.

fraction was collected on nitrocellulose filters and the radioactivity measured (Fig. 4b). A 2 h incubation is sufficient for saturation of all the free 3'-OH ends of DNA with UTP molecules (Fig. 4a), since the level of saturation is already reached after 4 min. The average length between single-stranded breaks in DNA was calculated from the ratio of the amount of [3H]UMP incorporated at 3'-OH end of the DNA fragment to the amount of DNA nucleotides in the sample (in moles). This ratio was equal to 1:800 for the DNA preparation used.

The effective Michaelis constant for UTP was measured from the dependence of the rate of incorporation of [ $^3$ H]UTP with specific activity 1 Ci/mmole in 20 min of incubation at 37 °C on its concentration. The value of  $K_m$  was of the order of  $2 \cdot 10^{-4}$  M.

As has already been stated, in the case of irreversible incorporation of the modified substrate and the initial conditions described above, we had to expect an exponential decrease in the rate of incorporation of deoxynucleoside triphosphate as a function of the time of preincubation of the system with the UTP analog before the addition of deoxynucleoside triphosphates. It is precisely such reaction kinetics that is observed in the preincubation of terminal transferase and denatured DNA with fluorescent-labeled analogs of the substrate. The reaction was conducted as follows: Compound III to a concentration of 1.95·10<sup>-3</sup> M or substance VI (to 2.3·10<sup>-3</sup> M) or 1.95·10<sup>-3</sup> M UTP was added to the standard incubation solution l. Incubation was conducted for some time at 37°C, then [³H]dATP with specific activity 0.15 Ci/mmole was added to a concentration of 6·10<sup>-3</sup> M. Incubation was conducted for 10 min at 17°C, and the reaction was stopped by adding EDTA. The results of the experiment are shown in Fig. 5. As is shown by the control curve, UTP does not block the 3'-OH end of DNA.

quowever, the curves in Fig. 5 may take this form, in the first place, if the enzyme is ersibly inactivated during binding to the fluorescent-labeled analog and, in the second place, if the enzyme forms a nondissociated complex with DNA after incorporation onto the 3'-OH

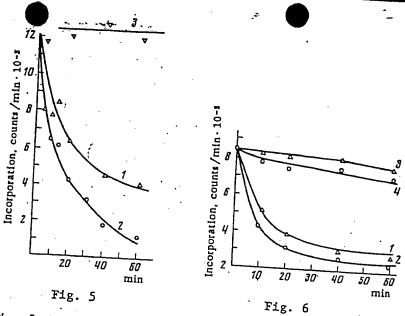


Fig. 5. Kinetics of blocking of the 3'-OH ends of DNA by fluorescent analogs of UTP: 1) compound III, activity of incubation solution 13,000 counts/min; 2) compound VI, 13,000 counts/min; 3) UTP, 8500 counts/min (curves standardized according to the activity of the corresponding samples without addition of an inhibitor).

Fig. 6. Kinetics of blocking of the 3'-OH ends of DNA by fluorescent analogs of substrates: 1 and 2) DNA concentration 21  $\mu$ g/ml; 3 and 4) 100  $\mu$ g/ml; 2 and 4) concentration of compound-VF 2.5·10<sup>-5</sup> M; 1 and 3) concentration of compound III 2.5·10<sup>-5</sup> M.

end of the DNA. In order to exclude both these possibilities, an experiment was conducted analogous to the preceding one, but with two different DNA concentrations, selected so that in one case the reaction of addition of deoxyribonucleotides limits the concentration of 3'-08 ends of DNA and, on the other hand, the enzyme concentration. As can be seen from the data of Fig. 6, no inactivation of the enzyme occurs, since when the DNA concentration is not the case—limiting factor, the rate of addition of deoxynucleotides to DNA practically does not decrease after preincubation with analogs of uridine triphosphate.

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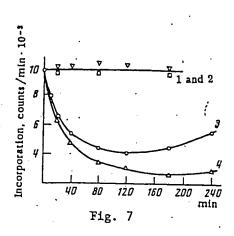
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To determine the effective Michaelis constant for modified analogs of uridine triphosphate, we measured the dependence of the rate of blocking of the 3'-OH ends of DNA by schemes of the two preceding experiments. A comparison of the effective Michaelis constants for fluorescent-labeled analogs of uridine triphosphate and for uridine triphosphate itself positive charge of the fluorescent group in compound VI, the analogs of the substrate and the substrate itself are incorporated at the 3'-OH end of DNA at rates and effective Michaelis constants of equal magnitude.

It is curious to note that analogous experiments both with 2'-0-Me-ATP and with 3'-0-Me-ATP, synthesized as described earlier [6], did not lead to blocking of the 3'-0H ends, either DNA or in the oligonucleotide  $dT_{10}$ .

Let us note that the curves of blocking of the 3'-OH ends, modified with the substrate, in general for long times deviate from exponential functions. Probably this is due to the insufficient stability of the fluorescent-labeled substrate. Possibly, during the work, part of the UTP analog loses its fluorescent label. Then part of the previously blocked 3'-OH ends of DNA again become accessible to further lengthening of the DNA strand. To demonstrate the incorporation of a fluorescent label into the 3'-OH end of DNA, we conducted the following experiment:  $dT_{10}$  in a concentration of  $10^{-4}$  M was incubated with the terminal transferase cacodylate, pH 7.0, and 0.3 mM fluorescent-labeled analog. Incubation was conducted at 37°C.



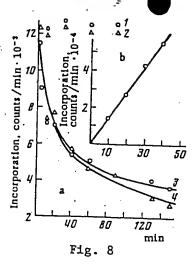


Fig. 7. Kinetics of the blocking of the 3'-OH ends of  $dT_{10}$ :
1) 3'-O-Me-ATP, 2) UTP, 3) compound VI, 4) compound V.

Fig. 8. Kinetics of the incorporation of fluorescent analogs of the substrates into the 3'-OH end of the growing chain of RNA. a) Incubation in the presence of 4 nucleoside triphosphates (3 and 4) and in their absence (1 and 2). 1 and 3) Compound VI; 2 and 4) compound III. b) Dependence of the rate of synthesis on the time.

After definite time intervals, aliquots with a volume of 2  $\mu$ l were collected and transferred to 20  $\mu$ l of fresh incubation medium with the same buffer and the same enzyme concentration, but not containing oligonucleotides, and containing 0.2 mM [³H]dATP with specific activity 0.02 Ci/mmole in place of UTP analogs.

After 10 min of incubation at 25°C, the sample was applied on DEAE-paper DE 81 and itographed in 0.5 M ammonium formate, pH 3.0, in a 50% solution of dioxane in water. It digonucleotide dT<sub>10</sub>, together with the [3H]dAMP bonded to it, remained at the start. The chromatograms were dried, cut into uniform pieces, and the radioactivity analyzed in a liquid scintillation counter. The amount of [3H]dATP bonded to dT<sub>10</sub> is shown in Fig. 7 as a function of the time of incubation of the system with ribonucleoside triphosphate analogs.

After 2.5 h of incubation, the bulk of the incubation medium (100  $\mu$ 1) was applied on a column of G-50 (14 ml,  $0.5 \times 70$  cm), equilibrated with 0.01 M potassium phosphate buffer, pH 6.0, and chromatographed at a rate of  $\sim 2$  ml/h. The first peak containing the oligonucleotide vas lyophilized, dissolved in 0.1 ml of water, and deproteinized by shaking with a mixture of phenol, chloroform, and isoamyl alcohol; the aqueous phase was subjected to chromatography three times on a G-50 column. In the last chromatography, an almost complete coincidence of the elution profiles measured according to the absorption at 260 nm and the fluorescence was observed, with the absence of a peak in the region of the low-molecular-weight substrate, not bound to  $\mathrm{dT_{10}}$ . This result demonstrates the incorporation of the modified substrate into the 3'-0H end of  $dT_{10}$ . An analysis of the content of fluorescein and rhodamine S in an analogous experiment with  $\mathrm{d}A_{10}$ , as well as radioactive glycine in an oligonucleotide with  $dT_{10}$ , showed that despite substantial inhibition of the reaction of the addition of dATP, observed directly after the reaction with the analog (Fig. 7), the fraction of oligonucleotides fluorescently labeled in the final product is negligible, and is  $\sim$ 7% for fluoresceinlabeled dT.o, and 1% of all the oligonucleotides for rhodamine S-labeled dA.o. This difference is evidently explained by a constant loss of the label during purification and storage of the labeled oligonucleotides.

Incorporation of a Fluorescent Label into the 3'-OH End of RNA by RNA Polymerase. Just as in the case of terminal transferase, the incorporation of a uridine triphosphate analog, carrying a fluorescent label in the RNA strand, should lead to an exponential decrease in the stee of RNA synthesis with time. However, this condition is fulfilled only in the absence initiation of RNA synthesis, i.e., in the case of apparent inactivation of enzyme rules that have incorporated the analog. The experiment was conducted as follows:

To a standard incubation solution 2 we added ATP, GTP, and CTP in a concentration of 8·10-3 M

each, unlabeled UTP ·10-5 M), and the fluorescently labeled UTP analog (2.4·10-5 M); after incubation for the time indicated in Fig. 8 at 37°C, [3H]UTP was added to the incubation mixture (to a final concentration of 0.8·10-5 M) with specific activity I Ci/mmole, incubated for 10 min, and the reaction ended by the addition of EDTA. The result of this experiment is shown in Fig. 8a. The control experiment, excluding the possibility of apparen inactivation of the enzyme in the case of its direct bonding to the fluorescent-labeled analogs, was conducted as follows: The fluorescent-labeled substrate was incubated with RNA polymerase in standard solution II without ribonucleoside triphosphates; after incubation for a definite time (Fig. 8a), all the nucleoside triphosphates were added in the same concentrations as in the preceding experiment. After this, the solution was incubated for 10 min, and the reaction stopped. The absence of appreciable inhibition (Fig. 8a) of synthesis of RNA with such an experimental procedure shows that RNA polymerase is not inactivated by modified analogs of the substrate directly without RNA synthesis. The control kinetics of RNA synthesis, showing that when four nucleoside triphosphates are used in the preceding two cases, the synthesis of the product is a linear function of the time of the reaction, is depicted in Fig. 8b. The control dependence of the rate of RNA synthesis on the RNA polymerase concentration showed that the rate is directly proportional to the enzyme concentration

On account of the insufficient stability of the fluorescent-labeled UTP analogs used, free rhodamine S or compound VIII may be found in the incubation system.

We investigated the influence of these compounds on the rate of RNA synthesis and the dependence of RNA synthesis on the time in the presence of rhodamine S or compound VIII. results of the experiments showed that rhodamine S has practically no effect on RNA synthesis, while substance VIII somewhat stimulates it.

To demonstrate the incorporation of a fluorescent-labeled analog of the substrate into the 3'-OH end of the growing RNA chain, we conducted a preparative synthesis of RNA in the presence of the modified substrate.

Four ribonucleoside triphosphates in a concentration of 2·10-3 M each and the fluorescent labeled UTP analog (3.5·10-6 M) were added to the incubation solution 2, containing 100 µg of native thymus DNA and 100 µg RNA polymerase. After 2 h of incubation at 37°C, the reaction product was chromatographed on a column of G-150 (10 ml,  $0.5 \times 50$  cm), equilibrated with 10 ml Tris-acetate buffer, pH 6.5, containing 0.1 M KCl. After the first chromatography, the peak of the high-molecular-weight fraction was collected, concentrated, treated with pronase, and rechromatographed.

In both cases, with the analog containing both rhodamine S and fluorescein, the content of the fluorescent label in the product of synthesis was more than three times as high as in the control experiments, considering the possible adsorption of the fluorescent-labeled analogs on the high-molecular-weight components of the system.

We undertook an attempt to measure the effectiveness of the transfer of energy of excitation between fluorescent-labeled analogs bound to a matrix.

In a preparation obtained by annealing of fluorescent-labeled  $dA_{10}$  and  $dT_{10}$ , a quenching of fluorescence was observed. However, the value of the quenching was very low. This is due to the fact that the probability that both the donor and the acceptor will be on a single double-stranded molecule is low. Attempts were undertaken to enrich preparations of  $dA_{10}$ labeled with rhodamine S through the reaction of elongation of dA, molecules that do not contain rhodamine S on the 3'-OH end, using terminal transferase and separation of the lengthened and unlengthened oligonucleotides on Sephadex G-100. However, these attempts were unsuccessful as a result of the progressive loss of the fluorescent label during all

In this work we demonstrated the theoretical possibility of incorporation of fluorescentlabeled analogs of nucleotides by terminal transferase at the 3'-OH end of DNA and by RNA polymerase at the 3'-OH end of the growing RNA strand. However, on account of the insufficient stability of the fluorescently modified analogs of UTP used in the work it was difficult

Noteworthy is a peculiarity of the reactions in which fluorescent-labeled analogs are substrates and, in addition, irreversible inhibitors, which terminate the chain of the

lymer being synthesized.

The kinetic constants of the reaction with analogs and with natural nucleoside triphosphates for the reaction of addition of ribonucleotides by terminal deoxyribonucleotidyl insferase proved extremely close. This does not depend on whether the enzyme is deficient in excess with respect to the primer, but it means that at least in the reaction of ition of ribonucleotides, the terminal transferase is freed from the complex with the rimer after each stage of addition of the nucleotide to the primer.

It is interesting, moreover, that both analogs of nucleoside triphosphates, modified on the ribose both with uncharged (fluorescein) and with charged (rhodamine S) voluminous substituents, proved capable of being incorporated into the chain of the growing polymer. This property is inherent not only in the terminal deoxyribonucleotidyl transferase of the calf thymus and DNA-dependent RNA polymerase of E. coli, but also the two-component ribonucleotidyl transferase [7]. Analogous compounds 2'(3')-0-isovaleryl- and 2'(3')-0- $(\alpha$ methoxyethyl)nucleoside diphosphates are good substrates for polynucleotide phosphorylase [8].

At the same time, such compounds as 2'-O-Me-ATP and 3'-O-Me-ATP, entirely incapable of blocking the growing ends of the polynucleotide in the reaction of calf thymus deoxyribonucleotidyl transferase, also proved to be only weak inhibitors of two-component ribonucleoridyl transferase of E. coli [7]. But for DNA-dependent RNA polymerase of E. coli, 3'-0-Me-ATP served as an inhibitor analogous in action to the fluorescent-labeled analogs of nucleoside triphosphates and 3-dATP, whereas 2'-0-Me-ATP did not inhibit the synthesis at all.

The data cited here show that the picture of the interaction of substrates with the enumerated enzymes is complex, and that not only steric, but also some other mechanisms participate in this process.

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May-June, 1977

## CONTENTS

| - 2  |  |          |        |
|------|--|----------|--------|
| of   |  | Engl.    | /Russ. |
|      | Introduction of a Fluorescent Label at the 3'-OH End of DNA and the 3'-OH  |          |        |
|      | Date of Growing MMA Chain - I. A Pozovelenne D of Det  |          |        |
|      | ~- *** dought U. V. (illEskil and C A Ctlit_   | <i>i</i> |        |
| Ì    |  | 455      | 598    |
| - 1  |  |          |        |
|      | N. V. Yurovitskaya, and T. N. Bol'shakova  |          |        |
| -    |  | 466      | 611    |
|      | 22. Study of the Dissociation and Reconstruction of page   |          |        |
| 1    |  |          |        |
| . [  | belowed and Certain Properties of Recombinant Olivers of the Combinant livers of the Combinat Olivers of the Combinat Oli | 474      | 620    |
| ic   | of <u>Drosophila melanogaster</u> - Yu. V. Il'in, N. A. Churikov, A. S. Solonin,   |          |        |
| 1.   | L. G. Polukarova, and G. P. Georgiev   |          |        |
| T.   | Structure of Higher Plant Chloroplast Membranes as Studied by Paramagnetic Probes  - É. K. Ruuge, V. K. Subchinskij and A. N. Tilbanane  | 489      | 637    |
| J÷   | - É. K. Ruuge, V. K. Subchinskii, and A. N. Tikhonov  ect of Proteins Bound to Single-Stranded DNA on the Sunth  |          |        |
|      | ect of Proteins Bound to Single-Stranded DNA on the Synthesis of RNA   | 496      | 646    |
| 1    |  |          |        |
| 1.   | N. M. Naidenova, Yu. I. Kozlov, Yu. N. Zograf, and V. G. Debabov  Iolecular Mechanisms of Spontaneous Transversions and  |          |        |
| 1"   | Iolecular Mechanisms of Spontaneous Transversions and Transitions  | 504      | 656    |
|      |  |          | •      |
| 14   | vestigation of the Enzymatic Reaction Catalyzed by E. coli Ribosomes  - V. V. Kotusov, M. K. Kuliborova, N. J. J. J. J. J. J. J. J. J. J. J. J. J.   | 509      | 661    |
|      |  |          |        |
|      | A. A. Kraevskii, and B. P. Gottikh   |          |        |
| 1    | lectron Microscopic Investigation of Chromatin in Hepatocyte Nuclei in situ during   | 517      | 671    |
| ĺ    | the First Hours after Partial Hepatectomy - V. M. Manteifel', Yu. V. Ershov, I. N. Bandrina, and A. V. Zelenin   |          |        |
| ١, ـ | I. N. Bandrina, and A. V. Zelenin  |          |        |
| M    | easurement of the Time of Rotational Correlation of Macromolecules by the ESR  Method in the Case of Covalently Bound Spin Value   | 523      | 677    |
|      | Method in the Case of Covalently Bound Spin Label - I. V. Dudich, V. P. Timofeev, M. V. Vol'kenshtein, and A. Yu. Misharin   |          | -      |
| ,,   | M. V. Vol'kenshtein, and A. Yu. Misharin  mograms for Determining the Sedimentation Constants of No. 1.  |          |        |
| NO   | in a Sucrose Concentration Gradient on Spince Eliterature  | 531      | 685    |
|      | in a Sucrose Concentration Gradient on Spinos Ellers and its   |          |        |
| IUI  | luence of Histone Kinase, Phosphorylating Lymine Divacentrifuges - L. A. Osterman  | 539      | 694    |
|      | Normal State and after Partial Hopetanting Dysme-Rich Histone Fractions,   |          |        |
|      | V. A. Kolesnikov, M. V. Nesterova, E. S. Severin, and A. V. Zelenin  | •        |        |
|      | D. B. Severin, and A. V. Zelenin   | 550      | 706    |
|      |  |          |        |

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## FORTSCHRITTE DER CHEMIE ORGANISCHER NATURSTOFFE

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## Recent Advances in Polynucleotide Synthesis

By H. Kössel, Institut für Biologie III der Universität Freiburg i. Br., Federal Republic of Germany, and H. Seliger, Institut für Makromolekulare Chemie der Universität Freiburg i. Br., Federal Republic of Germany

## Contents

| ntroduction   | ç             |
|---|---------------|
| Ubbreviations and Symbols   | 867 :         |
|   | . 299<br>300  |
| 1.1. General Considerations   | 300           |
| 1.3. Survey of Blocking Conditions  | 304           |
| 1.3.1.  | 305           |
| 1.3.2. Protecting Groups for the Hydroxyl and Amino Functions of the Sugar and Base Moieties                                  |               |
| 1.3.3. Protecting Groups for the Vicinal Diol Group of Ribonucleic Acid Consultuents  | . 748         |
| 1.4. Protecting Groups with Special Applications  | . 352         |
| 1.4.1. Protecting Groups for Solvent Extraction   | . 353         |
| 1.4.3. Activable Protecting Groups  | . 354         |
|   | 356           |
| 1.0. Ceneral Blocking Schemes for Intermediates of Polynucleotide Synthesis   | 357           |
| Phosphorylation Methods in the Synthesis of Mono- and Oligonucleotides  | 367           |
|   | 368           |
| =   | 393           |
| 2.3.1. Phosphorylation by Oxidation of Nucleoside Phosphites  | 395<br>395    |
|   | 396           |
| 2.4. Enzymic Phosphorylation  | 397           |
| Separation Techniques   | ر<br>در<br>در |
| _   | 40.4          |
| 3.1.2. Column Chromatography on Conventional Adsorbent Types. 3.1.2. Column Chromatography on Newly Developed Adsorbent Types | 401<br>404    |
|   |               |

| 3.2. Extraction Procedures   |       |  |
|--|-------|--|
| 3.3. Miscellaneous Tarketaneous  | . 405 |  |
| The second and the se | 406   |  |
| 4. Formation of Internucleotide Linkages by Chemical Synthesis   |       |  |
| 4.1. Conventional Methods  | . 406 |  |
| 4.1.1. Synthesis in the Deaxy Series   | . 406 |  |
| 4.1.2. Synthesis in the Ribo Serie   | . 406 |  |
| 4.1.3. Modified Oligonucleotides   | . 419 |  |
| 4.2. Polymer-Support Synthesis of Olivanicleoridas   | . 429 |  |
| 4.2.1. General Reaction Principle  | . 430 |  |
|  | . 430 |  |
|  | 431   |  |
|  | 434   |  |
|  | 433   |  |
|  |       |  |
| 4.3.1. Chemical Synthesis via Activation of Hydroxyl Functions   |       |  |
| 4.3.2. Chemical Synthesis via Preactivated Phosphate Derivatives   | 450   |  |
|  | 460   |  |
| 4.3.4. Calemical Synthesis on Complementary Templates  | 461   |  |
| Reactions  | : :   |  |
| 5.1. Reactions Catalyzed by Polymerizing Farymas   | 463   |  |
| 5.2. Reactions Catalyzed by Polymedantial research   | 464   |  |
| 5.3. Synthetic Rearlions Catalina Linna  | 471   |  |
| Defense a second in Catalyzed by Ribonucleases   | 478   |  |
| Acierences   | 483   |  |
| Acknowledgement. We are delighted to express our gratitude to many colleagues who supported our work by sending us reptints, preprints and the supported our work by sending us reptints.  | who   |  |
| incorporation into this review. We wish also to acknowledge several grants from the Deutsche Forschungsgemeinschaft for the support of our own work to which references  | the   |  |
| is made liefe,   | 2011  |  |

## Introduction

During the past decade solutions of an increasing variety of problems in the field of molecular genetics have rested on the availability of synthetic polynucleotides. Thus, to cite only a few examples, the elucidation of the genetic code was based on synthesis of the 64 posnucleotides containing repeating sequences (186, 249). More recently the thesis of two tRNA-genes (2, 188, 190). A further useful application has sible trinucleoside diphosphates and on the preparation of polydevelopment of synthetic procedures has culminated in the total synbeen demonstrated in the use of synthetic oligomers of specific base Because of the many problems which remain with respect to our understanding in gene function or to future gene manipulation, it seems not sequence as specific primers for DNA sequencing (247, 366, 379, 467).

References, pp. 483-508

Recent Advances in Polynucleotide Synthesis

299 . 14 . 1.

surprising that the effort for finding new methods or for improving earlier methods in polynucleotide synthesis still continues or even increases in many laboratories all over the world.

Accordingly a large number of contributions has appeared during the past three or five years which we will try to summarize in the present review. However, although we have attempted to give a broad survey of the entire field, comprehensiveness - deemed a hopeless task not only in the field of synthetic polynucleotides - could not be limitation or because their contributions are positioned more towards literature is accessible through several excellent monographs (45, 184, our major aim. We therefore offer our apologies to authors, whose contributions we could not recognize properly for reasons of space the periphery of the field. We have also limited ourselves to a compilation of those contributions made during the last 3-5 years, as the earlier 197, 258) and review articles (61, 65, 69, 185, 186, 319).

## Abbreviations and Symbols

The system of abbreviations used in this review is principally that Biol. 55, 299 (1971). Thus, a monosubstituted terminal phosphoric acid residue is represented by a small p. Internal phosphoric diester 3'--5'-linkages are represented by a small p between the respective which has been suggested by the IUPAC-IUB commission in J. Mol. nucleoside symbols or by hyphens.

Nucleosides or nucleoside residues are represented by the following symbols: A adenosine, C cytidine, G guanosine, U uridine, T thymidine, I inosine, X xanthosine, Pu unspecified purine nucleoside, Py unspecicommon 2'-deoxyribonucleosides are designated by the same symbols, ceding each residue or preceding whole chains, or small d is used as modified in one of the following ways: small d is used as prefix prefied pyrimidine nucleoside; N or M unspecified nucleoside. subscript at individual nucleoside symbols.

small p is considered to be attached to the oxygen atom of the 3'-carbon on its lest and to that of the 5'-carbon on its right. For other types The diesterified phosphate residue, represented by a hyphen or by of linkage, the numerical form, as in 2'--5' or 5'--5' is used.

Examples of oligonucleotides: A-G-Up or ApGpUp represents a trinucleoside of the ribo series with internal 3'---5'-linkages and with a 3'-terminal phosphate. A-G-U>p represents the same trinucleotide but with terminal 2':3'-cyclic phosphate. pA-G-U is the same, but commencing with a 5'-phosphate and terminating in a uridine with unsubstituted 2'- and 3'-hydroxyls. d (pG-A-C-T) or dpG-A-C-T

j ji

The first three sections of this review describe recent advances toward

the solution of these three problems.

3'-terminal hydroxyls; the six 5'-terminal A-residues belong to the  $(rA)_{\sigma} - T_{\sigma} - T_{\sigma}$  represents an octanucleotide with unsubstituted 5'- and ribo series, whereas the two 3'-terminal T-residues belong to the is a tetranucleotide (all deoxy), with 5'-terminal phosphate on G. deoxy series.

In polymerized nucleotides the prefix "poly" is usually substituted by the subscript n as in (dU),, which stands for poly dU. Non-covalent association between two polynucleotide chains, such as that ascribed to hydrogen-bonding, is indicated by a centre dot as in (r1),, (r2thioC),..

ac for acetyl; ibu for isobutyryl. They are placed inmediately before Symbols for N-protecting groups are: bz for benzoyl; an for anisoyl; the single capital letters representing the nucleoside or nucleoside residue. In other cases they appear beginning with capital letters above the nucleoside symbols as in Abt, dpGibu, or dpGit-Cin

dues are: (MeOTr) or MMTr for monomethoxytrityl, [(MeO)2Tr] or Symbols for O-protecting groups at the ribose or deoxyribose resi-DMTr for di-methoxytrityl, (Thp) or THP for tetrahydropyranyl, (Ac) or O-Ac for acetyl.

The condensing agents are commonly abbreviated by:

DCC for N,N'-dicyclohexyl-carbodiimide,

for mesitylene-sulfonyl-chloride,

for 2,4,6-tri-isopropyl-benzene-sulfonyl-chloride. TPS

Additional symbols for blocking groups etc. are indicated in the respective sections.

## 1. Protecting Groups

## 1.1. General Considerations

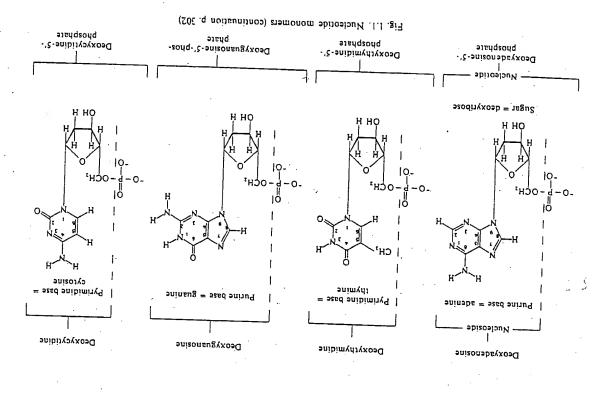
In natural polynucleotides the nucleotide monomers (Fig. 1.1) are exclusively linked by 3'-5'-phosphodiester linkages (Fig. 1.2). The formation of this linkage is normally the goal of the work done in chemical and enzymic oligo- and polynucleotide synthesis. In enzymic connection of the units. In a sequence-specific chemical synthesis synthesis the specificity of the enzymes will only allow the "right" several problems have to be solved in order to achieve a natural internucleotidic bond:

The intermediates have to be suitably protected,

Phosphorylation methods, suitable for the formation of internucleotidic bonds, have to be developed.

Techniques for the separation of reactants, products and byproducts have to be elaborated.

References, pp. 483-508



H. Kösset, and H. Seligen:

Ribocytidine

Riboguanosine

the phosphate residue (with formation of pyrophosphates or branched-chain oligonucleotides). Other nucleophilic centers, e.g. N3 of pyrimidine bases, are generally not protected.

Fig. 1.2. Linkage of nucleotide monomers

Fig. 1.1. Mucleoude monomers (continued from page 301).

Ribocytidine-5'-phosphate Riboguanosine - 5 -phosphate Ribouridine-5'-Phosdenosine Sugar = ribose

Ribouridine

Riboadenosine

molecule arises from the fact that several nucleophilic centers (see Fig. 1.1) are able to react with an activated nucleotide. These are: The necessity for protection of different functions of the nucleotide the 3'- and 5'-hydroxyl groups, additionally the 2'-hydroxyl group in ribonucleic acid constituents,

References, pp. 483-508

the amino groups of the nucleobases,

## 1.2. Choice of Blocking and Deblocking Conditions

The following considerations, in principle, govern the choice of reagents for blocking and deblocking of oligo- and polynucleotides and their constituents:

- 1. The protecting groups must be stable during the formation of an internucleotide linkage. At a later stage of the oligonucleotide synthesis it must be possible to remove them without alteration of the original function.
- 2. Protection and deprotection must proceed without rupture or isomerization of previously formed internucleotide bonds.
  - 3. The same is required for "weak spots" of the nucleotide molecule itself, especially the glycosidic linkage.
- 4. Introduction and removal of blocking groups should be, as far as possible independent of reactions of other blocking groups of the same molecule, and vice versa.
- 5. Steric and electronic effects of blocking groups should not be adverse to the formation of internucleotidic linkages.

A closer look at the considerable number of protecting groups described for use in nucleic acid chemistry reveals that only very few will meet all these requirements (see Table 1.1 and Section 1.3 in all cases. Thus, for example, the strongly alkaline removal of N-acyl the use of benzyl groups for protection of the cytosine base, and was abandoned due to partial scission of the latter on anionic debenzylation. The use of such groups or conditions may, neverdebenzylation. The use of such groups or conditions may, neverdebenzylation. The use of such groups or conditions and also exclude the strongly acid resp. alkaline conditions necessary for their removal

References. pp. 483-508

Recent Advances in Polynucleotide Synthesis

(see Sections 1.3 and 1.6). This is because the glycosidic linkage of N-substituted purine-deoxyribonucleotides is very sensitive to media of pH <4, and the ribo-internucleotide bond is easily cleaved at pH >10 due to the neighbouring effect of the 2'-OH. Criterion 4 is stepwise oligonucleotide synthesis. As discussed in Sections 1.5 and 1.6, the number of groups, which fulfil all requirements of selectivity deblocking of certain functions have been developed to overcome this very small, and various strategies for the selective blocking or difficulty. Criterion 5 is hard to take into account, since little is known media and steric effects of blocking groups on intermediate states of the example for the "shielding" of 3'-hydroxyl groups of ribonucleosides by blocking groups at the 2'-function and vice versa (see Section 1.6).

Very recently attention has been drawn to the use of blocking groups in stepwise enzymatic oligonucleotide synthesis. This new approach, Section 5. The blocking groups used in this case have been selected by testing a great number of candidates. Selection of the most suitable protecting agent for this purpose would be greatly simplified by a more detailed knowledge of the steric and electronic environment of the active site of the enzymes in question.

## .3. Survey of Blocking Groups

A list of blocking groups for use in nucleotide chemistry is given in Table 1.1. Of course, it is impossible to take into account every group tested for blocking purposes in laboratories all over the world introduction and removal of certain groups. However, the information but attempts to draw attention also to other groups which have been described, but perhaps not fully exploited as to their potentiality. This is certainly justified by the fact that any discrimination between protecting groups rejected for the synthesis of one intermediate may and 1.6 will demonstrate how such intermediates for different approaches groups.

Table 1.1 is subdivided in the following way:

In column 1 the protecting groups are numbered according to structural similarity and degree of substitution. These numbers will be referred to in the further discussion. In column 2 the blocking groups are named and classified according to structural similarity. Column 3 lists standard abbreviations. These are a) recommendments of the IUPAC-IUB Commission on Biochemical Nomenclature, for amino blocking groups, resp. b) for terminal radicals; c) abbreviations as used in publications of H. G. KHORANA and coworkers, if different from a) and b); d) abbreviations as used by other authors cited as references, if different from a) and b). Column 4 gives representations of the structural formulae. In column 5 the functions are listed, for which the resp. blocking groups can be used. It is convenient to distinguish phosphodiester residues (i.e. terminal phosphate or internucleotidic between the following functions to be blocked: phosphomonoester resp. bonds), hydroxyl- and amino groups in general (including the 2'- and/or 3'-hydroxyl groups of ribosides, if separately blocked), the vicinal diol group of ribosides in cases, in which it reacts as one unity. Cases, in which the blocking group is introduced selectively into one out of several similar functions, are indicated by e.g. "selectively 5'-OH" etc. Column 6 gives the appropriate reagents for blocking. More detailed reaction conditions are listed only if necessary for reasons of selectivity. Similarly are deblocked under these conditions are listed. The latter are idenin columns 7 and 8 the reagents for deblocking and the groups which cations of blocking groups. The following cases are listed: a) blocking tical with the blocked moieties except for cases of selective deblocking, which, thus, can be easily discerned. Column 9 points to special applichromatography, c) activable blocking groups, d) blocking groups for groups which allow solvent extraction of oligonucleotides, b) blocking groups rendering possible the separation of oligonucleotides by affinity enzymatic monoaddition substrates. A detailed discussion follows in

to the different blocking groups. Since this column provides ample to citing only those publications, which may serve to illustrate those Column 10 of Table 1.1 contains all literature references pertinent literature information, we will, in the following sections, limit ourselves points which are especially stressed and discussed.

It should be said in conclusion, that this survey does not include all those groups and reagents, which are used for other than blocking or other polynucleotides, even if they would, in principle, meet some purposes, e.g. groups used for selective base modification in tRNA of the requirements for protecting groups listed in Section 1.2.

References, pp. 483-508

| , nc |   |                                     |                |                               | •  |  |                   | .(205.q) £.1 nc ? 10                                | ) lxəl əş       | os suomeneidx:             | נפ      |
|------|---|-------------------------------------|----------------|-------------------------------|--|--|-------------------|---|-----------------|----------------------------|---------|
| •    | (59)  | · .                                 | ikali          | -опот                         |  | בנוכנ ל<br>מוסטס- ב                      |                   | сн'<br>-о-сн-сн'-с-сн'                              |                 | echyl-echyl                | ьш      |
|      | (59)  |                                     | الاعاة         | ester<br>mono-<br>phospho- a  | nucleotide,<br>l-hydroxy-3-<br>sutanone,<br>OCC  | c2[ct                                    |                   | сн <sup>2</sup><br>-о-сн <sup>2</sup> -сн-с-сн<br>0 |                 | -асегуі-2-<br>легһуІ-егһуі | w       |
| · .  | . (\$9)   | ,                                   | mild<br>alkali |                               | nucleotide,<br>1-cyano-<br>propanol-2,   | mono-<br>ester                           |                   | сн <sup>2</sup><br>-о-сн-сн <sup>2</sup> -си        |                 | սշւրչ -շւրչ <br> -Հչա0-լ-  | <b></b> |
|      | (61#<br>(61# '21#<br>(681 '211<br>(681 '21 '45' 24' (9) |                                     | mild<br>alkaii | ester,<br>phóspho-<br>diester | nucleoside,<br>6-cyano-<br>ethyl-phos-<br>phate, DCC<br>nucleotide,<br>hydraerylo-<br>nitrile, DCC | phosphare<br>ester,<br>ester,<br>diester |                   | -0-CH³-CH³-CN                                       | CE,             | b-substituted              |         |
|      | Seference   | Special appli-<br>appli-<br>cations |                | Deblocked                     | Conditions<br>for blocking   | moiety<br>Blocked                        | nN ui sdnoad buyy | v- Structural formula                               | ərddA<br>noitsi | Blocking<br>group          | _       |

|   | . Blocking<br>group                            | Abbrev-<br>iation | Structural formula                                       | Blocked<br>moiety          | Conditions<br>for blocking                                       |                              | d Deblocking<br>conditions                        | Special<br>appli-<br>cations | Reference        |
|---|--|-------------------|--|----------------------------|--|------------------------------|---|------------------------------|------------------|
| 5 | 2-sulfolen-4-yl                                |                   | -0<br>0=5=0  | phospho-<br>mono-<br>ester | nucleotide,<br>4-hydroxy-<br>2-sulfolene,<br>DCC                 | phospho-<br>mono-<br>ester   | alkali  |                              | (65)             |
|   | 2(α-pyridyl-)<br>ethyl                         | <del></del>       | -0-CH <sub>2</sub> -CH <sub>1</sub> -0-                  | phospho-<br>mono-<br>ester | nucleotide,<br>α-pyridyl-<br>ethanol,<br>DCC                     | phospho-<br>mono-<br>ester   | NaOCH <sub>3</sub><br>in<br>methanol/<br>pyridine |                              | (97, 98)         |
|   | 2-(phenyl-<br>carbomyl-)<br>ethyl              |                   | O-CH <sub>1</sub> -CH <sub>1</sub> -C-NH-                | phospho-<br>mono-<br>ester | nucleotide,<br>phenyl-<br>hydra-<br>crylamide,<br>DCC            | phospho-<br>mono-<br>ester   | alkali  | affinity                     | (7, 289,<br>290) |
| 1 | 2-(p-methoxy- N<br>phenylcarba-<br>noyl-)ethyl |                   | O-CH <sub>1</sub> -CH <sub>1</sub> -C-NH-O-CH            | phospho-<br>mono-<br>ester | nucleotide,<br>p-methoxy-<br>phenyl-<br>hydracryl-<br>amide, DCC | phospho- a<br>mono-<br>ester | ılkali a  | iffinity                     | (289, 290)       |
| c | l-(benzyl-<br>arbamoyl-)<br>thyl               | _                 | O-CH <sub>1</sub> -CH <sub>2</sub> -C-NH-CH <sub>2</sub> | mono-<br>ester             | benzylhydra-   | phospho- a<br>mono-<br>ester | lkali a   | ffinity                      | (289, 290)       |

|    | 0 2-(phenyl-<br>mercapto-)<br>ethyl                    | PME⁴                            | -O-CH <sub>1</sub> -CH <sub>1</sub> -S |   | phospho<br>mono-<br>ester              | - nucleotide,<br>2-phenyl-<br>mercapto-<br>ethanol,<br>DCC                  | phospho<br>mono-<br>ester               | 1) periodate<br>2) alkali                         | affinity<br>extrac-<br>tion | (7, 290a.<br>422, 465)                    |
|----|--|---------------------------------|--|---|--|---|---|---|-----------------------------|---|
|    | 1 9-fluorenyl-<br>methyl                               |                                 | H CH3-0-                               |   | phospho-<br>mono-<br>ester             | nucleotide,<br>9-fluorenyl-<br>methanol,<br>TPS                             | phospho-<br>mono-<br>ester              | alkali  | extrac-<br>tion             | (176)                                     |
| 12 | 2',3'-(2,4-<br>dimethoxy-<br>benzylidene-)<br>uridinyl |                                 | -O_CH <sub>3</sub>                     |   | phospho-<br>mono-<br>ester             | nucleotide,<br>2',3'-(2,4-<br>dimethoxy-<br>benzylidene-<br>uridine,<br>DCC | phospho-<br>mono-<br>ester              | I. mild acid<br>2. NaJO <sub>4</sub><br>3. alkali |                             | (177, 178,<br>398a)                       |
| 13 | β,β,β-tri-<br>chloroethyl                              | Cl <sub>3</sub> Et <sup>d</sup> | - O - CH <sub>1</sub> - C CI           | e | phospho-<br>nono-<br>ster,<br>phospho- | nucleotide,<br>$\beta,\beta,\beta$ -tri-<br>chloro-<br>ethanol,             | phospho-<br>mono-<br>ester,<br>phospho- | Zu/Cu in<br>DMF                                   | ·                           | (42, 75, 76,<br>78, 94, 197,<br>296, 298) |

diester

ethanol, DCC

phospho-diester

| Table 1.1 (continued) |
|-----------------------|
|-----------------------|

| _  |   | <del></del>                       | Table | e I.I (continued           | )  |                               |                             |                              |                      |
|----|---|-----------------------------------|-------|----------------------------|--|-------------------------------|-----------------------------|------------------------------|----------------------|
| -  | No. Blocking<br>group                       | Abbrev- Structural formula intion |       | Blocker                    | Conditions<br>for blocking   | Deblock<br>moiety             | ed Deblocking<br>conditions | Special<br>appli-<br>cations | Reference            |
|    |   | -                                 |       |                            | nucleoside,<br>\$,\$,\$-tri-<br>chloroethyl-<br>phosphate<br>TPS                       |                               | ,                           | .,                           | ,                    |
|    |   |                                   |       |                            | nucleoside,<br>β,β,β-tri-<br>chloroethyl-<br>phosphoro-<br>dichloridate<br>nucleoside, |                               | . 10                        |                              |                      |
|    |   | •                                 |       |                            | β.β.β-tri-<br>chloroethyl-<br>β-cyano-<br>ethyl-<br>phospho-                           | . 4                           | •                           |                              |                      |
| _  | misc. ester groups:                         |                                   |       |                            | chloridate .   | <del></del>                   |                             |                              |                      |
| 14 | phenyl-                                     |                                   | *     | phospho-<br>diester        |  | phospho-<br>diester           | strong<br>alkali            |                              | (31, 73<br>350, 352) |
|    |   |                                   |       |                            | · · · · · · · · · · · · · · · · · · ·  |                               | <u> </u>                    |                              |                      |
|    |   | · -                               | ···,  | ·                          |  | ٠                             | *                           |                              |                      |
| 5  | o-chloro-<br>phenyl-                        | -o-CI                             |       | phospho-<br>diester        |  | phospho-<br>diester           | alkali                      | 1                            | (352)                |
| 5  | m-chloro-<br>phenyl-                        | -o-C1                             | ,     | phospho-<br>diester        | nucleoside,<br>m-chloro-<br>phenyl-<br>phosphate,<br>TPS                               | phospho-<br>diester           | alkali                      |                              | (352)                |
|    | o-fluoro-<br>phenyi-                        | - o                               |       | phospho-<br>diester        |  | phospho-<br>liester           | alkali                      | ·                            | (352)                |
|    | 4-chloro-2-<br>nitro-phenyl-                | - O                               |       | phospho-<br>mono-<br>ester | 4-chloro-2- n  | phospho- s<br>nono- s<br>ster | strong<br>alkali            |                              | (289)                |
|    | 4-nitro-2-<br>chloro-<br>methyl-<br>phenyl- | C1-CH <sub>1</sub>                |       | phospho-<br>mono-<br>ester | 4-nitro- m   |                               |                             | tiv- (                       | (281)                |

|              |                              |                   |                    | Table 1.1 | (continued)                |  |                              | •                          |           |          |
|--------------|------------------------------|-------------------|--------------------|-----------|----------------------------|--|------------------------------|----------------------------|-----------|----------|
| <del>-</del> | Blocking<br>group<br>benzyl- | Abbrev-<br>iation | Structural formula |           | Blocked<br>molety          | Conditions<br>for blocking                         | Deblocke                     | d Deblocking<br>conditions | g Special |          |
|              | ocazy:-                      |                   | -0-CH <sub>2</sub> |           | phospho-<br>mono-<br>ester | nucleoside,<br>benzyl-<br>phospho-<br>dichloridate | mono-<br>ester               | Pd/H <sub>2</sub>          |           | (197)    |
|              |                              |                   |                    |           | phospho-<br>diester        | nucleotide,<br>phenyl-<br>diazo-<br>methane        | phospho-<br>diester          | NaJ in acetonitrile        |           | (369)    |
| 21 b         | enzhydryl-                   | -                 | 0-CH               |           | phospho-<br>mono-<br>ester | nucleotide,<br>diphenyl-<br>diazo-<br>methane      | phospho-<br>mono-<br>ester   | acid                       | •         | (63, 64) |
| 22 be<br>est | nzaldoxime<br>er             | -(                | D-N                |           | mono-<br>ester             | doxime+  | phospho- a<br>mono-<br>ester | lkali a                    | ffinity   | (290)    |

| 2       | 3 ethylthio-          | EtS <sup>4</sup>                    | - L                        |  |                            |   |                 |                                 |
|---------|-----------------------|-------------------------------------|----------------------------|--|----------------------------|---|-----------------|---------------------------------|
| .—      |                       | -S-CH <sub>1</sub> -CH <sub>3</sub> | phospi<br>mono-<br>ester   | phosphoro<br>thioate,<br>aucleoside                            | ester                      | pyridine  | activ-<br>ation | (55, 56, 5<br>129, 146,<br>488) |
| 24      |                       | -о-с—сн,<br>сн,                     | phosph<br>mono-<br>ester   | o- t-butanol,<br>DCC,<br>nucleotide                            | phospho<br>mono-<br>ester  | - acid  | ·               | (63, 489)                       |
|         | 2-picolyl-            | -0-CH <sub>2</sub>                  | phosph<br>mono-<br>ester   | nucleotide,<br>l-oxido-<br>pyridine-<br>2-yl-diazo-<br>methane | phospho-<br>mono-<br>ester | 1) acetic<br>anhydric 2) methano<br>ammonia         | I.              | (83, 271)                       |
| _       |                       |                                     | •                          |  |                            |   | <del></del>     |                                 |
| 26<br>7 | anilidate  p-hydroxy- | PhNH -NH                            | phospho<br>mono-<br>ester  | nucleotide,<br>aniline,<br>DCC                                 | phospho-<br>mono-<br>ester | isoamyl-<br>nitrite,<br>pyridine/<br>acetic<br>acid |                 | (309, 310,<br>312)              |
|         | anilidate             | -ин—Он                              | phospho-<br>mono-<br>ester | nucleotide,<br>p-hydroxy-<br>aniline,<br>DCC                   | phospho-<br>mono-<br>ester |   | activ-<br>ation | .(316)                          |
|         | anilidate             | - NH — О— СН,                       | phospho-<br>mono-<br>ester | p-methoxy-   | mono-<br>ester             | isoamyl-<br>nitrite,<br>pyridine/<br>acetic         |                 | (309)                           |

| No. Blocking group | Abbrev- Structural formula iation | Blocked<br>moiety | Conditions<br>for blocking | Deblocking<br>conditions | References |
|--------------------|-----------------------------------|-------------------|----------------------------|--------------------------|------------|
|                    |                                   |                   |                            | <br>                     | <br>       |

| 29 | p-(trityl-)<br>anilidate | TPM°, |           |
|----|--------------------------|-------|-----------|
|    |                          |       | - NH-C-C- |
|    |                          |       |           |

nucleotide, p-amino-phenyl-triphenyl-methane, DCC phospho-monophospho- isoamyl-mono- nitrite, ester pyridine, acetic acid affinity (3) extraction ester

| 30 | p-(N,N-<br>dimethylamino-<br>anilidate | -NH-CH, | phospho-<br>mono-<br>ester | nucleotide,<br>N,N-di-<br>methyl-p-<br>phenylene<br>diamine,<br>DCC | phospho-<br>mono-<br>ester | isoamyl-<br>nitrite,<br>pyridine,<br>acetic acid | affinity | (136, 138,<br>435) |
|----|--|---------|----------------------------|---|----------------------------|--|----------|--------------------|
|----|--|---------|----------------------------|---|----------------------------|--|----------|--------------------|

|    | ester groups:    | sugar<br>base     |   |                         |  | <u> </u>                                   |
|----|------------------|-------------------|---|-------------------------|--|--|
| 31 | formyl-<br>- C H | ОН                | formic acer<br>anhydride<br>formic acid<br>N-formyl-<br>imidazole                     | ,,,,,                   | mild<br>alkali,<br>aqueous<br>pyridine | (63, 99;<br>332, 398,<br>418, 419,<br>477) |
|    |                  | sel. 2'/<br>3'-OH | trimethyl-<br>orthoforma<br>p-toluene-<br>sulfonic acid<br>(via methox<br>methylidene | d<br>sy-                |  | (117)                                      |
|    | ·                |                   | -   | sel. 5'-O               | H methanol                             | (477)                                      |
| 32 | formy!           | -ОН               | benzoyl-<br>formyl-<br>chloride   | -OH                     | aqueous<br>pyridine                    | (234)                                      |
| 33 | acetyl- ac² O    | -OH,<br>-NH₂      | acetic<br>anhydride   | -OH<br>-NH <sub>2</sub> | alkali.<br>ammonia                     | (184. 197.<br>258)                         |
|    | `сн,             | selOH             | acetic<br>anhydride,<br>H <sub>2</sub> O  | <b>-</b> .              |  | (43, 429a)                                 |
|    | •                | selOH             | acetic<br>anhydride,<br>BF <sub>3</sub> -ether  | <del></del>             |  | (346)                                      |

| . 21 | ΝE | 1.1 | (con | linu | ied) |
|------|----|-----|------|------|------|
|      |    | _   |      |      | _    |

|    | Blocking              | Abbrev-<br>iation | Structural formula | ·  | Blocked<br>moiety    | Conditions for blocking   | Deblocked<br>moiety | Deblocking<br>conditions | Special applications | References |
|----|-----------------------|-------------------|--------------------|----|----------------------|---|---------------------|--------------------------|----------------------|------------|
| 33 | acetyl<br>(continued) |                   |                    |    | -ОН                  | dioxane,<br>acetonitrile,<br>HCl  |                     |                          | ·                    | (482)      |
|    |                       |                   |                    | ·. | sel. NH <sub>2</sub> | acetic<br>anhydride,<br>DMF, tri-n-<br>butylamine   | •                   |                          |                      | (329, 330) |
|    |                       |                   |                    |    | sel. 2'/3'-<br>OH    | trimethyl-<br>orthoacetate<br>(via<br>methoxy-<br>ethylidene-)  |                     |                          |                      | (99)       |
|    |                       |                   |                    |    | sel. 3'-OH           | 8-hydroxy-<br>quinoline<br>N-acetate  | ~ ·                 |                          |                      | (268)      |
|    |                       |                   |                    |    | ·                    | 5-(acetyl-<br>oxymino-)<br>2,6-dioxo-4-<br>(methyl-<br>imino-)1,3-<br>dimethyl-<br>hexahydro-<br>pyrimidine |                     |                          |                      | (28)       |

| ٠ |                                  |                   |   | sel. 5'<br>-OH  | acetic anhydride, diethylazo- dicarboxy- late, tri- phenylphos- phine                        |              |                         | (264)              |
|---|----------------------------------|-------------------|---|---|--|--------------|-------------------------|--------------------|
|   | ·                                |                   |   | sel. $\alpha$ -NH <sub>2</sub> of amino- acyl- nucleoside | 5-chloro-8-<br>hydroxy-<br>quinoline-O<br>acetate  | <del>-</del> |                         | (47)               |
|   |                                  |                   |   | <br>  |  | selOH        | strong<br>alkali        | (184, 197<br>258)  |
| _ | methoxy-<br>acetyl               |                   | - CH <sub>3</sub> - O - CH <sub>1</sub> | -ОН   | methoxy-<br>acetic<br>anhydride,<br>trimethyl-<br>methoxy-<br>orthoacetate                   | -ОН          | alkuli                  | (351, 352,<br>353) |
|   | triphenyl-<br>methoxy-<br>acetyl | trac <sup>d</sup> | -C-CH3-0-C                              | •   | triphenyl-<br>methoxy-<br>acetic acid,<br>triisopropyl-<br>benzene-<br>sulfonyl-<br>chloride | -ОН          | mild affinity<br>alkali | (463)              |

Recent Advances in Polynucleotide Synthesis

No. Blocking

group

36 phenoxyacetyl

p-chloro-

phenoxy-

acetyl

38 chloroacetyl

39 trichloro-

40 diphenyl-

46 isobutyryl-

iB\*

iBu\*

chloroacetyl

acetyl

Abbrev- Structural formula

iation

References

(35i)

(353)

(57)

(197)

(57)

| 41 | trifluoro- F <sub>3</sub> (<br>acetyl | CCO'              | -ОН                      | trifluoro-<br>acetic<br>anhydride                | -ОН          | alkali  | (197)              |
|----|---------------------------------------|-------------------|--------------------------|--|--------------|---|--------------------|
| 42 | propionyl                             | -с-сн,-сн,        | -OH,<br>-NH₂             | propionic<br>anhydride                           | -OH,<br>-NH₂ | alkali.<br>ammonia  | (159. 160)         |
| 43 | dihydrocinna-<br>moyl                 | -c/O              | -ОН                      | dihydro-<br>cinnamoyl<br>chloride<br>(anhydride) | -ОН          | chymo-<br>trypsin in<br>acetonitrile/<br>phosphate<br>buffer pH 7 | (363, 437)         |
|    | β-benzoyl- βB⁴<br>propionyl-          | -C, CH' - CH' C   | -ОН                      | β-benzoyl-<br>propionic<br>acid<br>DCC           | -ОН          | hydrazine<br>in pyridi-<br>mium<br>acetate                        | (112, 230,<br>470) |
| 15 | n-butyryl .                           | - C - CH1-CH1-CH1 | -OH,<br>-NH <sub>2</sub> | butyric<br>anhydride                             | -OH,<br>-NH₂ | alkali,<br>ammonia  | (159, 160)         |

-OH,

-NH<sub>2</sub>

isobutyric

anhydride

-OH,

-NH2

sel.

-OH

alkali,

strong

alkali

ammonia

Table 1.1 (continued)

Blocked

moiety

-OH

-OH

-OH

-OH

-OH

Conditions

phenoxy

p-chloro-

phenoxyacetic

anhydride

anhydride

trichloro-

diphenyl-

chloro-

acetyl-

chloride

acetic anhydride

chloroacetic -OH

acetic anhydride

for blocking moiety

-OH

-OH

-OH

-OH

Deblocked Deblocking Special

alkali

alkali

alkali;

alkali

alkali;

neutral

thiourea,

2-mercaptoethylamine, neutral

conditions appli-

(37, 457)

| Table | 1.1 | (continued) |
|-------|-----|-------------|
| LAUIC | 1.1 | (Continued) |

|      | Blocking<br>group               | iation | Structural   |          | Blocked<br>moiety        | Conditions<br>for blocking          | Deblocked<br>moiety   | Deblocking<br>conditions               | Special<br>appli-<br>cations   | Reference  |
|------|---------------------------------|--------|--|----------|--------------------------|-------------------------------------|-----------------------|--|--------------------------------|------------|
|      | 2-methyl-<br>butyryl            |        |  | СН,-СН,  | -OH,<br>-NH <sub>2</sub> | 2-methyl-<br>butyric an-<br>hydride | -OH,<br>-NH₂<br>seiOH | alkali,<br>ammonia<br>strong<br>alkali | <del></del>                    | (41)       |
| ‡8 i | sovaleryl                       |        | -с-сн,-  | сн,      | -ОН                      | isovaleryl<br>chloride              | -ОН                   |  | mono-<br>addition<br>substrate | (179, 180) |
| (t   | ivaloyl-<br>rimethyl-<br>cetyl) | -      | о сн<br>с—с—ст   | 1,<br>1, | -OH,<br>-NH <sub>2</sub> |                                     |                       | ilkali,<br>mmonia                      |                                | (99)       |
|      | ctanoyl-                        |        | // <sup>O</sup><br>С-(СН <sub>2</sub> ) <sub>6</sub> - |          |                          |                                     |                       | lkali,<br>mmonia.                      | •                              | (159, 160) |
| lin  | noleyl-                         | ~      | C—C,7 H <sub>2</sub> ,                                 |          |                          |                                     |                       | kali,<br>nmonia                        |                                | (159, 160) |

| 52 | benzoyi- | bz•<br>Bz• |  |
|----|----------|------------|--|
|    |          | •          |  |

| -OH,<br>-NH²             | benzoyl-<br>chloride  | -OH,<br>-NH₂       | alkali,<br>ammonia<br>n-butylamine      | (184, 197,<br>258, 315,<br>457) |  |
|--------------------------|---|--------------------|---|---------------------------------|--|
|                          | . :.<br>  | selOH              | for G <sup>bz</sup><br>strong<br>alkali |                                 |  |
| selNH                    | benzoic acid<br>N-hydroxy-<br>succinimide<br>ester                          | <b>I-</b>          |   | (303)                           |  |
| sel. 5'-OH               | I benzoic acid,<br>diethylazodi-<br>carboxylate,<br>triphenyl-<br>phosphine |                    |   | (264)                           |  |
|                          |   | selNH <sub>2</sub> | hydrazine<br>in pyridine-<br>acetate    | (233)                           |  |
| -OH,<br>-NH <sub>1</sub> | benzoyl<br>cyanide  |                    |   | (157)                           |  |
| sei. –OH                 | benzoic acid<br>anhydride/<br>H <sub>2</sub> O                              |                    |   | (43)                            |  |

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| 10. |     |
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Recent Advances in Polynucleotide Synthesis

|    |                                   |                  |                              | <u> </u> |              |  |                          |                             |                                      |
|----|-----------------------------------|------------------|------------------------------|----------|--------------|--|--------------------------|-----------------------------|--------------------------------------|
| 56 | dinitro-<br>benzene-<br>sulfenyl- | ·                | - S - NO <sub>2</sub>        |          | -ОН          | 2,4-dinitro-<br>benzene-<br>sulfenyl-<br>chloride                        | -ОН                      | thiophenol,<br>neutral      | (112, 197)                           |
| 57 | mesyl-                            |                  | О<br>- 3 – СН,<br>О          |          | -ОН,         | methane-<br>sulfonyl-<br>chloride  | -OH,<br>-NH <sub>2</sub> | alkaii                      | (197)                                |
| 58 | tosyl                             | Tosb             | о<br>- =<br>0<br>- Сн,       |          | -ОН          | p-toluene-<br>sulfonyl-<br>chloride                                      | -ОН                      | alkali                      | (10, 197,<br>162a,<br>162b,<br>162c) |
|    | trimethyl-<br>silyl               | TMS <sup>*</sup> | -Si-CH,<br>CH,               |          | -OH.<br>-NH₂ | trimethyl-<br>chlorosilane,<br>bis-trimethyl-<br>trifluoro-<br>acetamide | -OH,<br>-NH <sub>2</sub> | weak acid<br>or weak alkali | (197)                                |
| d  | -butyl-<br>limethyl-<br>ilyl      |                  | -Si-CH,<br>CH,<br>CH,<br>CH, |          | -ОН          | t-butyl-<br>dimethyl-<br>silyl-<br>chloride                              | -OH                      | NR.+ F-<br>neutral          | (307)                                |

H. Kösset and H. Seliger:

| 66 | p-nitrophenyl-<br>oxycarbonyl-          | - C-0-0              | -ОН                | p-nitro-<br>phenyl-<br>chloro-<br>formate;                   | -ОН | alkali,<br>ammonia |          | (229, 391)                                   |
|----|---|----------------------|--------------------|--|-----|--------------------|----------|--|
| _  |   | -C-0-NO <sub>2</sub> | ٠.                 | nucleoside<br>chloro-<br>formate +<br>p-nitropheno           | ol. |                    | . •      | <b>*************************************</b> |
| 7  | p-phenylazo-<br>phenyloxy-<br>carbonyl- | - C-0-N=N-           | –OH.<br>sel. 5'–OH | nucleoside<br>chloro-<br>formate +<br>p-phenylazo-<br>phenol | -ОН | alkali             | affinity | (391)  |
| 3  | piperidine-<br>carbamoyl-               | -c_N                 | -ОН                | nucleoside<br>chloro-<br>formate +<br>piperidine             | -ОН | alkali             |          | (391)  |
|    | naphthyl-<br>carbamoyl-                 | O - C-NH-            | -ОН                | naphthyliso-<br>cyanate                                      | -ОН | alkali             | affinity | . (4)  |

rent with

No. 123)

dehyde,

HC(OEt)3. F<sub>3</sub>C-COOH, DMF 2. benzoylchloride

|    | ether group           | os:          | ,                  |   |  |  |                          |           |                            |
|----|-----------------------|--------------|--------------------|---|--|--|--------------------------|-----------|----------------------------|
| 74 | benzyl-               | bzi*<br>Bzi* | -CH <sub>2</sub> — |   | -OH,<br>-NH <sub>2</sub>                       | benzyi-<br>chloride/<br>alkali                     | -OH,<br>-NH <sub>2</sub> | H₂/Pd     | (197)                      |
|    | •                     |              |                    | · | -OH,<br>-NH <sub>2</sub> ,<br>sel. 2'-OH       | benzyl-<br>chloride/<br>NaH                        | <del>.</del>             | ·         | (25, 26<br>27, 163<br>192) |
|    |                       |              |                    |   | -OH,<br>-NH₂                                   | phenyldiazo-<br>methane,<br>SnCl <sub>2</sub>      | <del>-</del><br>· .      |           | (52)                       |
|    |                       |              |                    |   | sel. –NH <sub>2</sub>                          | nucleoside-<br>Na + -salt +<br>benzyl-<br>chloride | <b>-</b>                 | ,         | (390)                      |
|    | triphenyl-<br>methyl- | tr³<br>Trb   |                    |   | -OH,<br>-NH <sub>2</sub><br>selective<br>5'-OH | triphenyl-<br>methyl-<br>chloride                  | -OH,<br>-NH <sub>2</sub> | acid      | (184, 1.<br>354)           |
|    |                       | •            |                    |   |  |  | -OH,<br>-NH₂             | silicagei | (225)                      |
|    |                       |              |                    |   |  |  | sel. 2'-O                | H acid    | (197)                      |
|    | -                     |              |                    |   |  |  | sel. 3'-01               | H acid    | (197)                      |
|    |                       |              |                    |   |  |  | sel. 5'-01               | I acid    | (88: 218<br>354)           |

affinity

(41)

| /er             |    |                                    | •                                 | Table 1.1 (continued)                          |   |                     |                          |                      |            |
|-----------------|----|------------------------------------|-----------------------------------|--|---|---------------------|--------------------------|----------------------|------------|
| ences, pp. 483- | No | . Blocking<br>group                | Abbrev- Structural formula iation | Blocked<br>moiety                              | Conditions<br>for blocking                      | Deblocked<br>moiety | Deblocking<br>conditions | Special applications | Reference  |
| -508            |    | ρ-methoxy-<br>triphenyl-<br>methyl | mmt' MeOTr' MMTr' -C              | -OH,<br>-NH <sub>2</sub><br>selective<br>5'-OH | p-methoxy-<br>triphenyl-<br>methyl-<br>chloride |                     | mild<br>acid             | ·                    | (184, 197) |
|                 |    |                                    |                                   |  | r   |                     | · .                      | atfinity             | (41,3986)  |
|                 | 77 |                                    |                                   | ,  |   |                     |                          | <del></del> -        | · · · · ·  |

| 77 p.p'-di-<br>methoxy-<br>triphenyl-<br>methyl- | dmt* (MeO) <sub>3</sub> Tr <sup>b</sup> DMTr <sup>c</sup> - C - CH <sub>3</sub> | -OH,<br>-NH₂<br>selective<br>5'-OH | p.p'-di-<br>methoxy-<br>triphenyl-<br>methyl-<br>chloride | -OH,<br>-NH₂ | very<br>mild<br>acid | affinity (4, | 1. 197) |
|--|---|------------------------------------|---|--------------|----------------------|--------------|---------|
|  |   |                                    |   | •            | <i>:</i>             |              |         |

mild acid

p-acetoxytrityl

No. Blocking

group

Abbrey- Structural formula

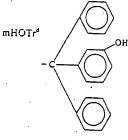
iation

- OHsel. 5'-OH
- OHp-acetoxyphenyidiphenyl-

methylchloride

- mild acid
- (436)

81 m-hydroxy-trityl



- OHsel. 5'-OH
- m-hydroxy- OHphenyl-

diphenylmethylchloride

- mild acid
- (436)

- 82 m-acetoxy $mAcOTr^4$ trityl CO-CH,
- OHm-acetoxysel. 5'-OH phenyldiphenyi-

methyl-

chloride

mild acid

very

mild

acid

(436)

83 di-(p-benzyl- DPTrd oxy-)trityl

OHdi(benzylsei. 5'-OH oxyphenyi-) phenylmethyl-

chloride

- (436)

|   | •                |
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|           |                               |                   |                     | lable i.i                | (continued)                 |  |                                       |                          |                               |                |
|-----------|-------------------------------|-------------------|---------------------|--------------------------|-----------------------------|--|---------------------------------------|--------------------------|-------------------------------|----------------|
|           | Blocking<br>group             | Abbrev-<br>iation | Structural formula  |                          | Blocked<br>moiety           | Conditions for blocking  | Deblocked<br>moiety                   | Deblocking<br>conditions | Special<br>appli-<br>cations  | Refere         |
|           | oromo-<br>ohenacyl-<br>rityl- | BPTr <sup>d</sup> |                     | -CH <sub>2</sub> - C - B | -OH<br>selective<br>, 5'-OH | p-bromo-<br>phenacyloxy-<br>phenyl-<br>diphenyl-<br>methyl-<br>chlorid |                                       | very<br>mild<br>acid     |                               | (436)          |
| ac        | cetal, ketal gr               | oups              |                     |                          |                             |  | · · · · · · · · · · · · · · · · · · · |                          |                               | <del>-</del>   |
| 5α-<br>et | (methoxy-<br>hyl)             | ·                 | о-сн,<br>с<br>н сн, |                          | -ОН                         | methylvinyl-<br>ether<br>p-toluene-<br>sulfonic acid                   |                                       | cid a                    | mono<br>addition<br>substrate | (252)          |
|           |                               |                   |                     |                          |                             | acetaldehyde<br>+ methanol<br>in DMF                                   |                                       |                          |                               | (386a.<br>387) |
|           |                               |                   |                     |                          |                             |  |                                       |                          |                               |                |

| 36 | a-ethoxy-<br>ethyl-  | EtOEt* | -с<br>н сн,                        |           | *          | -OH,<br>-NH <sub>2</sub> | ethylvinyl<br>ether                                     | -OH,<br>-NH <sub>2</sub> | mild<br>acid | (197)          |
|----|----------------------|--------|------------------------------------|-----------|------------|--------------------------|---|--------------------------|--------------|----------------|
| 7  | n-butoxy-<br>ethy!   |        | 0-СН <sub>2</sub> -СН <sub>3</sub> | -Сн, -Сн, | *          | -ОН.                     | n-butylvinyl-<br>ether,<br>trifluoro-<br>acetic acid    | -ОН                      | mild<br>acid | (386a,<br>387) |
|    |                      |        |                                    | · ·       |            |                          | acetaldehyde<br>+ n-butanol<br>in DMF                   | •                        |              |                |
|    | sec-buloxy-<br>ethyl |        | сн,<br>о-сн-сн,-                   | Cн,       |            | -ОН                      | sec-butyl-<br>vinyl ether,<br>trifluoro-<br>acetic acid | -ОН                      | mild<br>acid | (386a,<br>387) |
|    |                      |        | -сн-сн,                            |           | <b>;</b> . |                          | acetaldehyde<br>+ sec-<br>butanol in<br>DMF             | •                        |              |                |

0-С-(СН<sub>3</sub>), -СН-СН,

-ОН

tert-butyl vinyl ether, trifluoro--OH acetic acid

mild acid

(386a, . 387)

| 92 | n-butoxy-<br>isobutyl             |   | -ОН | isobutyric<br>aldehyde +   | -ОН      | mild<br>acid |                                       | (386a,<br>387)  |
|----|-----------------------------------|---|-----|--|----------|--------------|---------------------------------------|-----------------|
|    | . *                               | 0-CH <sub>2</sub> -CH <sub>2</sub> -CH <sub>1</sub> -CH <sub>3</sub>        |     | n-butanol in<br>DMF  |          |              |                                       |                 |
|    |                                   | - cн-сн(сн,);   |     | n-butoxy-<br>isobutylene-<br>trifluoro-<br>acetic acid                         |          |              |                                       | -               |
| 93 | isobutoxy-<br>isobutyl-           | 0 – Сн <sub>2</sub> – Сн(Сн <sub>3</sub> );<br>– Сн – Сн(Сн <sub>3</sub> ); | -ОН | isobutoxy-<br>isobutylene,<br>trifluoro-<br>acetic acid                        | -ОН      | mild<br>acid |                                       | (386a.<br>(387) |
|    |                                   | G. G. (C.13);   |     | isobutyric<br>aldehyde +<br>isobutanol<br>in DMF                               | <b>-</b> |              |                                       |                 |
| 94 | 2-methoxy-<br>ethoxy-<br>isobutyl | 0-Сн <sub>2</sub> -Сн <sub>3</sub> -0-Сн <sub>3</sub>                       | -ОН | isobutyr-<br>aldehyde +<br>2-methoxy-<br>ethanol,<br>trifluoro-<br>acetic acid | -ОН      | mild<br>acid | · · · · · · · · · · · · · · · · · · · | (387)           |
| )5 | l-methoxy-<br>cyclohexyl-         | H,C - O   | -0н | l-methoxy-<br>cyclohexene,<br>acid   | -ОН      | mild<br>acid |                                       | (197)           |

| acetal,<br>ketal groups                 | :                   | Suyar<br>vicinal<br>diol     | ,   |                |                                  |
|---|---------------------|------------------------------|---|----------------|----------------------------------|
| 100 isopropyl-<br>idene-                | , СМе; •<br>н, с>с< | 2′,3′-<br>(-OH) <sub>2</sub> | acetone, HCl (2',3'-OH) <sub>2</sub> 2.2-dimethoxy-propane,               | strong<br>acid | (99, 100<br>101, 184<br>197, 258 |
| 101 diethylmethylidene                  | н,с, С,н,           | 2′,3′-<br>(-OH) <sub>2</sub> | p-toluene-<br>sulfonic acid<br>diethyl-<br>ketone, HCl (-OH) <sub>2</sub> | strong acid    | (197)                            |
| 102 methyl-r-<br>butyl-<br>methylidene- | H,C C(CH,),         | 2′,3′-<br>(-OH) <sub>2</sub> | methyl-t- 2',3'-<br>butylketone, (-OH) <sub>2</sub><br>HCl                | strong acid    | (197)                            |
| 103 diphenyi-                           | , c                 |                              |   |                |                                  |

| Table 1.1 (continued | ) |
|----------------------|---|
|----------------------|---|

| No. Blocking<br>group                   | Abbrev- Structural formula iation | Blocked<br>moiety            | Conditions for blocking  | Deblocked<br>moiety          | Deblocking<br>conditions | Special applications | References |
|---|-----------------------------------|------------------------------|--|------------------------------|--------------------------|----------------------|------------|
| 104 2-phenyl-<br>ethylidene-            | >CH−CH <sub>4</sub> —             | 2′,3′-<br>(-OH) <sub>2</sub> | 2-phenyl-<br>acetal-<br>dehyde,<br>(di)ethyl-<br>phosphoro-<br>thioate,<br>2,2-di-<br>methoxy-<br>propane,<br>dimethyl-<br>formamide | 2',3'-<br>(-OH) <sub>2</sub> | strong acid              |                      | (100, 101) |
| 95 2-chloro-1-<br>methylethyl-<br>idene | `c-сн³сі                          | 2',3'-<br>(-OH) <sub>2</sub> |  | 2′,3′-<br>(−OH)₂             | cid                      |                      | (100, 101) |

| 106 n-propylidene-                 | >сн−сн₁−сн₃                 |      | 2',3'-<br>(-OH) <sub>2</sub> | propion-<br>aldehyde,<br>(di)ethyl-<br>phosphoro-<br>thioate,<br>2,2-di-<br>methoxy-<br>propane,<br>dimethyl-<br>formamide    | 2',3'-<br>(-OH) <sub>2</sub> | strong acid | (100, 101) |
|------------------------------------|-----------------------------|------|------------------------------|---|------------------------------|-------------|------------|
| 107 sec-butyl-<br>idene-           | сн,<br>>с-сн,-сн,           |      | 2',3'-<br>(-OH) <sub>2</sub> | methyl-ethyl-<br>ketone,<br>(di)ethyl-<br>phosphoro-<br>thioate,<br>2.2-di-<br>methoxy-<br>propane,<br>dimethyl-<br>formamide | 2',3'-<br>(-OH) <sub>2</sub> | strong acid | (100. 101) |
| 108 1,3-dimethyl-<br>n-butylidene- | <br>Сн, сн,<br>>с-сн,-сн-с⊦ | ls . | 2',3'-<br>(-OH) <sub>2</sub> |   | 2′,3′-<br>(-OH) <sub>2</sub> | strong acid | (100, 101) |

| Table | 1.1 | (continued) |
|-------|-----|-------------|
|-------|-----|-------------|

| <br>Blocking<br>group      | Abbrev-<br>iation | Structural formula              | Blocked<br>moiety            | Conditions<br>for blocking   | Deblocked<br>moiety          | Deblocking conditions | Special applications | Reference          |
|----------------------------|-------------------|---------------------------------|------------------------------|--|------------------------------|-----------------------|----------------------|--------------------|
| l-ethyl-<br>n-propylidene  |                   | С <sub>2</sub> н,<br>>с-сн,-сн, | 2',3'-<br>(-OH) <sub>2</sub> | diethyl-<br>ketone,<br>(di)ethyl-<br>phosphoro-<br>thioate,<br>2,2-di-<br>methoxy-<br>propane,<br>dimethyl-<br>formamide | 2',3'-<br>(-OH) <sub>2</sub> | strong acid           |                      | (100, 101)         |
| l-methyl-<br>n-nonylidene- | ,                 | Сн,<br>С−(Сн,), – сн,           | 2',3'-<br>(-OH) <sub>2</sub> |  | 2′,3′-<br>(-OH) <sub>2</sub> | strong acid           |                      | (100, 101)         |
| yclopentyl-<br>ene-        |                   | c                               | 2',3'-<br>(-OH) <sub>2</sub> |  | 2',3'- st<br>-OH)z           | rong acid             |                      | (100, 101,<br>197) |

|                              |     |                              |  |                              | ,           |            |
|------------------------------|-----|------------------------------|--|------------------------------|-------------|------------|
| 112 cyclo-<br>heptylidene-   |     | 2′,3′-<br>(−OH)₂             | cyclohepta-<br>none, HCI   | 2′,3′-<br>(-OH)₂             | strong acid | (197)      |
| 113 cyclo-<br>octylidene     |     | 2′,3′.<br>(-OH) <sub>2</sub> | cyclo-<br>octanone,<br>HCl   | 2′,3′-<br>(-OH) <sub>2</sub> | strong acid | (197)      |
| 114 benzylidene              | C H | 2′,3′-<br>(-OH) <sub>2</sub> | benzal-<br>dehyde,<br>p-toluene-<br>sulfo-<br>acid   | 2′,3′-<br>(-OH) <sub>2</sub> | acid        | (99, 197)  |
| ll5 p-methyl-<br>benzylidene | Сн, | 2',3'-<br>(-OH) <sub>2</sub> | p-methyl-<br>benzal-<br>dehyde,<br>(di)ethyl-<br>phosphoro-<br>thioate,<br>2,2-di-<br>methoxy-<br>propane,<br>dimethyl-<br>formamide | 2',3'-<br>(-OH) <sub>2</sub> | acid        | (100. 101) |

| No. Blocking group                       | Abbrev- Structural formula iation | Blocked<br>moiety            | Conditions<br>for blocking   | Deblocked<br>moiety          | d Deblocking<br>conditions | Special applications | Reference |
|--|-----------------------------------|------------------------------|--|------------------------------|----------------------------|----------------------|-----------|
| 16 4-methoxy-<br>benzylidene             | ОСН,                              | 2′,3′-<br>(-OH)₂             | 4-methoxy-<br>benzal-<br>dehyde,<br>acid                                 | 2′,3′-<br>(-OH) <sub>2</sub> | acid                       |                      | (197)     |
| 17 4-dimethyl-<br>aminobenzyl-<br>idene- | N(CH <sub>3</sub> ) <sub>2</sub>  | 2',3'-<br>(-OH) <sub>2</sub> | 4-dimethyl-<br>amino-<br>benzal-<br>dehyde,<br>trifluoro-<br>acetic acid |                              | mild<br>acid               |                      | (197)     |
| 8 2,4-di-<br>methoxy-<br>benzylidene-    | о-сн,<br>о-сн,                    | 2′,3′-<br>(–OH)₂             |  |                              | mild<br>acid               |                      | (197)     |

119 4-chlorobenzylidene

2′,3′• (−OH)₂

4-chlorobenzaldehyde,

acid

2',3'-(-OH)<sub>2</sub>

acid

(197) -----

120 p-nitrobenzylidene

2′,3′-(-OH)<sub>2</sub>

p-nitro- 2',3'benzaldehyde, trifluoroacetic

acid

(-OH)<sub>2</sub>

(484)

121 p-(N-methyl-N-β-chloro-ethyl)-aminobenzylidene

2',3'-(-OH)2 p-(N-methyl- 2',3'-N-(β-chloro- (-OH)<sub>2</sub> ethyl-)amino-

toluene-sulfonic acid

benzaldehyde, pmild acid

(1,18)

No. Blocking

group

orthoesters and deriv.

methylidene

methylidene

concurrent

with p-nitro-

benzylidene

124 dimethoxy-

methylidene-

122 methoxy-

123 ethoxy-

Abbrev- Structural formula

iation

References

(117, 352)

(479, 484)

(197)

| <del></del>                 |                    |                              |   | ,  |  |                                |
|-----------------------------|--------------------|------------------------------|---|--|--|--------------------------------|
| 125 methoxy-<br>ethylidene  | н,с-о с сн,        | 2',3'-<br>(-OH) <sub>2</sub> | dimethyl-<br>ortho-<br>acetate,<br>p-toluene-<br>sulfonic acid    | 1. 2',3'-<br>(-OH) <sub>2</sub><br>2. 2'(3')-<br>-OH | 1. mild acid-+<br>acetate<br>2. alkali   | (99, 352)                      |
| 126 methoxy-<br>benzylidene | H <sub>3</sub> C-0 | 2′,3′-<br>(-OH) <sub>2</sub> | trimethyl-<br>ortho-<br>benzoate, p-<br>toluene-<br>sulfonic acid | -OH  | I. mild acid—benzoate 2. alkali          | (99)                           |
| 127 phenyl-<br>boronate     |                    | 2′,3′-<br>(-OH) <sub>2</sub> | phenyl-<br>boronic<br>acid  | 2',3'-<br>(-OH) <sub>2</sub>                         | propane-<br>diol-1,3<br>in DMF/<br>water | (84, 202,<br>203, 474,<br>475) |

Table 1.1 (continued)

Blocked

moiety

2',3'-

2',3'-

(-OH)<sub>2</sub>

-NH<sub>2</sub>

2',3'-

(-OH)<sub>2</sub>

(−OH)<sub>2</sub>

Conditions

blocking

trimethyl-

formate, p-

toluenesulfonic acid

p-aitro-

benzal-

dehyde +

formate,

trifluoroacetic acid

ortho.

carbonate,

p-toluène-

sulfonic acid

tetramethyl- 1.2',3'-

ethylortho-

ortho-

Deblocked Deblocking Special

1. mild acid-

formate

l. mild acid-

formate

I. mild acid—

carbonate

2. alkali

2. alkali,

acid

2. alkali

conditions appli-

cations

moiety

1. 2',3'-(-OH)<sub>2</sub>

2. 2'(3')--OH

1. 2′,3′-

2. 2'(3')-

-OH

-NH<sub>2</sub>

(-OH)<sub>2</sub>

2. 2'(3')-

-OH

(-OH)<sub>2</sub>

### 1.3.1. Protecting Groups for the Phosphate Moiety

Phosphate protecting groups can be introduced in three ways:

1. Reaction of an appropriate alcohol or amine with a nucleotide, 2. Reaction of a nucleoside with an activated phosphoric acid ester or using a condensing agent.

amidate of the blocking agent, e.g. the respective phosphorodichloridate. 3. Addition of nucleotides to blocking reagents, which are unsaturated systems, e.g. diphenyldiazomethane.

Depending on the deblocking conditions phosphate protecting groups as well as alcohol and amino protecting groups can be classified into alkali-labile groups, acid-labile groups and others which are removable at near neutral pH by specific reagents. The mechanism of deblocking of phosphate residues can follow two pathways which are outlined in

Figs. 1.3 and 1.4. In pathway I the blocking group is removed by

R = blocking substituent
R' = nucleoside or oligonucleotide

Fig. 1.3

R = blocking substituent

R' = nucleoside or oligonucleotide

rupture of the O-Ca-bond with liberation of a phosphate anion. Pathway 2 involves the attack of a nucleophile, in most cases water, on the phosphorus atom with rupture of the P-O-bond. This transfer of a phosphoryl moiety to another nucleophile is, in fact, the same as is used in the phosphorylation of alcohols (see Section 2.1). Alcoholysis generally necessitates a higher degree of activation, i.e. electron withdrawal from the phosphoryl moiety, but a sharp distinction between groups used for protection resp. activation is not possible (compare for example phosphoroanilidates and phosphoromorpholidates). Thus,

References, pp. 483-508

Recent Advances in Polynucleotide Synthesis

in a few cases protecting groups have, indeed, been transformed into activating groups (see Section 1.4).

allow alkaline cleavage with C-O-bond rupture according to Fig. 1.3 (for example R' = -CN for the \(\beta\)-cyanoethyl group). In the \(\subsection\) case The most numerous and widely applied class of phosphate protecting groups is that of β-substituted ethyl esters (Table 1.1, no. 1—13). β-Substituents are introduced, which are electron withdrawing and of groups no. 10 and 12 (phenylmercaptoethyl resp. uridinyl) the \beta-substituent has to be rendered electron-withdrawing by oxidation before the group becomes alkali labile (178, 290a). The trichloroethyl group (no. 13) is deblocked by reduction with a zinc/copper couple in a neutral medium, the zinc atom acting as electron donor instead of alkali

R" = H, nucleoside or oligonucleotide R' = nucleoside or oligonucleotide

the phenyl groups (no. 14—17) have become interesting for protection of the internucleotidic bond (see "triester method", Section 4) (352). Three other groups of this series (no. 19, 20 and 23) offer a possibility Groups no. 14-25 are also ester groups, but their cleavage can involve liberation of either phosphate or phosphoryl groups. Of these of cleavage in neutral medium. Especially useful in polynucleotide A. L. NUSSBAUM and his colleagues (55). Scission of the P-S-bond is synthesis is the ethylthio group (no. 23) which has been investigated by effected by iodine oxidation with possible formation of a phosphoroiodinate intermediate, which allows not only hydrolysis, but also alcoholysis (Scheme 1.6).

$$C_1H_1-S-P_1-OR \xrightarrow{I_1} C_2H_1-S^{\Phi_1}-P_1-OR \xrightarrow{Q^1} Q^1$$

R = 3.-0-acetylthymidine.5.-

Scheme 1.6. Removal of the ethylthio group

A route to a third class of phosphate protecting groups, namely the phosphoramidate type (no. 26—30) was opened up by the finding anilidates, normally accompanied by glycoside cleavage, can be carried out under very mild conditions, if isoamylnitrite is added to the medium nitrogen atom with intermediate nitrosation is believed to be responsible for the easy removal of this group. All phosphoranilidate groups thus belong to the type of residue cleaved according to Fig. 1.4. By appropriate substitution of the anilidate residue several groups with special properties have been developed, useful, for example, for activation (no. 27) (336) solvent extraction (no. 29) (3) or affinity separation (no. 27) (136) of nucleotides or oligonucleotides.

1.3.2. Protecting Groups for the Hydroxyl and Amino Functions of the Sugar and Base Moieties

The introduction of blocking groups into the hydroxyl and amino functions of sugar and bases proceeds by reactions similar to the ones discussed for phosphate protection, namely

1. Reaction of activated derivatives of carboxylic acids, carbonic or carbamic acid and of highly electrophilic alkyl halides with sugar and/or bases,

2. Reaction of "activated alcohol derivatives" of nucleosides with protecting agents.

3. Acid-catalyzed acetalization, ketalization or transacetalization of nucleosides,

4. Addition of nucleosides and nucleotides to compounds with polarized double bonds.

By far the greatest number of protecting groups is attached by with acid chlorides or preferably anhydrides generally proceeds with excellent, often quantitative yields. The alternative route, reaction with a carboxylic acid and a condensing agent, is less attractive because yields are lower and is used only when activated acid derivatives are not available (e.g. benzoylpropionic acid, no. 44). Trityl and benzyl groups are similarly introduced by the action of trityl and benzyl halides.

Reaction 2 is still an exceptional case for alcohol protection. The phosphine and azodicarboxylate has been described by O. Mitsunobu and coworkers (264). Activated alcohol groups can be reacted with steric reasons the 5-OH group is specifically substituted. The blocking

References, pp. 483-508

of OH-grqups by reaction of nucleoside chloroformates with alcohols and amines may, to a certain extent, also be counted among reactions of this type. On the whole, activation of the alcohol groups of nucleosides is an interesting addition to the possibilities of protection, which merits further investigation.

Examples of reactions with aldehydes, acetals and orthoesters are equally scarce in the protection of "isolated" OH- and NH<sub>2</sub>-groups (for the blocking of vicinal diol groups see Section 1.3.3). The only case of importance is the formation of Schiff bases specifically with exocyclic amino groups of the bases by treatment with dimethylformamide acetals.

Reaction with enol ethers (type 4) leads to introduction of acetal and ketal groups, which are of great importance for the protection of ribo nucleosides and nucleotides. As acid catalysis is necessary for this reaction, their use is restricted to the ribo series.

A more detailed discussion of ester type protecting groups has to start with the acetyl and benzoyl groups (no. 33 and 52), both of which are standard protecting substituents in sugar chemistry. Acetylation is normally carried out with acetic anhydride, whereas the more reactive acyl halides are preferred for benzoylation. Treatment with functions; partial deblocking of the hydroxyl groups can subsequently be effected by strong alkali under controlled conditions due to the these reagents normally leads to substitution of all OH- and  $\mathrm{NH}_{2^-}$ relative stability of carboxamide relative to ester moieties at high pH (197). The conditions of these acylation reactions are subject to a great deal of variation, and some of these variations are noteworthy for reasons of selectivity. Thus, the amino group of cytosine nucleoside and nucleotide could be selectively acetylated by acetic anhydride in dimethylformamide (329, 330) and benzoylated by benzoic acid -Nhydroxysuccinimide ester (303). The 5'-hydroxyl-selective acylation by for example, benzoic acid, triphenylphosphine and azodicarboxylate (264) was discussed above. Selective acylation of either 2'- or 3'-OH acetyl or benzoyl substituent gives blocking groups which are more ester derivatives (no. 125 and 126) (99). Suitable substitution of the readily (e.g. no. 34, 35, 36, 37 and 54) or less readily (e.g. no. 46-49, is preferred in oligoribonucleotide synthesis; the second is sought for in in ribosides or ribotides can be achieved by acid treatment of ortho-53) cleaved by alkali than the parent groups. The first alternative the design of amino protecting groups for deoxyoligonucleotides. The methoxyacetyl, isobutyryl and anisoyl groups are among the most widely used in oligonucleotide synthesis.

Ester groups, which offer a possibility of cleavage in neutral medium, are the chloroacetyl (57) resp. benzoylpropionyl and benzoylformyl

groups (230, 234) (no. 32, 38, 44), all displaced by a cyclisation mechanism with thiourea or 2-mercaptoethylamine in the case of no. 38 and hydrazine resp. o-phenylenediamine in the two latter cases (Scheme 1.7). Hydrazine treatment in a pyridinium acetate buffer

Scheme 1.7. Removal of the benzoylpropionyl group

will also selectively remove benzoyl or anisoyl groups from the nucleobase (233). The 2,4-dinitrobenzenesulfenyl group is readily cleaved in a neutral medium by thiophenol (197), however, its use is restricted by alkali-sensitivity. Similarly, the formyl group, although very useful in polypeptide synthesis, has not been widely used in the polynucleotide investigations, however, show, that 3'-O-formyl esters of deoxynucleotides and -nucleoside polyphosphates can be readily synthesized in quantiprotected nucleotides and directly used for oligonucleotide synthesis without purification. The formyl group is stable in pyridine solution and is lost during aqueous workup of the condensation mixture (332, 393).

A completely different approach to selective cleavage in neutral medium, namely the enzymatic hydrolysis by esterases, has been investigated by A. TAUNTON-RIGBY and N. A. STARKOVSKY for the case of the dihydrocinnamoyl substituent (no. 43) (363).

Blocking groups of the carbonate or carbaminate type are among the most widely used in the peniide faild and approach and a second a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second

most widely used in the peptide field, and a number of such groups has also been of use in oligonucleotide synthesis (no. 61—69). Of these the isobutyloxycarbonyl group (no. 64) can be selectively attached to the S-hydroxyl group due to steric hindrance (236, 304); the trichloro- or tribromophenyloxycarbonyl group can be cleaved in similar to the one described in Fig. 1.5, section 1.3.1 (34, 183, 466). and cleavage is not yet fully exploited for this class of protecting groups in the nucleotide field. The possibility of introducing a variety of alcohol or amine substituents by addition to nucleoside chloroformates

References, pp. 483—508

Recent Advances in Polynucleotide Synthesis

(391) may simplify the search for new solutions. An interesting new development in this area is the naphthylcarbamoyl group (no. 69), described by K. L. Agarwal. et al. (4) for the blocking of unreacted 3'-OH ends by a group, which permits separation of truncated sequences by affinity chromatography (see Section 1.4).

Orthoester groups, widely used for the blocking of the vicinal diol function in ribonucleosides (see 1.3.3) are occasionally also employed for the protection of other hydroxyl and amino functions. Of the group (no. 72) is of special interest, because it is the only group which nucleotide synthesis is, however, limited by the fact that it is labile dimethyl- and dineopentyl acetal are generally used to introduce this group. The second reagent is preferred in many cases, since the dimethyl acetal can also act as an alkylating (481) or dephosphorylating agent (480).

A second major category of hydroxyl and amino blocking groups (no. 74-84) includes those which have ether, acetal and ketal substituents. Benzyl ethers have been used for hydroxyl protection since the beginnings of oligonucleotide chemistry; however, their use is restricted by the fact that deprotection through catalytic hydrogenation may affect the pyrimidine bases (258). Apart from this group all other ether substituents are of the trityl type. As cleavage of unsubstituted trityl ethers requires relatively strong acidic conditions, e.g. 80% acetic acid for several hours at reflux, p-methoxy substituents have been introduced to facilitate their removal. Each p-methoxy substitution produces a ten-fold acceleration of the rate of acidic hydrolysis, as was found methoxytrityl groups are at optimum as to stability and deblocking as, under appropriate conditions, they are selectively introduced into by chromatographic (6) and NMR (354) investigations. Mono- and di-pconditions and are widely used in polynucleotide synthesis, especially the 5'-hydroxyl' group of N-protected nucleosides and nucleotides

Acetal and ketal groups (no. 85—99) need acid catalysis for introduction and removal and, therefore, are more suitable for work in the ribo series. Several acyclic and cyclic alkyl vinyl ethers have been employed as blocking agents. The ethoxyethyl- (197), tetrahydropyranyl- (197) and methoxytetrahydropyranyl groups (352) (no. 86, 96 and 97) have been successfully employed in oligoribonucleotide synthesis for several years. Recently the methoxytetrahydrothiopyranyl group (no. 98) has been described; its acid stability can be regulated by oxidation of the sulfide moiety (32). Methoxyethyl groups (no. 85) have been introduced

for the purpose of enzymatic monoaddition (252) (see Section 4). Since the cytosine base is protonated under the conditions of reaction with dihydropyran, this could be used to selectively introduce the tetrahydropyranyl group into the 3'-position of deoxycytidine monophosphate as an intermediate step in the preparation of N-benzoyl-deoxycytidylic acid (197). This is one of the few examples, in which such blocking groups habe been applied in the deoxy series.

#### 1.3.3. Protecting Groups for the Vicinal Diol Group of Ribonucleic Acid Constituents

protection of the 2',3'-diol function in ribotides. They are generally introduced by acid-catalyzed reaction of the diol group with appropriate Acetal, ketal and orthoester substituents (no. 100-127) are used for aidehydes, ketones or orthoesters. Isopropylidene groups, (no. 100), standard protecting agents in sugar chemistry, are often used (197). However, they are very stable to acidic hydrolysis, and in order to avoid an isomerization of the internucleotidic bond more acid-labile groups, such as 2,4-dimethoxybenzylidene and 4-dimethylaminobenzylidene (110. 117 and 118) (197) are preferred for stepwise oligoribonucleotide synthesis.

feature of undergoing isomerization to 2'- or 3'-acylates on mild The orthoester substituents (no. 122-126) possess the additional

Scheine 1.8. Removal of orthoester substituents

References, pp. 483-508

Recent Advances in Polynucleotide Synthesis

treatment with aqueous acid (117, 352). This offers a possibility for disferentiation between the two hydroxyl groups which are of equal reactivity in internucleotide bond formation (for further discussion see Section 1.6). Final removal of these protecting groups then necessitates mild alkali or ammonia treatment (Scheme 1.8).

One example of a diol protecting group which can be removed in a easily introduced by treatment with phenylboronic acid. Cleavage is neutral medium, has been reported: the phenylboronate substituent (no. 127), described by A. M. Yurkevitch and coworkers (475). It is effected by propanediol-1, in dimethylformamide. Although this group is stable during the formation of internucleotidic bonds, its lability in protection must be stable throughout all steps of a ribooligonucleotide aqueous acidic to neutral media is disadvantageous, since the diol synthesis (see Section 1.6).

In concluding this section it should, again, be enphasized that alternatively, the diol moiety can be blocked by two substituents of the type discussed in Section 1.3.2, e.g. by two acyl groups. Several investigators (see Section 1.6) have employed this as the method of choice for oligoribonucleotide synthesis.

### 1.4. Protecting Groups with Special Applications

In several more recent publications blocking groups have been described which perform an additional job, such as opening up new ways of separation or activating the nucleotides or oligonucleotides to which they are attached. Some of the most significant developments in this area will be highlighted in this section.

### 1.4.1. Protecting Groups for Solvent Extraction

The introduction of a blocking group will in most cases change the solubility properties of polynucleotides and their constituents, a change which most often goes in the direction of enhanced hydrophobicity. In extreme cases nucleotides or oligonucleotides can become tection of the phosphate component (groups no. 11 and 29). The use of water-insoluble and extractable into water-immiscible organic media. Examples have been described of oligonucleotide synthesis using paminophenyltriphenylmethane (3) or 9-fluoroenylmethanol (176) for prothese groups in the preparation of short-chain oligonucleotides will be discussed in more detail in Sections 3.2 and 4.1.1.

Mono-, oligo- and polynucleotides with hydrophobic substituents were

shown to be retained on appropriate affinity columns. Chromatographic The demands placed on the hydrophobicity of groups for this technique materials used for this purpose are, for example, tritylated or are not as restricting as for the solvent extraction procedures; this permits, in principle, the use of a wide variety of substituents for such separations. H. G. KHORANA and coworkers, for instance, have separmers on trityl cellulose. Similarly, homologs of shorter chain length ated tritylated oligonucleotides from untritylated oligomers and monoare removed during stepwise oligonucleotide synthesis after protection naphthoylated cellulose (41) or benzoylated DEAE cellulose (259, 289), phobic protecting groups, mostly of the B-substituted ethyl phosphate of their free 3'-OH ends by naphthylisocyanate (no. 69) (4, 6). Hydrotype (no. 7-10), have been studied by S. A. NARANG and coworkers for stepwise oligonucleotide syntheses with purification on bénzoylated Affinity separations, based on the interaction of oligonucleotides blocked with the p-dimethylammoniumanilidate residue (no. 30) with cation exchangers, have been employed for stepwise oligonucleotide DEAE (289, 290, 290a, 465) or benzoylated DEAE-Sephadex (259). synthesis by T. HATA et al. (136, 138, 435).

A different approach has been studied by H. Seliger and coworkers (396, 398a, 398b). When nucleotides or nucleosides, blocked by affinity groups, are copolymerized with unprotected (resp. only N-protected)

Chromatography on affinity column X chromatography cellulose DEAE. X-A-(pB), + (pB), (bB) chromatography cellulose X-A + "pB. DEAE. X-A-(pB),

Scheme 1.9a. Binary cooligocondensation and separation of nucleotides blocked by (Bd) + affinity groups

→ (pB), linear and cyclic → (pB)₂ linear and eyelic

- X-A-(pB),

→ X-A-pB

V-X-

→ X - A - (pB),

₽G 4

References, pp. 483-508

Recent Advances in Polynucleotide Synthesis

Chromatography on affinity column y → (pB)2-pC-Y chromatography √-)d-1(Bd) + + pB - pC - 1 + pC - γ cellulose DEAE. \* (pB), \* (pB), - pC - Y - $(pB)_n - pC - Y$ + (PB), linear and cyclic → (pB), linear and cyclic chromatography n pB + pC - Y cellulose DEAE. (pB),

Scheme 1.96. Binary cooligocondensation and separation of nucleotides blocked by affinity groups

nucleotides, two types of homologous sequences are produced, namely those that bear affinity end groups and others that do not. Two types of affinity groups have been used, namely hydrophobic groups, such as methoxy trityl or phenylazophenyloxycarbonyl (no. 67 and 76) for the 5'-terminus and the uridinyl group (no. 12) for the 3'-terminus. Separations reaction and separation scheme for binary copolymerizations of the are carried out on trityl cellulose resp. boronate celluloses. A general components X-A and pB resp. pB and pC-Y (where X and Y are The two types of homologs, X-A-B, and B, resp. B, and B,-C-Y are thus yielding a variety of building fragments for polynucleotide synthesis affinity groups and A, B and C are nucleosides) is given in Scheme 1.9. subsequently separated into individual sequences according to charge, through one reaction.

#### 1.4.3. Activable Protecting Groups

have pointed out that there may be no fundamental difference between In the discussion of phosphate protecting groups (Section 1.3.1) we protecting and activating residues. Hence, several phosphate protecting groups can be modified to become strongly activating groups, thus allowing alcoholytic and phosphorolytic attack on the phosphorus atom. Early work by F. CRAMER and H. SELIGER (389) showed that functions, such as enol esters on phosphoric acids become strongly activating on

in the formation of enzymatic monoaddition substrates, is reviewed A fourth area of special application of blocking groups, namely in more detail in Section 5.1.

# 1.5. Strategy of Consecutive Blocking or Deblocking of Several Functions

The last two sections of this chapter deal with different approaches to the preparation of intermediates for nucleotide polycondensation and stepwise oligonucleotide synthesis. Initially some general considerations governing the choice of blocking groups for certain functions will be

We have seen in earlier sections, that differences in nucleophilicity between the hydroxyl groups of the sugar and the exocyclic amino groups of the bases are relatively small. This means that, except for the reaction of nucleotide phosphate groups with alcohols and amines as blocking reagents, all other reactions used for the introduction of blocking groups tend to be unspecific. Nevertheless, it is possible to block selectively one of several alcohol or amino functions by almost any group by adopting one of the following routes:

1. If a selective reagent is available, this can be directly used to block the desired function.

2. If a selective reagent is available for the other functions, these can be blocked first. The function in question is left free to react with an unspecific reagent. Afterwards the other blocking groups are removed, if necessary.

3. An unspecific reagent is used to introduce a blocking group into all functions. All but the desired function are then selectively de-

deblocking of the desired function, which is then reblocked with the 4. If this is not possible, total blocking can be followed by selective reagent of choice.

References, pp. 483-508

Recent Advances in Polynucleotide Synthesis

These four routes can be illustrated for the example of the acetyl

Route 1: Selective acetylation of the 5'-OH group is affected by cyclic amino group of the cytosine nucleotides acetic anhydride in dimethylformamide / tri-n-butylamine has been described as selective reagent (329, 330). Finally, 2' or 3'-OH groups of ribonucleosides can be acctylated with trimethylorthoacetate via a methoxyethylidene interacetic acid / azodicarboxylate / triphenylphosphine (264). For the exomediate (99)

scribed by introducing first a dimethylaminomethylene group into the Route 2: The selective 3'-O-acetylation of nucleosides has been debase, then a methoxytrityl group into the 5'-hydroxyl. Acetylation followed by acid treatment leaves acetyl as only protecting group in 3'-position (197).

Route 3: The most widely used approach to N-acetylation of nucleosides and nucleotides involves unspecific reaction with acetic anhydride in pyridine, followed by selective removal of O-acetyl groups on short treatment with strongly alkaline media (197).

Route 4: N-benzoyl-3'-O-acetyl nucleotides are usually synthesized by perbenzoylation of nucleotides, followed by selective O-debenzoylation in strong alkali and treatment with acetic anhydride (184, 197)

A detailed description of all possibilities for selective introduction or removal of the different blocking groups listed in Table 1.1 would by far exceed the scope of this review. We must limit ourselves to some general observations. Thus, for example, sterically hindered reagents react more readily with amino and 5'-hydroxyl groups than with 2'- and 3'-hydroxyl. The rate of hydrolytic cleavage can also be higher for 5'than 3'-substituents as was demonstrated for nucleosides containing several trityl groups. In the case of acylations the reaction conditions may be chosen so as to give specific substitution of the nucleobase in functions can generally not be blocked in neutral or weakly basic media cytidylic acid. The 3'- resp. 2'-OH groups as sterically most hindered without prior protection of the amino and (if unphosphorylated) 5'hydroxyl groups. More possibilities for selective reactions are contained in Table 1.1,, and the information given there, may be of help in designing new approaches.

#### 1.6. General Blocking Schemes for Intermediates of Polynucleotide Synthesis

The basis for chemical synthesis of oligo- and polynucleotides, as of other complex organic molecules, is the adoption of a certain strategy for protecting the intermediates. Since the preparation of sufficient

quantities of blocked intermediates constitutes a major part of the the groups working in this field tend to pursue one strategy, once work involved in the synthesis of longer oligo- and polynucleotides, they have developed it, for the duration of one or several synthetic projects, like for example, the synthesis of a biologically important of a polynucleotide have started out by developing a new technique, polynucleotide. Evidently most groups which tackle the preparation often a new protecting group or a system of protecting groups. Although this means, that most groups have a different approach and that the authorship of a sequence can often be predicted from the type of intermediates used in the synthesis, some generalizations can be made and will be discussed in the following.

In planning the synthesis of a deoxyoligonucleotide we first have to decide whether the diester or triester method shall be used (see Section 4.1). In the diester method 5'-nucleotides are mostly taken for allows chain elongation reaction with a blocked phosphomonoester, chain extension, nucleosides only as terminal units. The triester method followed by condensation with a nucleoside. The advantage of the latter approach in the sector of blocking groups is that only one type of intermediate is needed for terminal and intrachain units and nucleosides are generally cheaper starting compounds. However, the large-scale preparation of N,O3-protected nucleosides is more time-consuming than the synthesis of analogous nucleotide derivatives, as is clear from the preceding section,

Next the blocking groups for nucleotides and nucleosides have to be selected. Both kinds of monomer units contain three functions, two of which have to be blocked for stepwise oligonucleotide synthesis,

5'-OH resp. -phosphate and bases

ŏ

3'-OH resp. -phosphate and bases.

In an ideal situation these three functions would have to be blocked by three independently-removable blocking groups. This has not yet been realized. Although there are, in principle, three types of deblocking conditions, namely alkaline, acid and neutral with selective reagents, the choice is limited by the circumstance that acid labile groups are preferred as end groups only (deglycosidation hazard, see Section 1.2) and selectively cleavable groups are mostly also acid or alkali-labile. One feature is common to all approaches: The amino groups of the nucleobases are protected most strongly, usually by a group which is removed only by prolonged alkaline treatment. Benzoyl and anisoyl have been widely used for deoxyadenosine resp. deoxycytidine and their

References, pp. 483-508

nucleotides; acetyl and more recently isobutyryl, isobutyloxycarbonyl, methylbutyryl and also benzoyl have been advocated for deoxyguanosine and dGMP (6, 184, 197, 205, 315, 457).

Recent Advances in Polynucleotide Synthesis

For the protection of phosphate and hydroxyl functions three different

strategies have been generally applied.

1. The strategy developed mainly by H. G. KHORANA and coworkers mediates. The methoxy- or dimethoxytrityl group serves as acid-labile the B-cyanoethyl moiety, labile to brief treatment in alkali. The acetyl (6, 184, 197, 319, 457) uses preferably compounds A of Table 1.2 as inter-5'-end group. The 5'-phosphate group of nucleotides is protected by group, removable by alkali or ammoniacal treatment, is taken for polynucleotide synthesis contain an acid-labile and an alkali-labile 3'-end protection. Thus, 5'-terminal units or building fragments for

Chain extension is effected by monomers of building blocks containing a 5'-phosphate and a 3'-hydroxyl end. All these building fragments such as a dinucleotide (Fig. 1.12), on construction from units

Fig. 1.12

A 1+2 of Table 1.2, will have two alkali-labile blocking groups at the ends. Their removal leaves both ends free. Reblocking by acetylation β-cyanoethylation affords a fragment for lenghthening towards the 5'-end. This strategy has been used successfully throughout the work on gives a new building block for lengthening towards the 3'-end, the synthesis of two genes and is described explicitly by H. Büchi, Н. Weber and H. G. Кновама (37, 457).

= dimethoxy-trityl-

 $R_2 = acyl.$ 

 $R_3 = H$ .

Fig. 1.10 R, = methoxy-trityl.

5'-terminal units:

porp

| <i></i>         |            |
|-----------------|------------|
| Z-E<br>2 2 2 Z- | ~ <u>-</u> |
| /               |            |
| <i></i>         | , Z        |
| ٦,              |            |

=dimethoxy-trityl.

Fig. 1.10  $R_1 = methoxy-trityl$ .

Fig. 1.10

C Fig. 1.10 R<sub>1</sub> = methoxytrityl-

R<sub>1</sub> = acyl-

 $R_2 = acyl.$ 

 $R_3 = H$ 

 $\rightarrow R_3 = OH$ R3 = H-

Fig. 1.10 R<sub>1</sub> = methoxytrityl-

R, = acyl-

O-CH1-CH1-CN

0=d-

D\* Fig. 1.10 Rt = trityl.

-P=0+ R3 = 0-H

R3 = H.

Fig. 1.11

Fig. 1.10 R<sub>1</sub>=trityl-

Fig. 1.10 R<sub>1</sub> = 2.4-(bis-2-methyl-butyl-2-)phenyl-O-CH1-CCI3 E\* Fig. 1.10  $R_1 = 2,4 - (bis.2-methyl.$  butyl-2-)phenyl-

oxyacetyl--P=0 r R3 = 0H oxyacetyl-R3=H-

• R2 is not given, where thymidine derivatives were the only ones used.

O-C,H,

Recent Advances in Polynucleotide Synthesis

Intermediates for Oligodeoxpribonucleotide Synthesis

| ٠.        | Intrachain units:<br>2                           | 2,                            | 3'-terminal units:            |
|-----------|--|-------------------------------|-------------------------------|
| Fig. 1.11 | Fig. 1.11 R <sub>1</sub> = \(\beta\)-cyanocthyl- | Fig. 1.11 R <sub>1</sub> = H- | R, = H-                       |
|           | $R_{\lambda} = acyl.$ $R_{\beta} = H.$           |                               | $R_2 = acyl-$ $R_3 = acctyl-$ |
| Fig. 1.11 | Fig. 1.11 R, = trichloroethyl., = anilidate.     | Fig. 1.11 R <sub>1</sub> =H-  | R <sub>1</sub> = H-           |
|           | = ethylthio-,<br>= phenylmercapto-<br>ethyl-     |                               |                               |

| $R_3 = acctyl$ | Fig. 1.10  | 7 |
|----------------|--|---|
|                | Fig. 1.10 $R_1 = H$ . $R_2 = acyl$ . $R_3 = OH$ $R_3 = OH$                             |   |
| -11 = Ex       | Fig. 1.10 R <sub>1</sub> = H. $R_1 = acyl$ . $R_3 = \beta \cdot benzoyl$ .  propionyl. |   |

 $R_2 = acyl$ 

 $R_2 = acyl$ R, = H.

| same as intrachain<br>unit D2                              |           |
|--|-----------|
| Fig. 1.10 $R_1 = H$ . $R_2 = acyl$ . $R_3 = 0H$ $R_3 = 0H$ | O-CH. CCI |
| Fig. 1.10 $R_1 = H$ . $R_2 = acyl$ . $R_3 = acctyl$ .      |           |

$$R_3$$
 = methoxy-  $R_3$  =  $R_3$ 

Fig. 1.10  $R_1 = H$ -

Fig. 1.10 R, = H.

2. The necessity for reblocking of oligonucleotide fragments is overwhich the phosphate residue is blocked by a group which is stable that have been especially useful for this purpose are the trichloroethyl anilidate residue (no. 26), described as selective reagents. Groups group (no. 13), introduced by F. ECKSTEIN and coworkers (94, 197), the E. OHTSUKA, M. IKEHARA and coworkers (310), and the ethylthio group by (no. 23), investigated by A. L. NUSSBAUM and colleagues (55). The coworkers (465), is also a development along this line, although its of all alkali-labile protecting groups on 3'-OH and bases. All of these protecting groups have been successfully used in the sequence specific gene synthesis.

mediates for chain extension, as was discussed above. The 5'-terminus is again masked by trityl groups. The blocking of the 3'-end depends 3. In the phosphottiester method blocked nucleosides are the interon the type of triester substituent. If the blocking group for the internucleotidic linkage is alkali labile, as is the eta-cyanoethyl group in the approach of R. L. Letsinger and coworkers (232, 234, 235), the 3'protection must be removed in neutral medium. The eta-benzoylpropionyl group is well suited for this purpose. The protected intermediates one needs are represented in Table 1.2, line C. Alternatively, groups like linkage, thus allowing the well-developed scheme of 3-O-acetylation trichloroethyl and benzyl (cleaved in neutral medium by reduction resp. anionic debenzylation) have been used to protect the internucleotidic to be retained (intermediates D in Table 1.2) (76. 78. 369). Recently, 352). As these are, again, alkali-labile, an acid-labile protecting group has been chosen for the (growing) 3'-terminus (intermediates E in Table 1.2). Building fragments (Fig. 1.13) for the preparation of longer phenyl groups have been advocated as triester blocking groups (350, oligonucleotide chains have been synthesized on this basis (73).

In the synthesis of oligoribonucleotides an additional complication is introduced by the presence of the 2'-OH group. Since the hydroxyl groups at the 2'- and 3'-position are about equally reactive towards intermediates which allow an internucleotidic bond to be formed specifically at O-C3'. This is most simply achieved by using suitably blocked nucleoside-3'-phosphates, which are available either by substitution of 3'-ribonucleotides or by phosphorylation of ribonucleosides

References, pp. 483-508

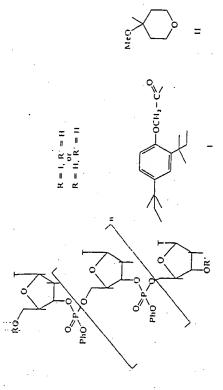


Fig. 1.13

with selectively unblocked 3'-OH. Several routes to the latter compounds have been described.

The choice of blocking groups for the different functions again differs among various laboratories. For the preparation of ribonucleotide triplets, H. G. KHORANA and coworkers have used the intermediates F of Table 1.3 (249). They all contain alkali-labile groups for those functions that remain protected until completion of the sequence. Acidabile trityl groups are used for the 5'-termini. Because the 3'-phosphate remains unprotected, this approach necessitates chain extension plate remains unprotected, this approach necessitates chain extension et al. (309, 313, 319), protection is provided for the 3'-phosphate through the anilidate residue, which can be selectively removed by added to both ends of the intermediate G2 in Table 1.3.

In a different method elaborated by the group at Prague (152) acid-labile groups. Since acid-labile protection of the bases is unusual, the amino groups and the 5'-hydroxyl function in intermediate H I (Table 1.3) are protected in an alkali-labile fashion. Chain lenghthening is again done from the 3'- to the 5'-terminus. On deblocking of the 5'-end both alkali-labile groups are lost and the NH<sub>2</sub>-functions can be selectively reprotected by treatment with dimethylformamide acetals. The intermediates H 2 and H 3 are also N-dimethylaminomethylene

hydropyranyl- $R_3 = methoxytetra$ . 0-C,H, - P=0 R= 0H  $R_2 = acyl$ hydropyranyl  $R_3 = nicthoxytetra$ hydropyranyl-R<sub>2</sub> = acyl-R4 = H. -

R.-0-F=0 R,

Fig. 1.15

R3 = tetrahydropyranyl-O-CH1-CCI, Fig. 1.14 R1 = trityloxyacetyl. - P=0 + 12 = OH  $R_2 = acyl$ R3 = tetraliydropyranyl-Fig. 1.14 R<sub>1</sub> = trityloxyacetyl- $R_2 = acyl$ R. = H.

References. pp. 483-508

### Recent Advances in Polynucleotide Synthesis

Intermediates for Oligoribonucleotide Synthesis

| Intrachain units:  |  |   |
|--|--|---|
| 2 2,   |  | 3 -terminal units:  |
| Fig. 1.15 R <sub>1</sub> = trityl-                                   |  | Fig 1 14 B - El   |
| $R_2 = acyl$   |  |   |
| $R_3 = acyl$   |  | $K_2 = \text{Denzoyl}$ .  |
| $R_4 = H$ -  |  | $R_{\bullet} = \text{benzoyl}$ . $R_{\bullet} = \text{benzoyl}$ . |
| Fig. 1.15 R <sub>1</sub> = H.  |  |   |
| R, = acyl-   |  |   |
| $R_3 = a c y l$  |  | $\mathbb{R}_2 = \operatorname{ncyl}$                              |
| $R_{\bullet} = anilidate$  |  | $K_3 = acyl$ - $R_s = anilidate$ -                                |
| Fig. 1.15 R <sub>1</sub> =H-   |  | Eig. 1.7 B  |
|  |  | rig. 1.14 K <sub>1</sub> = H.                                     |
| ımino-   | Same as 5'-terminal                    | $R_2 = dimethylamino$   |
| •  | unit Hı.                               | methylene-  |
| $K_3 = C(hoxye(hy))$ .   |  | R, = ethoxymethylene-   |
|  |  | $R_4 = ethoxymethylene$   |
|  |  |   |
| Fig. 1.14 R <sub>1</sub> = H.  |  | Fig. 1.4 B 1.1  |
| =-PO <sub>3</sub> H <sub>2</sub>                                     |  |   |
|  |  |   |
| $R_1 = acyl$   |  | $R_{c} = acvl.$   |
| $R_3 = nethoxytetra$   |  | R - mathormathat  |
| hydropyranyl-  |  | inemoxymemyi-<br>idene-   |
| R. = H.  |  |   |
|  |  | idene-  |
|  |  |   |
| Fig. 1.14 R <sub>1</sub> =H- Fig. 1.14 R <sub>1</sub> =H-            | H.                                     |   |
|  | R <sub>2</sub> = acyl-                 | same as mitachara   |
| $R_3 = \text{tetrahydropyranyl}.$ $R_3 = \text{t}$ $R_4 = \text{H}.$ | $R_3 = tetrahydropyranyl$ . $R_4 = OH$ | 7   |
|  | : <u> </u>                             |   |
|  | - P=0                                  |   |
|  | <br>                                   |   |
|  |  |   |

derivatives. The phosphate-blocked intermediate H I' was recently used H 3 gave a fully protected dinucleoside phosphate, from which the by J. SMRT for triester syntheses (418, 419). Condensation of H 1' with 5'-blocking group was selectively cleaved prior to chain lenghthening, which was done again with H 17.

thesis of intermediates for oligoribonucleotide synthesis in the diester and C. B. REESE and coworkers (352) have extensively studied the synmore recently also in the triester fashion. The units I of Table 1.3 are proposed as intermediates for stepwise oligoribonucleotide synthesis. They all contain acyl or methoxytetrahydropyranyl groups for the bases resp. 2'-OH. The methoxymethylidene group is generally employed to block the diol end. In the diester approach the chain is lenghthened by adding units 1.2 to the 3'-end of the 5'-terminal unit or fragment. For syntheses by the triester variation the 3'-end is first reacted with phenyl- or substituted phenyl phosphate, then the oligonucleotide is extended with intermediates 12' or 13.

Further improvements were introduced by T. Nen.son and coworkers (296, 298). They use exclusively the triester method, starting the synthesis from the 5'-end, which is blocked by the trityloxyacetyl moiety. The chain is lenghthened by first adding trichloroethylphosphate, then of another nucleoside, due to "shielding" by the neighbouring tetrathe intermediates K 2 of Table 1.3. Most remarkably these intermediates are unblocked at the 3'-position, since it was found that the bulky nucleoside-3'-trichloroethyl phosphate would not react with the 3'-OH hydropyranyl group. This triester approach has been the first one leading to the synthesis of a longer oligoribonucleotide chain (300), as will be discussed in Section 4.1.2.

the different lines of development. In polynucleotide, as in polypeptide In concluding this section it should be made clear that the intermediates discussed here are only examples of some more widely used blocking schemes. Nearly all of the blocking groups listed in Table 1.1 have been tested during the "evolution" of one or the other system of selective blocking, and it is impossible, in this review, to retrace all synthesis, the search for better and more straightforward solutions demand, and the possibility of enzymatic cleavage is a further valuable continues. New selectively labile protecting groups are still much in addition in this sector. New blocking groups for nucleobases stable to neutral medium would be helpful. New developments in the direction all conditions of polynucleotide synthesis and selectively removable in of "multipurpose" blocking groups are to be foreseen, and it can be hoped, that these developments will lead to simpler solutions not only for the field of protection, but for all questions involved in polynucleotide

References, pp. 453-508

### Recent Advances in Polynucleotide Synthesis

#### 2. Phosphorylation Methods in the Synthesis of Mono- and Oligonucleotides

Polynucleotides are - from the standpoint of polymer chemistry polyphosphodiesters. All internucleotide linkages are thus phosphodiester groups, whereas the chain termini can be either nucleosides or phosphomonoesters. The formation of a phosphoric acid ester linkage, i.e. the phosphorylation, can be effected chemically

- 1. by transfer of a phosphoryl group onto an alcohol with formation of a P-O-bond,
- 2. by transfer of a phosphate group onto an alcohol with formation of a C-O-bond,
- 3. by oxidation of a phosphite.

Phosphorylation by phosphoryl transfer is still the most widely used phosphorylation method in the synthesis of nucleotides and oligonucleotides. Reagents and mechanisms for phosphoryl transfer have been very extensively studied in the early 1960s. Since the development of the common phosphorylation techniques by H. G. KHORANA, F. CRANIER and others nearly ten years ago, no major advance in this field has been reported, and as several excellent reviews of this earlier work have appeared (35, 61, 65, 70, 184, 258, 451) we can Jinut ourselves to giving a few guidelines on the selection of phosphorylating agents and a brief mechanistic discussion.

In recent years an increasing number of instances have been as, for example, during studies of prebiotic or "thermal" phosphorylcertainly merits continued interest. The same can be said of phosphorylations involving the oxidation of a nucleoside phosphite. Although this is Although phosphoryl transfer is still the route generally employed in internucleotide bond formation, this mechanistically different approach ations and of phosphate transfer to activated nucleoside hydroxyl groups. described, where not a phosphoryl, but a phosphate group is transferred, one of the oldest phosphorylation methods, it has not furnished a breakthrough for internucleotide bond formation. Nevertheless, this pathway is often reinvestigated and results in the development of new reagents and techniques.

An elegant route, if applicable, is enzymatic attachment of a phosphoryl moiety. Since enzymatic methods of internucleotide bond formation will be described in Section 5 the discussion in Section 2.4 can be limited to the description of several kinases.

#### 2.1. Transfer of a Phosphoryl Group

The transfer of a phosphoryl group to water, alcohols or annines proceeds through nucleophilic attack of these compounds on the phosphorus atom of a phosphorylating agent. Before we discuss the question of what should be defined as a phosphorylating agent, we should first clarify some basic steric and electronic aspects of phosphate chemistry.

In orthophosphoric acid and its derivatives the phosphorus atom Nucleophilic substitution reactions on phosphorus can be described in the same way as nucleophilic substitutions on a saturated carbon occupies the centre, the four ligands the corners of a tetrahedron (161). atom. Thus, there are two principal mechanistic pathways. The first, similar to the Sn2 reaction on carbon, involves direct attack by the nucleophile on the phosphorus atom with displacement of one of the four ligands. This mechanism must involve an inversion of the ligands with intermediacy of a pentacoordinate complex. Alternatively, one of the ligands can dissociate prior to nucleophilic attack, a pathway parallelling the SN1 mechanism of substitution at carbon. In carbon chemistry this results in the intermediate formation of a carbonium ion; analogously a phosphoryl cation would be the primary dissociation product. Both cations demand stabilization; and a special way of stabilization exists in phosphate esters, which have at least one residual free acid function. In this case a proton can be expelled from the acid function with formation of a derivative of metaphosphoric acid - HPO2. Monomeric metaphosphoric acid derivatives have been trapped and characterized by F. Westhelmer and coworkers (464), but they are highly unstable and tend to form oligomeric or polymeric derivatives, of which the trimetaphosphates are best characterized. Such oligomeric metaphosphates are hypothesized to be intermediates in the transfer of phosphotyl groups derived from phosphoric acid and its monoesters (458). The two alternative mechanisms are shown in schemes 2.2 and 2.3 (see below).

The electron density around the phosphorus atom of phosphoric acid and its esters will be examined next. Three of the four ligands can be either -OH, i.e. acid functions, or ester groups. The fourth is an oxygen atom, and the resulting P=O-bond is polarized by electron withdrawal of the oxygen similar to the C=O-bond in esters of carbo-xylic or carbonic acids. However, the electron density around the phosphorus atom is not lowered to the same degree as that of carbon due to two facts (53):

 The phosphorus atom contains empty d-orbitals, which can overlap with p-orbitals of neighbouring oxygen or nitrogen atoms

References, pp. 483-503

containing a lone electron pair. The partial  $p\pi$ -d $\pi$ -bonds formed in this manner serve to distribute the positive charge from phosphorus to the neighbouring atoms.

2. In phosphomono- and diesters acid functions remain. The pka values for the two acid functions in phosphomonoesters are around I and 6, the pka of the residual acid function in phosphodiesters is between I and 2 (258). Both types of compounds are, therefore, relatively strong acids which fully deprotonate in alkaline media. The resulting negative charge is, of course, "smeared" over the O=P=O-system. The increase in electron density on the P atom and the charge repulsion are responsible for the remarkable stability of phosphate esters to alkaline hydrolysis, which increases in the series phosphotriester < phosphodiester < phosphononoester (35, 258).

If simple phosphoric acid esters, like ethyl or phenyl, are not very susceptible to nucleophilic attack, what can be done to facilitate the transfer of a phosphoryl group, i.e. to generate a phosphorylating agent? We have to attach an activating group. The structural requirements for such groups have been brilliantly generalized by V. M. CLARK et al. (53). According to their basic scheme, all potential phosphorylating agents possess a function described by the general structural formula P-X-Y-Z(X, Y and Z being any element, preferentially C, H, N, O, S, halogen). Z must be (or must be convertible into) a strong electron acceptor, and the X-Y system must be capable of mediating an electron shift from the P-X-bond to Z. Since, in the cases we are looking at, X is mostly oxygen or nitrogen, i.e. atoms containing lone electron pairs, we have to arrange for these electron pairs to be incorporated into a pr-pr-bond of the X-Y-Z system in order to reduce the stabilization of the P-X-bond by pr-dr-overlap. This is illustrated in Fig. 2.1. The effect of the X-Y-Z system will be then to produce

Z = X - X - Q

Fig. 2.1

an "energy-rich" bond between P and X, which favors nucleophilic substitution of this group by the result of a negative reaction enthalpy and eventually a positive entropy change due to fragmentation of the X-Y-Z system. Both pathways of decomposition of an activated phosphate, by direct nucleophilic attack or by monomolecular dissociation, are shown in Schemes 2.2 and 2.3.

Scheme 2.2. Decomposition of an activated phosphate by nucleophilic attack on phosphorus

Scheme 2.3. Decomposition of an activated phosphate with formation of metaphosphate

Although this scheme may serve well for designing new potential phosphorylating agents, no prediction is possible as to whether these will be useful in nucleotide chemistry. Activated phosphates, which may easily react with water and ethanol, may be sluggish in phosphorylating the sterically much more hindered nucleosides or oligonucleotides, and only a few of the most powerful activating agents allow the formation of an internucleotide bond. But not only the nature of The other substituents on the phosphorus atom, and solvents, catalysts and salts, have an effect as well. Generally, as A. M. MICHELSON has We have already mentioned this difficulty, when discussing protecting the attacking nucleophile influences the phosphorylation reaction. pointed out, it is difficult to make a clear distinction between "highenergy" and "low-energy" phosphates in chemical reactions (258). groups for the phosphate moiety of nucleotides in Section 1.3.1.

atives, are compiled in Table 2.1. The activated phosphates or activ-Some phosphorylating agents that have been of aid in the synthesis of nucleotides, oligonucleotides or related biologically active derivating agents are shown in columns 2, 3 and 4 together with the primary activated intermediates they are postulated to produce. Of course, we have to differentiate between two cases: In the first case the phosphorylating agent is a stable activated intermediate, e.g. a identical. In the second case an unstable activated intermediate has nucleoside phosphorochloridate. Then columns 3 and 4 must be to be formed first by reaction of a "low-energy" phosphate, such as a nucleoside phosphate, with a "condensing agent", e.g. dicyclohexyl-

References. pp. 483—508

in column 3 of Table 2.1, is different from the structure of the activated intermediate. We will see later in this section, that in the carbodiimide. Then the structure of the activating agent, which is listed case of dicyclohexylcarbodiimide an inidoylphosphate is assumed to compound is, therefore, shown in column 4. However knowledge of the structure of the primary activated intermediate does not necessarily of the activated intermediate, but also on reaction conditions, solvents be the primary activated intermediate. The structure of this latter imply knowledge of the actual pathway of phosphorylation, i.e. whether This is in most cases unknown, it depends not only on the nature etc. A thorough discussion of possible reaction paths will be given it follows the mechanism of Scheme 2.2 or the one of Scheme 2.3. later for the case of the two best studied condensing agents, dicyclohexylcarbodiimide and sulfonylchlorides.

A problem arises from the fact that, depending on what derivative of by introducing blocking groups into all functions which are not supposed to react or by adopting a route which prevents the phosphoric acid we have, we can activate up to 3 functions of the phosphate molecule. Since, in most cases, we wish to form only one phosphate ester linkage at a time, we can solve this problem either participation of other unblocked functions. We will find examples of both strategies in the following discussion of phosphoryl halide reagents.

The phosphorylating agents in Table 2.1 are grouped into different structural types. The first of these groups includes the phosphoryl halide reagents, i.e. derivatives of POCl3. Since POCl3 itself is a trifunctional This could be done by using derivatives, which had only one acid chloride, care had to be taken to form only one ester linkage. residual acid chloride function and two blocking groups (no. 7-15 reactions by a careful selection of reaction conditions and basic in Table 2.1), such as diphenyl- (132, 258) or bis-(βββ,trichloroethyl-) phosphorochloridates, even POCI3 itself, could be used avoiding side phosphorochloridate (95). In another line of development multifunctional catalysts, e.g. 2,6-lutidine (no. 1--6) (216, 350). Nucleotide phosphorofluoridates have been used in the synthesis of oligonucleotides. The chain extension necessitated the use of nucleoside alcoholates (see Section 4.3) as nucleophilic partners (440).

Mixed anhydrides have been of great interest in peptide chemistry, and they are so also in oligonucleotide chemistry. In analogy to biological phosphorylations, where derivatives of di- and triphosphoric acid play an important role, similar compounds have been investigated for their use in chemical phosphorylations. These include trimetaphosphate (258, 364, 385), "polyphosphoric acid ester" (prepared from P2Os and stoichiometric amounts of alcohol) (19, 258) and triester-

Table 2.1. Reagents for the Chemical Transfer of Phosphoryl Groups

| ating agent   |   |          | Postulated primary<br>activated intermediate |     | to the synti<br>Nucleotide-<br>poly-<br>phosphates<br>coenzymes<br>etc. | Inter-<br>nucleo- | Reference  |
|---|---|----------|--|-----|---|-------------------|--|
|   |   |          |  |     |   |                   |  |
| l phosphoryl<br>chloride  | POCi <sub>3</sub>                           |          |  | +   | +   | +                 | (35, 216,<br>258, 124  |
| 2 pyro-phos-  |   |          |  |     | ٠.  |                   | sel. 5'-<br>phos-<br>phoryl.':<br>262, 325,<br>326, 411,<br>424, 425,<br>471, 472) |
| phoryl-<br>chloride   | CI P-0-P CI                                 |          |  | + + |   |                   | (35, 258)<br>sel. 5'-<br>phos-<br>phoryl.:<br>(262, 411,<br>424, 471)              |
| phosphoro-<br>dichlori-<br>date   | H <sub>3</sub> CO - P - CI                  |          |  | + . |   |                   | (415)  |
|   | -   | · · _    |  |     | <del>.</del>  |                   |  |
|   |   |          | ·  |     |   |                   |  |
| phenyl-<br>phosphoro-<br>dichlori-<br>date  | O - P - C1                                  |          |  | + + | ÷   |                   | (109, 258,<br>350)   |
| 2-chloro-<br>methyl-<br>4-nitro-<br>phenyl-<br>phosphoro-<br>dichlori-<br>date                | O <sub>2</sub> N — O - P - C <sub>1</sub>   | CI       |  | +   |   |                   | (134, 135, 282)  |
| P <sup>1</sup> -Phenyl-P <sup>2</sup> -<br>morpholino-<br>pyro-<br>phosphoro-<br>dichloridate | 0 0 0 N N N N N N N N N N N N N N N N N     | <u> </u> |  | + + |   | · (               | (162)<br>258)  |
| diethyl-<br>phosphoro-<br>chloridate  | C <sub>2</sub> H <sub>3</sub> O O<br>P – Ci |          |  | -   |   | (                 | 258)   |
|   |   |          |  |     |   |                   |  |

chloridate

12 dibenzyl-phosphorochloridate

(258)

13 bis-(p-nitro-phenyl-) phosphoro-chloridate

(132)

14 O-phenylenephosphorochloridate

(191)

| No. Phosphoryl- Structural formula   | Postulot-4                                |                                       |   |                                     |
|--|---|---------------------------------------|---|-------------------------------------|
| ating agent  | Postulated primary activated intermediate | nucleotides                           | to the synthesis<br>nucleotide- int<br>poly- nu<br>phosphates bo<br>coenzymes<br>etc. | er- Referenc                        |
|  |   | · · · · · · · · · · · · · · · · · · · |   |                                     |
| 5 di-morpho O  |   |                                       | •   |                                     |
| lidic-<br>phosphoro-<br>P - CI   |   | +                                     |   | (258)                               |
| chloridate<br>(bromidate)  |   |                                       |   | •                                   |
| ( – Br)  | •   | •                                     |   |                                     |
| ·  | į.  |                                       |   |                                     |
| fa nucleoside- O  phosphoro- R - P - F   |   | +                                     | + -   | (426, 440)                          |
| fluoridate COH   |   |                                       | •   |                                     |
| mixed anhydrides   |   |                                       |   | ,                                   |
|  |   |                                       |   |                                     |
|  | •   | • .                                   |   |                                     |
|  |   |                                       | · ·   |                                     |
|  |   |                                       |   | - · · · · · · · · - · · · · · · · · |
|  |   | •                                     |   |                                     |
|  |   | · ·                                   |   |                                     |
| trimeta-   |   |                                       |   |                                     |
| phosphoric acid and OPOR   |   | +                                     | +   | (166, 258,<br>458)                  |
| R = H, Na, Me, Ph  |   |                                       |   | sel. 2',3'-<br>OH:<br>(364, 385.    |
|  |   |                                       |   | 386)                                |
| poly-  |   |                                       |   |                                     |
| phosphoric HO-P -O-P-O -H acid and RO OR   |   | +                                     | +   | (19. 258.<br>344)                   |
| esters R = H, Me, Ph   |   |                                       | :   |                                     |
|  |   |                                       |   |                                     |
| tetra-p-<br>nitro-<br>(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O 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<sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N-(O <sub>2</sub> N- |   | + .                                   |   | (258)                               |
| phenyl-<br>pyrophosphate   | NO <sub>2</sub> ) <sub>3</sub>            |                                       |   |                                     |
| P'-nucleo-   |   |                                       |   |                                     |
| Finding $C_1$ of $C_1H_3O)_1 = P - O - P - O - nucleoside$   |   | + .                                   | +   | (61)                                |

| No. Phosphoryl- ating agent  Structural formula  Postulated primary activated intermediate  Application to the synthesis of nucleotide nucleotide phosphates bonds consymes etc.  Refer poly- pully- pully- pully- pully- pully- pully- poly- pully- pully- poly- pully- pully- pully- pully- pully- pully- pully- poly- pully- | No. Phosphorylating agent       | Structural formula                      | Postulated primary activated intermediate | Application nucleotides | to the syntinucleotide-<br>poly-<br>phosphates<br>coenzymes<br>etc. | inter-<br>nucleotide | Reference        | -                       |
|--|---------------------------------|---|---|-------------------------|---|----------------------|------------------|-------------------------|
| benzene- sulfonyl- 1,2,4- triazolide  25b triisopropyl- benzene- sulfonyl- 1,2,4- triazolide  CH, CH, CH, CH, CH, CH, CH, CH, CH, CH   | benzene-<br>sulfonyl-           | H <sub>3</sub> C-SO <sub>2</sub> -NNN   | -P-O-S-R                                  | + ,                     |   | +                    | (20)             | -                       |
| activated esters  26 p-nitrophenyl- O <sub>2</sub> N P-OH  27 P-OH  28 P-OH  29 P-OH  4 (176a)  4 (176a)   | benzene-<br>sulfonyl-<br>1,2,4- | H,C                                     | - P - O - S - R                           | <b>+</b>                |   | + - (                | 176a)            | TOWER WING IA. SELIGER: |
| 26 p-nitrophenyl- O <sub>2</sub> N — P - OH ON ON + + + (48, 108).   | benzene-<br>sulfonyi-<br>1,2,4- | CH, CH, CH, CH, CH, CH, CH, CH, CH, CH, | -P-0-S-R                                  | +                       |   | +. <i>(</i>          |                  |                         |
| 26 p-nitrophenyl- O <sub>2</sub> N — P - OH ON ON + + + (48, 108).   |                                 |   | · · · · · · · · · · · · · · · · · · ·     |                         |   |                      |                  | (                       |
| 26 p-nitrophenyl- O <sub>2</sub> N — P - OH ON ON + + + (48, 108).   |                                 |   |   |                         |   |                      |                  |                         |
| phosphate "N   | activated esters                |   |   |                         |   |                      | *                |                         |
|  | p-nitrophenyl- O₂N<br>phosphate |   | N-P-0-P- +                                | +                       |   | (48<br>137,          |                  |                         |
| 7 picryl chloride $O_2N$ $O_2$ $O_3$ $O_4$ $O_5$ | picryl chloride O₂N             | cı Cı                                   | 0 N +                                     |                         | +   | (65)                 | ) annual manager | sount Advances in Dalum |
| Catechol cyclic phosphate + (258)  | cyclic (                        |   |   | +                       |   | (258                 | )                |                         |

28 Catechol cyclic phosphate

29 α-hydroxy-pyridine-phosphates

| able | 2.1 | (continued) |
|------|-----|-------------|

| _  | I- DI   |                                      | Table 2.1 (continued)  |   |   |                      |           |
|----|---|--------------------------------------|--|---|---|----------------------|-----------|
| _  | lo. Phosphoryl-<br>ating agent                                      |                                      | Postulated primary activated intermediate  | • | to the synth<br>nucleotide-<br>poly-<br>phosphates<br>coenzymes<br>etc. | inter-<br>nucleotide | Reference |
| 30 | diethyl-<br>(1-ethoxy-2-<br>carbethoxy-<br>vinyl-)<br>phosphate     | O=C;H, O<br>H=C=C-O-POC;H,           | pyrophosphoric<br>acid triester see 19   | + |   | +                    | (61)      |
| 31 | α-bromo-α-<br>cyano-<br>acetamide +<br>triphenyl-<br>phosphine      | 0<br>NC – СНВг – С – NН <sub>1</sub> | NC - CH = C NH <sub>2</sub>  | + | -   | +                    | (65, 66)  |
| 32 | 2-methylthio-<br>4H-1,3,2-<br>benzodioxa-<br>phosphorin-<br>2-oxide | O P SCH'                             | OH  CH <sub>1</sub> -O  P  OR  NR <sub>1</sub> OH  CH <sub>2</sub> OP  OR  CH <sub>3</sub> CH <sub>3</sub> | + |   |                      | 85)       |

#### unidoylphosphates

34 di-
$$p$$
-tolyl-carbodiimide  $H_3C$   $\longrightarrow$   $N=C=N$   $\longrightarrow$   $CH_3$  compare no. 33  $+$  (258)

| Table 2. | l (continued) |
|----------|---------------|
|----------|---------------|

| No. | Phosphoryl-<br>ating agent  | Structurai formula  | Postulated primary activated intermediate   |                | to the synt<br>nucleotide-<br>poly-<br>phosphates<br>coenzymes<br>etc. | inter-<br>nucleotide | Reference |
|-----|---|---|---|----------------|--|----------------------|-----------|
| 37  | phosgene +<br>dimethyl-<br>formamide                              | $\begin{bmatrix} H_1C & \oplus \\ H_1C & N = C \\ \end{bmatrix} CI$ | $- \stackrel{\text{II}}{\stackrel{\text{P}}{=}} - O - \stackrel{\text{II}}{\stackrel{\text{P}}{=}} = \stackrel{\text{P}}{\stackrel{\text{R}}{=}} \stackrel{\text{R}}{\stackrel{\text{R}}{=}} $ $CI^{-}$ | + .            |  | + .                  | (61, 166) |
| i   | N-ethyl-<br>(methyl-)<br>5-phenyl-<br>soxazolium-<br>fluoroborate | (CH <sub>3</sub> )<br>O ** C <sub>2</sub> H <sub>3</sub><br>BF*     | $OH \qquad (CH)$ $-C = C - C = N - C_1H$ $+ O \parallel -$  | ) <sup>+</sup> |  | +                    | (62, 166) |
|     | richloro-<br>cetonitrile  | CI C - CN   | C1,C-C=NH   | +              |  | . (                  | 65, 258)  |

| 40 | benzyl-<br>hydrogen<br>phosphor-<br>amidate                          | H <sub>2</sub> N - P - OCH <sub>2</sub> |   |           | +   | + |   | (35)                   |
|----|--|---|---|-----------|-----|---|---|------------------------|
| 41 | phosphoro-<br>morpholi-<br>date                                      | ON-P-OR                                 | · |           | +   | + |   | (184, 252)             |
| 42 | phosphoryl-<br>imidazole-<br>phosphate +<br>carbonyl-<br>diimidazole | N-C-NN                                  |   | - p - N N | +   | + | + | (59, 246,<br>258, 324) |
|    | diimidazolyl-<br>phosphinic<br>acid and<br>derivatives               |   |   |           | _ + |   |   | (79, 258)              |

23

pyrophosphate (61), accessible via a reaction of an enol phosphate with a nucleoside (no. 16-19). F. CRAMER and coworkers have shown in several studies, that in contrast to synumetrical diesters of pyrophosphoric acid, which show a maximum of resonance stabilization, the triesters of pyrophosphoric acid are relatively unstable substances which easily react to transfer the monoester part to a nucleophile (Scheme 2.4) (61).

Scheme 2.4. Comparative reactivity of di- and triesters of pyrophosphoric acid

Scheme 2.5. Activation of a pyrophosphate by trichloroacetonitrile

This mechanism also explains the activation of symmetrically substituted pyrophosphates by condensing agents, such as trichloroacetonitrile (Scheme 2.5). In spite of these interesting mechanistic aspects pyroand polyphosphate reagents play only a minor role in nucleoside phosphorylations and, especially, in the stepwise synthesis of oligo-

Among the most widely used phosphorylating agents, however, are mixed anhydrides of phosphoric and sulfonic acids. p-Toluenesulfonyl chloride (no. 21) has long been known as a condensing agent, but it

abilusib OB -O~⊕d°4d (08Z) -lybnyqib + phosphine triphenyiphosphates + iodine (55,56) 2-CH3-CH3 -сіһуІ-іћіоnucleoside-Shydroxy-anilidate + Br<sub>2</sub> (978) phosphoro-pquinone-phosphate + Br<sub>2</sub> (58) παρλιολήσεοctc. coeuzymes spuoq phosphates -yloq References nucleotides nucleotide-

activated intermediate

Postulated primary

Table 2.1 (continued)

Application to the synthesis of

References. pp. 483-508

ating agent

No. Phosphoryl-

Structural formula

has the disadvantage that it can concurrently tosylate and thus block the alcohol reactant (258). In order to suppress this side reaction, sterically hindered sulfonylchloride reagents, such as mesitylene-sulfonylchloride (abbreviation: MS) (no. 22) (166) and triisopropylbenzeneby H. G. KHORANA and coworkers, and, are, at the moment, the most sulfonylchloride (abbreviation: TPS) (no. 23) (248) have been developed popular activating agents in polynucleotide chemistry. The mechanism of action of MS and TPS will be discussed later in this section, but it can be said in general, that MS is the "faster", TPS the more selective of the two reagents (248, 274). Recently two new variations have been described, namely trimethylbenzenesulfonylimidazole (no. 25) (20) respectively trimethylbenzene-1,2,4-triazolide (no. 25a) (176a) as an alternative to MS and poly-3,5-diethylstyrene-sulfonylchloride (no. 24) intermediate from mesitoylchloride (no. 20) and nucleotides (274) were anhydrides of carboxylic and phosphoric acids, such as the activated (362) as a polymeric condensing agent (see Section 4.2.4). Mixed found less suitable for internucleotide bond formation.

Another prominent group of activating agents, the activated esters (no. 26-31) (48, 61, 65, 258), have been of limited use in nucleotide and internucleotide bond synthesis. This is in contrast to peptide bond formation, where activated esters are of great use as stable and readily available reagents. On the basis of their structure all activated esters phates. Syntheses and reactions of enol phosphates, have been reviewed belong or can be related to a class of compounds called enol phosearlier by F. W. LICHTENTHALER (245). Enol phosphates possess an aldehyde or ketone which can easily be liberated as an excellent Jeaving group, when for instance a reagent such as a proton induces the activated ester residue because they contain a "quasi" electron shift shown in Fig. 2.6.

mediates. Insidoylphosphates are analogous to enol phosphates, but A slight modification of the enol phosphate concept leads us to an extremely useful class of activating agents, the imidoylphosphate inter-The C=N bond is much more easily protonated, e.g. by pyridinium the Y-Z system is a C=N double bond instead of a C=C double bond.

References, pp. 483-508

ions in a water-free pyridine medium. Protonation produces a very labile species which easily fragments with liberation of metaphosphate,

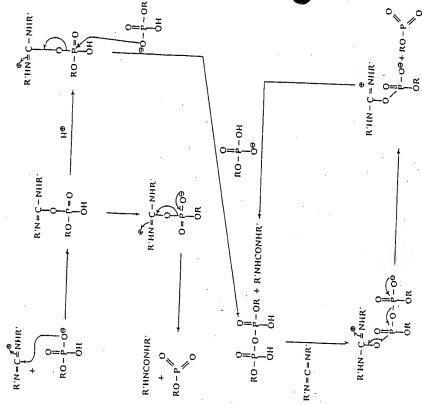
The most important of these reagents are the carbodiimides (no. 274) in particular has been a standard condensing agent in nucleotide as well as peptide chemistry for many years. Compared to the sulfonylchloride reagents discussed above, dicyclohexylcarbodiimide (abbreviation: DCC) has two disadvantages: in order to obtain good yields one needs significantly longer reaction times and the by-product, dicyclo-33-36), among which the dicyclohexylcarbodiimide (no. 33) (144, 258, hexylurea, is difficult to remove, since it is only slightly soluble in a few solvents. However, the carbodiimides are not very sensitive to water use water-soluble carbodiimides, e.g. no. 35 and 36 of Table 2.1, for around neutral pH, so it was possible to construct and successfully condensations in aqueous media (46, 72, 460). Other condensing agents, 62, 65, 166) have not been as successful in the stepwise synthesis of (65, 258) and also picryl chloride (no. 27) (65) have shown good results oligonucleotides, however, reagents such as trichloroacetonitrile (no. 39) designed to give imidoyl phosphate intermediates (no. 37-39) (61 in the polycondensation of nucleotides.

cleavage, a P-N bond is broken in the nucleophilic displacement of activated phosphoramidates (no. 40-43) (6, 35, 184, 258). Although the activation of these compounds proceeds by protonation similar to the Whereas all activations we have discussed so far proceed with P-Oimidoylphosphates, they are not as "energy-rich" as these latter derivatives, because the basicity of the nitrogen atom is reduced by pn-dnoverlap to the phosphorus atom as discussed earlier. The main range of application of the activated phosphoramidates, especially the phosphoromorpholidates, lies in the synthesis of nucleotide coenzymes.

A final group of compounds for phosphoryl transfer consists of reagents useful for oxidative phosphorylation. Oxidative phosphorylation thus quinol phosphates have also been tested for their ability to mediate is one of the basic phosphorylation processes of biological systems, and chemical phosphorylations. Although interesting from a mechanistir of major importance. In the case of the activating residues no. 44 and 45, the quinol phosphates were activated by bromine oxidation (35, 316). Through oxidation of the p-hydroxyanilidate residue (no. 45) a ever, the need for bromine oxidation precludes a more general use of standpoint, these studies have not yielded any phosphorylating agent. moderate yield of dTpdT could be obtained; thus this residue could, this method. Another example of an activation during blocking group be used as an activatable blocking group (see Section 1.4) (316). Howcleavage is the oxidative removal of the S-ethyl-phosphorothioate residue with iodine (no. 46; see also Section 1.4 and Scheme 1.6) (55).

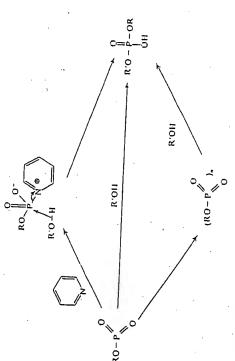
mechanisms for formation of a metaphosphate with sulfonyl chlorides

A similar "activated" phosphorothioate intermediate seems to be present in phosphorylations with 2-methylthio-4H-1,3,2-benzodioxa-phosphorinoxide (no. 32) (85), although in this case an ester rearrangement rather than a redox reaction produces the activated species. Another recent addition to the spectrum of phosphorylating agents is triphenylphosphine, which, in the presence of dipyridyldisulfide as oxidising agent, can form an intermediate activated phosphonium phosphate (no. 47) (280). Triphenylphosphine as activating agent for alcohols will be discussed in the following section.



Scheme 2.8. Possible pathways for formation of a metaphosphate with dicyclohexylcarbodiimide

it will most likely be trapped by the solvent pyridine to give a The same two alternatives are shown in Scheme 2.8 for dicyclohexylphosphoryl-pyridinium ion. This pyridinium complex (or the metacarbodiimide activation. Once the monomeric metaphosphate is formed, phosphate itself) could then react with all other nucleophiles present, namely metaphosphate to give oligo- or polyphosphate intermediates, the phosphoric acid starting compound to revert back to pyrophosphate or, finally an alcohol resp. nucleoside to give a phosphodiester linkage



Scheme 2.9. Possible pathways for conversion of a metaphosphate to a phosphodicster

A decision as to which of these different routes is preferred is still difficult. Recent studies by G. M. BLACKBURN and coworkers (22, 23, 24) showed, that no phosphodiester formation would occur, when the

References. pp. 483-508

Recent Advances in Polynucleotide Synthesis

preventing the formation of di- or polyphosphate intermediates. On the other hand, excellent yields of phosphotriester were obtained by phosphomonester component was linked to a polymer support, thus R. L. Letsinger and coworkers, when support-bound phosphodiesters (blocked nucleoside-monophosphates) were activated, in the presence Obviously, disterent mechanistic routes prevail with disterent phosphorylof a nucleoside, with TPS (not, however, with DCC) (230, 238). ating agents as well as with different reactants.

#### 2.2. Transfer of a Phosphate Group

to occur on activation of nucleoside hydroxyl groups. The phosphate Phosphate transfer to nucleosides or nucleotides has been observed transfer to activated hydroxyl groups proceeds by a nucleophilic attack group which is displaced, i.e. in most cases on C5'. The mechanism can be formulated as an Sn2-type, as shown in Fig. 2.10. Activation of the of phosphate or nucleotide on the carbon atom next to the hydroxyl

$$\begin{array}{c}
R - O - P - O H \\
X - O \\
H
\end{array}$$

$$\begin{array}{c}
K - O - P - O H \\
H
\end{array}$$

$$\begin{array}{c}
K - O - P - O H \\
H
\end{array}$$

$$\begin{array}{c}
K - O - P - O H \\
H
\end{array}$$

$$\begin{array}{c}
K - O - P - O H \\
H
\end{array}$$

$$\begin{array}{c}
K - O - P - O H \\
H
\end{array}$$

Fig. 2.10

hydroxyl group must significantly lower the electron density on the carbon atom in question, thus facilitating nucleophilic attack, and must also transform the alcohol group into a good leaving group. This has been achieved by O. MITSUNOBU and coworkers (263) by activation with triphenylphosphine and azodicarboxylate, a triphenylphosphonium derivative of the nucleoside being the presumed intermediate. E. W. HAEFFNER (122) has reported, alcohol activation as the in small yield, in the formation of the dinucleoside phosphate dTpdT; result of mesylation which results in nucleoside phosphorylation and even, however, this could be done only at elevated temperature. A similar

A series of investigations has dealt with anionic attack of phosphates and nucleotides on cyclic anhydro nucleosides. The formation of an ether or sulfide bridge between C<sup>5</sup> or C<sup>3</sup> and C<sup>2</sup> or C<sup>8</sup> of a pyrimidine resp. purine base makes these carbon atoms of the sugaring susceptible to nucleophilic attack (Scheme 2.11). This has been

Scheme 2.11. Internuclegiide bond formation with anhydro nucleosides

used for the formation of several dinucleoside phosphates (1, 269, 270, 283, 427, 428, 478). Generally the yields are moderate even at higher emperatures. To obtain a good yield of rCprA from 0², C³'-anhydrophosphoric acid - benzoic acid anhydride (269, 270). Of course, the formation of natural 3'-5'-internucleotide linkages implies that the reaction proceeds with complete inversion at C³', and this was not

## 2.3. Miscellaneous Chemical Phosphorylation Reactions

This section describes several approaches, which are either mechanistically completely different from the ones reviewed in Sections 2.1 and 2.2 or not yet well enough understood to allow an unequivocal classification.

Recent Advances in Polynucleotide Synthesis

The phosphorylation methods mainly to be dealt with are

I. phosphorylations involving oxidation of an intermediate phosphorous acid ester of a nucleoside,

2. so-called "thermal" phosphorylations, and

3. phosphorylations under possible prebiotic conditions, involving prebiotic phosphorylating agents", mineral surfaces etc.

Different reasons have led to these investigations. The oxidation of nucleoside phosphites has since long been known as a good method for not sensitive to oxidising agents; however, there seems to be no for introduction of the phosphate woiety into nucleosides which are significant advantage as compared with more straightforward methods for introducing the phosphoryl moiety such as the use of DCC or inorganic phosphate with no need for protection or activation could mixture of isomers results and the yields of a single product cannot be an approach unsurpassed in its simplicity; however, as long as a significantly improved, the main value lies in a one-step synthesis of labelled nucleotides. Phosphorylations on mineral surfaces, finally, have prebiotic formation of nucleotides. None of these three methods is as yet of any importance in oligonucleotide synthesis.

# 2.3.1. Phosphorylation by Oxidation of Nucleoside Phosphites

The phosphorylation of alcohols and amines by a mixture of tetrachloromethane and phosphorous acid dialkyl esters was described as early as 1945 by Lord Todd and coworkers. It was demonstrated that a diesterphosphorochloridate is formed as intermediate (35, 258). Nucleoside phosphorylations were similarly done with O-benzylphosphorous-O-diphenylphosphoric anhydride, a reagent prepared from

Scheme 2.12. Preparation of an alkyl-benzyl-phosphorochloridate

diphenylphosphorochloridate and monobenzyl phosphite (35). Alcohols ponent of the anhydride to give the alkyl benzyl phosphite, which is react with this reagent by nucleophilic attack at the less acidic comchloridate. This can either be hydrolyzed to the corresponding phosphate In other cases the phosphite residue was introduced by reaction with phosphorus trichloride (469, 473), phosphorous acid + DCC (35) or then chlorinated with N-chlorosuccinimide to the alkyl benzyl phosphoroor used directly as an activated nucleotide derivative (Scheme 2.12). trichloromethane phosphonic acid dialkylester (158).

#### 2.3.2. "Thermal" Phosphorylation

organic phosphate was transferred to nucleosides without introduction of activating groups into any of the reaction partners. Since this Since 1965 several investigations have been published, in which incould be done in most cases only at relatively high temperature, this approach has been named "thermal" phosphorylation (164, 275, 276, 277, 278, 345). Typically, a nucleoside and phosphoric acid (often applied as the tri-n-butylammonium salt) are heated at reflux in dry mixture of products being separated according to charge. Other sources dimethylformamide for several hours, the resulting generally complex of phosphate can be used, such as pyrophosphoric acid or the nucleotides themselves. In an example of the latter case, studied by T. UEDA and I. KAWAI (452), S'-AMP was refluxed in DMF to give predominantly adenosine and adenosine-2', 3'-cyclic phosphate.

studied by O. Pongs and P. O. P. Ts'o (339, 340). The reaction is A thermal phosphorylation resulting in the conversion of nucleotides into a homologous mixture of oligo- and polynucleotides has been 4(5-)propionic acid, triethylamine hydrochloride or other proton done in refluxing dimethylformamide with catalysis by \( \beta\)-imidazolyldonators. The polycondensations were achieved with unblocked nucleotides. In contrast to earlier experiments of H. Schramm and coworkers (258) on the polyphosphate-catalyzed polycondensation of unblocked nucleotides the products in this case were shown to contain nearly 95% of 3'-5'-phosphodiester linkages. Unfortunately, neither the yields nor the stereochemical purity of the product are as yet sufficiently high to make this very simple approach preparatively workable.

a plausible intermediate (see Section 2.1) (452). Thus, although the by dimethylformanide, but an activated pyrophosphate could also be All these cases of "thermal" introduction or migration of phosphate residues have been attributed to intermediate activation of phosphate overall reaction would suggest phosphate attack, the moiety which really is transferred seems to be a phosphoryl group. However, as the

References, pp. 483-508

Recent Advances in Polynneleotide Synthesis

mechanistic aspects await further clarification, we have preferred to describe this approach in the present section. It should be added, that this reaction need not necessarily be a thermal one, since it has been shown that the reaction of inorganic phosphate with nucleosides proceeds very well at room temperature with predominant formation of S'-nucleotides, if formamide is used as reaction medium (333).

#### 2.3.3. Prebiotic Phosphorylations

For several years an increasing number of studies has been devoted to shedding light on the manner in which nucleic acid components could have been formed under primitive earth conditions. Of disferent from those valid for preparative organic chemistry. The main course, the considerations governing work of this type are completely might have been present in reasonable quantity and close contact in are not as important as in preparative reactions, since the time at the disposal of nature to accumulate a certain product is incomparably longer than the observation time of a laboratory. Since this review is il may suffice to retrace two lines of development in the field of question is: "Is it possible that all the assumed reaction partner. a primitive earth environment." Yields of products, on the other hand, concerned primarily with preparative aspects of polynucleotide chemistry, prebiotic phosphorylation.

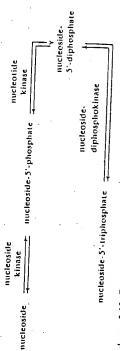
Most probably inorganic phosphates must have been the source of phosphoric acid and nucleosides or sugars the phosphate acceptors in such reactions. The working hypotheses differ in answers to the question how phosphorylation could have been mediated. One line of work is based on the assumption, that primitive oceans could have contained "prebiotic" phosphorylating agents such as dicyanogen, malonitrile or acrylonitrile, all of which have been demonstrated to allow D-ribose (126, 367). A second line of development has attempted to the formation of nucleotides or sugar phosphates from nucleosides and mineral surfaces. The mineral surface may act merely as a catalyst, but especially high yields of nucleotides have been obtained when the mineral present was a phosphate donor, such as hydroxylapatite, and when urea and ammonium chloride were added as adjuvants (250, 302, demonstrate that nucleotides could have been formed in contact wit'

#### 2.4. Enzymic Phosphorylation

duction and transfer of a phosphate moiety. Kinases catalyze the Kinases and phosphorylases are biological catalysts for the introRecent Advances in Polynucleotide Synthesis

phosphate addition to a biological intermediate, phosphorylases the phosphorolytic cleavage of such compounds.

The conversion of nucleosides to nucleotides catalyzed by nucleoside kinases was found to be an intermediate step in the metabolic pathway Scheme 2.13 (331). The overall reaction, for the example of thymidine leading from nucleosides to nucleoside triphosphates according to



Scheme 2.13. Enzymatic conversion of nucleosides to nucleoside triphosphates

deoxythymidine-5'-phosphate + nucleoside diphosphate deoxythymidine kinase deoxythymidine + nucleoside triphosphate

kinase, is shown in Scheme 2.14. Kinases are known for several of the common nucleosides and three species, namely adenosine- (204), thymidine- (320, 321) and deoxycytidine (273) kinase have been purified from  $\it E.~coli$ , calf thymus and other sources. Substrat specificity was relatively cytidine kinase could phosphorylate also ara-cytidine, deoxyadenosine whereby mostly the end product of the metabolic chain, i.e. the respective high in the case of adenosine- and thymidine kinase, whereas deoxyand deoxyguanosine. Nucleoside triphosphates act as phosphate donors, triphosphate of the preferred substrate, is a strong inhibitor. The products are specifically 5'-nucleotides.

and animal tissues by E. Chargaff (36), who recently succeded in purification of an enzyme from  $E.\ coli$ , which transfers phosphate from A "low-energy" phosphate transfer has been demonstrated for plant low-energy organic donors to nucleosides, nucleoside-5'-phosphates and deoxynucleoside-5'-triphosphates. With the exception of adenosine, nucleotides. Thymidine and its derivatives are the best acceptors of phosphate groups. The fact that nucleoside-2'(3')-phosphates are the the nucleosides are converted almost exclusively into 2'- and 3'main products suggests a regulatory role for this enzyme rather than an

References, pp. 483-508

phosphotransferase from carrot was similarly used to prepare a variety of S'-nucleotides and analogs for DNA polymerase binding studies mesophilus has been described as a preparative approach to guanylic (129). A low-energy phosphate transfer catalyzed by cells of Pseudomonas involvement in the biosynthesis of nucleoside polyphosphates. A

and inosinic acid in a Japanese patent (267).

Enzyme preparations from cells of B. anmoniagenes have been tides (93, 284, 285, 301). The enzyme catalyzes the transfer of a phosphoribosyl group to nucleobases. Uracil is converted nearly quantitatively into 5'-uridylic acid. Phosphoribosylation of adenine takes place with lower efficiency, whereas guanine and cytosine are used in several studies, especially in the synthesis of labelled nucleopractically not phosphoribosylated at all. Labelled orotidine-5' phosphate was also prepared.

reacted nucleosides with guanosine-2',3'-cyclic phosphate under catalysis by T<sub>1</sub> RNase (compare Section 5.3). Subsequent cleavage of the A different approach to enzymatic phosphorylation of nucleosides has been described by A. HoLY and G. Kowollik (156). The authors internucleotide linkage by snake venom phosphodiesterase transfers the phosphate residue to the 5'-position of the starting nucleoside. Although the yields did not exceed 30%, the method may be interesting as an extremely mild procedure for the phosphorylation of very labile nucleoside analogs. Also this method, like other enzymatic phosphorylations of nucleosides, has the general advantage, that specific substitutions can be obtained with unblocked starting compounds.

The enzyme polynucleotide kinase, which transfers orthophosphate from ATP to polynucleotides, oligonucleotides and even nucleoside-3'-phosphates, has been isolated from T4-bacteriophage infected E. coli by C. C. RICHARDSON (357). The overall reaction, as shown in Scheme 2.15, is a specific 5'-phosphorylation of the oligo- or poly-

nucleotide. Ribo-mono- and polynucleotides were equal as substrates to deoxy compounds. Mg++ and 2-mercaptoethanol are required. As an analytical tool this enzyme is of value in the specific labelling and analysis of the 5'-terminus of polynucleotides and in testing the specificity of exonucleases. It has been of even greater interest in the chemical synthesis of polynucleotides, since it allows the phosphorylation 题为

ligase (see Section 4.1.1) (6, 188). The in vivo role of this enzyme is not quite clear. Since it does not accept nucleosides its action is different from that of the above-mentioned nucleoside kinases. The of oligonucleotide fragments, which can then be joined by polynucleotide possibility of an in vivo production and condensation of activated oligonucleotide fragments has been suggested.

This is done by the sequence of enzymatic and chemical reactions shown Complementary to the use of polynucleotide kinase is a method described by H. Kösset and R. Roychouphury (208) for the specific addition of phosphate to the 3'-end of an oligodeoxynucleotide chain. in Scheme 2.16. This method is especially useful for attaching a radio-

| :                        | deoxyoligonucleotidyt. [44P]p A <sub>r</sub> (11) | deoxyoligonucleotidyt-[14P]p A, [14P]p A, (111) | + | Pyrophosphate |                     | P]p (IV)                                    |               | (٧) اواعنوا بارا                         |
|--------------------------|---|---|---|---------------|---------------------|---|---------------|--|
| deoxyoligonucleoride (1) | tidyl transferas                                  | [a-''P] ATP                                     | - |               | OH - 11 phosphatase | deoxyoligonucleotidyl (127Plp A (129Jp (1V) | riboadenosine | 10, and deoxyoligonucleotidyl-(12PJp (V) |
| Soals                    |   | (- <u>b)</u>                                    |   |               | = =                 | ≡   |               | =  |

Scheme 2.16. Phosphorylation method of Kösset and Roychouphury

ase digestion the label is transferred to the 3'-terminal unit of the actively-tabelled phosphate to the 3'-terminus. By spleen phosphodiestersequence, thus allowing an end group determination of polydeoxynucleo-

nucleotides or polynucleotides, such as nucleoside phosphorylases or polynucleotide phosphorylase, are of great value as analytical tools and/or, as instruments for the synthesis of oligo- and polynucleotides. the displacement of an internucleotidic linkage by inorganic phosphate is not a preparative approach to nucleoside polyphosphates, we can Enzymes which catalyze phosphorolytic cleavage of nucleosides, These aspects will be treated in detail in Section 5. Since, for example, abstain from discussing these enzymes in this section.

References, pp. 483—508

Recent Advances in Polynucleotide Synthesis

In concluding this section it should be emphasized that we have the point of view of their utility in the synthesis of oligo- or polynucleotides. Different considerations are valid, if one wishes to produce just mononucleotides. In this case - and this is just to briefly outline available nucleotides are made in this way. Chemical phosphorylation of nucleosides is an alternative, of interest mainly for obtaining blocked nucleotides or nucleotides with rare or modified bases and sugars. A third approach, namely the synthesis of nucleotides by fusion looked at chemical and enzymic phosphorylation reactions mainly from the possibilities - the workup of material from natural sources is still far the predominant route, and nearly all of the commercially of glycosyl halides with (silyl-) nucleobases has been described (11, 406), but is not a method of general use.

### 3. Separation Techniques

dures. The possible reduction of these steps to the products of the final reaction has been one main motivation for the efforts already several new separation techniques applicable to conventional, synthetic procedures could be developed for large scale preparations as well as nucleotidic mixtures, improvements could also be achieved by the suming steps in most, if not all, of the conventional synthetic proceinvested in polymer support synthesis. At the same time, however, development of new adsorbent types specific for certain functional for work on an analytical scale. In addition to the introduction of wellestablished absorbents as, for instance, Sephadex or Biogel for pol-Purification and characterization of products are major time congroups of protected or unprotected oligonucleotides.

Furthermore, progress could be made by introduction of new extraction of intermediates whereby time consuming column steps can solvent systems for elution or for chromatography, or by new comphilic protecting groups have been devised which allow the specific binations of already known solvent systems. Finally entirely new linobe avoided or simplified.

### 3.1. Column Procedures

# 3.1.1. Column Chromatography on Conventional Adsorbent Types

Application of Sephadex column chromatography for the preparative separation of oligonucleotide mixtures has been reported in several

studies (5, 39, 129, 286, 287, 288, 315, 318, 347, 400, 433, 454). This those of the highest chain lengths within a reaction mixture - will be eluted within the exclusion volume, whereby rapid isolation within method appears attractive as the desired synthetic products - usually a comparatively small elution volume is guaranteed. A further advantage consists in the low buffer concentration (usually triethylammonium bicarbonate) of the eluent which simplifies further work-up of the isolated compounds. Although successful separations of products 315), a prerequisite to satisfactory separation seems to be the condition that the compounds to be separated differ maximally from one to another in size (288, 347). Even if this is achieved by adjusting the synthetic plan so that approximate doubling of the chain lengths occurs resulting from single nucleotide additions have been reported (287, 288, during any of the reaction steps, additional complications may arise from other factors. Thus, with protected nucleotides retardation has been (347), and this order is reflected in the elution patterns of derived oligoobserved to increase in the following order: pT < dpbzA < dpanC < dpibuG mers. Conformational influences on the elution behaviour of oligonucleotides during Sephadex column chromatography have been documented by the successful separation of 2'-5' dinucleoside monophosphates from their 3'-5' isomers (433). The observation that certain nucleotide derivatives - notably d-panC and its relatives are eluted in two peaks may also reflect conformational influence (347). In spite of all these possible complications, the gel permeation technique at least in selected cases seems to compare favourably with the more oligonucleotides and several cases have been reported where the products time-consuming DEAE-cellulose column chromatography of protected isolated by Sephadex column chromatography were sufficiently pure for further condensation steps (287, 288, 315). Gel permeation techniques on Sephadex or Biogel have found especially widespread application for the separation of unprotected oligo- (144, 221, 433) and polynucleotides (2, 39, 129, 130, 195, 399, 400, 402, 446, 454). Particularly suitable for this technique seem to be product mixtures resulting from polynucleotide ligase catalized reactions as on the one hand conventional DEAE cellulose column chromatography does not provide the necessary resolution power for chain lengths in the range of 20 and more nucleotides and as on the other hand the prerequisite of a relatively large difference in the chain lenghts between the fragments to be coupled and the products is always fulfilled.

DEAE-cellulose column chromatography in spite of its drawbacks is still the technique most widely used for the separation of protected oligonucleotides (5, 37, 38, 40, 218a, 219, 315, 341, 457). As the absorption is largely governed by ionic forces, the elution order of a

polymeric mixture primarily reflects the number of negative charges of the various components. This therefore results essentially in separation according to chain length. Complications however, arise from an additional retardation order (T < dpbzA < dpanC < dpibuG) which is obviously due to nonionic interaction and which is reflected also in the elution patterns of derived oligomers. A similar interaction seems imposed on the saft gradients can be applied in order to selectively of salt gradients containing the more hydrophilic methanol effect complete absorption of monomethoxytrityl protected components even in the using two successive salt gradients with methanol and ethanol on the to be even stronger with compounds containing the highly lipophilic retard monomethoxytrityl containing products (37, 40, 205, 457), use presence of high salt. Based on this observation a simple technique monomethoxytrityl group at the 5'-end. While ethanol gradients supersame column was devised for the separation of 5'-monomethoxytrity, and the desired product) from the remaining products of a block containing oligomers (in the deoxy series usually the starting block condensation mixture (379, 380).

resolution power for protected oligoners of higher chain length. In this range (ten nucleotides and longer) it is therefore necessary to increase the chain length by several nucleotide units at a time (block condensation) in order to obtain satisfactory resolution. On the other hand, analytical DEAE-cellulose columns in the presence of 7 M urea, have nique which requires in addition the complete deprotection of the One major drawback of DEAE cellulose consists in its reduced proven to be useful tools for the characterization or final purification especially as the standard paper chromatographic procedures also are 219, 315, 457), the disadvantage of this time-consuming column techof unprotected oligomers in the chain length range of 8 to 20, severely limited in this size range. As evidenced by its routine use in were applied, use of acidic ammonium formate (pH 3.5) seems also recent work on the synthesis of the tRNA-Ala-Gene (37, 38, 40, 218a, oligomers to be characterized, seems to be fully counterbalanced by ts high resolution power. While in most cases neutral salt gradienti to be possible even in the case of purine-containing deoxyoligonucleotides

A promising technique for the rapid analytical separation of unprotected or fully protected oligonucleotide mixtures appears to be high pressure liquid chromatography on a pellicular weak anion exchanger consisting of a polymeric aliphatic amine (131). The time necessary for separation of one optical density unit of a condensation mixture was reported to be less than 30 minutes.

Recent Advances in Polynucleutide Synthesis

# 3.1.2. Column Chromatography on Newly Developed Adsorbent Types

The nonionic interaction between the highly lipophilic 5'-O-proif the cellulose matrix itself is modified by naphthoylation (4, 5) or by product). After elution of the nontritylated components of a given methoxytrityl-containing compound mixtures which are usually comtritylation (6, 41). Tritylated cellulose seems to be an especially powerful lool for the selective adsorbance of monomethoxytrityl-containing elution of the trityl-containing components is effected simply by a switch to higher alcohol concentration in the eluent. More recently this ing groups such as the 2-S-naphthylmercaptoethyl group (7). The fact that only low salt concentrations are necessary in the eluents simplifies further workup of the compounds isolated by this procedure. The fractionation of the mixtures containing the tritylated oligonucleotidic lecting monomethoxytrityl group and cellulose is strongly increased compounds (in the deoxy series usually the starting block and the desired condensation mixture in the presence of low alcohol concentration, separation principle has been extended to other lipophilic 5'-O-protectto some extent by the requirement for further fractionation of the monogeneral scheme for selective blocking of the 3'-hydroxyl group of which would allow further condensation steps at the 3'-hydroxyl group of the desired products exclusively (6); as a consequence further elegance of this technique appears, however, to be counterbalanced unreacted starting material by naphthylisocarbamoyl has been proposed posed of the unreacted starting block and of the desired product. A products could be avoided.

Increased affinity of oligonucleotidic compounds containing aromatic (259, 289, 290, 290a). Specific aromatic 5'-phosphate protecting groups protecting groups is also observed with benzoylated DEAE-Sephadex such as benzhydracrylamidyl or 2-phenylmercaptoethyl cause adsorption of the respective nucleotide derivatives in the absence of alcohol even at high salt concentrations. After elution of the components free of aromatic 5'-phosphate protecting groups in the presence of aqueous salt gradients, isolation of the 5'-phosphate protected derivatives is achieved by addition of 50% ethanol to the eluent. Maintenance of high salt concentrations is, however, also necessary for effective elution. As in the case of trityl cellulose, this ethanolic fraction (containing the desired product and one of the unutilized condensation components) has to be further fractionated, and separation of the two main components (and other minor side products) could be achieved by Sephadex column chromatography (290a). In view of the two column steps necessary for effective purification of the final product, the usefulness of this technique seems limited.

References, pp. 483-508

Cellulose and polymethacrylic acid gels, to which dihydroxyboryl groups had been attached covalently, have been described as column of ribonucleotidic derivatives (377, 381, 460). Thus, due to complex specific adsorption of ribonucleosides, of 5'-ribonucleotides, of ribooligonucleotides lacking a 3'-phosphate group, of 3'-ribonucleoside terminated oligodeoxynucleotides and of free tRNA is observed, whereas deoxytides with a 3'-terminal phosphate and aminoacylated tRNA are eluted within the void volume. The adsorbed compounds can subsequently be recovered from the column matrix by lowering the pH of the eluent buffer to neutrality. This technique has proved especially useful for chromatographic adsorbents, specific for the cis-diol group of a variety formation of the dihydroxyboryl groups of the respective matrices with the cis-diol group of ribonucleoside residues at slightly alkaline p.I., nucleosides, deoxynucleotides, deoxyoligonucleotides, ribooligonucleo-(pT), and (pT),-1pU, where the lack of differences in size or in net the preparative separation of mixtures containing the component. charge does not allow separation by any of the other conventional tech-

### 3.2. Extraction Procedures

pyridinium or triethylammonium cations. More recently this extraction lected dinucleoside monophosphates from a 5'-trityl protected nucleoside to depend on the presence of the lipophilic 5'-O-trityl protecting group and on the presence of lipophilic counterions of the product such as principle could successfully be extended to the separation of r nucleoside tetraphosphate (38, 40, 315). In order to eploit extraction containing 5'-terminal phosphate groups lipophilic protecting groups containing one or more aromatic rings have been developed for the the protected dinucleoside monophosphate can be separated from the unreacted mononucleotide derivative by extraction with chloroform. The extractability of the protected dinucleoside monophosphate seems procedures also for the isolation of protected mono- and dinucleotides protection of 5'-phosphomonoester groups (3, 7, 176). Thus, synthesis and organic solvent extraction of all 16 possible deoxydinucleotides in methane was used for the amidation of the respective 5'-terminal After extraction of the unreacted nucleoside derivative by ethyl acetate, the protected form has been reported after p-aminophenyltriphenylphosphate groups (3). Introduction of the 2-S-phenylmercaptoethyl or The use of extraction procedures in the chemical synthesis of proand a protected nucleoside 5'-phosphate has been reported earlier (205). protected trinucleoside diphosphate and even of a protected penta

of the 2-S-naphthylmercaptoethyl group for the protection of S'-terminal phosphates seems also to allow selective solvent extraction of protected mononucleotide derivatives (7).

There seems to be no doubt that extraction procedures do simplify the total workup of condensation reactions in many cases and that therefore, whenever possible, extraction procedures should be used in order to avoid the more time-consuming column separation steps. Care is, however, necessary to make sure that products isolated by extraction procedures are pure enough for subsequent condensation steps. In addition, due to the detergent effect of protected oligonucleotides, it is sometimes difficult to separate the two solvent layers after thorough mixing and the resulting emulsions are occasionally stable enough to resist even prolonged centrifugation at maximum speed.

## 3.3. Miscellaneous Techniques

The use of thin layer chromatography for the separation of oligonucleotides has been reviewed recently (346). In the meantime a few additional systems for the anylytical separation of oligomeric mixtures have been published (3, 5, 37, 123, 219, 223, 260, 291, 293, 318, 457). In the triester approach (see below) extensive use has been made of preparative as well as analytical thin layer chromatography (42, 76, 78, 236, 300, 350, 418, 419) and of short column chromatography (73). The latter technique seems especially useful for the rapid separation and purification of large quantities of protected oligonucleotides.

Synthetic homooligomers from the series d(pT)<sub>n</sub>, d(pA)<sub>n</sub> and d(pC)<sub>n</sub> and a number of oligomers of varying base composition have been characterized by analytical ultracentrifugation (251). Though this method would in principle allow also separation of oligomers from each other, its application seems to be useful mainly for the chain length characterization of oligomers.

# 4. Formation of Internucleotide Linkages by Chemical Synthesis

### 4.1. Conventional Methods

## 4.1.1. Synthesis in the Deaxy Series

# 4.1.1.1. Synthesis via Phosphodiester Intermediates

During the past ten years chemical synthesis of deoxyribopolynucleo-tides up to a chain length of twenty nucleotide units has become

References, pp. 453-508

Recent Advances in Polynucleotide Synthesis

feasible owing to the pioneering work of Khorana and co-workers (185, 186, 187, 188, 319). The crucial steps, the formation of the phosphodiester linkages, are achieved by successive condensations of the 5'-phosphononoester group of nucleotidic components (1; Scheme 4.1) with the 3'-hydroxyl of the respective nucleosidic components (2; Scheme 4.1). While dicyclohexylearbodiimide (DCC) has been used as condensing agent in most of the earlier work, its application has now become limited more or less to the preparation of protected dinucleoside monophosphates, as in contrast to the aromatic sulfonyl chlorides no anionic products are introduced by DCC during the course of the

Scheme 4.1. Formation of internucleotide linkages in the deoxy series via phosphodiester intermediates

Recent Advances in Polynucleotide Synthesis

cellulose column chromatography as the latter also possess one from protected dinucleoside monophosphates by conventional DEAE reaction (monovalent anions such as chloride and arylsulfonates produced by hydrolysis of aromatic sulfonylchlorides would not be separable negative net charge). Although DCC in principle seems an effective condensing agent for the preparation of longer chains (207) also, there are major drawbacks because of the required longer reaction times basic amines in the reaction mixtures. Therefore preferential use has and the necessity for complete absence of even traces of strongly chloride (MS) and triisopropyl sulfonyl chloride (TPS) as condensing been made of aromatic sulfonyl chlorides such as mesitylene sulfonyl agents for the synthesis of higher oligonucleotides (166, 248)

Production of relatively large amounts of the monoanions chloride and arylsulfonate is the only possible problem arising from the use however, separation of the nucleotide products from these monoanions is readily achieved by preparative DEAE cellulose column chromatography and even in the case of protected dinucleoside monophosphates where of aromatic sulfonyl chlorides as condensing agents. In most cases, separation on DEAE cellulose would not be possible, extraction procedures can be applied (see above).

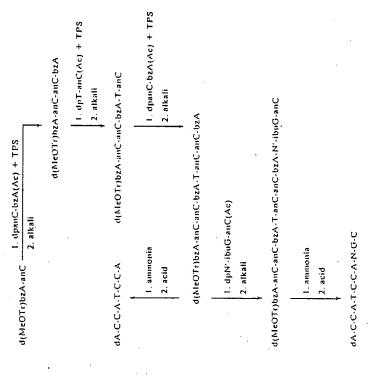
The solvent routinely used for chemical condensation reactions is dry by evaporation under mild conditions) with solvent power generally satisfactory for even longer chains of protected oligonucleotides. The use of other solvents such as lutidine and hexamethylphosphotriamide has been reported (207) but no apparent advantage over the more volatile pyridine. This solvent combines suitable volatility (for ready removal pyridine was observed.

In most of the work classical acyl protecting groups for the amino functions of the base residues have been used (anisoyl for cytosine, benzoyl for adenine, acetyl or isobutyryl for guanine) for both condeusation components (185, 186, 187, 188).

component (1, Scheme 4.1) is necessary to prevent self-condensation of the latter. The 5'-hydroxyl group of the nucleosidic component Protection by acetylation of the 3'-hydroxyl group of the nucleotidic 2, Scheme 4.1) is protected by the acid-labile monomethoxytrityl residue. If the 3'-hydroxyl-containing component itself carries a phosphomonoester group at its 5'-end, protection against self-condensation is also after each condensation step by strong alkali treatment. More necessary. This can be achieved by esterification with excess of \(\beta\)-cyanoethanol; the resulting \(\beta\)-cyanoethyl group can be removed selectively recently a variety of other protecting groups for the masking of terminal phosphate residues has been introduced to permit selective removal without cleavage of the N-acyl groups which protect the bases. From

References, pp. 483-508

this, two general routes have been developed for the synthesis of longer oligonucleotide chains in the deoxy-series: the first approach, outlined in Scheme 4.2, for the synthesis of an undecamer (379) consists in repetitive condensation steps at the 3'-end of a growing chain containing a protected 5'-hydroxyl group. This approach, already developed earlier for polynucleotide synthesis in relation to the genetic code (186, 187), has been used extensively in more recent work dealing with the synthesis of various gene segments including two complete sets corresponding to tRNA genes (Table 4.1). Virtually throughout all this work the acid labile monomethoxytrityl group has been used for the 5'-hydroxyl protection. While the 5'-end of the growing chain remains blocked by this group throughout the entire reaction sequence (except for the very last deprotection step), the 3'-terminal O-acetyl groups, still present at the extended chains immediately after each condensation step, are selectively removed by alkali treatment before every subsequent condensation step is carrie



Scheme 4.2. Synthesis of the octanucleotide dA-C-C-A-T-C-C-A and of the undecanucleotides dA-C-C-A-T-C-C-A-N-G-C

Recent Advances in Polynucleotide Synthesis

out. Thus, an overall growth direction from the lest (5'-end) to the right (3'-end) is the result. After final deprotection by ammonia and acid treatment products without 5'-terminal phosphate residues are isolated.

In contrast to this, the second general route leads to the synthesis of 5'-terminal phosphate containing nucleotide chains. As outlined in Scheme 4.3 protection of the 5'-terminal phosphate group present in the 3'-hydroxyl bearing components is then necessary. In many cases

B, B, = T, Ab, Can, Gac, Gibu

Scheme 4.3. Synthesis of 5'-terminal phosphate containing nucleotide chains in the deoxy

the one outlined in Scheme 4.3 has become almost routine (see reactions and the preparation of "blocks" by reactions analogous to O-acetyl groups after each condensation step. Thus, cyanoethylation the cyanoethyl group has served as phosphate protecting group in such references in Table 4.1). A major disadvantage of the cyanoethyl (and of the 5'-terminal phosphate residues has to be carried out before of other alkali-labile) phosphate protecting group is that it is removed by the alkali treatment necessary for the hydrolysis of the 3'-terminal every subsequent reaction step. In order to eliminate this complication, a number of alkali stable phosphate protecting groups has been developed more recently, which (like the 5'-O-trityl group) can remain at the 5'-phosphate group throughout the entire reaction sequences necessary to build up the longer nucleotide chains (see foregoing only for the preparation of oligonucleotide blocks (3, 7, 55, 56, 176, chapter on protecting groups). This approach seems to be useful not 290a, 312), as the synthesis of comparatively long chains has also been

References, pp. 483—508

reported Thus, by using the phosphothioethyl group three dodecaing to fragments of a DNA coding for a derivative of S-peptide of ribonuclease A could be synthesized (58, 129, 146, 341) in addition to a dodecanucleotide sequence constituting the 5'-terminus of the r-strand of  $\lambda$ -phage DNA (145) (see Table 4.1). In analogous reaction sequences but using the phenylmercaptoethyl group for the protection of the 5'-terminal phosphate, the synthesis of two deoxyribopolynucleotide nucleotides and one tridecanucleotide of specific sequences correspondcontain a natural sequence of the phage T4 lysozyme gene (291) (see fragments of chain lenghts nine and twelve has been reported which Table 4.1).

Table 4.1. Oligodeoxymucleotides of Specific Sequence Symthesized Chemically by the Phosphodiester Method\*

| No.      | Sequence   | Resp. Gene            | References |
|----------|--|-----------------------|------------|
|          | <u>T-Q-Q-T-G-Q-A-C-Q-A-G-T</u>   | IRNA Ala              | (315)      |
| 7        | P C-C-A-C-C-A  | (RNA VESS)            | (315)      |
| 9        | C-C-G-G-A-C-T-C-G-T  | IRNA Als              | (219a)     |
| 4        | C-C-G-G-V-V-T-C  | IRNA Ala              | (219a)     |
| ٠.       | C-C-G-G-T-T-C-G-A-T-I  | LRNA Ala              | (219a)     |
| 9        | G-A-A-C-C-G-G-A-G-A-C-T-C-T-C-C-C-A-T-G  | tRNA <sub>yesst</sub> | (457)      |
| 7        | p A-G-A-G-T-C-T  | IRNA Als              | (219)      |
| œ        | G-C-T-C-C-T-T-A-G-C-A-T-G-G-G-A-G-A-G (RNA)  | (RNA Ala              | (37)       |
| 6        | C-T-A-A-G  | tRNA Ma               | (517)      |
| 0        | G-G-A-G-C-G-C-T  | (RNA)east             | (3)        |
| =        | T-C-G-G-T-A-G-C-G-C  | IRNA yeast            | (40)       |
| 12       | A-C-C-G-A-C-T-A-C-G  | tRNA yeast            | (40)       |
| 13       | Ţ-Ğ-Ğ-C-Ğ-C-Ğ-T-V-Ğ  | (RNA Ala              | (40)       |
| <u> </u> | <u>C-G-C-V-V-C-A-C-G-C-C-C-C-C-C-C-C-C-C-C-C-C-C-C-C</u>   | (RNA yeast            | (38)       |
| 13       | 0-C-C-C-C-C-G  | IRNA Ala              | (38)       |
| 91       | <u>C-T-A-C-C-G-A-C-T-A-C-G</u>   | tRNA Als              | . (5)      |
| 11       | C-T-A-A-G-G-G-A-G  | tRNA venst            | (219)      |
| 82       | T-C-T-C-C-G-G-T-T  | 1RNA yessi            | (219)      |
| 6        | C-G-A-G  | IRNAE. coli           | (061)      |
| •        | The blooks would be the distance of the termination |                       |            |

• The blocks used are indicated by underlining of the respective partial sequences. As chain growth occurs always from left to right, the sequences of the intermediates can TGGTG, TGGTGGA, and TGGTGGACG in the protected form are also be deduced. Thus, for the synthesis of I, the oligonucleotides TG, TGG, TGGT, intermediates whereas for the synthesis of 2 the intermediates pCC and pCCA in the protected form are derived.

#### Table 4.1 (continued)

| İ        | Table 4.1 (continued)                    |                     |            |   |
|----------|--|---------------------|------------|---|
| Z        | Sequence                                 | Resp. Gene          | References |   |
| 20       | C-C-C-A-C-C-A-C-A-A                      | D NI A IVE. 50      | 901        |   |
| 21       | T-C-G-A-A-T-C-C-T-T-C                    | The SAME COLI       | (130, 190) |   |
| 77       |  | UKNAE coli          | (188, 190) |   |
| 7 ;      | A-0-0-A-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0  | IRNAP Coli          | (188, 190) |   |
| 77       | 1-I-C-G-A-A-C-C-T                        | IRNAE coli          | (188, 190) |   |
| 24       | T-T-C-G-A-A-G-G-T                        | IRNAE. coli         | (188, 190) |   |
| 25       | C-G-T-C-A-T-C-G-A-C                      | URNAE coll          | (138, 190) | : |
| . 26     | C-T-A-A-T-C-T-G-C                        | IRNA E coli         |            | • |
| 27       | T-C-G-A-A-G-T-C-G-A                      | IRNAP Seli          | (188, 190) |   |
| 28       | T-G-A-C-G-G-C-A-G-A                      | IRNA Propi          | (188, 190) |   |
| 29       | T-T-T-A-G-A-G-T-C-T                      | IRNAP Soli          | (133, 190) |   |
| 9        | G-C-T-C-C-C-T-T-G                        | IRNAP.              | (188, 190) |   |
| 31       | G-C-C-G-C-T-C-G-G-A-A                    | (RNAE col           | (188, 190) |   |
| 32       | C-C-C-C-A-C-C-A-C-G-G                    | IRNAP.              | (180)      |   |
| 33       | G-A-G-C-A-G-A-C-T                        | CRNA27.5            | (188 100)  | • |
| 34       | C-G-G-C-C-A-A-G-G                        | RNA!W. SU           | (261,521)  |   |
| 35       | G-G-G-T-T-C-C-C-G-A-G                    | 10 NA (yr. 9)       | (021,001)  |   |
| 36       | G-G-T-G-G-G-G-T-T-C-C                    | INTAGE COL          | (1997)     |   |
| 37       | A-T-T-A-C-C-C-G-T                        | I P N A Dr. Su      | (061)      |   |
| 38       | A-G-T-A-A-A-G-C                          | IR NAM. 19          | (001)      |   |
| 33       | G-G-A-G-C-A-G-C-C                        | IRNAW, 30           | (001)      | ٠ |
| 40       | G-C-T-T-C-C-C-G-A-T-A-A-G                | RNAU                | (1001)     |   |
| ₹        | G-T-A-A-T-G-C-T-T                        | E NAV. Su           | (001)      | • |
| 47       | T-A-C-T-G-G-Ć-C-T                        | 100 SAN 200         | (041)      |   |
| 43       | G-C-T-C-C-T-T-A-T-C-G                    | 10 N A (yr, 30      | (001)      |   |
| 4        | G-G-A-A-G-C                              | COLVE COL           | (180)      | : |
| 45       |  | ie e                | (061)      |   |
| ?        | V-1-V-V-1-1-V-C-V-V-V-V-V-V-V-V-V-V-V-V- | Bovine<br>insuline  | (288)      |   |
| ¥        |  | chain A             |            |   |
| <b>4</b> | p A-I-I-I-I-C-C-A-A-T-T-G                |                     | (288)      |   |
|          |  | insuline<br>chain A |            |   |
| 47       | p A-T-A-C-A-A-C-T-A-C-A                  |                     | (288)      |   |
|          |  |                     |            |   |
| 91       | ;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;   | chain A             |            |   |
| £ 6      | p A-1-1-A-A-G-(-G-A-1-G-G                |                     | (162)      |   |
| : 3      |  | Te-Lysozyme (       | (167)      |   |
| 2        | P A-A-C-A-C-A-C-A-T                      | Pancreatic (        | (341)      |   |
|          |  | (S-peptide)         |            |   |
| Refer    | References, pp. 483—508                  |                     |            |   |
|          |  |                     |            |   |

Recent Advances in Polynucleotide Synthesis

| Pancreatic (58)<br>RNase A  | (3-peptide) Pancreatic (146) RNase A (S. pentide) | Pancreatic (146) RNase A | (3-p-prince) Paincreatic (129) RNase A (S-peptide) | Pancreatic (131) RNase A (S-peptide) | Sticky end (145) of phage $\lambda$ | Coatprotein, (379)<br>phage fd. | Coatprotein, (379)<br>phage fd. | Coat protein, (379)<br>phage fd. | Coat protein, (379)<br>phage fd. | Coat protein, (380)<br>phage fd. | Coat protein, (380)<br>phage (d. | Coat protein, (380)<br>phage fd. | Coat protein, (380)<br>phage fd. | Ribosomal (383)<br>binding site<br>of phage<br>\$\text{\psi} X174 | Endolysine (467)<br>of phage A | Ta-Lysozyme (467a)      | T4-Lysozyme (467a)        | T4-Lysozyme (467a)    | (30) | (20)            |  |
|-----------------------------|---|--------------------------|--|--------------------------------------|-------------------------------------|---------------------------------|---------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|---|--------------------------------|-------------------------|---------------------------|-----------------------|------|-----------------|--|
| p T-TLA-A-T-C-C-A-T-A-T-G-C | p T-G-C-T-A-A-T-T-T-G-A                           | p A-A-A-T-T-T-G-A-A-A    | p T-G-T-C-T-T-C-A-A-T                              | p T-T-A-G-C-A-G-C-C-G-C-A-G          | p A-G-G-T-C-G-C-C-G-C-C             | A-C-C-A-T-C-C-A-A-G-C           | <u>A-C-C-A-T-C-C-A-C-G-C</u>    | A-C-C-A-T-C-C-A-G-G-C            | A-C-C-A-T-C-C-A-T-G-C            | A-C-C-A-T-T-C-A-A-G-C            | <u>A-C-C-A-T-T-C-A-C-G-C</u>     | <u>A-C-C-A-T-T-C-A-G-G-C</u>     | A-C-C-A-T-T-C-A-T-G-C            | A-G-A-A-T-A-A-A-A   | C-A-G-T-T-T-G-A-G-C-A-T        | A-G-T-C-C-A-T-C-A-C-T-T | A-G-I-C-C-A-I-C-A-C-T-A-A | p C-C-A-A-A-C-C-A-A-A |      | p-Q-L-1-1-C-Q-1 |  |
| 15                          | 52  | 53                       | 54   | 55                                   | 56                                  | 57                              | 28                              | . 39                             | 09                               | 19                               | . 62                             | 63                               | 64                               |   | 99                             | 19                      | 89                        | 69                    | 0, 1 | =               |  |

synthetic work related to the genetic code (186, 187) this technique has been extended to the polymerization of preformed di-, tri-, and tetranucleotide blocks (Scheme 4.5; 286, 308). By this technique

Scheme 4.5

complete oligonucleotide series including members of comparatively large size are readily accessible within short time. The method, however, is generally limited to the synthesis of polynucleotides containing repeating nucleotide sequences (in most cases homopolymers).

More recently polycondensation has been carried out with a mixture of 5'-thymidylic acid and 2'.3'-O-dibenzoyl uridine-5'-phosphate which after ammonia treatment leads to a mixture of the series (pT), and (pT),pU, (381). As the components can be separated by a combination of conventional DEAE cellulose column chromatography and chromatography on a borate-containing matrix (359, 381), this approach seems generally applicable for the synthesis of 3'-ribonucleoside terminated homooligodeoxynucleotides. Recently polycondensation techniques have also been utilized for the synthesis of copolymers of specific sequence (396, 3980, 398b; see also section 1.4.2).

A characteristic feature of the condensation methods described in this chapter is that the phosphodiester functions, already present in the reaction components or formed during the course of the reaction, are left unprotected. This approach, generally called *diester approach*, introduces two main disadvantages: first, dialkyl phosphate anions are not chemically inert to the conditions necessary for synthesis and therefore side reactions such as pyrophosphate formation or cleavage of internucleotide bonds by pyridine can occur (166). These – and perhaps other – side reactions seem to be increasingly severe in the synthesis of longer chains as a substantial decrease in the general yields has quite regularly been encountered in the preparations of higher oligonucleo-

leferences, pp. 483-508

Recent Advances in Polynucleotide Synthesis

tides. Secondly, the partially protected intermediates are insoluble in organic solvents (with the exception of protected dinucleoside monophosphates and other shorter oligonucleotides carrying highly lipophilic protecting groups, see the section on protecting groups and on extraction methods) and it is therefore necessary to use laborious fractionation procedures such as DEAE cellulose chromatography to purify them after each condensation step. It seemed likely that both these disadvantages would be overcome if the internucleotidic linkages of the intermediates

Scheme 4.6. Formation of the internucleotide linkage in the deoxy series wa phosphotriester intermediates

Recent Advances in Polynucleotide Synthesis

were protected by further esterification to phosphotriesters and a number of studies have been reported on this so-called *triester approach* to be reviewed in the following chapter.

# 4.1.1.2. Synthesis via Phosphotriester Intermediates

As outlined in Scheme 4.6 the key intermediate (4) is produced either or by concomitant introduction of the protecting group with the component (1) is first reacted with \beta-cyanoethyl dihydrogen phosphate with a suitably protected 5'-hydroxyl derivative (5); alternatively the direct esterification of the monophosphate containing component phosphate residue. In the latter case a suitably protected 3'-hydroxyl (87, 235) or with phenyl dihydrogen phosphate (73) in the presence of can be used directly as activated phosphate components for the reaction densing agent. Approaches in which esterifications in all steps are achieved by a condensing agent [pathway  $(2) \rightarrow (4) \rightarrow (6)$  of Scheme In the deoxy series protection of internucleotidic phosphate by etacyanoethyl (87, 235, 236), trichlorethyl (42, 75, 76, 78, 94, 418), phenyl (73, 352, 358), and substituted phenyl groups (352, 358) has been reported. a condensing agent as TPS (Scheme 4.6). In other cases the 3'-terminal hydroxyl component (1) with trichlorethyl or phenyl phosphorodichloridate (75, 78, 352). The resulting 3'-phosphorochloridate derivatives (3) diester derivatives (4) are obtained. The internucleotidic linkage finally 5'-hydroxyl bearing components (5) in the presence of TPS as con-4.6] seem to be more favourable than earlier approaches in which diester residue is introduced by reaction of a suitably protected 3'chloride is removed by hydrolysis, whereby the desired 3'-terminal protected stochiometric amount for successive reactions with one 3'-hydroxyl and he respective aryl or alkyl phosphorodichloridates were used one 5'-hydroxyl component [Scheme 4.6; pathway (1) $\rightarrow$ (3) $\rightarrow$ (6)]. is formed by condensation of the latter with suitably

In order to allow repeated condensation steps for the synthesis of longer chains, protecting groups at the 3'- and 5'-termini have to be selected such that selective removal after each condensation step is possible.

Thus, when alkali-labile protecting groups are used at the one end, acid labile protecting groups have to be applied at the other end (42, 73, 75, 76, 78, 236, 352). Following this principle oligothymidylic acids could be synthesized by the stepwise (42, 76, 78, 236, 352) or blockwise (42, 73, 75, 78, 236) approach up to a chain length of eight by using components with protected hydroxyl functions at the respective 3'-and 5'-termini. While this approach has been used for the stepwise synthesis of dinucleoside unonophosphates and trinucleoside diphosphate

References, pp. 483—508

Scheme 4.7. Formation of the internucleotide linkage in the deoxy series via phosphotriester intermediates

Fortschritte d. Chem. org. Naturst. 32

approach in addition seems to allow block condensation with all four standard nucleotide derivatives (42). This is based on condensation of a nucleoside 3'-phosphate trichlorethyl ester, which carries an acid labile of a second nucleoside 3'-phosphate trichlorethyl ester, in which the phosphate carries an additional base-labile group (Scheme 4.7). The containing also base residues other than thymine (78, 236), a more recent blocking function on the 5'-hydroxyl, with the free 5'-hydroxyl group resulting fully protected dinucleotides can then selectively be deblocked at either the 5'- or the 3'-terminus by the use of acid or base and the resulting partially protected dinucleotides can be used in further phosphate at the mononucleotide stage, rather than before each subtetranucleotides containing all the four common bases could be condensation reactions. The masked phosphate as 3'-terminal protecting group offers the advantage of permitting the introduction of the sequent condensation. Using this approach a variety of di-, tri-, and synthesized in good yields. The tetranucleotides were prepared by block condensations from two dinucleotide units. In view of these encouraging results it seems desirable to extend this technique to the synthesis of longer chains containing specific sequences. Whether in this case no severe limitations arise from the acid sensitivity of the purine glycoside linkages (especially of d bzA residues containing oligonucleotides) or from other side reactions (see below) has yet to be tested.

A systematic study on the polycondensation using triesterintermediates has been reported in the oligothymidylic acid series (87). When 5.-O-monomethoxytrityl-thymidine 3'-[(β-cyanoethyl)phosphate] and thymidine 3'-[(β-cyanoethyl)phosphate] were reacted with aromatic sulfonyl chlorides for 12—14 days a mixture of oligothymidylic acids, the largest being the pentanucleotide, was obtained. It was demonstrated that the failure to yield longer chains is mainly due to the formation of C-pyridinium-thymidine nucleotides, a side reaction previously also observed in the diester approach (166). Owing to the longer reaction times necessary for the triester reactions this side reactions seem to be more severe in the triester approach. The formation of the C-pyridinium nucleotides could be avoided by using collidine as solvent. Production of longer oligonucleotide chains was, however, not observed either when collidine was used (87).

A careful study of the synthesis of the dTpT via the triester approach has shown that deprotection of the triester group by alkali treatment leads to isomerization of the internucleotide linkage to 5′—5′- and 3′—3′- derivatives if the 5′-terminal and/or 3′-terminal hydroxyl functions are free during the alkali treatment (282a, 358). Cyclic triesters seem to be the intermediates of this isomerization (Scheme 4.8) as evidenced from the fact that no isomerization is observed when the hydroxyl func-

tions are blocked by alkali resistant groups (358). Protection of the hydroxyl functions, for instance by tetrahydropyranylation (73), is therefore necessary before removal of the phosphate protecting aryl groups of oligonucleotides can be carried out by alkali treatment.

Scheme 4.8.Isomerization during alkali treatment of triesters

synthesis of a nonanucleotide in the riboseries (see below) by the In view of the progress reviewed here and in view of the reported triester approach, it seems not unlikely that it finally will allow synthesis of specific gene segments sufficiently long to permit joining reactions which are catalyzed by polynucleotide ligase. The triester approach seems particularly promising as the expectation that rapid graphy (see separation techniques) on a comparatively large scale was and effective purification of intermediates could be effected by silica gel column chromatography (232), by preparative thin layer chromatography (see separation techniques) or by short column chromatoapproach, sometimes do contain considerable amounts of side products of the side reactions encountered during both approaches, the question fulfilled. As, on the other hand, the products obtained by the triester least for the final products of longer reaction sequences. In the light is superior to the other as far as minimizing such products is conremains open which method - the diester or the triester approach -(73, 87) - even in the oligothymidylic acid series - purification by conventional DEAE cellulose chromatography seems to be necessary, at

## 4.1.2. Synthesis in the Ribo Series

Cheurical synthesis of ribopolynucleotides is complicated by the presence of 2'-hydroxyl groups, for which special protecting groups had to be introduced (see chapter of protecting groups). Since the 3'-

phosphomonoesters are more readily available and as the existence of a 3-phosphate group facilitates the selective protection of the 2'hydroxyl function of 5'-trityl-N-acyl derivatives, the principle of condensing a protected 3'-phosphate with the free 5'-hydroxyl group of a protected nucleoside component has been used in both the diester and the triester approach (Schemes 4.9 and 4.11). As regards condensing agents and protecting groups for the common functional groups, profound differences do not exist between conventional synthetic methods in both the ribo and deoxy series. In the ribo series, too, the diester approach developed earlier has been complemented by the more recently introduced triester approach.

# 4.1.2.1. Synthesis via Phosphodiester Intermediates

In the classical diester approach utilized, for instance, for the synthesis of all 64 possible ribotrinucleoside diphosphates (249), a suitably protected 3'-phosphate containing the acid labile 5'-monomethoxytrityl protecting group is condensed with the free 5'-hydroxyl group of a protected nucleoside (Scheme 4.9). After selective deblocking of the 5'-hydroxyl function of the resulting protected dinucleoside phosphate, further condensation can be carried out with a new protected 3'-phosphate leading to a protected trinucleoside diphosphate.

Scheme 4.9. Formation of the internucleotide linkage in the ribo series via phosphodiester internediates

Thus, in contrast to the diester approach in the deoxy series, where removal of an alkali labile 3'-O-acyl group allows further extension at the 3'-end of the growing chain, growth direction in the ribo series

References, pp. 483-508

occurs towards the 5'-end of the chains at which an acid labile trityl group is removed after each condensation step in order to allow further

extension.

$$\begin{array}{c|c} C^{bz} & C^{bz} \\ \hline & NH_{s} & CCH_{s}, DCC \\ \hline & OB_{z} & CH_{s} & DCC \\ \hline & O & IsoamyInitivite \\ \hline & HO & OP-N \\ \hline & OCH_{s} \\ \hline \end{array}$$

Scheme 4.10. Formation of the internucleotide linkages in the ribo series via phosphodiester intermediates

While this principle has been used for trinucleoside diphosphate (249, 319) and trinucleotide (313, 319) synthesis, more recently a new approach leading to the opposite growth direction could also be developed. According to this principle a suitably protected 3'-phosphate is condensed with the free 5'-hydroxyl of a 3'-phosphoranisidate derivative (309, 310, 319, Scheme 4.10). The resulting protected dinucleoside is then selectively unblocked at the 3'-terminal phosphate residue by treatment with isoamylnitrie whereupon a subsequent condensation step can be carried out with the free 3'-terminal phosphate residue and the free 5'-hydroxyl of a second nucleosidic component.

This new principle verified by the synthesis of the trinucleotide CpCpAp in a protected form such that further condensation at the 3'-terminal phosphate is possible (Scheme 4.10), appears of considerable value for the preparation of oligonucleotide blocks. In a similar approach, but using a Z',3'-cyclophosphate as protecting group for the 3'-terminus, the trinucleoside diphosphates GpUpA and CpGpUp'have been synthesized (311, 314, 319).

While the two approaches mentioned, are based on the stepwise addition of one mononucleotide unit at a time, successful block condensation by the diester method has also been reported now in the ribo series (313, 318). Thus, when the protected trinucleotide r[(MMTrO)bzC(Obz)-bzC(Obz)-bzA(Obz)p] was condensed with the protected trinucleoside diphosphate r[bzC(Obz)-bzC(Obz)-bzzA(Obz) in the presence of TPS, the hexanucleotide r(C-C-A-C-C-A) in the protected form could be isolated in reasonable yield (Scheme 4.11).

This bexanucleotide could be used (after removal of the 5'-O-protecting group) for further block addition to the nonanucleotide r(C-G-U-C-C-A-C-C-A) in the protected form (313).

These ribooligonucleotides constitute 3'-terminal sequences derived from certain tRNA species such as yeast alanine tRNA, E. coli tyrosine tRNA and others. The nonanucleotide represents the longest ribooligonucleotide of specific sequence synthesized chemically by the diester approach (319).

Polycondensation of the protected trinucleotide bzC(OBz)-bzC(OBz)-bzA(OBz)p in the presence of TPS has been tried with limited success (313). While the expected hexamer could be isolated in rather low yield, no nonanucleotide with the repeating sequence could be detected among the reaction products. A systematic study of the polycondensation conditions on mononucleotides and dinucleotide blocks seems therefore desirable before this method can be evaluated more thoroughly.

References, pp. 483-508

## Recent Advances in Polynucleotide Synthesis

# 14.1.2.2. Synthesis via Triester Intermediates

couraging results were obtained in the deoxy series a number of attempts As in the deoxy series the triester approach was expected 1, to suppress side reactions which occur in the diester synthesis due to the reactivity of the diester internucleotide linkages and 2. to allow rapid isolation and purification of the intermediates by extraction procedures, preparative thin layer and/or short column chromatography. After enwere therefore undertaken to extend the triester approach also to the ribo series. Benzyl (419), phenyl (31, 352), o-chlorphenyl (31), trichlor-418, 419) groups have been used for the protection of internucleotidic phosphate residues and for the conversion of the 3'-terminal phosphoethyl (296, 298, 299, 300, 418, 419, 462) and \( \beta\)-cyanoethyl (113, 417, tetrahydropyranyluridine (2) with trichlorethylphosphate in the presence of TPS to give the diester (3) further reaction of (3) with 2'-O-tetrahydropyranyluridine (1) in the presence of TPS leads to the dinucleoside monophosphate derivative (4). As observed consistently also in analogous cases with other nucleoside derivatives (31, 113, 296, 298, 299, 300, 462) condensation of (3) with the 3'-hydroxyl-group of (1) to give products containing 3'→3' phosphodiester linkages in the deprotected compounds could not be detected. Apparently the steric hindrance of the neighbouring 2'-O-tetrahydropyranyl group and/or of the bulky arylary nature of the 3'-hydroxyl group, do not allow reaction at this monoester residues, to the corresponding phosphodiester residues. Thy (Scheme 4.12), after phosphorylation of 5'-O-monomethoxytrityl-2'-C sulphonic-phosphoric anhydride intermediate, together with the secondfunctional group. Consequently no special 3'-hydroxyl protection is necessary and subsequent reactions can immediately be carried out as outlined in Scheme 4.12 for the synthesis of uridyl-(3'->5')-uridyl- $(3' \rightarrow 5')$ -uridine (5). Virtually the same approach seems also feasible when methoxytetrahydropyranyl group and phenyl or o-chlorophenyl groups are chosen for protection of both the 2'- and 5'-hydroxyl functions and the phosphodiester linkages (31).

This stepwise approach, in which overall direction of the chain growth towards the 3'-end results, could also be applied to the synthesis of ribooligonucleotides containing all the four common base residues (298, 299, 300, 462). More recently this principle could even be extended to the condensation of preformed blocks (300, 462) as outlined in Scheme 4.13 for the synthesis of the nonamer GpCmpUpCpApUpApApC (300). The latter corresponds to a sequence occurring in the anticodon loop of tRNA from E. coli; this sequence represents the longest ribooligonucleotide of specific sequence synthesized chemically by the triester approach. Protected di- or triribonucleotides (1, 2, 3, 4 and 5) were

$$(II.a) R = H$$

$$(II.b) R = MMTr$$

$$(II.b) R = MMTr$$

$$(II.b) R = H$$

$$(II.b) R = MMTr$$

$$(II.b) R = MMTr$$

$$(II.b) R = MMTr$$

$$(II.b) R = H$$

$$(II.b) R = H$$

$$(II.b) R = H$$

$$(II.b) R = H$$

$$(II.b) R = H$$

$$(II.b) R = H$$

$$(II.b) R = H$$

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$$(II.b) R = H$$

$$(II.b) R = H$$

Scheme 4.12. Formation of internucleotide linkages in the ribo series via phosphotriester internediates

Uququ (IV)

assembled stepwise from their 5'-termini starting from 5'-O-trityloxyacetyl-2'-tetrahydropyranyl nucleosides by the two-step procedure using trichloroethylphosphate and TPS analogous to the reaction pathway outlined in Scheme 4.12 for the synthesis of UpUpU. Block phosphotriester synthesis of the nonaribonucleotide derivative (8) was then accomplished using a similar procedure from protected tetranucleotide (6) and pentanucleotide (7) which had been the coupling products of dinucleotide derivatives (1 and 2) and of trinucleotide (4) and dinucleotide derivative (5) respectively. It is interesting to note that in contrast to the diester approach, in which increasingly large excesses of the incoming nucleotidic components have to be used in order to achiev satisfactory yields, almost equimolar proportions of

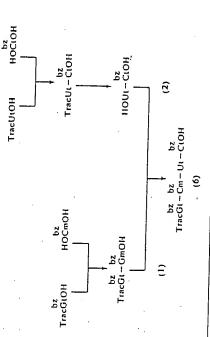
References, pp. 483—508

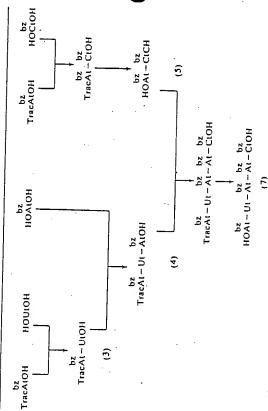
Recent Advances in Polynucleotide Synthesis

425

the reactants could be applied in the triester approach. The yields were satisfactory for coupling of single nucleoside residues (>50%) but dropped to the still respectable range of 20—30% for the condensations involving blocks.

The triester technique has also been used in a complementary strategy leading to growth direction towards the 5'-end (31, 352, 418, 419). In





Scheme 4.13. Synthesis of a nonamer of the ribo series by the phosphotriester approach (continuation p. 426)

Trac = 5'-0-triphenyloxyacetyl
bz = N-benzovl

bz = N-benzoyi
t = 2.-O-tetrahydropyranyi

Hyptien between two characters, e.g. At-Ut, indicates a 2.2.2-trichloroethyl-phosphotriester internucleotide linkage  $m=2^{\circ}-0$ -methyl

Scheme 4.13. Synthesis of a nonanter of the ribo series by the phosphotriester approach (continued from page 425)

this case, as outlined in Scheme 4.14, the 5'-hydroxyl group of a partially protected nucleoside derivative (3) is reacted with the 3'-diester residue of a protected nucleotide derivative (2) (the latter as usual is prepared in situ by reaction of the free 3'-hydroxyl function of a partially protected nucleoside derivative (1) with phenyltrichloroethyl- or  $\beta$ -cyanoethyl-phosphate). The resulting protected dinucleoside monophosphate further condensed with a second 3'-terminal phosphate containing tive (5) to give the protected trinucleoside diphosphate derivative (5).

The use of acid labile groups for the protection of the 3'-terminus and of the 2-hydroxyl functions necessitates alkali labile protecting groups such as acetyl, formyl, benzoyl or p-chlorophenoxyacetyl groups for 5-O-protection. Selective cleavage of the latter groups is, however, protection. On the other hand, use of the alkali stable trichloroethyl protection. On the other hand, use of the alkali stable trichloroethyl in contradiction to other reports, however, removal of the trichloroethyl lin contradiction to other reports, however, removal of the trichloroethyl group after the final step seems to be far from quantitative (418). Nevertheless, synthesis up to the tetrauridine triphosphate in reasonable yields could be achieved (418) and the synthesis of ribooligofeasible by this approach (419).

One general drawback of the triester approach as compared to the diester technique consists in the longer reaction times required for

References, pp. 483—508

Recent Advances in Polynucleotide Synthesis

activation and reaction of the diester intermediates. This relative inerlness of diesters (as compared to monoesters) is further increased in the ribooligonucleotide series by the bulky 2'-protecting groups which are

H O-Me Scheme 4.14. Formation of internucleotide linkages in the ribo series via phosphotriester internediate

U = Uracil residue

5

UpUpU

2. H+

J. OH-

immediately adjacent to the phosphate residues to be activated. An approach has therefore been proposed which would combine the advantageous features of the diester and the triester synthesis (417). Accordingly the internucleotidic bond is first formed from a sterically more favourable and more reactive phosphomonoester component and is then protected in situ by the \(\theta\)-cyanoethyl group as outlined in \(\text{Scheme 4.15}\).

2. NH<sub>3</sub>

I. TPS

UpUpUpU

Scheme 4.15. Formation of internucleotide linkages in the ribo series by the mixed diestertriester approach

References, pp. 483-508

Thus, for the synthesis of oligouridylic acids, 2',3'-di-O-benzoyluridine (1) is first condensed with 2'-O-tetrahydropyranyl-5'-O-dimethoxytrityluridine 3'-phosphate (2) in the presence of TPS. The resulting mixture is subsequently treated with cyanoethyl in the presence of more TPS, to give the triester (3). After selective removal of the dimethoxytrityl group with mild acid (whereby the tetrahydropyranyl group is left intact) the 5'-hydroxyl function of (4) is ready for the second condensation step with (2) or (5).

The yields obtained in the stepwise synthesis of tri- and tetranucleotides by the use of this mixed diester-triester approach seem to compare favourable with the yields obtained by application of the pure diester technique; a more detailed investigation including the synthesis of oligonucleotides containing also the three other common bases seems, however, desirable for the final evaluation of this method. Ar encouraging yield of 77% could be obtained, when the principle wa applied to the condensation of two dinucleotide blocks (Scheme 4.15; 5+4→UpUpUpU; 421, 422).

## 4.1.3. Modified Oligonucleotides

A variety of modified oligonucleotides has been synthesized to permit study of their physicochemical, biochemical and/or biological properties. Comparison of the modified oligomers with the natural compounds is expected to clarify certain biochemical or biophysical aspects that deal with the significance of the various functional groups in polynucleotides. In some cases model compounds have facilitated the use of physicochemical methods for the investigation of polynucleotides.

Modifications have been introduced at the phosphate groups, at the sugar moieties and on the base residues, respectively, in the ribo series as well as in the deoxy series.

Methyl and ethyl phosphotriester derivatives of TpT and of d(ApA) have been synthesized in order to perform pur, CD and UV spectroscopic studies in organic solution (261). In order to study the properties of dinucleoside monophosphates containing unnatural internucleotide linkages, thymidylyl- $(3'\rightarrow 3')$ -, and  $(5'\rightarrow 5')$ -thymidine have been synthesized (282a, 358). Oligothymidylic acids containing an pyrophosphate linkages (384) and oligouridylic acids containing an internal  $5'\rightarrow 5'$ -linkage (416) have been synthesized in order to test their primer function for enzymic reactions catalyzed by polymerizing enzymes. As regards altered internucleotide linkages, a number of ribonucleoside monophosphates and higher oligomers containing

2'-+5'-internucleotide bonds have been synthesized or isolated as side products (15, 222, 223, 265, 323, 336, 337, 338, 346, 376, 430, 431, 432, 433, 455, 456, 459). A "diuridine monophosphate" containing one arabinoside residue instead of a ribose residue has been synthesized as amidate analogs of oligothymidylic acids have been prepared as a class an oligonucleotide in which the sugar moiety is altered (305). Phosphorwith a modified phosphate-sugar linkage, which is susceptible to cleavage by nuld acid (237). In addition to this, synthesis of L-adenylyl-(3'--5')-L-adenosine and of L-adenylyl-(2'--5')-L-adenosine (438) has opened a route to oligonucleotides containing modified sugar moieties of ds4TMP has led to a mixture of oligo-4-thiothymidylic acid (13) as an oligomer in which the base residues are modified. In addition, as well as unuatural internucleotidic linkages. Chemical polymerization preparation of diribonucleoside monophosphates containing 4-thiouridine has been reported (266, 370). Finally, synthetic dinucleoside monophosphates containing adenine 8-thiocyclonucleosides constitute a type of oligomers in which the modification involves both, base residues as well as the sugar moieties (453).

A considerable number of modified oligonucleotides has been derived by chemical modification reactions of natural polymers; as these techniques generally do not involve formation of new internucleotide linkages a survey of these contributions appears to lie beyond the scope of the present review. The numerous modified polymers synthesized by enzymic reactions will be surveyed in one of the following chapters.

## 4.2. Polymer-Support Synthesis of Oligonucleotides 4.2.1. General Reaction Principle

Synthesis of polypeptides and polynucleotides of defined sequence consists basically of a repetition of similar reaction steps with dissimilar monomeric reactants. For multistep reactions of this type R. B. Merrifield (257) and R. L. Letsinger (226) have developed the technique of polymer support synthesis. The essential reaction steps are:

1. Attachment of the initial monomer of the projected sequence to a polymeric carrier,

2. Blocking of unreacted functional groups of the carrier and deblocking of the grafted monomer.

3. Chain elongation by a blocked monomer unit.

4. Deblocking of the newly attached monomer.

5. Repetition of steps 3 and 4, until the desired sequence is finished.

6. Cleavage of the product from the support.

References, pp. 483-508



The polymer support method has a number of advantages over the conventional technique of condensation in solution: a) Throughout the chain elongation the growing polypeptide or polynucleotide chain is bound to a polymer. The reaction is, therefore, heterogeneous; problems due to solubility differences among the monomers and between them and the growing chain are eliminated. b) The separation and purification procedures during intermediate reaction steps are reduced to simple washing, filtration or precipitation procedures. The time needed for one chain elongation step is greatly reduced. c) The simplicity of separations allows the use of reactants in big excess or repeated treatment, in order to obtain optimum yields of the desired product. d) The repetition of similar or identical reactions allows the automation of the steps of support synthesis.

However, the method also has several drawbacks: a) Unless the yields of all reaction steps are quantitative, a series of homologous truncated sequences are attached to the support along with the desired sequence. The separation of these unwanted sequences after removal from the support can be very difficult, if not impossible in the case of longer chains (468). b) The reactions can be influenced, even controlled by the diffusion of the reactants through the support matrix. Steric hindrance can further inactivate the support bound unaterial and decrease the reaction rates (215, 231). c) The reactions can be influenced by solvation properties of the carrier (395, 396a).

The method of support synthesis has developed very rapidly in the peptide field. Several biologically active polypeptides have been synthesized in this way, such as bradykinin, insulin A and B chains and ribonuclease. However, a great deal of criticism has also arisen due to the fact that long-chain polypeptides synthesized in this way, in spite of their biological activity are not chemically pure compounds.

In oligonucleotide synthesis the success of the support method has been limited due to the complexity of the reactions involved in internucleotide bond formation and the moderate yields which are generally obtained. Difficulties encountered in separating the cleaved product due to these unsatisfactory yields, has precluded the synthesis of longer polynucleotide chains and no attempts at automation of the process have been made.

## 4.2.2. Requirements for Supports and Reactants

#### A) Polymeric Carriers:

The supports are in most cases synthetic macromolecular substances, e.g. polystyrene, but also biopolymers, e.g. polypeptides, or inorganic polymers, e.g. silicagel. As a first approximation these carriers should

picture, however, is an inadequate simplification. Carrier syntheses are be inert substances possessing suitable anchoring groups for an oligonucleotide chain, which reacts freely as if in solution. This complex heterogeneous reactions which have several special features. as to steric hindrance by the surrounding polymer chains and as to One of the reactants is immobilized inside a solvated gel or polymer The different molecules of this reactant are in a different environment coil, an "immobile" or, according to Merrifield, a "solid" phase. accessibility to other reactants penetrating inside from the mobile phase. Once the reaction has taken place unused molecules of mobile reactant as well as mobile reaction products will have to find their way back into the mobile phase. Thus chemical reaction and diffusion processes of various species occur side by side, the overall rate being reactioncontrolled for some and diffusion-controlled for other molecules of the immobilized reactant. This is evident from the fact that the rate curve during the initial phase is nearly equal to the one found for the earrierfree reaction, but subsequently, deviates more and more. The overall conversion may also be lower than in a parallel reaction in solution, i.e. some of the reactant molecules may be completely inaccessible.

A basic problem in support synthesis is therefore the optimization of the properties of the support with regard to steric hindrance and accessibility of the immobilized reactant. Attempts to solve this in several ways have been made. The initial approach of R. B. MERRIFIELD (257) was to use swellable, homogeneously crosslinked gels. The degree of swelling (mostly about 2%) is chosen so as to ensure good swelling as well as mechanical stability. Since the degree of swelling can only be increased within certain limits, an alternative was to try to attach the immobilized reactant to the surface of pores and cavities of macronon-swellable and relatively insensible to differences in the solvating power between pore size and mechanical stability. Besides this, the materials fore, part of the reactant will be in small pores, i.e. in less readily of disterent media. However, here, too, a compromise has to be found relicular, i. c. heterogeneously crosslinked gels (63). These gels are rigid, used contain a distribution of pore sizes around an average value. Thereaccessible places. This risk is reduced by using microgels, which are very small rigid gel particles of diameter  $0.1-1~\mu$  (214). In these ratio of outer to inner surface is greatly increased; however, the small particle size precludes the application of simple filtration processes.

In contrast to this development where the immobilized reactant was attached to the surface of a rigid lattice, a concurrent line of development aimed at the use of systems promising maximum chain flexibility. The simplest solution in this direction was the use of linear, non-cross-

References, pp. 483-508

linked polymers as carriers. The first support system of this kind, a chloromethylated polystyrene, was described for peptide synthesis by M. M. Shenyakin and coworkers (404). Due to the internal Brownian movement of the polymer chain, the reactant molecules, which are grafted to it will continually change from positions more to the inside of the coil to others more to the outside and back. Diffusion problems are, therefore, at minimum in this case. Unfortunately, the non-cross-linked polymers do not allow filtration techniques. Removal of mobile reactants and products has to be carried out by precipitation or dialysis. This causes problems as the result of solubility changes, secondary crosslinking and adsorption, which will be discussed later in this section.

A third approach, which combines utmost chain flexibility with an insoluble system, was first studied by R. L. Letsinger and coworkers (226) concurrently for the synthesis of oligopeptides and oligonucleotides. This approach utilizes the so-called popcorn polymers which form on proliferous thermal bulk polymerization of suitable monomers, e.g. systeme. These, too, are heterogeneously crosslinked polymers containing regions of high resp. low coil density. Popcorn polymerization occurs at very low content of divalent monomer (~1%), and crosslinking is effected more by physical than by chemical means. Since the chains are only slightly linked to each other, the chain flexibility in appropriate solvents is nearly as high as in non-crosslinked polymers (34), although the popcorn polymer is insoluble, easily filtrated and mechanically stable. Although more information still has to be collected, it seems that this type of polymer is especially well suited for support purposes in oligonucleotide chemistry.

Another consideration concerns the chain solvation properties of the polymer support. The different steps of oligonucleotide synthesis are carried out almost exclusively in two kinds of media, i.e. pyridine and aqueous or partly aqueous solutions. Especially in the latter case good solvation cannot be expected for supports based on polystyrene. Recent developments in oligonucleotide support synthesis, therefore, aim at the construction of more hydrophilic carriers (33, 44, 392, 396a). Unfortunately, however, all the systems tested so far show a strong tendency to adsorb oligonucleotide chains in aqueous media, thus restricting the utilization of this approach. Cellulose carriers, which show employed for stepwise oligonucleotide synthesis due to their residual hydroxyl groups. However, they have been very profitably used for enzymatic syntheses (Section 4.2.4) and affinity chromatography (Section

A segment

435

Recent Advances in Polynucleotide Synthesis

#### B) Reactants:

mmobilized one, i.e. the growing oligonucleotide chain, and the mobile We have distinguished between two types of reactants - the reagents. For the immobilized reactant the polymer can be looked at as a macromolecular blocking group. Since cleavage from the support is the last of all steps leading to the synthesis of a sequence this linkage must be the most stable, and this has to be taken into account on designing the earlier discussion of the steric influence of the support lattice it is ones, namely e.g. nucleotide monomers and blocking or deblocking a blocking scheme for the intermediates as shown in Section 1.6. From clear that support reactions will be significantly slowed down, when one of the reaction partners is sterically hindered or bulky. This can be seen, for example, on comparing the yields of phosphorylation vs. internucleotide bond formation under comparable conditions. In order to oblain fair yields in a reasonable time it is often necessary to use elevated temperatures and an enormous excess of the mobile reagent (238). This may be a disadvantage, if the reagent is expensive or not eadily accessible. On the other hand, the possibility of shifting a reaction equilibrium towards the desired product by using a high excess of mobile reagent or by several repetitions of a reaction cycle may be a reason, for using the support approach. It also possesses certain advantages in handling small quantities of reactants.

After discussing some general aspects we shall now briefly review

4.2.3. Chemical Synthesis of Oligonucleotides on Supports

the different approaches described for the synthesis of oligonucleotides.

Table 4.2 gives a survey of the disferent methods that have been devel-

oped. The methods are classified roughly according to the types of

supports used (column 2). The functional groups and the way in

which the initial member of the oligonucleotide chain is linked are shown in columns 3 and 4. In the next column the types of intermediates used for oligonucleotide synthesis are described. If necessary, the

reaction conditions are indicated. Column 6 gives the conditions for the cleavage of the oligonucleotides from the support. Information on sequences and yields that were obtained is given in column 7. Since it is impossible to list all products, examples are given of a dinucleotide

| References | Sequences,<br>yields•  | id Cleavage<br>conditions<br>o-          | Conditions ar<br>intermediates<br>for oligonucle<br>tide synthesis | Type of<br>linkage             | roaches to the Syr | Functional groups | No. Support                            |
|------------|--|--|--|--------------------------------|--------------------|-------------------|--|
| (1+1'0+1)  | (Tbq)",<br>  n = 1 - 2<br>  dinucleotide<br>  sequences<br>  dTpdT : 96%<br>  dTpdT : 96%<br>  dT(pdT) : 83% | trifluoroacetic<br>acid in<br>chloroform | pdT-OAc,<br>pdC**-OAc,<br>pdC*-OAc                                 | nucleoside-5'-<br>trityl ether |                    | red CH,           | l polystyrene,<br>non-crosslink        |
| (15        | 6) (Tbq)Tb<br>2-1= n<br>%11: <u>(</u> Tbq)Tb   | מכפנוכ מכול/                             | DAO-Tbq  | ether<br>nucleoside-5'         |                    |                   | 2 polystyrene,<br>non-cross-<br>linked |

References. pp. 48.3—508

and of the longest chain which was obtained by this method. The or dinucleoside phosphate, usually the one synthesized in the best yield,

corresponding references are listed in the last column.

telds given are: best

o – сн²

Table 4.2 (continued)

|  |                   | v= v= (donama                          | cu)   | ·*  |   |                    |
|--|-------------------|--|---|---|---|--------------------|
| No. Support                            | Functional groups | Type of<br>linkage                     | Conditions<br>intermediat<br>for oligonus<br>tide synthes           | cleo-   | Sequences,<br>yields*   | Reference          |
| 3 polystyrene,<br>non-cross-<br>linked | O-CH <sub>3</sub> | nucleoside-5'-<br>ether                | pdT-OAc<br>1. POCl <sub>3</sub> /<br>aceto-<br>nitrile<br>2. dT-OAc | trifluoro-<br>acetic acid<br>in chloro-<br>form | dTpdT 67%   | (171, 343)         |
| a polystyrene,<br>non-cross-<br>linked | NH <sub>1</sub>   | nucleotide-<br>5'-phosphor-<br>amidate | pdT-OAc   | 80% acetic                                      | <u> </u>  | - (67, 393)        |
| połystyrene,<br>gel. 1% X              | C-CI              | nucleoside-5'-<br>ether                | pdTOAc<br>pdAba,<br>pdAscOAc<br>pdCan,<br>pdCanOAc<br>pdGscOAc      | acetic acid/<br>water/<br>benzene<br>32/8/10    | dT(pdT) <sub>n</sub> n = 1 - 4 di- and tri- nucleotide sequences dTpdT: 75% dT(pdT) <sub>4</sub> : 6% | (254, 255,<br>256) |

5a polystyrene gel, 2% X

nucleoside-5'- pdTOAc phosphoramidate

3x80% acetic  $(pdT)_n$  n = 2-3 (22, 23, acid, 24 h., rt.  $(pdT)_2$ : 40% 24)  $(pdT)_3$ : 5%

nucleotide-5'-uridinylester

pdT-OAc

l. acid 2. periodate 3. alkali (390) .

| No. Support                 |                   | able 4.2 (continue                      |   |  |  |           |
|-----------------------------|-------------------|---|---|--|--|-----------|
|                             | Functional groups | Type of linkage                         | Conditions an intermediates for oligonucleitide synthesis | conditions<br>0-                                     | Sequences,<br>yields*  | Reference |
| 6 polystyrene,<br>gel, 2% X | CH3-COCI          | nucleoside-5'-<br>ester                 | pdT-OAc<br>pdT-OH   | dioxane/<br>conc.<br>ammonia 1:1                     | dT(pdT), poly-<br>condensate<br>dTpdT 85%  | (220)     |
| 7 polystyrene,<br>gel 2% X  |                   | nucleoside-5'-<br>ether                 | pdG≝OAc   | 2% trifluoro-<br>acetic acid<br>in benzene           | dT(pdG) <sub>n</sub><br>n = 1 - 3<br>dTpdG: 27%<br>dT(pdG) <sub>3</sub> :<br>~2% | (476)     |
| polystyrene,<br>gel 2% X    | CH1-0-NH1         | nucleoside-5' -<br>phosphor-<br>amidate | MMTrC <sup>bz</sup> -p-                                   | isoamylnitrite<br>in pyridine/<br>acetic acid<br>I:I | rApUpGp<br>dinucleotides<br>rApUpGp: 10%   | (317)     |

9 polystyrene, macroreticular

nucleoside-5'ether

pdT-OAc, pdTpdTOAc, pdC\*\*\*-OAC, pdA\*\*-OAc

80% acetic

 $dT(pdT)_n$  n = 1 - 7di- and trinucleotide sequences d(TTACCTA)
dTpdT:50%
dT(pdT),:4%
d(TTACCTA):
13%

10 polystyrene, macroreticular 6% X

nucleoside-5'- pdT-OAc phosphomonoester

 $(pdT)_n n = 2 - 6 (96)$   $(pdT)_2 : 35\%$   $(pdT)_6 \sim 2\%$ 2N sodium methylate in methanol/ pyridine 1:1

Recent Advances in Polynucleotide Synthesis

(68, 215, 217, 218)

| No | Support                                    | E                 | 14 | ble 4.2 (continue                                    | d)<br>   |  |   |             |
|----|--|-------------------|----|--|--|--|---|-------------|
|    |  | Functional groups |    | Type of linkage                                      | Conditions and intermediates for oligonucleotide synthesis | conditions                               | Sequences, yields*  | References  |
|    | polystyrene,<br>macroreticular<br>5% X     | -CH1-CI           |    | nucleoside-5'-<br>S-benzyl-<br>phosphoro-<br>thioate | pdTOAc,<br>pdC³"-OAc,<br>pdAbz-OAc                         | J <sub>2</sub> in pyridine/<br>water 3:1 | (pdT) <sub>n</sub> $n = 2 - 5$<br>di- and tri-<br>nucleotide<br>sequences<br>(pdT) <sub>2</sub> : 39%<br>(pdT) <sub>5</sub> : <1% | (423)       |
|    |  |                   |    |  |  |  |   | <del></del> |
|    | polystyrene,<br>macroreticular<br>ca. 3% X |                   |    | nucleoside-5'-<br>ether                              | pdTOAc   | cid                                      | n = 1 - 2   | (107)       |
|    |  | 0-CH <sub>3</sub> |    | ;  | · ·  | •  | modified<br>dinucleotide<br>dTpdT: 73%<br>dT(pdT) <sub>2</sub> : 40%  |             |
|    |  |                   | -  |  | •  |  |   | ٠.          |
|    | -  |                   |    |  |  | <del></del>                              | <del></del>   |             |
|    |  |                   | •  |  | ,  |  |   |             |

nucleoside-5'-ether 2% trifluoro-acetic acid in chloroform pdAbz-OAc dT(pdA), n = 1 - 3 dTpdA: 80% dT(pdA)<sub>3</sub>: 43% (174, 239, 342)

(229a, 230,

407, 409)

| Table 4. | 2 (con | tinued) |
|----------|--------|---------|
|----------|--------|---------|

| No | . Support                         | Functional groups        | Type of linkage               | Conditions and intermediates for oligonucleo tide synthesis       | conditions   | Sequences,<br>yields*  | Referenc          |
|----|-----------------------------------|--------------------------|-------------------------------|---|--|--|-------------------|
| 15 | polystyrene<br>gel 40% X          |                          | nucleoside-5'-<br>ether       | I. PCI <sub>3</sub> 2. HgCl <sub>2</sub> + nucleotides            | 2% trifluoro-<br>acetic acid in<br>benzene             | dT(pdT) <sub>n</sub> p rU n = 1 - 2 di- and tri- nucleotide sequences dTpdT: 80% dT(pdT) <sub>2</sub> prU: 23% | (173)             |
| 16 | polystyrene,<br>popeorn<br>0,2% X | -CH <sub>2</sub> -O-COCI | deoxycytidine-<br>N-carbamate | 1. β-cyano-<br>ethyl-<br>phosphate,<br>DCC<br>2. thymidine,<br>MS | 0,2 M sodium-<br>hydroxide in<br>dioxane/<br>water 1:1 | dC(pdT),<br>n = 1 - 3<br>dCpdT: 61%<br>dC(pdT) <sub>3</sub> : 14%  | (226, 227<br>228) |



phosphate, DCC 2. blocked nucleoside, MS

0,5 n sodium hydroxide in dioxane/ water 1:1

 $dT(pdT)_n$  n = 1 - 2 $dG(pdG)_n$ n = 1 - 3di- and trinucleotide sequences d(GGGT) dTpdT: 95% dT(pdT)<sub>2</sub>: 78% dG(pdG)<sub>3</sub>: 7% d(GGGT): 18%

pdTOAc, 80% pdTpdTOAc acid nucleoside-5'ether

80% acetic

dT(pdT)<sub>n</sub> n = 1 - 5 dTpdT: 64%<sub>6</sub> dT(pdT)<sub>5</sub>: 3.5% (213) Recent Advances in Polynucleotide Synthesis

(212)

| No. Support                  | Functional groups                              | Type of<br>linkage           | Conditions and Cleavage intermediates conditions for oligonucleotide synthesis    | Sequences,<br>yields*  | Refere |
|------------------------------|--|------------------------------|---|--|--------|
| 19 polystyrene,<br>isotactic | О - CH <sub>2</sub> - CH <sub>2</sub> - C - OH | aucleoside-5'-<br>ester<br>O | 0,5 N ammonia   | rU(prU) <sub>a</sub><br>n = 1 - 2<br>rUprU: 52%                  | (470)  |
|                              |  |                              | R <sub>1</sub> = methoxy- acetyl  R <sub>2</sub> = benzoyl- propionyl             |  |        |
| 20 polystyrene,<br>isotactic | О-с-сı   | nucleoside-5'-<br>ether      | pdTOAc trifluoro-<br>3'-O-acetyl- acetic acid in<br>5'iododeoxy-<br>uridylic acid | dT(pdT),<br>n = 1 - 2<br>dTpdT: 55%<br>modified<br>dinucleotides | (447)  |

nucleoside-5'-  $pdA^{bz}$ -OAc ether

 $\begin{array}{lll} trifluoroacetic & dT(pdA)_n \\ acid in & n=1-3 \\ chloroform & dTpdA: 80\% \\ & dT(pdA)_3: 28\% \end{array}$ (342)

| Table | 4.2 | (continued) |
|-------|-----|-------------|
|       |     |             |

| No. Support   | Functional groups | Type of<br>linkage                        | Conditions and intermediates for oligonucleo tide synthesis                                 | conditions  | Sequences,<br>yields*   | Reference                |
|---|-------------------|---|---|---|---|--------------------------|
| 23 α,ω-diami<br>polyethyle:<br>glycol                         |                   | phosphor-<br>amidate                      | pdA <sup>bz</sup> -OAc  | isoamylnitrite<br>in pyridine/<br>acetic acid 1:1 |   | (33)                     |
| d polyvinyl-<br>alcohol<br>non-<br>cross-<br>linked           | О ОН              | 2'(3')-5'-inter-<br>nucleotide<br>linkage | pdTOAc  |   | (pdT) <sub>n</sub> n = 1 - 5<br>(pdT) <sub>2</sub> : 51%<br>(pdT) <sub>3</sub> : 8% | (33, 378,<br>382)        |
| vinylacetate vinylpyrrol- idone co- polymer no- cross- linked | ОН                | nucleoside-5'-<br>carbonate               | 1. pdT, poly-<br>condensation a<br>2. pdT-OMMTr<br>tesp.<br>prU(-OAc) <sub>2</sub> ,<br>TPS | ımmonia   | dT(pdT),<br>n = 1 - 3<br>dT(pdT), rU<br>m = 1 - 2<br>dTpdT: 56%                     | (392, 394,<br>395, 396a) |

| 26 | poly-L-lysine  | NH - C NH <sub>2</sub>          | nucleoside-5'-<br>phosphor-<br>amidate | pdTOAc   | isoamylnitrite<br>in pyridine/<br>acetic acid 1:1     | (pdT) <sub>3</sub> : 14%   | (44)                |
|----|--|---------------------------------|--|--|---|--|---------------------|
| 27 | styrene-acrylic<br>acid copolymer,<br>popcorn                        | о н<br>С-о-сн, -с-сн,<br>о-сосі | nucleoside-5'-<br>carbonate            | β-cyano-<br>ethyl-<br>phosphate,<br>MS     blocked<br>nucleoside,<br>TPS     | 0,5 n sodium<br>hydroxide in<br>dioxane/water<br>1:1  | dinucleotide<br>sequences<br>dTpdT: 92%                                      | (238)               |
| 28 | Bio Rex 70<br>(polyacrylic<br>acid, macro-<br>porous)                | 0 H<br>C-0-CH, -C-CH,<br>0-COCI | nucleoside-5'-<br>carbonate            | 1. β-cyano-<br>ethyl-<br>phosphate,<br>MS<br>2. blocked<br>nucleoside<br>TPS | 0,5 n sodium<br>hydroxide in<br>dioxane/<br>water 1:1 | dinucleotide<br>sequences<br>dTpdT: 65%                                      | (238, 395)          |
| 29 | Merckogel-10 <sup>6</sup><br>(polyvinyl-<br>acetate,<br>macroporous) | ОН                              | nucleoside-5'-<br>carbonate            | l. β-cyano-<br>ethyl-<br>phosphate,<br>MS<br>2. dT-OβB,<br>TPS               |   | dT(pdT) <sub>n</sub><br>n = 1 - 4<br>dTpdT: 59%<br>dT(pdT) <sub>4</sub> : 5% | (238, 395,<br>397a) |

30 Bio-Beads S-X2 (poly-styrene, macroporous)

nucleoside-5'- 1. MeOPOCl<sub>2</sub> 0,5 n sodium ester 2. dTOAc hydroxide in

dioxane/ water 1:1

dT(pdT), n = 1 - 2 dTpdT: 38% dT(pdT)<sub>2</sub>: 10% (306) The majority of systems developed, 21 out of 32, use polystyrene derivatives as carriers. In most of these cases the supports are derivatized so that they possess trityl chloride groups to which nucleosides can be linked as ethers. This acid-labile linkage allows chain extension

oligonucleotides have to be carefully chosen, since the support reactions coworkers (see Section 1.6). The conditions for acidic removal of the according to the reaction scheme developed by H. G. KHORANA and

are generally slower (see Section 4.1.2) than in the carrier-free case and the risk of decomposition of the oligonucleotides on prolonged in some cases as anchoring groups (147). They allow a more facile acidic cleavage, however, the linkage is so labile that some nucleotide

material may be lost during the wasbing procedures. The yields of internucleotide bond formation have been highest (>90%) with soluble polystyrene derivatives (no. 1—3) (67, 141, 343), where they reach the

pipe

oAOTbq

tige aduthesis for oligonucleo-

intermediates

Conditions and Cleavage

acetic %08

hydroxide

conditions

muibos N 1,0

maximum yields obtained in carrier-free approaches. Lower yields (50-80%) have been reported for all other types of tritylated polystyrene

447, 476). An example of this type of oligonucleotide support synthesis

is given in Scheme 4.16.

carriers (no. 4, 6, 7, 9, 12, 14, 20, 21) (68, 107, 218, 220, 256, 342,

In this context it should be mentioned that a comparison of the

namely a thymidylic acid dimer, was prepared to test the formation of an internucleotidic linkage. This is due to the complexity of the parameters of support reactions, and, in fact, only few of these support systems seem to have been so extensively studied as to ensure optimization of

The distinctulties encountered in using an acid-labile support linkage have prompted investigations of other types of anchors, which would allow cleavage of the nucleotidic material from the support in alkaline

most factors.

his approach, developed first in the laboratory of R. L. Letsingen, for-

bids the use of the alkali-labile acetyl groups for the protection of the growing chain end (i.e. in most cases of the 3'-terminus). Develworkable and allowed the preparation of small oligonucleotide fragments in

excellent, often near quantitative yields (230). The route for

opment of new blocking groups, such as the β-benzoylpropionyl group, and the application of the triester method made this approach

or neutral media. Alkali-labile linkages are formed on conversion of polystyrene resins to macromolecular acids (no. 19 and 30) (306, 470), acid chlorides (6, 17) (220, 229a) and chloroformates (16) (227, 228).

the type of linkage is identical and, in most cases the same product, disferent methods is disficult, even if (as in the above mentioned case),

exposure to acid is high. Dimethoxytrityl groups have therefore been used

(017)

(112)

References

%+S TbqTb

\*sbləry

Sequences,

|             | ΥT        |
|-------------|-----------|
| (continued) | Table 4.2 |

|                    | _ |
|--------------------|---|
| Type of<br>linkage |   |
|                    |   |

linkage

5.(3.)-2.

internucleotide

No. Support

TH 50 Sephadex

18

Silicagel 25

5'-cther

uncjensiqe-

preparation of a trinucleoside diphosphate is shown in Scheme 4.17.

Fortschritte d. Chem. org. Naturst. 32

CH,CCi

polystyrene backbone

TFA = trifluoroacetic acid

о-сн,

29\*

- OCH,CH,CN Σ of styrene and p-vinylbenzoic acid r = thymin popeorn copolymer

NCCH,CH, - O -сн,сы,сс,н NCCH1CH1-

→ dTpdTpdT NCCH,CH, - O I,Z

2. \(\theta\)-cyanoethylphosphate

3. 3.-O-\(\mu\)-benzoylpropionyldeoxythymidine

NCCH, CH, - O

O=C-CII,CH1CC,H, Scheme 4.17. Oligonucleotide support synthesis using the triester method

References, pp. 483-508

the elongation of the oligonucleotide chain. Of course, the basic The alternative possibility, namely the attachment of an oligonucleotide through a bond which can be cleaved in neutral medium by F. CRAMER. This alternative is especially attractive, because a selectively labile linkage to the support can be compared to a lock-and-key system and allows the use of a variety of other blocking groups during requirement is the availability of suitable selectively labile blocking a selective reagent, has been developed primarily in the laboratory of groups and the possibility to introduce these as anchoring groups into polymers. The introduction of the uridinyl group as anchor into chloromethylated polystyrene (Merrifeld resin) was the first approach of this kind (390) (uridinyl groups as phosphate protecting groups: see Section 1.3.1). Better results for oligonucleotide synthesis were obtained, ethylphosphate (no. 10) (96) and S-benzylphosphorothioate (no. 11) (423) anchors; however, the yields of internucleotide bond formation were only moderate. Phosphoramidate bridges have also been used to with polystyrene resins using phosphoranilidate (no. 8) (317),  $\alpha$ -pyridy<sup>r</sup> attach nucleotides to poly-L-lysine (no. 26) (44) and  $\alpha$ , $\omega$ -diamino-polyethylene glycol (no. 23) (33).

This leads us to another interesting line of development. For some good carriers for oligonucleotide syntheses at all, as polystyrene is time doubts had arisen as to whether polystyrene resins are really. most highly solvated in less polar media, whereas the steps of oligonucleotide synthesis are carried out either in water or polar organic media. In order to construct more hydrophilic support resins H. Seliger and more recently K. K. Ogillvie used either popcorn copolymers of styrene and acrylic acid or commercial hydrophilic macroporous resins as the basis for carriers (238, 306, 395, 397a). Alkali-labile ester or carbonate linkages were used to attach the initial nucleoside and chain elongation was carried out according to Scheme 4.17. Uridylic acid has been used as connection in some instances to procure alkali-labile attachment (no. 22, 24, 31) (211, 212, 378, 382). The yields of internucleotide bond formation were, again, nearly quantitative in the case of the popcorn silicagel as porous inorganic support (no. 32) (210) were lower and support (no. 27) (238), whereas the maximum yields obtained with macroporous resins (no. 28, 29, 30) (238, 306, 395) and also with again in the same range as those obtained previously with macroporous polystyrene. This suggests that the outcome of oligonucleotide reactions only to a lesser extent by better solvation, thus, underlining the is influenced principally by the selection of a certain support structure and importance of steric factors in oligonucleotide support synthesis.

crosslinked hydrophilic polymers have been modified and tested. These Parallel to the development of more polar insoluble supports non-

methods using soluble polystyrene (no. 1 and 2) in that the supports are generally soluble in organic media and water, and dialysis methods are used for the separation of mobile reactants (for this reason, the approach, has been named "liquid-phase synthesis" (33)). An example (392, 396a) is illustrated in Scheme 4.18. The yields of oligonucleotides include polyethylene glycol (no. 22, 23) (33, 212), polyvinylalcohol or , 392). These approaches differ from the previously described obtained with these systems are still significantly lower than those reported for system no. I and also some adsorption difficulties still have saponified vinylacetate-N-vinylpyrrolidone copolymer (no. 24, 25) (33, to be overcome. Nevertheless, this new technique, which has been successfully applied also in the polypeptide field, should merit further investigation.

Although interest in support synthesis has been focused on the preparation of deoxyoligonucleotides, work in the ribo series has

Abbreviations:

P ) = vinylalcohol - N - vinylpyrrolidone copolymer

 $\beta B. = \beta$ -benzoylpropionyl.

MMIT = p-methoxytrityl.

Scheme 4.18. Oligonucleotide synthesis on a soluble support

References, pp. 433-508

Recent Advances in Polynucleotide Synthesis

occasionally been described. Thus K. F. Yip and K. C. Tsou (470) have styrene. The carrier method can also serve for the preparation of a prepared a trimer of uridylic acid, and the sequence rAUG was made by E. Ohtsuka et al. (317) using a phosphoranilidate attachment to polymodified oligonucleotide, as was demonstrated by the synthesis of two dinucleotides containing 5-fluorouridine (447) and  $\alpha$ -pyridone nucleoside

Looking at the results of oligonucleotide support synthesis tabulated the time and effort spent on the development of this technique, the outcome has been relatively meager. Good, even excellent results have been obtained in the synthesis of short oligonucleotide fragments, but here in the meantime the support method has to compete with other effective techniques, such as those using extraction methods or affinity oligonucleotide chains, because of the low yields of chain extension, it is in column 7 of Table 4.2, one cannot avoid the statement that, for all if one wants to end up with pure compounds. Few support syntheses of longer oligonucleotide chains containing more than one base have been described; among these the blockwise preparation in good yield of a heptanucleotide sequence by H. Köster, A. Pollak and F. Cramer (218) may show that the preparation of fragments for biologically active polynucleotides can, in fact, be done by support techniques. However, unless not feasible to go beyond the range of good chromatographic separability, this technique is significantly improved, the advantage of time saved by avoiding the column chromatography of intermediates is partly compensated by prolonged reaction times, lower yields and relatively distinct difficult separation of the mixture of products released from the chromatography (see Sections 1.4, 3.1.2, 3.2). For the synthesis of long

# 4.2.4. Enzymatic Synthesis of Oligo- and Polynucleotides on Supports

Generally cellulose is used as a support in these cases, since it is highly polar, insoluble and does not interact strongly with polynucleotides. Residual hydroxyl groups do not disturb as long as there is no use as support-bound primers and templates in enzymatic reactions. chemical internucleotide bond formation. The binding of primers and templates to matrices greatly facilitates the removal of the enzymes and excess substrates from the reaction mixture. In the case of cellulose The attachment of oligo- and polynucleotides to carriers allows the matrices the bound oligonucleotides react as if free in solution. DNA and RNA polymerase, terminal nucleotidyl transferase and polynucleotide igase were used for chain extension and joining of polynucleotides. Poly-

deoxyadenylate, base paired to poly-dT-cellulose, could be elongated to give support-bound poly dA-dT (170). This is schematically represented in equation 4.19.

Cellulose - TTTTTTTTTTTTTUILI
AAAAAAA dTTP, dATP Cellulose - TTTTTTTTTTTUILI
aaaaaAAAAAAA

#### Scheme 4.19

Similarly, a natural DNA was elongated by a homopolymer piece by terminal deoxynucleotidyl transferase and base-paired to a complementary oligomer bound to cellulose. DNA polymerase then copied the natural DNA to give a support-bound template for further replications. Poly dC could be joined to poly dC, oligo dT-cellulose in the presence of poly dI by polynucleotide ligase ( $\delta \theta$ ). With equally good results ficoll (367b) or polyvinyl alcohol (361a) were employed as carriers in the elongation of support-bound oligonucleotides by terminal deoxynucleotidyl transferase; these chains could subsequently be used as templates for polynucleotide synthesis with DNA polymerase I. Guanosine-2',3'eyclophosphate, inserted into a polyacrylamide resin, could be condensed with uridine in a reaction catalyzed by guanyl-RNase (196). Compared to the alternative approach of enzyme fixation to carriers the use of supportbound primers and templates is still in the beginning; it may, however, become very valuable as soon as the techniques for enzymatic synthesis of specific sequences (see Section 5) are further developed.

# 4.2.5. Miscellaneous Uses of Supports in Nucleoride Chemistry

In the last part of this section we will discuss some uses of polymer supports pertaining to oligonucleotide synthesis which do not, however, involve internucleotide bond formation with a support-bound nucleic acid constituent.

Phosphorylations of nucleosides bound to supports have already been described as part of oligonucleotide syntheses according to the triester method (see Scheme 4.17). Similarly, conversion of nucleosides to nucleotides in good yield have been described by M. M. Kabachnik et al. using tritylchloride carriers and either halides of phosphoric or pyrophosphoric acid or PCl<sub>3</sub>/HgCl<sub>2</sub> as phosphorylating agents (171, 172).

G. M. BLACKBURN and coworkers (22, 23, 24) have used supports for clarifying the mechanism of phosphorylation by dicyclohexylcarbodiimide and sulfonylchlorides. In both cases polymeric phospho-

References, pp. 483-508

## Recent Advances in Polynucleoride Synthesis

monoesters were not converted to phosphodiesters with aliphatic alcohols or nucleosides. It was concluded that trimeric or polymeric phosphate esters must be necessary intermediates in phosphorylations or internucleotide bond formation by the above mentioned reagents. Such intermediates would not be formed readily, if the phosphate component were fixed to a polymer matrix. Other findings, e.g. the near quantitative internucleotide bond formation with support bound nucleotides in the triester synthesis (Scheme 4.17) (230, 233) are not along this line, although these systems differ from the ones used in the mechanistic investigation in that phosphodiesters were the starting has been given in Section 2.

In all cases discussed so far, a support-bound nucleoside or nucleotide served as a partner in internucleotide boud formation. Alternatively, the condensing agent can be bound to a polymer. Nucleoside and nucleotid. Component are then incubated with the polymeric condensing reagent and, after a suitable reaction time, the oligonucleotide is filtered off. This principle has been exploited by M. Rubinstein and A. Patchornik (362) using poly-3,5-diethylstyrene sulfonylchloride as a macromolecular recovered in a test reaction using alternatively the diester or triester recovered in a test reaction using alternatively the diester or triester version of internucleotide bond formation. Although separation problems are only partly simplified, the advantage of this approach could lie in an approach to quantitative yields of internucleotide bond formation on further amelioration of the conditions and on use of more sophisticated polymeric reagents.

Other uses of support bound nucleic acids and constituents in nucleic acid chemistry, e.g. in affinity-chromatographic separations, will be discussed in a forthcoming review (397).

# 4.3. Miscellancous Methods in Chemical Oligonucleotide Synthesis

In a search for other condensation methods, experiments have been undertaken which are aimed at the following goals: first, to activate the hydroxyl residue involved in phosphodiester formation; secondly, to use preactivated phosphomonoester derivatives, thirdly to use unprotected or less protected nucleotide derivatives and finally to achieve non-euzymic synthesis on complementary templates.

# 4.3.1. Chemical Synthesis via Activation of Hydroxyl Functions

Starting from the earlier observation that nucleoside 3',5'-cyclo-phosphates can be synthesized from the corresponding 3'- or 5'-p-nitro-

459"#1-1.2.

phenylesters in the presence of anhydrous strong base a new synthetic route for stepwise synthesis of oligodeoxyribonucleotides has been proposed (440). As outlined in Scheme 4.20, the reaction of suitably

Scheme 4.20. Formation of an internucleotide linkage by activation of hydroxyl groups

protected nucleosides and nucleotides is carried out with anhydrous potassium tertiary butoxide as the base and fluoride as the leaving group on the phosphate. A stepwise synthesis is possible with the use of protecting groups of different stability in acid. The internucleotide bond was also formed when a secondary 3'-hydroxyl group of the one component attacked a 5'-phosphorofluoridate of the second condensation component. In the oligothymidylic acid series this fast reaction (condensynthesis of the corresponding dinucleoside monophosphate and trisation times range between 15 and 30 minutes) has allowed the stepwise nucleoside diphosphate in excellent yields. As demonstrated by the synthesis of d-ApT in 50% yield this reaction principle can also be extended beyond the oligothymidylic acid series although synthesis of oligonucleotides containing C or G residues has not been reported so far. It is noteworthy that in the case of the d-ApT synthesis protection of the base residues was not necessary and that cleavage of the N-glycosidic linkage in anhydrous base apparently does not constitute a serious problem. Further work seems, however, necessary in order to extend this approach to the synthesis of longer chains as in the

Recent Advances in Polynucleotide Synthesis

synthesis of TpTpTpT only a 19% yield was observed owing to the insolubility of the potassium salt of TpTpT-MMTr in dimethylformamide (440).

# 4.3.2. Chemical Synthesis via Preactivated Phosphate Derivatives

Preactivation of phosphomonoester residues can be achieved by amidation with p-hydroxyaniline (316). As outlined in Scheme 4.21,

Scheme 4.21. Oligonucleotide synthesis via preactivated phosphate derivatives

 $R = -\ddot{C} - \dot{C} - CH_{J}$ 

N-benzoyl-cytosine containing nucleotide derivatives. Since the yields anilidate by oxidation with bromine leads to the formation of a protected offered as hydroxyl component. This principle could be extended to the activation of 3'-monophosphate residues and (in the ribo series) to ranged from 24 to 46% for internucleotide bond formation further efforts which would also include attempts at synthesis of longer chains or synthesis of protected adenosine and guanosine derivatives seems in situ activation of 3'-0-acetyl-thymidine 5'-phosphoro-p-hydroxydinucleoside monophosphate when 5'-trimethylacetyl thymidine desirable.

Polycondensation mediated by preactivated phosphomonoesters has been reported in the oligothymidylic acid series. Thus, activation of thymidine 5'-S-ethyl phosphorothioate (56) with iodine in pyridine in

the absence of any external nucleophile produces extensive self-condensation by attack of the 3'-hydroxyl group on the iodine-activated phosphorothioate. After the polycondensation, conversion of the 5'-achieved by addition of water and the level of pyrophosphate linkages achieved by addition of water and the level of pyrophosphate linkages nucleotides up to the nonanucleotide could be isolated in excellent yields. Although this approach has been reported only for the oligothymicacid series so far, it seems reasonable to assume that it can be applied successfully also to other series or to block polymerization.

## 4.3.3. Chemical Synthesis Using Unprotected Nucleorides

Polycondensation of deoxynucleoside 5'-phosphates is also observed when the disodium salts are refluxed in dry dimethylformamide for nucleotides, is catalyzed by protons or proton donors and involves Pt.P²-dinucleosidyl-5'-pyrophosphate as a key intermediate. The main and (pN),p. However, 5—10% of the oligonucleotides contain at least by their resistance to spleen phosphodiesterase. Though, in the oligonucleotide could thymidylic acid series excellent yields up to the nonanucleotide could limited in view of the relatively high proportion of unnatural interbower, provide additional understanding about the prebiotic synthesis of polynucleotides.

under prebiotic conditions. Thus, when excess uridine is heated in the presence of dihydrogen phosphate and urea, di- and oligonucleotides are formed in about 33% yield (323). The majority of the internucleotide and some 5′—5′-linked; however, large numbers of 2′—5′-bonds were also formed. When adenosine-cyclic 2′, 3′-diamines at moderately elevated temperatures, self-polymerization to give oligonucleotides of chain length up to 6 and higher is observed over 2′—5′-linkages. While the preparative value of these methods unnatural internucleotide bonds, the study of this polymerization nucleotides are only processes may also provide insights into the prebiotic synthesis of poly-

References, pp. 483-508

Recent Advances in Polynucleotide Synthesis

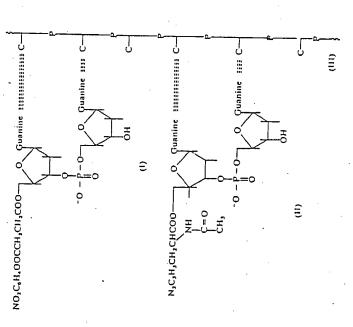
During conventional diester or triester synthesis the amino functions of the base residues are blocked by acylation. The use of derivatives containing free amino functions seems, however, possible in the case of guanine and adenine mononucleotide blocks, at least for the synthesis of dinucleotides in the deoxy series (292, 465) and in the ribo series (265). Whether this simplified approach is also feasible for the synthesis of longer chains remains to be shown. As mentioned previously, N-protecting groups are also unnecessary when condensation hydroxyl functions are activated (Scheme 4.20) (440). In the triester approach an activated 3'-phosphodiester of one component seems to react if the 3'-hydroxyl function of the latter is also unblocked (Scheme 4.12 and 4.14) (31, 296, 300).

## 4.3.4. Chemical Synthesis on Complementary Templates

This approach tries to mimic enzymic reactions catalyzed by template attempts have been made to combine mononucleotides or oligonucleotides dependent polymerizing enzymes or by polynucleotide ligases. Accordingly, after fixation in mutual vicinity by complex formation on a complementary template. Since the feasibility of this approach was first verified by the synthesis of undecathymidylic acid from two hexathymidylic acid blocks on a poly A template in the presence of a water soluble carbodiimide (294), several other groups bave tried to improve this technique for synthetic purposes or to investigate its relevance to prebiotic polynucleotide synthesis. Starting with mononucleotide units in poly U and poly C have been tested as templates for the synthesis of the presence of water soluble carbodiimides as activating agents, oligo A, oligo dA and oligo G respectively. Besides some tri- and tetranucleotides, the main products isolated from the rather complex adenosine cyclic 2',3'-phosphate (355) or adenosine-5'-monophosphorimidazolide (376, 459) were used as preactivated nucleotide derivatives reaction mixtures consisted of the respective dinucleotides or dinucleo in the presence of poly U. These reactions seem to be highly specific in respect to base selection by the template, as incorporation of nucleotides not complementary to the template generally is much lower than incorporation of complementary nucleotides. The synthetic value of this monomer approach, however, is severely limited by the observation that - beside other side reactions - the unnatural 2'-5'- and 5'-5'linkages are frequently formed in preference to the natural 3'--5'side monophosphates (430, 431, 432). Similar results were obtained who

When trideoxyadenylic acid is reacted with water soluble carbodiimide in the presence of poly U as template at  $20 \,\mathrm{mM}$  MgCl<sub>2</sub> an overall yield of 35% of the polymeric products d(pApApA), (n=2,3,4) is observed (12). It seems that this rather high yield is due to the presence of  $Mg^{++}$  which increases the stability of the  $[d(pA)_3 \cdot poly \ U]$  hybrid. When dideoxyadenylic acid, activated by 3-terminal phosphoanidates, are reacted in the presence of poly U, formation of oligo and poly dA is observed (295, 403) in about 10% yield. As evident from degradation with spleen and venom phosphodiesterase, the tetra- and hexaadenylic acids obtained contain natural  $5^+$ 3'-linkages exclusively (295).

In order to study the arrangement and reactivity of oligonucleotides on complementary templates, a number of G-containing p-nitrophenyloligonucleotide succinates (408, 410) have been prepared (Scheme 4.22) and tested for hydrolysis of the p-nitrophenyl residue in the presence of poly C and G-containing oligonucleotide N-acetylhistidates. From the



Scheme 4.22. Schematic diagram showing the hydrolysis of 1 by II on III

Recent Advances in Polynucleotide Synthesis

nucleotide derivatives and poly C was observed to decrease in the order vations together with the specificities reported in the monomer approach (see above) suggest, that the prerequisite of proper alignement of the nucleotide blocks on the respective template can be fulfilled even with comparatively short chains (or even with monomers). It seems formation of natural internucleotide bonds. In regard to the synthesis directed synthesis (chemical or enzymic), namely the necessity of having hydrolysis rates observed the strength of interaction between the oligodGpGpG > dGpGp > dGpC > dGpA > dGpA > dGpT. These obsertherefore not unlikely that template-directed synthesis especially with oligonucleotide blocks finally will become practical, although further work is necessary in order to improve the yields and/or to guarantee the of specific base sequences, one more general drawback of templateproper templates and blocks available, should be kept in mind. Thus at least for the blocks to be connected and for the chains serving a templates conventional methods of synthesis seem unavoidable.

# 5. Formation of Internucleotide Linkages by Enzymic Reactions

Three main classes of euzymes have been exploited for synthetic reactions in polynucleotide chemistry. The first class, the polymerizing enzymes, can be subdivided into primer dependent and primer-template dependent enzyme species. Polynucleotide phosphorylase appears as the as numerous ribopolymers have been prepared by this enzyme already for established as valuable synthetic tools, the second class of enzymes most prominent representative among the primer dependent enzymes, DNA polymerase I and DNA dependent RNA polymerase from E. coli represent the most frequently used primer-template dependent paration of polymers containing repeating di., tri- and tetranucleotide the elucidation of the genetic code (193, 194, 197, 439). DNA dependent species and their application was essential, for example, for the prerepresented by polynucleotide ligases has been introduced only more recently. Its use in connecting chemically synthesized segments has Finally ribonucleases, though generally regarded as cleaving agents, have been introduced as a third class of synthetic enzymes, especially for the synthesis of short oligoribonucleotides. This has been made possible by causing reversal of the cleaving reactions by adding a large excess of one of the cleavage products whereby the equilibrium recently culminated in the total synthesis of two tRNA genes (2, 188). (185, 186, 187, 197, 461). While polymerizing enzymes have long bee is driven towards the side of internucleotide bond formation.

One general advantage of enzyme-catalyzed synthetic reactions consists in the specificity guaranteed by the respective enzymes. For this reason, blocking groups necessary for the protection of the various functional reactions in organic chemical reactions are commonly not needed in enzymic reactions. On the other hand, only comparatively small quantities of by enzymic reactions, unless huge amounts of enzymes which usually are costly or time consuming to prepare are applied. Only ribonucleasedatalyzed reactions could be performed on larger scale. While this several milligrams and more are frequently necessary, studies in the biochemistry of polynucleotides or in molecular genetics quite often have respective polynucleotides (39, 130, 206, 366, 467).

## 5.1. Reactions Catalyzed by Polymerizing Enzymes

Reactions catalyzed by polymetizing enzymes can be subdivided into two classes. Enzymes of the primer dependent class add activated nucleotide units to the 3'-ends of short oligonucleotide primers to yield homopolymers or random copolymers according to Scheme 5.1. In

Scheme 5.1

the ribo series polynucleotide phosphorylase (175, 193, 194, 197, 439) (see also references given in Table 5.1) has been used extensively for this type of reaction, in which case ribonucleoside-5'-diphosphates serve as activated nucleotide units together with a dinucleoside monophosphate or longer ribooligonucleotides as primer. Inorganic phosphate is liberated at each step and in the presence of high concentrations of inorganic phosphate the reaction can be reversed towards phosphorolysis of ribopolynucleotides. In the deoxy series the enzyme most frequently used for the primer dependent synthesis of homopolymers or random copolymers is terminal deoxynucleotidyl transferase (30, 461), which utilizes deoxyribonucleoside triphosphates as substrates for the polymerization onto the 3'-end of a deoxyltinucleoside diphosphate as minimum primer. One equivalent of pyrophosphate is liberated for each nucleotide added.

References, pp. 483-508

## Recent Advances in Polynucleotide Synthesis

In contrast to these primer dependent species in which the addition onto the primer is governed mainly by the availability of the respective di- or triphosphates in the reaction medium, a second class of polymerizing enzymes is dependent on the presence of a template/primer. DNA. dependent DNA polymerases and DNA-dependent RNA polymerases sequence of the nucleotide units incorporated are governed by a are well-known representatives of this class by which amount and DNA template. RNA-dependent RNA polymerases (as. for example, replicase induced by the phage Qβ) (89, 327) have also long been nucleoside triphosphates are the substrates for these enzymes. While a known and more recently RNA dependent DNA polymerases, so called reverse transcriptases, have been discovered (102). Ribo- or deoxyribotemplate (DNA or RNA) is compulsory for this class of enzymes, initiation of the polymerizing reaction without a primer is sometime a combination of DNA-dependent DNA polymerase and polynucleotide RNA polymerases (186, 187, 206, 279). Using QB replicase (89, 327, 377) or ligase (111) in vitro synthesis of the total genoms of phage Qβ or possible as in the cases of QB replicase (89, 327) or of DNA-dependen. QX174 respectively could be achieved.

Synthetic homopolymers, random copolymers and polymers containing repeating di-, tri- and tetranucleotides obtained by application of the various polymerizing enzymes have been reviewed in detail; these reviews include work published during the past 5 years (30, 186, 187, 197, 461). Only a list of polymers containing unusual nucleotides, base pairs or internucleotide linkage will therefore be given in this article (Table 5.1) as a number of polymers has been synthesized containing various modifications in the base, sugar or phosphate moieties.

One general limitation of template dependent polymerizing enzymes arises from the fact that base sequences of the products are entirely governed by the respective templates and that therefore synthesis of specific sequences other than the ones complementary to the templat cannot be achieved. Although this limitation converts to a true advantage, where mere copying of already existing templates is desired (as for example in the reported in vitro synthesis of the whole genomes of phage QB or \$\pi X174\$), enzymic methods which would allow single step additions to a given primer, appear more attractive from a synthetic view point. For this purpose template independent enzymes such as terminal deoxynucleotidyl transferase and polynucleotide phosphorylase represent more favourable candidates, as probably any sequence (not stepwise procedure is developed.

H. Kössel and H. Seliger:

| Polymer<br>(dl-dC),,              |  |                |
|-----------------------------------|--|----------------|
| (dl-dC),                          | Polymerizing enzyme                          | References     |
|                                   | DNA dependent DNA polymerase I               | (114, 115)     |
| (dG-dT),                          | (E. coli)<br>DNA dependent DNA polymerase I  | (1777)         |
|                                   | (E. coli)                                    | ( 447 )        |
| (10III), (UV-104III), (UV-104III) | DNA dependent DNA polymerase I               | (81, 240, 242, |
| (dA-d2thioT),                     | DNA dependent DNA polymerase I               | 243)<br>(241)  |
| (dA-dC), · (dT-d6thioG),          | (E. coli)<br>DNA dependent DNA polymerase I  | (17, 242)      |
| (dA-déthioG), · (dT-dC),          | (E. coli)<br>DNA dependent DNA, polymerase 1 | (17 347)       |
| (dA-dC), · (dT-d6thio1),          | (E. coli)  DNA dependent DNA polymerase 1    | (272,11)       |
| (dA-dC), (d4thioT-dG),            | (E. coli)  DNA dependent DNA polymerase I    | (17, 242)      |
| (dacA),                           | (E. coli)                                    |                |
| (dacG),                           | Terminal deoxynucleotidyl transferase        | (143)          |
| (dacC),                           | Terminal deoxynucleotidyl transferase        | (127)          |
| (dibuG),                          |  | (127)          |
|                                   |  | (127)          |
| (dN)olizonA.                      | Terminal deoxynucleotidyl transferase        | (208)          |
| dT),pN,(N=A, C, G, U)             | Terminal degreemed esided transferase        | (203)          |
| dT)epN,(pMa),                     | Terminal                                     | (300,301)      |
| (N = A, C, G, U, M = A, C, G, T)  |  | (100,1000)     |
| (d 1)6pA,pA,(pdA),<br>(dT)        |  | (360)          |
| dDaptinit.                        | Jerninal deoxynucicotidyl transferase        | (208a)         |
| dT)30pA,                          | Terminal deoxynucleotidyl transferase        | (20Sa)         |
| (dT)30pA,pA,                      | Terminal deoxynucleotidyl transferase        | (2084)         |
| (rA), - (dC),                     | Terminal deoxynucleotidyl transferase        | (90, 91, 92)   |
| ApApdA                            | Polynucleotide phosphorylase                 | (178a)         |
| Apapaas                           | Polynucleotide phosphorylase                 | (178a)         |
| 770-dA                            |  | (1780)         |
| (rA)dA-dA                         | Polynacicotide phosphorylase                 | (20)           |
| (rA)6-Te and (rA)8-Te-Te          | Polymelectide phosphorylase                  | (30)           |
| rA)6-T4-(C4),                     | Terminal deoxynucleotidyl transferage        | (76)           |
| rA)6-T4-T4-(C4),                  | Terminal deoxynucleotidyl transferase        | (91.92)        |
| (rA, dA),<br>-(1 €06:21)          | Polynucleotide phosphorylase                 | (49, 51)       |
| (dibiott)                         | Polynucleotide phosphorylase                 | (448)          |
| rd-thiomethyl 10                  | Polynucleotide phosphorylase                 | (412)          |
| r-phosphothio (I)                 | Polymeleotide phosphorylase                  | (81, 372)      |
| r2thioC),                         | Polynucicotide phosphorylase                 | (50.81)        |
| rt),, · (r2thioC),                | Polynucleotide phosphorylase                 | (373)          |

Recent Advances in Polynucleotide Synthesis

| (371)                        | (371)                        | (368)                 | (308)                 | (368)        | (368)                        | (53)              | (413, 487)       | (486)                        | (168, 224)     | (168)           | (148, 150)     | (148, 150)     | (191)          | (149 151)                    | (151)                        | (181)                        | (4420, 444.                  | 445) | (011)   | (445)                        | (443, 445)                   | (443)                        | (8)                     | (6)                          | (691)                        | (169)                        | (434)                        | (334)                        | (334)                        | (334)                        | (335)                        | (335)             | (448)                      | (125)                | (125)                       | (27)    | (125)                      | (3/4)                | (374)                         |                                  | (71, 81)                     |                       | (77, 80, 81)                 |                   |  |
|------------------------------|------------------------------|-----------------------|-----------------------|--------------|------------------------------|-------------------|------------------|------------------------------|----------------|-----------------|----------------|----------------|----------------|------------------------------|------------------------------|------------------------------|------------------------------|------|---------|------------------------------|------------------------------|------------------------------|-------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|-------------------|----------------------------|----------------------|-----------------------------|---------|----------------------------|----------------------|-------------------------------|----------------------------------|------------------------------|-----------------------|------------------------------|-------------------|--|
| Polynucleotide phosphorylase | Polynucleotide phosphorylase |                       |                       |              | Polynucleotide phosphorylase |                   |                  | Polynucleotide phosphorylase |                |                 |                |                |                | Polynucleotide phosphorylase | Polynucleotide phosphorylase | Polynucleotide phosphorylase | Polynucleotide phosphorytase |      |         | Polynucleotide phosphorylase | Polynucleotide phosphorylase | Polynucleotide phosphorytase |                         | Polynucleotide phosphorylase | Polynucleotide phosphorylase | Polynucleotide phosphorylase | Polynucleotide phosphorylase | Polynucleotide phosphorylase | Polynucleotide phosphorylase | Polynucleotide phosphorylase | Polynucleotide phosphorylase |                   | Polymoreouse phosphorylase |                      | Polymericolle phosphorylase |         | Polymerconde phosphorylase | Polymortoside - Lend | r orymereouge phosphorylase   | (c)                              | DNA-dependent RNA polymerase | (E. coli)             | DNA-dependent RNA polymerase | (E. colı)         |  |
| <br>(rU, 4thioU),            | (rO, 4thiol),                | (riv., 5-dimethyl U), | (C3-hydroxymethyl U), | (15-memylO), | (13-metnyl 1),               | (2 · O-methyl A), | (2 -O-methyl C), | (2 -0-inemyl U),             | (11 -culend A) | (1) ettleno C), | (2 -chioto O), | (2 -chiolo C), | (2' -nuoro U), | (2annud O),,                 | (2 -amino C),                | (7, -42)do (-)"              | "(2 :42ld0 U)"               | CD-1 | (T.T.), | (13-methyl U),               | (r 5,6-dinydro U),           | (r 2,0-methylene U),         | .(o),<br>.(o),<br>.(o), | r(1, U), · (rC),             | r(U,N'-liydroxy C),          | (C.1N -hydroxy C),           | (ro-ethyl-O),                | (r/-methyl G),               |                              | (11 - acctyl C),             |                              | (re-chloronuring) | (rC'A),                    | (rC <sup>3</sup> A), | (rC'A),                     | (rH*A), | n-N-U,                     | Ž.Ü.                 | (N = 3-deazauridine, 4-deoxy- | uridine, 3-deaza-4-deoxyuridine) | (rA-r4thioU)"                | 4 - 1:-1: decorder_r) | (i-phosphotnio A.            | "To purpoudsoud." |  |

In the ribo series polynucleotide phosphorylase has been successfully used for the stepwise synthesis of oligonucleotides containing specific sequences, when 2',3'-O-protected ribonucleoside diphosphates were

provided as substrates. According to Scheme 5.2 (179, 252) addition of such substrate results in a product containing a blocked 3'-terminus, whereby further addition is suppressed. Only after deblocking of the isolated product and addition of new substrate (again in the blocked

Scheme 5.2. Stepwise oligonucleotide synthesis with polynucleotide phosphorylase,

form) can subsequent addition take place. Repetition of this cycle using only one of the four protected ribonucleoside diphosphates as substrate nucleotide sequence if the selection of the ribonucleoside diphosphate used as primer is properly adjusted to the sequence to be synthesized. The at a time should lead - at least in principle - to any desired ribooligoselection of the blocking group has to be adjusted to the following thirdly the blocking group should be completely stable during the course conditions: first, there should be no or minimum interference with the of the reaction and should be removable under conditions that leave substrate binding site of the enzyme; secondly, the blocking group should maximally inhibit the primer function of the single addition product; all other functional groups of the product intact. From the various

Recent Advances in Polynucleotide Synthesis

factorily. In the case where the a-methoxyethyl group is used evidence protecting groups tested the 2'(3')-O ( $\alpha$ -methoxyethyl)-group (252) and the has been presented that the 2'-O-derivatives of the respective ribonucleoside diphosphates are the substrates accepted by the enzyme leading 2'(3')-O-isovaleryl group (179) seem to meet these requirements satisto a monoaddition product with a blocking group at the 2'-hydroxyl

adjacent 2'-O-protecting group which still remains. Extrapolating from further addition is apparently suppressed by steric hindrance of the this it seems likely that the 2'-O-derivatives represent the substrates also in the cases where the isovaleryl group was used for 2'(3') protection Although the 3'-hydroxyl function as such would therefore be free, of ribonucleoside diphosphates (179); in this case, however, rapid oligonucleotides of specific sequences up to the size of a pentanucleotide (252) or a heptanucleotide (180) have been prepared by two (252) or three (180) consecutive additions to the respective primers. Nearly quantitative yields seem to be possible in each step using the 2'(3')derivatives of all four standard ribonucleoside diphosphates. Problems, may, however, arise 1) from the alkali treatment necessary for removal of the isovaleryl group (179), 2) from primer phosphorolysis induced by and 3) by transnucleotidation reactions (179). Although the results reported so far seem most encouraging, it remains to be seen whether further improvements are necessary in order to use the enzymic stepwise approach as a routine procedure. Also no reports are so far equilibration between the  $\hat{z}'$ - and 3'-isomers will probably allow indire utilization of the 3'-O-derivatives. Using this stepwise approach ribc the inorganic phosphate liberated during the addition reaction (105), available on the maximum chain lengths accessible by these approaches.

Analogous attempts in the deoxy series apparently have not been successful. 3'-O-Acetylthymidine triphosphate seems not to be acceptable as a substrate for terminal deoxynucleotidyl transferase (127). This enzyme can, however, utilize ribonucleoside triphosphates (instead  $o^{arepsilon}$ two nucleotide units to a given primer (208, 360, 361) (see Scheme 5.3). deoxyribonucleoside triphosphates) for the limited addition of one c

d(A-C-C-A-T-C-C-A)-Ar-Ar 41A - C - C - A - T - C - C - A) - Ar deoxynucleotidyl transferase Terminal

Scheme 5.3

and this reaction offers some synthetic value for the specific extension of deoxyoligonucleotides. Thus, when the synthetic deoxyoligonucleotide d(A-C-C-A-T-C-C-A) was enzymically extended to the nonanucleotide d(A-C-C-A-T-C-C-A)-A, and decanucleotide d(A-C-C-A-T-C-C-A)-A,-A, increased priming efficiency was observed with the latter in the presence of \$X174 DNA as template and DNA polymerase I (209). The two additions can also be performed in two successive steps with two different ribonucleoside triphosphates (R. Rovcнопониях and H. Kössel, unpublished observation). Based on this terminal addition reaction a method for the 3'-terminal labelling of oligodeoxynucleotides could be developed (203) which also allows partial sequences determination of oligodeoxynucleotides (379, 467).

The terminal addition of ribonucleotidyl residues to oligodeoxynucleotide primers by terminal deoxynucleotidyl transferase has also been used in order to make oligodeoxynucleotides more acceptable as primers for polynucleotide phosphorylase (Feix and Linden, unpublished). As evident from the fact that ribonucleoside triphosphates transferase with respect to the sugar moiety of the substrates appears the specificity requirement of the enzyme terminal deoxynucleotidyl not to be rigorous. It seems therefore not unlikely that a masked deoxynucleoside triphosphate which mimics ribonucleoside triphosphate are accepted as substrates for a limited terminal addition reaction, finally could be used as acceptable substrate for a stepwise terminal addition approach similar to the one already developed in the ribo

It is interesting to note that a terminal addition reaction reciprocal to the one observed for terminal transferase has been found for polynucleotide phosphorylase in the terminal addition of deoxynucleotidyl residues (instead of ribonucleotidyl residues) onto ribooligonucleotide primers (50, 178a) (Scheme 5.4). This reaction which is also limited

ΑρΑρΑ<sub>υ</sub>ρΑ<sub>σ</sub> ApA + dADP polynucleotide phosphorylase

Scheme 5.4

terminal deoxynucleotidyl transferase (91, 92) though ribopolynucleotides to the addition of one or two nucleotidyl residues has been utilized in order to make oligoribonucleotides better acceptable as primers for and ribonucleotide terminated oligodeoxynucleotides also exhibit considerable priming activity in the presence of this enzyme (90, 360, 361).

The oligomer-initiated polymerization of unprotected deoxyribonucleotide units catalyzed by terminal deoxynucleotidyl transferase can

References, pp. 483-508

Recent Advances in Polynucleotide Synthesis

be limited to the formation of short polymers by using small ratios of deoxyribonucleoside 5'-triphosphates to primer (142, 349). The numbers of nucleotide residues added conform reasonably well with a Poisson distribution. When (T), is used as primer with one molar equivalent of dCTP followed by another equivalent of dTTP, a product mixture of T4C,T,(n,m = 0,1,2) is obtained in which only one member (n = 0; m = 2) is missing (142). Thus, successive stepwise addition (even of dinucleotide units) seems feasible by this method although it remains to be seen whether it can be applied to the synthesis of more complex tion of dGTP results in a very sharp product distribution, extension or even improvement of this method for the synthesis of G containing deoxyoligonucleotides seems possible. A somewhat similar principle has, sequences. As under comparable conditions the self-limiting polymerizaadditions of oligo dG and oligo dA blocks onto an oligo dT primer been applied to the synthesis of a three-section block copolymer of thymi dylate, deoxyguanylate and deoxyadenylate by successive termin. catalyzed by terminal deoxynucleotidyl transferase (349).

An enzyme capable of catalyzing primer dependent polymerization of deoxyribonucleoside 5'-diphosphates has recently been studied for single step addition onto d(pA). (106). Although 4 to 8 fold molar excesses of one of the four deoxyribonucleoside 5'-diphosphates in the unprotected form were applied, single terminal addition products were unlikely that this enzyme will also be useful in the stepwise synthesis obtained as the main products in reasonable yields. Thus, it seems not of more complex oligodeoxynucleotides, although no such report has been published so far.

## 5.2. Reactions Catalyzed by Polynucleotide Ligases

189). The two basic parts of this strategy consist first in synthesizing Following the discovery of polynucleotide ligases in the mid sixties a strategy for the total synthesis of the gene coding for an alanine primary structure of the tRNA and second in joining these segments held in adjacent position by means of base pairing with a third overlapping fragment (the "splint"). The 3'-hydroxyl group of one chemically oligodeoxynucleotide segments according to the known enzymically by polynucleotide ligase. In order to allow the enzymic oining reaction to occur each two segments to be joined must be segment (the "acceptor") is thereby brought into juxtaposition of the 5'-terminal phosphate of the other (the "donor"). The splint thus, provides specific template guidance for the ligation process (Scheme 5.5). transfer RNA from yeast was immediately envisaged (2, 6, 185, 18

END

(3')-R1BO

(5')-DEOXY

(5')-DEOXY

(5')-DEOXY

(5')-DEOXY

(3')-DEOXY

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Advances in Polynucleotide Synthesis
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(NONA-II) P-T-C-T-C-C-G-G-T-T
                                                                                                  (3')-DEOXY
                                                        (HEPTA-II) P
                                                                                                  (3')-DEOXY
                                                        (PENTA-II) P-T-C-T-C-C
                                                                                                  (3')-DEOXY
                                                        (TETRA-II) P-T-C-T-C
          50 49 48 47 46 45 44 43 42 41 40 39 38 37 36 35 34 33 32 31 30 29 28 27 26 25 24 23 22 21
                                                                                                 (3')-DEOXY
                                   Scheme 5.5. Ligation of oligodeoxynucleotide segments
                                        20 19 18 17 16 15 14 13 12 11 10
                                        GAUUCCGGAC
                                                                                С
                                                                                  С
                                                                                        С
                                                                                          СА
                                                                                                  (3')-RIBO-
                                                                                                  (5')-DEOXY
                                                                                                  (3')-DEOXY -----
50 49 48 47 46 45 44 43 42 41 40 39 38 37 36 35 34 33 32 31 30 29 28 27 26 25 24 23 22 21 20 19 18 17
                                                                                                  (3')-RIBO
                                                                                                  (5')-DEOXY
                                                                                                  (3')-DEOXY
     77 76 75 74 73 72 71 70 69 68 67 66 65 64 63 62 61 60 59 58 57 56 55 54 53 52 51 50 49 48 47 46
     GGGCGUG
                                                                                 G C U C C- (3')-RIBO
                                                                                                 (5')-DEOXY
                                                                                                 (3')-DEOXY
    77 76 75 74 73 72 71 70 69 68 67 66 65 64 63 62 61 60 59 58 57 56 55 54 53 52 51 50 49 48 47 46
    G G G C G U G U G G
                                                                                   C U C C- : (3')-RIBO
                                                                                                (5')-DEOXY [C']
                                                                                                (3)-DEOXY
                 Schen
```

50 49 48 47 46 45 44 43 42 41 40 39 38 37 36 35 34 33 32 31 30 29 28 27 26 25 24 23 22 21

(ICOSA-I)

-Ψ-G-G-G-A-

(PENTA-I)

(HEPTA-I)

(NONA-I)

G-A-A-T-C- P32

(ICOSA-II)

G-G-G-A-A-T-C-P32

G-A-G-G-G-A-A-T-C-P32

. Total synthesis of the structural gene for an alanine ti r RNA according to Ki

Studies were carried out in order to determine the minimum lengths of the deoxyribooligonucleotide chains which polynucleotide ligases require to bring about the joining reaction (120, 121, 183). As these chain lengths turned out to be comparatively small (in one case even a synthesis of a tRNA gene was designed as follows: I. Conventional chemical synthesis by the diester method of deoxypolynucleotide segments of chain lengths in the range of 8 to 12 units containing free 3- and 5-hydroxyl ends (see Table 4.1). These segments would and would have to be selected such that those belonging to the complementary strands would allow an overlap of four to seven nucleotide units. 2. Enzymic phosphorylation of the 5'-ends by means of polynucleotide kinase (357), and 3. alignment of the appropriate segments to bihelical complexes and "sealing up" by polynucleotide ligase. The ligase catalyzed reactions generally can be performed with several

(Scheme 5.6), segments 7 and 9 in the 5'-phosphorylated form were Thus, for the synthesis of part B of the tRNAA18 gene from yeast simultaneously connected with fragments 8 and 6, respectively, which serve both as acceptor and splint segments at the same time. After this joining reaction was completed, segment 5 in the phosphorylated form was added to yield the entire part B with the two single stranded protruding ends ready for linkage with the complementary sticky ends (454) from the corresponding fragments was achieved in a similar multistep sashion. The large fragments finally were combined to the 77 base pairs containing bihelical duplex, by joining a preformed of part A and C respectively (402). Synthesis of part A (400) and C also to the synthesis of a gene corresponding to tyrosine suppressor tRNA from E. coli and its precursor comprising a total length of 126 A+B product with part C or by joining a preformed B+C adduct with part A (39). More recently this technique has been successfully applied base pairs (190).

There seems no doubt that this strategy would also prove successful for the synthesis of much larger genes or even of total genoms if the corresponding segments would be more easily accessible by chemical synthesis. As the average effort necessary for the chemical synthesis of a deca or dodecamer ranges between one quarter and one half of a "man-power-year", it becomes clear that chemical synthesis of the segments is the major rate-limiting part of the entire strategy at present and that therefore improved methods for the rapid chemical or enzymic synthesis of oligodeoxynucleotides are highly desirable.

References. pp. 483—508

the base sequence of a gene to be synthesized has to be known in contrast to the approach, whereby genoms have been synthesized in vitro by mere copying of input templates in the presence of polymerizing en-One obvious prerequisite for the application of this strategy is that zymes (39, 111, 327). In the case of known RNA sequences the sequences of the respective genes can be deduced unambiguously by the base pairing rules as exemplified by the gene coding for tRNA<sup>Ala</sup> from yeast (Scheme 5.6). However, due to the degeneracy of the genetic code unequivocal deduction of a nucleotide sequence from a known amino acid sequence can only be achieved to a limited extent (146, 288). For instance if the two amino acids methionine and tryptophan, for which only one codon exists, respectively, occur in neighbourhood to each other, oligonucleotide sequences containing no or very few sequences are ambiguous and in many of these cases all four possible advantage, that the synthetic routes to the gene fragments to be ambiguities can be predicted (379, 380, 467). In general, however, mo. than one third of the nucleotide sequences derived from amino acia bases may occur in each ambiguous position. Although this offers the prepared can partly be adjusted to maximum simplicity (146, 288), the risk of selecting by chance rare codons has to be kept in mind. As it is hoped that synthetic genes finally will be introduced and transcribed in living cells, selection of rare codons may then forbid effective expression of synthetic genes. The only way of avoiding this problem seems to consist in sequence analysis of the respective mRNAs or requisite to the synthesis of untranslated or untranscribed DNA sequences DNAs prior to gene synthesis. Sequence analysis will also be a presuch as intercistronic regions or regulatory elements such as operator or promotor regions.

In order to obtain maximum yields and/or to avoid certain undesired deviations in the joining reactions catalyzed by polynucleotide ligase, the reaction components and conditions have to be selected carefully. Thus, effective joining of segment 5 in part B of the tRNA selece (Scheme 5.6) requires raising of the temperature to 25°C, whereas a temperature of 15°C is sufficient for the joining of segments 6, 7, 8 and 9 to each other (188, 402). This temperature dependency probably reflects internal secondary structures of the oligonucleotide segments, which interfere with the annealing of the segment to be joined.

An instructive deviation from the expected joining reaction was observed when an attempt was made to react the partial duplex obtained from segments 1, 2 and 3 (part A of the IRNA<sup>Als</sup> gene, Scheme 5.6) with segment 4 (188, 400). When the 5'-terminus of segment (3+2) was phosphorylated, the product formed was a dimer of the starting duplex (comprising two copies of the segments 1, 2 and 3). This

(.E)

dimerization obviously was due to the self-complementary nature of the protruding C-C-G-G single-stranded end of the duplex (1, 2, 3). Joining with the segment 4 was only observed when the 5'-terminal phosphate of the (3+2) segment was absent as this phosphate is required for dimerization.

In order to prevent undesired joining reactions protection of 5'-thio groups has been proposed (129). Interference of such unphysiological groups with the joining reaction apparently is not encountered as they may therefore indeed prove helpful during further synthetic work in order to, prevent alternate wrong joining reactions. Besides self-complementarity of segments to be reacted, infidelity of the joining pT<sub>II</sub>-C was reacted (as donor and acceptor molecule) on poly dA as mismatched C-residues was observed (446).

A-C base pairs have also been found acceptable in an oligometization reaction observed with segment 4 of the tRNA<sup>Ala</sup> gene
(see Scheme 5.7) (400). Whether other nonclassical base pairs are
acceptable or whether more than one mismatched base pair can be
tolerated near the joining site remains to be seen. Another interesting
joining of deviation from the normal joining reactions was observed in the
It is difficult to evaluate this type of deviation for synthetic purposes as
cases a synthetic aid.

Ribooligonucleotides can also be reacted as substrates of polynucleotide ligase (195, 367a). Thus, head-to-tail joining of tibooligoadenylates in the presence of poly dT was observed. A reciprocal substrate situation as evident from the joining reaction observed with oligo dT on a poly contrast to earlier results (195) – all-ribo substrates (with the exception of oligo rA on a poly rU template) are also acceptable for polynucleotide ligase catalized reactions (367a). There is no doubt that these "deviligase as synthetic tools.

The ligase technique has recently been extended to the joining of a chemically synthesized short oligomer onto a naturally occurring DNA molecule of high molecular weight. Thus, the synthetic dodecamer d(pA-G-G-T-C-G-C-C-C) was annealed and covalently joined to lambda phage DNA in the presence of T<sub>4</sub>-ligase (130). This is the

References, pp. 483-508

may be of general importance for future insertion of synthetic genes sirst time that a chemically synthesized oligonucleotide has been covalently linked to a naturally occurring phage DNA and this approach into living cells by using phage DNA as a "vehicle".

Polynucleotide ligase has allowed the preparation of a circular bihelical DNA containing repeating dinucleotide sequences (328).

## 5.3. Synthetic Reactions Catalyzed by Ribonucleases

This approach which is restricted to internucleotide bond formation in the ribo series is based on the following observations. During the breakdown of RNA catalyzed by ribonucleases transesterification to the respective 2',3'-cyclophosphates in many cases occurs as the first reaction step (Scheme 5.8). The second step, hydrolysis of the cyclic phosphate, then leads to the final products, the 3'-monophosphate derivatives. Though the latter step is virtually irreversible, in many

R=H, HPO3, nucleotidyl or oligenucleotidyl R-U-

B1, B2 = base residues A,C,G,U

Scheme 5.8. Ribonuclease catalyzed formation of internucleotide linkages in the ribo series References, pp. 483-508

Recent Advances in Polynucleotide Synthesis

instances conditions could be found under which it proceeds at a much slower rate than the transesterification step. As the transesterification at the same time constitutes a reversible type of reaction, the equilibrium can be shifted from the cyclic phosphate towards the side of internucleotide bond formation merely by application of high concentration of the 5'-hydroxyl carrying component. This approach already used in earlier work with ribonuclease A from bovine pancreas and with ribonuclease T1 from Aspergillus oryzae has led to the stepwise synthesis of dinucleoside monophosphates and of trinucleoside diphosphates (21, 119, 165, 272, 388). More recent investigations have demonstrated (a) that several other ribonucleases can serve as synthetic tools (b); that the ribonuclease approach is also feasible for comparatively large scale synthesis (up to gram scale) (c), that coupling of preformed oligonucleotide blocks is possible and (d), that nucleotides containing altered base residues in some cases are also acceptable for the ribo nuclease catalyzed reactions.

introduced as synthetic enzymes. Ribonuclease N1 - like ribonuclease Besides ribonuclease A and ribonuclease T1 which specifically catalyze the transesterification reactions from 2',3'-pyrimidine cyclophosphates from Neurospora crassa (201, 199), ribonuclease U2 from Ustilago sphaerogena (165, 200, 450), and nonspecific ribonucleases from and from  $^{2}$ ,  $^{3}$ -guanosine cyclophosphates, respectively, ribonuclease  $N_{1}$ Bacillus subtilis (365) and Aspergillus clavatus (16, 485) have now been T1 - specifically catalyzes transesterification reactions from 2', 3'-guanosine cyclophosphate containing nucleotides onto a 5'-hydroxyl containing specific enzymes (see Table 5.3) are also strongly influenced by the acceptor component (201, 199). The yields obtained with both the G nature of the acceptor molecules with cylidine serving as most efficient where 2',3'-cycloguanosine phosphate has been reacted with dinucleoside monophosphates and where 2',3'-cycloguanosine phosphate terminated phosphate moiety, as it catalyzes transesterification from both the 2',3'-purine cyclophosphates (165, 200, 450; Table 5.3). The specificity acceptor followed by uridine and adenosine. The same order is apparent, dinucleotides have been linked to nucleosides (see Table 5.3). Ribonuclease U2 exhibits a broader specificity in respect to the 2',3'-cycloin respect to the nucleoside acceptors seems to be similar to the one observed with the ribonucleases T1 and N1. The two nonspecific ribonucleases from Bacillus subtilis (365) and from Aspergillus clavatus (16, 485) have been studied for the synthesis of almost all possible dinucleoside monophosphates, which are obtained in satisfactory yields (Table 5.3). It is noteworthy that the enzyme from Aspergillus clavatus has also been used successfully for large scale reactions (16) with several grams of each component.

Table 5.3. Oligoribonucleotides Synthesized by Ribonuclease Catalyzed Reactions

| ApC > p + U  |   |                    |              |
|--|---|--------------------|--------------|
|  | pancr. RNase                            | An Coll (12)       |              |
| ApU>p+Up   | Dance R Nase                            | A-11-11 (12)       | (298)        |
| Py>p+Pu  |   | (II) dodody        | (588)        |
| 0pC>+C   |   | rypru (/-15)       | (441)        |
| PupPy>0+N  | Paris Mass                              | UPCPC (40)*        | (182)        |
| U > u + u < N  | Panel Midase                            | PupPypN (4-12)     | (181)        |
| •  |   | (Up) <b>,</b> U    | (103)        |
|  | pancr. KNase                            | (U),               | (103)        |
| N / . / . / . / . / . / . / . / .  |   | (Up), > p          | (103)        |
| 2 + 1 1 0  | pancr. RNase                            | N.(Up), N          | (103)        |
|  | pancr. RNase                            | (00)               | (103)        |
|  | pancr. R.Nase                           | (1) (all)          | (103)        |
| u > p + PupPu  | paner. RNase                            | UpPupPu (4-18)     | (103)        |
| ;  | polymer bound                           |                    | (104)        |
| . Z+d^5  | T1-RNase                                | Gb (70) 66)        | 1            |
|  | TRNase                                  | Gr (40, 00)        | (272, 388)   |
|  | TR Nase                                 | GPO (13, 02)       | , (272, 388) |
|  | T. R Nase                               | Opi (10, 32)       | (272, 388)   |
|  | TR Nose                                 | OPA (3, 4)         | (388)        |
|  | T. D. No.                               | (S) XdO            | (374)        |
|  | I - KIVase                              | GpthioU (12)       | (165)        |
|  | KNase N.                                | GpC (44, 79**)     | (1001)       |
|  | RNase N.                                | GpU (12, 27)       | (1001)       |
| •  | RNase N.                                | GpA (4)            | (861)        |
|  | RNase N.                                | Gothin U 761       | (881)        |
| ,  | Actinomycin RNase                       | GnC (40)           | (01)         |
| 1>p+C  | RNase N.                                | (pc (19)           | (442)        |
| N+d <nd< td=""><td>RNase U,</td><td>And (38 67**)</td><td>(701)</td></nd<> | RNase U,                                | And (38 67**)      | (701)        |
|  | RNase U,                                | Ap11 (22, 0)       | (200)        |
|  |   | G:11 (18)          | (200, 450)   |
|  |   | OF (18)            | (2007)       |
|  | N N 25 0 1                              | (10)               | (200)        |
| •  | N N 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | (9) VdV            | (200)        |
| G>n+11n  | T PA                                    | ApthioU (30)       | (163)        |
| AVITA  | 11-1717056                              | GpUp (20)          | (222)        |
| 4  | KNase U2                                | ApCp (5)           | (200)        |
| NaN + n < D  | :<br>:                                  | ApGp (1)           | (200)        |
| . Turder and   | 1-1 Nase                                | GpCpC (20, 27, 40) | (110 272     |
|  | . !                                     |                    | 1288)        |
|  | r Pase                                  | GpCpU (14, 23)     | (119, 388)   |
|  | T DI.                                   | GpCpA (12, 14)     | (119. 188)   |
| -  | II- KNase                               | GpCpG (3.5, 8)     | (170 388)    |
|  | IR Nase                                 | GpUpC (7, 19, 37)  | (110 272)    |
|  | ;                                       | •                  | 388)         |
|  |   | · ·                | *            |
|  | ון-זיואמאפ                              | CPAPC (8, 25)      | 1011 101     |

 $^{ullet}$   $ar{\mathbf{U}}$  symbolyzes a uridine residue modified by addition of water soluble carbodinide.

References, pp. 483-508

Recent Advances in Polynucleotide Synthesis

|            |                       |                       |               |           | -             |                       |                       |                             | -          |                       |             |                 |                |   |               |              |                 |                  |                    |                      |                     |            |            |            |            |         |                        |         |                        |           |          |                   |                   |        |
|------------|-----------------------|-----------------------|---------------|-----------|---------------|-----------------------|-----------------------|-----------------------------|------------|-----------------------|-------------|-----------------|----------------|---|---------------|--------------|-----------------|------------------|--------------------|----------------------|---------------------|------------|------------|------------|------------|---------|------------------------|---------|------------------------|-----------|----------|-------------------|-------------------|--------|
| (0///      | (0//)                 | (6//)                 | (100)         | (077)     | ורדר 110      | (110)                 | (611)                 | (611)                       | (119, 272) | (7/7)                 | (7/7)       | (272)           | (272)          | (272)                                   | (272)         | (272)        | (272)           | (272)            | _                  | _                    | _                   | (130)      | (01)       | (661)      | (100)      | (651)   | (301)                  | (201)   | (2007)                 | (2007)    | (300)    | (16 405)          | (301)             | (000-1 |
| IpCpU (19) | 1pCpA (15)            | IpCpG (7)             | GpApApC (7)   | CpGpC (8) | CrGrU (8, 10) | CPGpA (3)             |                       | (b) 04040<br>(h) 041 (4 18) | April (51) | Antinger (33)         | Apthon (32) | And Inc. 4 (30) | A-11-0:0       | APUPUPUPUPUPUPUPUPUPUPUPUPUPUPUPUPUPUPU | APUPGPUP (15) | ApUrGpAp (5) | ApUpGpApAp (11) | ApUpGpApApAp (8) | ApUpGpApApApAp (5) | ApUpGpApApApApAp (3) | (Gp), n = 2 (14)    | n = 3 (6)  | n = 4 (10) | u = 2 (22) | n = 3 (18) | n=4 (7) | $(ApGp)_n = 2 (33)$    | n = 3   | $(Ap)_n  n = 2 \ (17)$ | n = 3 (5) | . (7)    | NnPv (8-40)       | NpPy (20-75)      |        |
| Ti-R Nase  | T <sub>1</sub> -RNase | T <sub>1</sub> -RNase | RNase N.      | T1-RNase  | TI-RNase      | T <sub>I</sub> -RNase | T <sub>1</sub> -RNase | T <sub>1</sub> -RNase       | Ti-RNase   | T <sub>1</sub> -RNase | TRNase      | T. R. Rase      | TR Nase        |   | T DNI         | T P New      | I - K. Nase.    | I -IK Nase       | II-RNase           | I -K Nase            | I - KNase           | I - K Nase | I1-RNase   | KNase N    | RNase N.   |         | RNase Ni               | RNase N | RNase U2               | RNase U2  | RNase Uz | A. clavatus RNase | B. subtilis RNase |        |
|            |                       |                       | C > D + APAPC | N+d A DdN |               |                       |                       |                             | i          | ApUpG > p + N         |             |                 | ApUrG > p + Np | •                                       |               | Antingson    | oliso(A)        | O"EU(A)2-3       |                    | a Superissing        | o / p porymentalion |            |            |            |            |         | Apu > p polymerization |         | A > p polymerization   |           | į        | N > p + Py        | N > p' + Py       |        |

The coupling reaction could be extended to the joining of preformed oligonucleotide blocks (119, 201, 272, 388). As summarized in Table 5.3, the cyclophosphate bearing components as well as the acceptor components can constitute longer oligonucleotide chains. The maximur chain length synthesized by the ribonuclease approach seems to be the octanucleotide A-U-G(-A)s from the blocks A-U-G > and A(pA)s. The coupling of oligomeric components is also evident from the oligomerization reactions observed with 2'-3'-cyclo AMP, 2',3'-cyclo GMP and ApG > in the presence of ribonuclease U<sub>2</sub> and ribonuclease N<sub>1</sub> respectively (201, 199).

Oligomerization of 2',3'-cyclo GMP in the presence of ribonuclease  $T_1$  has already been studied earlier (139). More recently, however, the product of this reaction has become a matter of controversy as it was demonstrated (336, 337, 338) that the products formed at room

Fortschritte d. Chem. org. Naturst. 32

<sup>\*\*</sup> Uchida, unpublished.

<sup>•••</sup> Uchida and Funayama, unpublished.

temperature almost exclusively contain 2'-5'-linkages (as evident from This is in contradiction to all other reports on ribonuclease T, catalyzed synthesis (119, 139, 165, 272, 388) where the internucleotide bonds of the products formed at 4° C proved to be entirely susceptible to enzymic degradation, which evidences natural 3'-5'-linkages. Whether this discrepancy is due to a temperature effect or to contaminants in the resistance against T<sub>1</sub>-ribonuclease and from degradation to 2'-GMP). enzyme preparations used remains open. Even if these controversial findings remain a single case, it nevertheless underlines the necessity of carefully characterizing the products obtained by the ribonuclease арргоасh.

The yields obtained in ribonuclease catalyzed synthetic reactions range between 5 and 30% for short chains (see Table 5.3). In the special case of GpC yields up to 66% and even higher have been reported. Though better yields are generally obtained by chemical methods especially for ribooligonucleotides of medium and higher chain length, the enzymic approach clearly offers the following two advantages: (a) no protecting groups are required either for the 2'-OH functions or for the amino functions on the bases, (b) reactions are carried out entirely in aqueous medium, thereby avoiding the problem of dissolving oligonucleotides in organic solvents such as anhydrous pyridine. On the other hand, the ribonuclease approach is severely limited by the fact that cleavage of the internucleotide bonds already present can only be avoided in the case of base specific ribonucleases (such as T<sub>1</sub>) when the respective internucleotide bonds are not present in both the starting components; thus ribonuclease T1 can only be used for the joining of blocks which do not contain any internal or 5'-terminal guanosine

Synthesis of higher oligonucleotides on larger scale may also be limited because it is difficult to denature or completely remove ribonucleases, which may interfere in subsequent reaction steps or during the workup. In order to eliminate this problem ribonuclease A fixed to solid supports such as CM-cellulose or to maleic anhydride copolymers has been used for the synthesis of the three terminator codons UAA, UAG and UGA from 2,3'-cyclo UMP and the respective dinucleoside yield. This comparatively low yield is, however, fully counterbalanced (a) by the rapidity of the method, (b) by the nearly quantitative recovery of the unutilized dinucleoside monophosphates and (c) by the possibility to use the CM cellulose bound ribonuclease repeatedly in several reaction monophosphates (104). The three codons could be isolated in 4-18%steps without loss of activity.

Introduction of nucleotides containing modified base moieties into oligonucleotides by ribonuclease catalyzed reactions has been reported

References, pp. 483-508

in several cases. Inosine, Xanthosine, and ApI can serve as acceptor molecules in reactions catalyzed by T1 or U2 ribonuclease (119, 272, 383). 2',3'-Cyclophosphates derived from inosine, 8-azaguanosine and xanthosine have been used as activated mononucleotides in the presence of ribonuclease T<sub>1</sub> (119) and N<sub>1</sub> (199, 201). 2',3'-Cyclo GMP can be reacted with 4-thiouridine in the presence of ribonuclease T<sub>1</sub> or N<sub>1</sub> (165) and transfer of 2',3'-cyclo AMP to 4-thiouridine can also be achieved in the presence of ribonuclease U2. Codons containing in the wobble position the modified nucleosides 4-deoxyuridine, 3-deazauridine and 3-deaza-4-deoxyuridine have been synthesized by using ribonuclease A (103).

The same enzyme has been used for synthetic reactions involving 5'-O-methylphosphoryl-uridine 2',3'-cyclic phosphate as a class of substrates modified at the 5'-terminal phosphate by an unnatural substituent (21).

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## Namenverzeichnis. Author Index

Kursir gedruckte Seitenzahlen beziehen sich auf Literaturverzeichnisse

Page numbers printed in italics refer to References

Anet, F. A. L. 133, 160, 164, 166 Appleby, R. S. 291 ApSimon, J. W. 148, 163, 165 Anderson, W. A. 135, 164 Appelqvist, L.-A. 283, 291 Angermann, N.S. 164 Anirudhan, C. A. 249 Argoudelis, A. D. 117 Arison, B. H. 109, 117 Arndt, R. R. 163, 262 Anderson, J. W. 291 Ando, M. 249 Andresen, B. D. 144 Andresen, D. D. 48 Antonov, V. K. 110 Angier, R. B. 114 Arima, K. 116, 501 Arthur, H. R. 249 Ash, A. B. 488. 30. Arakawa, H. 249 Asai, M. 483, 504 Aplin, R. T. 249 Atkins, C. L. 109 Arich, G. 44, 49 August, J. T. 487 Aoyuei, R. 264 Audisio, G. 233 Ando, K. 116 118 Arima, T. 506 Asada, Y. 260 Asaka, Y. 249 Aobi, H. 117 Aota, K. 255 Asao, Y. 117 Aoka, Y Agarwal, K. L. 351, 483, 485, 492 Aberhardt, D. J. 249 " 1 Agadzhanyan, Z. E. 110 Albonico, S. M. 163, 257 Adam, G. 249, 255, 263 Aguilar-Santos, G. 249 Albrecht, P. 45, 47, 49 Allerhand, A. 165, 166 Adinarayana, D. 249 Abderhalden, E. 107 Agwada, V. 249, 254 Agarwal, R. P. 500 Allen, C. M. 75, 112 Abraham, R. J. 116 Achenbach, H. 249 Ackmann, R. J. 46 Abelson, D. H. 45 Abushanab, E. 258 Ackman, R. G. 46 Anderson, J. S. 291 Adams, J. Q. 165 Akers, H. A. 109 Alves, H. M. 258 Amagaeva, A. A. Ahmann, G. 256 Amit, R. G. 116 Allen, F. H. 249 Ahmad, M. 490 Allein, C. F. 291 Akutsu, H. 48.3 Ajil. S. J. 118 Allard, M. 249 Alvarez, C. 261 Ali, M. S. 114 Alais, J. 249

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